



## ALTRIOS - Advanced Locomotive Technology and Rail Infrastructure Optimization System

<https://www.nrel.gov/transportation/altrios.html>

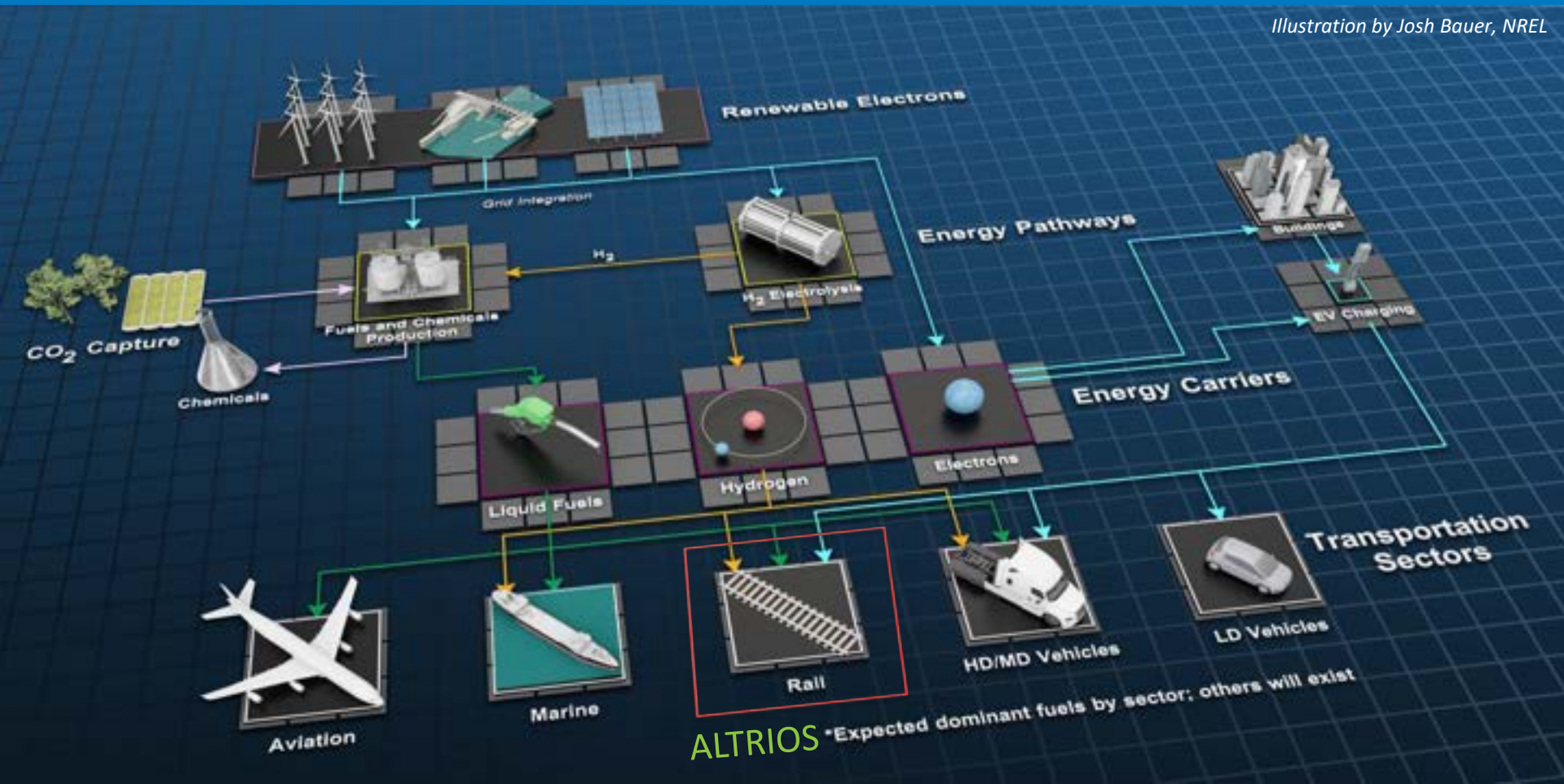
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FRA 2023 Workshop on Decarbonization of Rail Transportation  
An ARPA-e LOCOMOTIVES Project  
May 17<sup>th</sup>, 2023

- National Renewable Energy Laboratory (NREL): Jason Lustbader (PI), Chad Baker, Grant Payne, Nicholas Reinicke, Kandler Smith, Matt Bruchon, and Alicia Birky
- University of Illinois Urbana-Champaign (UIUC) RailTEC: Tyler Dick (Co-PI), Geordie Roscoe, and Steven Shi
- Southwest Research Institute (SwRI): Steven Fritz, Garrett Anderson, and Chris Hennessy
- BNSF Railway: Corey Pasta, Mike Swaney, Allen Doyel, Nathan Williams, Matthew Duncan, and Joshua Soles

# NREL's Vision for Decarbonizing the Transportation Sector

Illustration by Josh Bauer, NREL



# ALTRIOS - Advanced Locomotive Technology and Rail Infrastructure Optimization System

Accelerate rail decarbonization through development and distribution of a validated comprehensive modeling framework

- Open-source software tool to evaluate strategies for deploying advanced locomotive technologies and associated infrastructure for cost-effective decarbonization
- Simulate train dynamics, energy conversion and storage technologies, meet-pass planning, and freight-demand driven train scheduling
- Provide guidance on the risk/reward tradeoffs of different technology rollout strategies.
- Identify Pareto optimal, geospatial-temporal deployment strategies for advanced locomotive technologies and associated infrastructure



# ALTRIOS Team: Multi-Disciplinary team combining strengths of a national lab, university research center, research laboratory, and railway operator



Deep experience in energy efficient transportation technologies, energy storage/conversion, and developing and deploying open source software



Expertise in railroad operations, train dynamics, and train energy modeling



Locomotive powertrain expertise and performance data.



Applied engineering expertise in train dynamics and operating efficiency. Experience implementing and evaluating transformative train solutions.

## Organizational Leads



NREL (PI):  
Jason Lustbader



NREL (Model Lead):  
Chad Baker



UIUC RailTEC (co-PI)  
C. Tyler Dick



SwRI:  
Steven Fritz

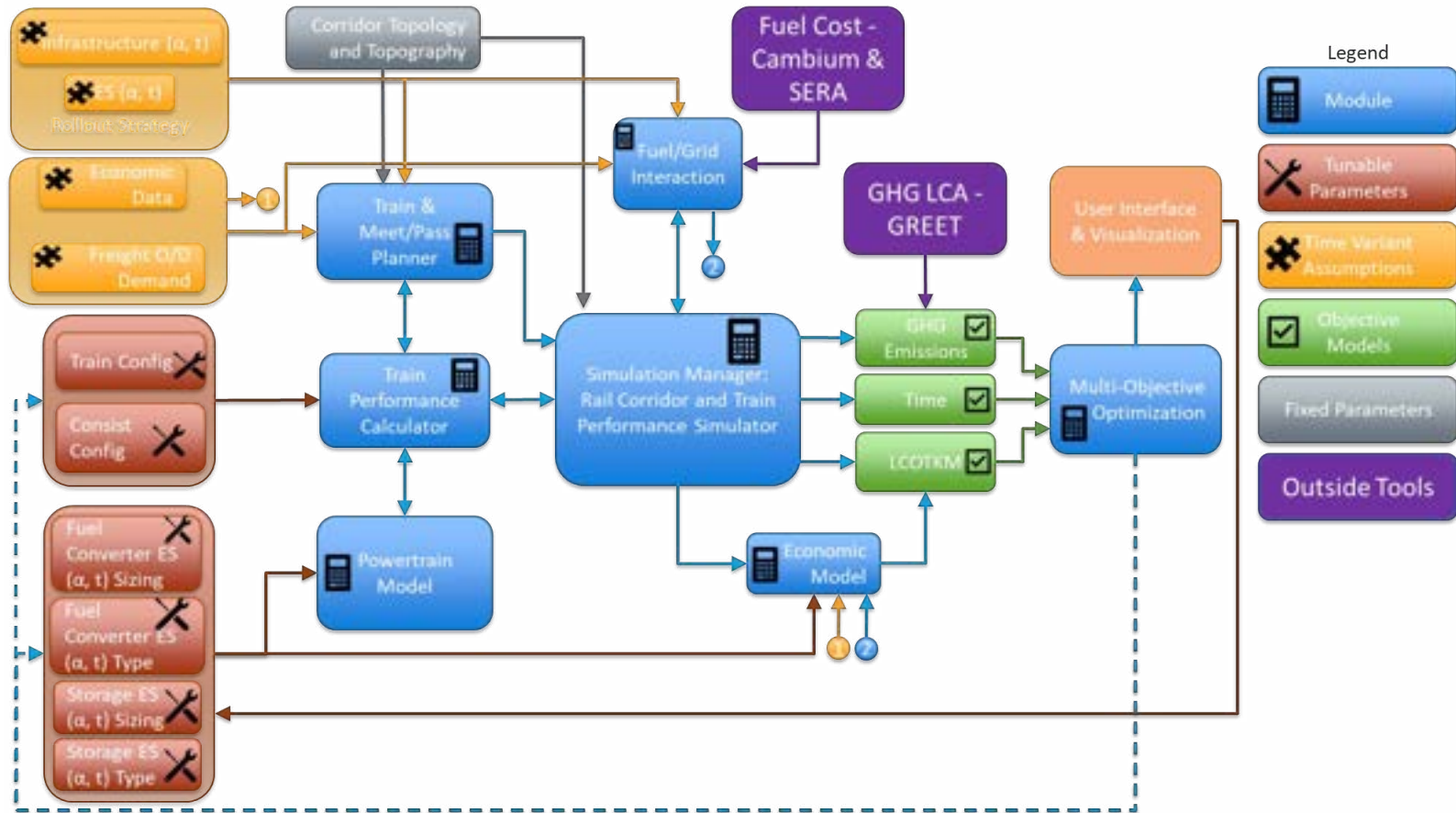


BNSF (Industry):  
Mike Swaney



# Framework: Overview

## ALTRIOS Modeling Framework



# ALTRIOS: Train Corridor Simulator

## Train Consist Planner

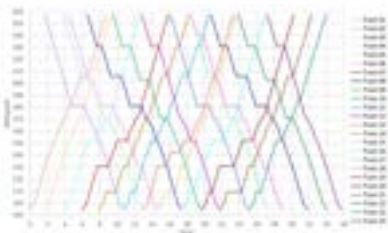


Train ID	Locomotive	Type	Capacity	Weight	Length
101	1000	Freight	1000	1000	100
102	1001	Freight	1000	1000	100
103	1002	Freight	1000	1000	100
104	1003	Freight	1000	1000	100
105	1004	Freight	1000	1000	100
106	1005	Freight	1000	1000	100
107	1006	Freight	1000	1000	100
108	1007	Freight	1000	1000	100
109	1008	Freight	1000	1000	100
110	1009	Freight	1000	1000	100

Builds train plan schedule and train consist including locomotive ID, type of train, O/D information, and carload information

Python

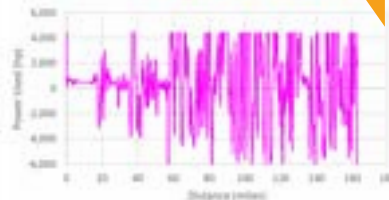
## Meet/Pass Planner



Generates target speed profiles for multiple trains using train performance calculator for individual train simulation and simplified train interactions

Rust

## Train Performance Calculator



Physics-based model calculates detailed train resistance, speed and tractive power required to achieve target speeds

Rust

## Powertrain Model



Solves for optimal energy flows between components and overall fuel/electricity usage at power output required by train

Rust/Python

Avail.  
Power

Req. Tractive  
Power

O/D  
Pairs

Train  
Plan

Train  
Plan

Train  
Paths

Train  
Path

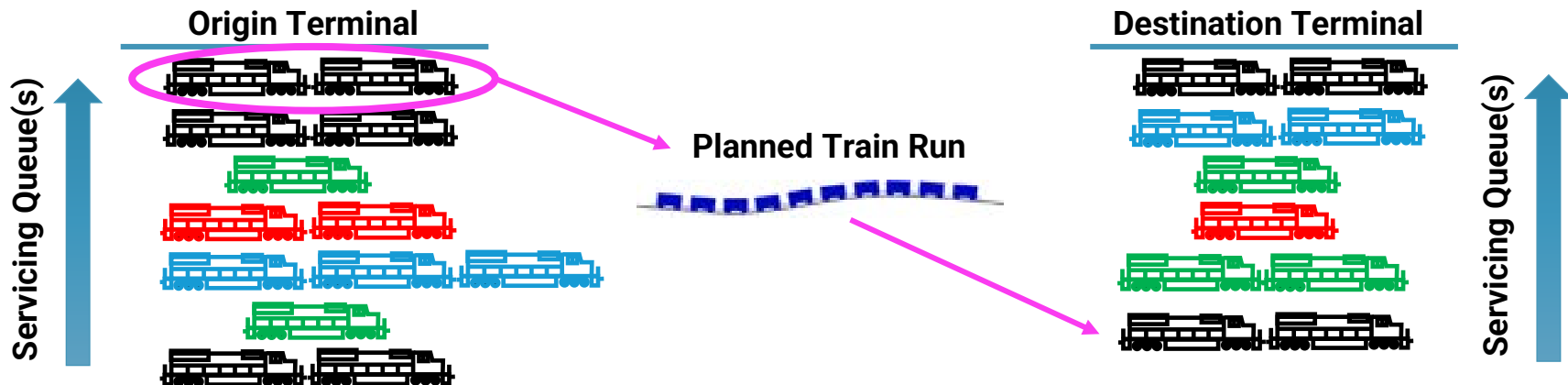
Train  
Energy

Performance  
Metrics

Simulation Manager - Python

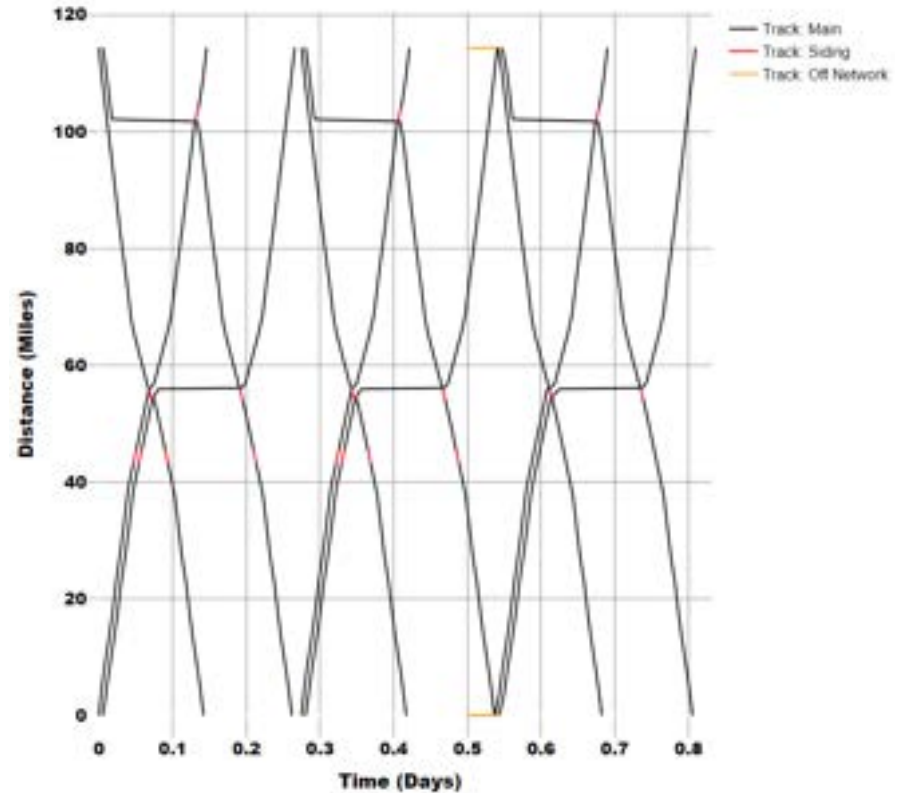
# Train Consist Planner, Overview

- ▶ Train consist planner builds a train plan including Locomotive ID, type of train, origin and destination on simulated network, and number of empty and loaded railcars
- **Input**
  - Annual O/D pair traffic demand
  - Locomotive characteristics
  - Initial locomotive and railcar distributions
- **Output**
  - List of consist information for each train
  - Corresponding planned departure time



# Meet/Pass Planner

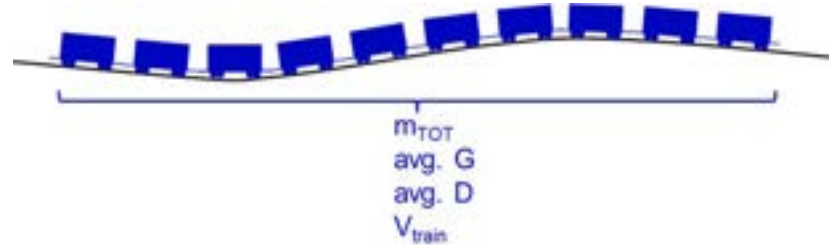
- Develops a complete plan for the path each train will take through the track network along with estimated times for traversing each segment
  - Estimated times derived from simulating each train using the train performance calculator
- Uses a high-performance free-path-based deadlock avoidance algorithm
- “Stringline” diagram train meet/pass plan output shows the algorithm chooses to meet trains at passing sidings that generally minimize total overall delay





# Train Resistance and Motion Calculations

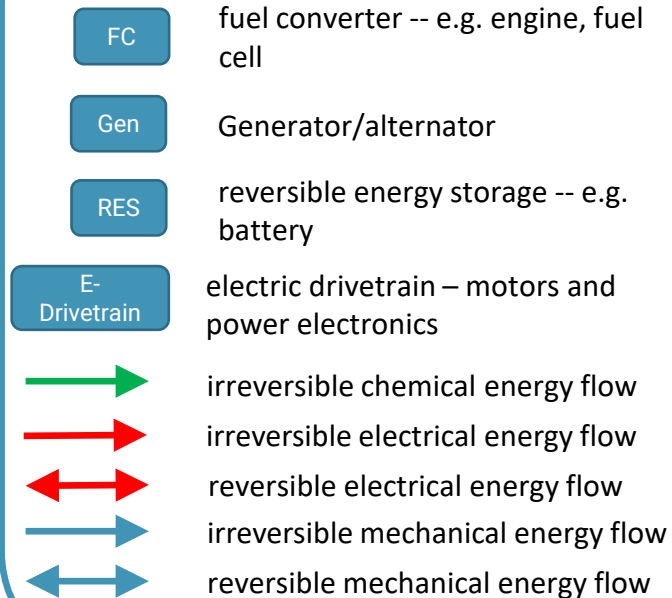
- Grade resistance
  - Train modeled as uniform mass strap
$$(R_{grade} = mg \frac{\Delta elevation}{train\ length})$$
- Rolling resistance
  - Constant value, recalculated only if train mass changes
- Aerodynamic resistance
  - Function of square of speed and air density
  - Air density will be estimated from front of train elevation
- Curve resistance
  - Calculated using truck-type specific curve resistance coefficients derived from quadratic regression on AAR Train Energy Model tables



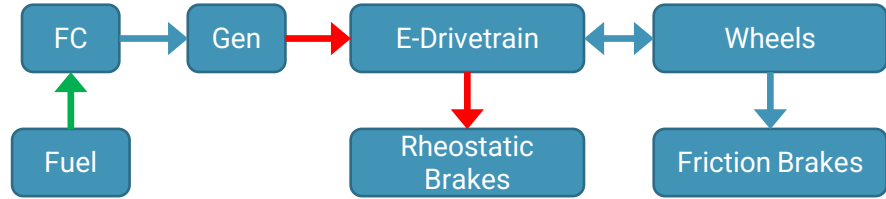
# Locomotive Powertrain Architectures

Conventional, hybrid, and battery locomotives

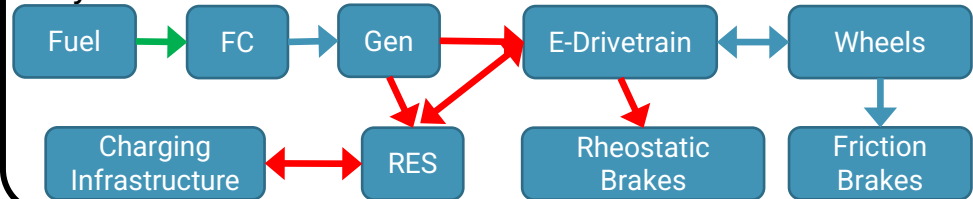
## Legend



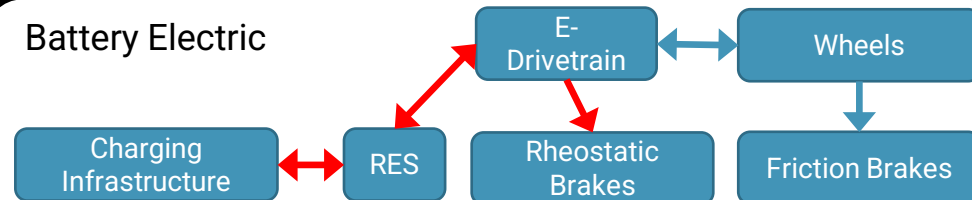
## Conventional



## Hybrid



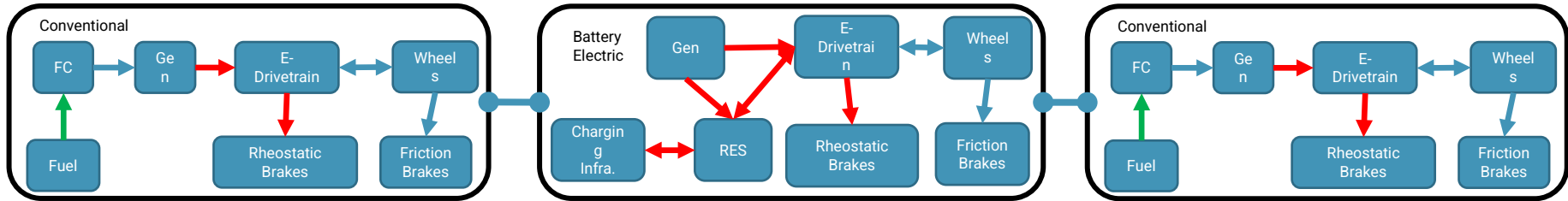
## Battery Electric



# Consist Powertrain

- Consist is modeled as a vector of locomotives, allowing flexibility in configuration.
- Tractive power is distributed based on positive tractive power capacity and regenerative braking capacity
- If any BELs are present in the consist, power is taken from or provided to BELs preferentially while respecting battery state of charge limits.

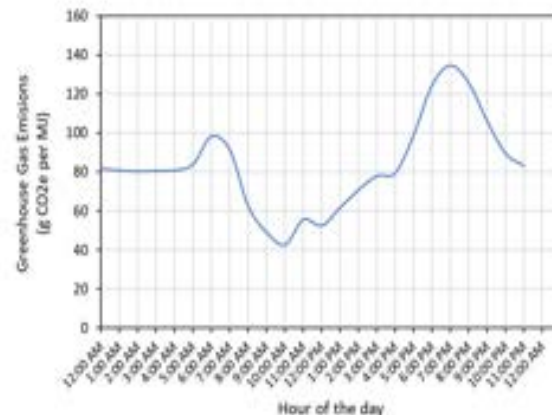
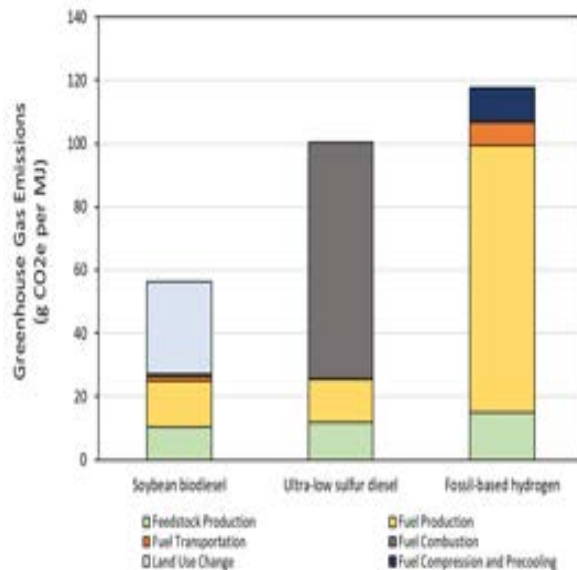
Example hybrid consist, including a BEL in 2<sup>nd</sup> position



# Metric Calculators: Greenhouse Gas LCA

- Flexible input format to define Greenhouse gas emission LCA values by fuel type, region, and time of day.
- Life cycle carbon intensities of selected fuels are being determined, including:
  - Ultra-low sulfur diesel
  - Soybean Biodiesel
  - Hydrogen
  - Electricity
- All emissions are reported in units of carbon dioxide equivalent ( $\text{CO}_{2e}$ ) per energy unit (e.g., MJ of fuel), calculated using the global warming potentials of carbon dioxide ( $\text{CO}_2$ ), methane ( $\text{CH}_4$ ), and nitrous oxide ( $\text{N}_2\text{O}$ ) of 1, 25, and 298  $\text{g CO}_{2e}$  per g of greenhouse gas, respectively, for a 100-year time horizon, per California GREET (CA-GREET 3.0) model\*.

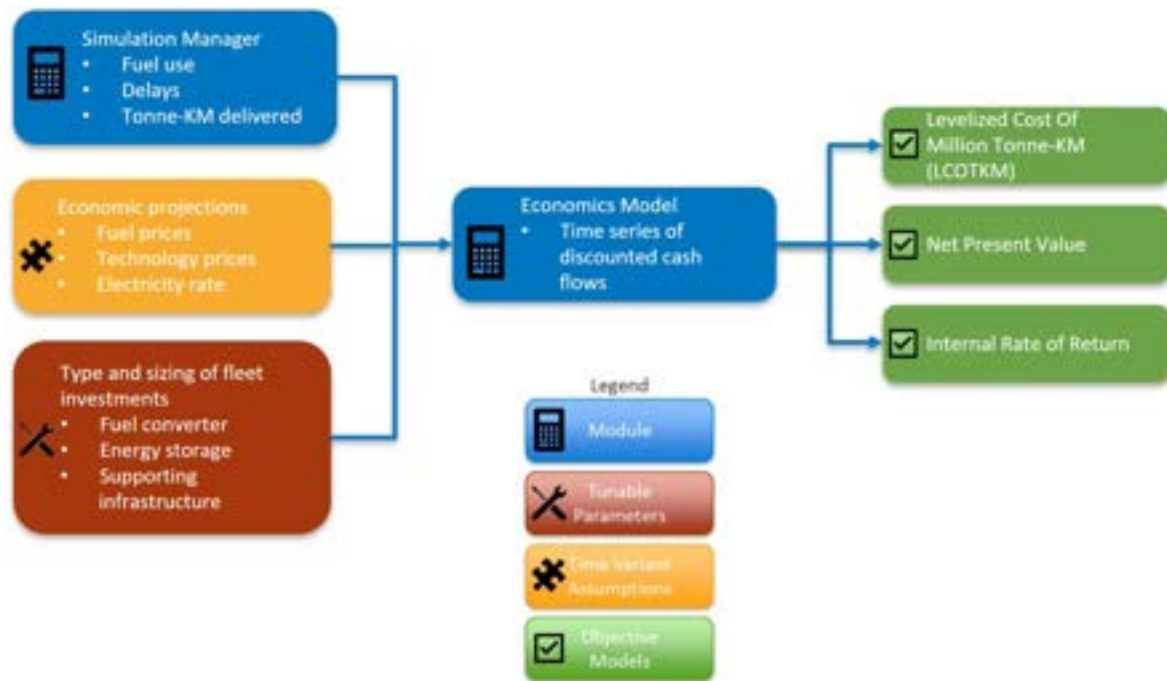
\*CA-GREET 3.0 model, California Air Resources Board. Effective Jan 4, 2019.  
Available at <https://ww2.arb.ca.gov/resources/documents/lcfs-life-cycle-analysis-models-and-documentation>



# Metric Calculators: Economics

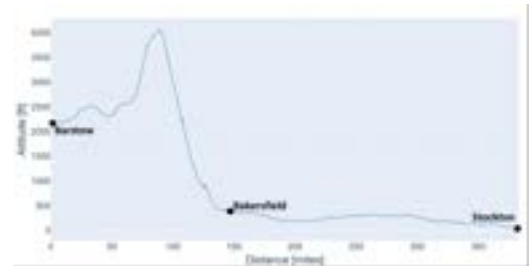
## Economics Model Overview

- Flexible input format to define time-varying regional costs and emissions factors
- Base case default values to reflect current technology costs and forecasted changes
- Outputs include:
  - Levelized Cost of Million Tonne-Km
  - Net Present Value
  - Year-by-year costs itemized by category (e.g., locomotives vs. refueling infrastructure vs. energy)



# Data Collected for Validation

- ~ 375-mile route between Barstow and Stockton, California
- Detail data for 1 BEL & 2 Wabtec Tier 4 ET44C4 diesel locomotives used for complete route
- 17 round trips, with a total of 6,375 miles traveled. The total duration of the data recorded is 900 hours.
- Geography well suited for validation
  - mountains provide opportunities for high power traction or regenerative braking for long durations.
  - Long flat plain between Bakersfield and Stockton provide another extreme in geography.

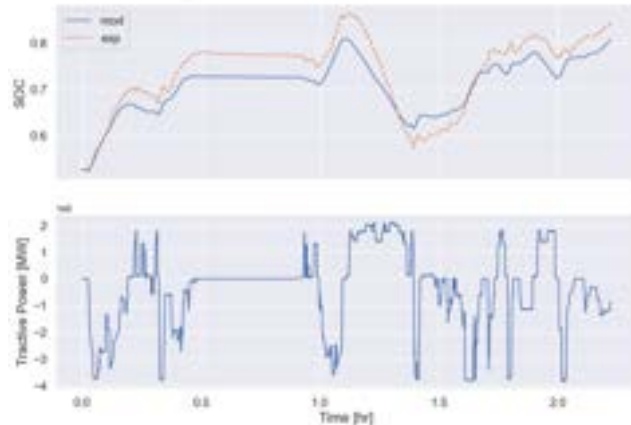




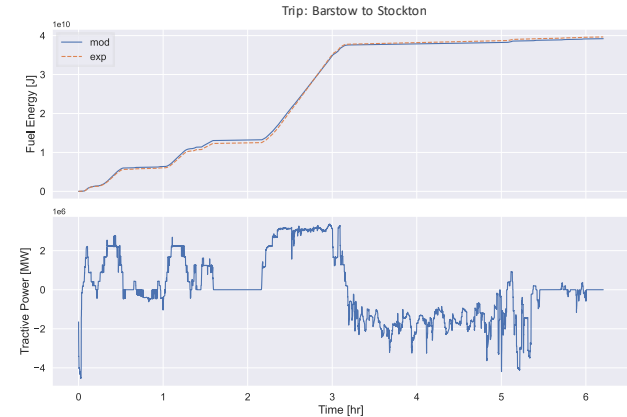
# Calibration and Validation: Locomotive

- Conventional Diesel Electric Locomotive
  - Calibrated by adjusting idle fuel rate and drivetrain efficiency
  - Engine efficiency map based on test data from AAR end-of-useful-life testing
  - Fuel energy time-averaged error of 3.94%.
- Battery Electric Locomotive
  - BEL data used for validation of trend-wise behavior
    - Air-cooled battery and unoptimized controls
    - Avoid reverse engineering BEL design

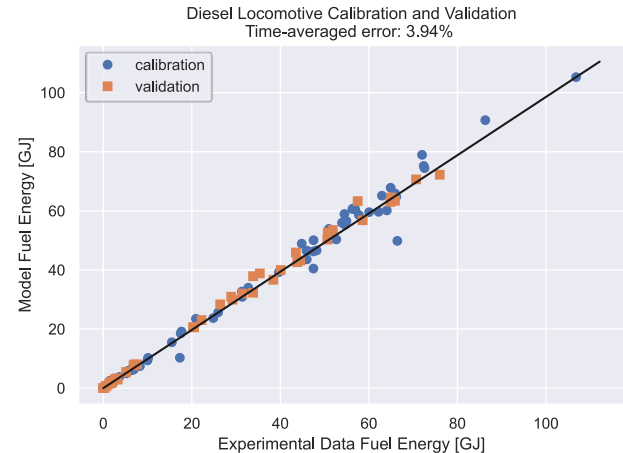
## BEL validation



## Conventional locomotive validation



## Conventional locomotive validation

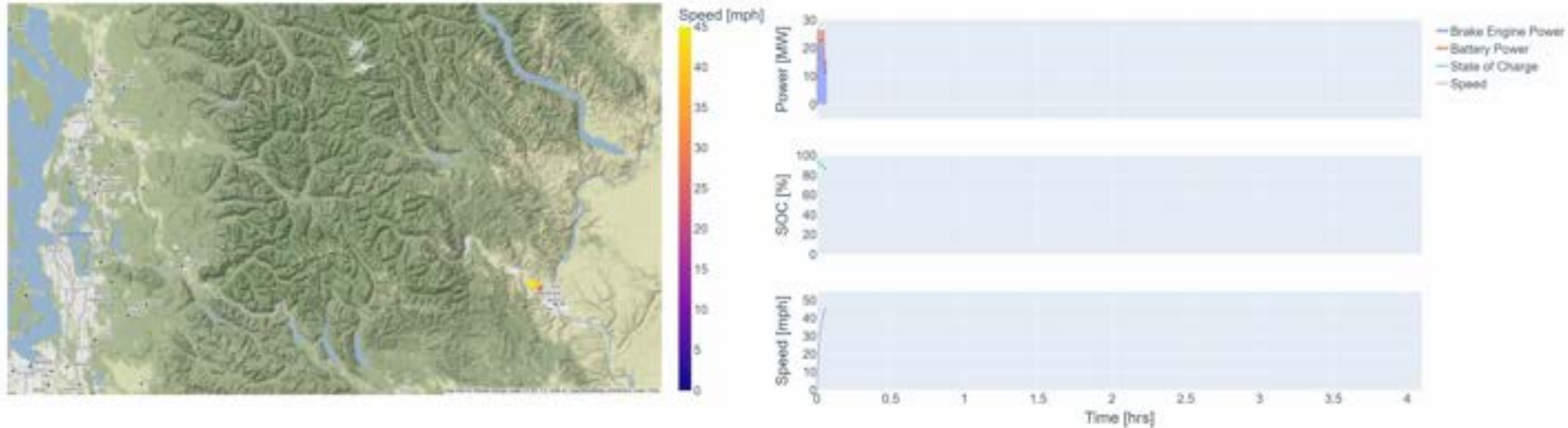


# Example ALTRIOS Application

- Route within BNSF Scenic Subdivision
  - Subdivision spans from Seattle, WA to Wenatchee, WA
  - Contains Cascade Tunnel
- Route Statistics:
  - Distance: 185 km
  - Max. Elevation: 860 m
  - Trip Duration: ~4 hours



# Example Application: Single Train with Hybrid Consist

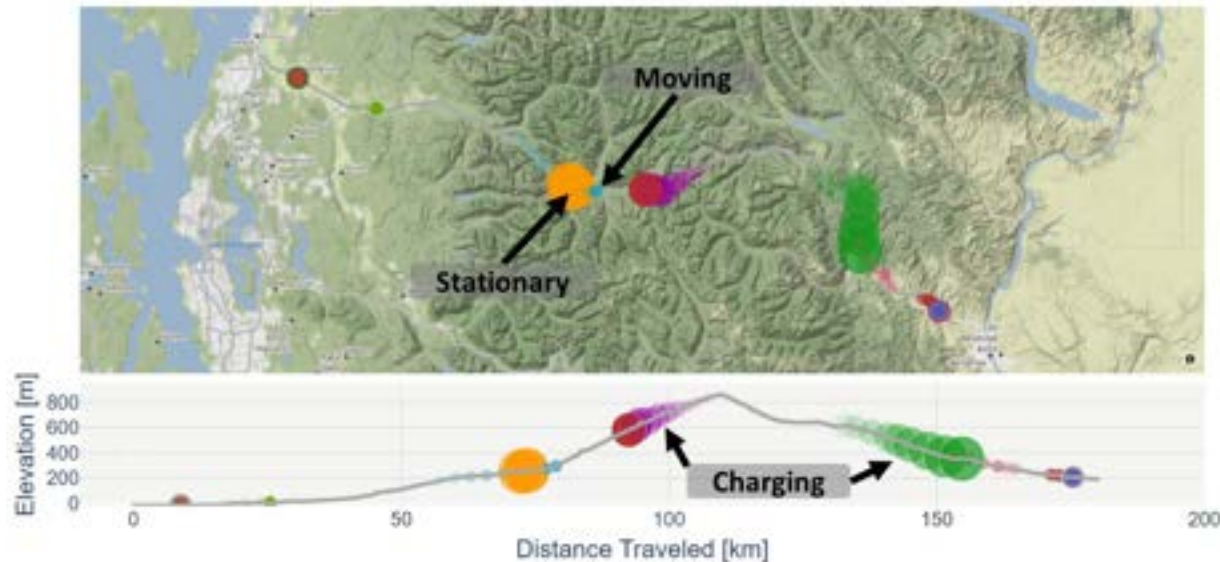


# Example Application: Multiple Train Simulation Manager

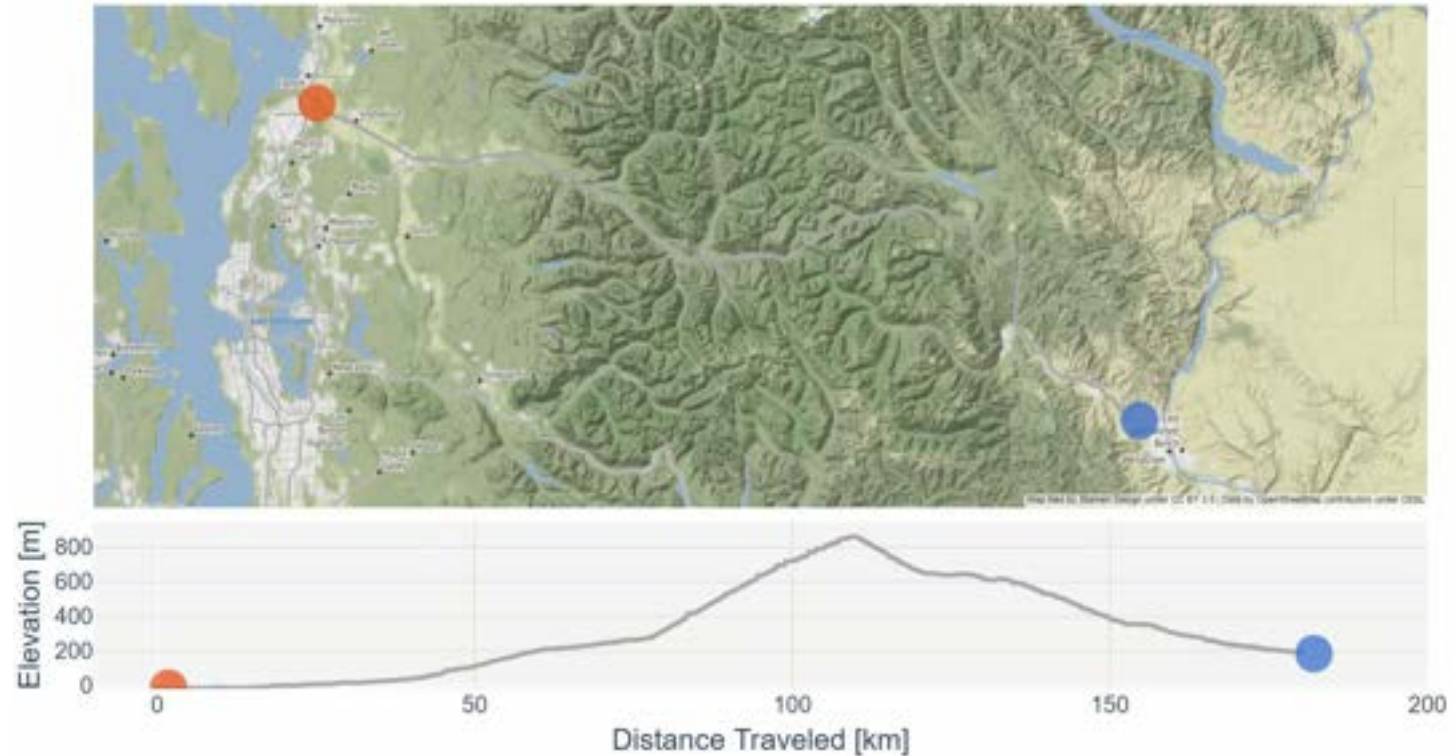
- Planned 88 trains from origin/destination demand and simulated energy use for Wenatchee-Seattle
- The 88, 4-hour long train trips span 7 days, plus 14 days for warm start and cool-down
- This simulation runs end-to-end in 23 seconds on a laptop ("wall clock" computer time)

**Simulated route map :**

Each marker is a hybrid consist train simulation, marker size indicates SOC, opacity shows time



## Example Application: Multiple Train Simulation Manager



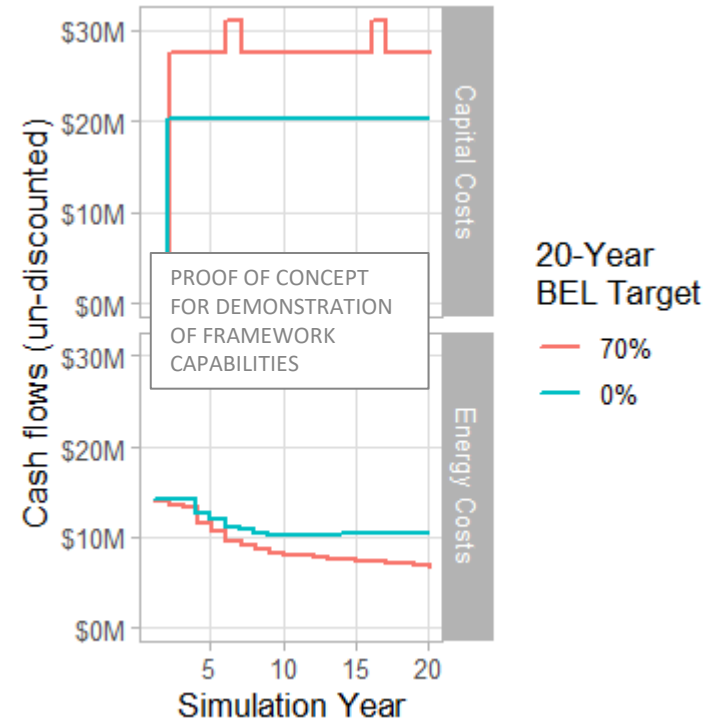
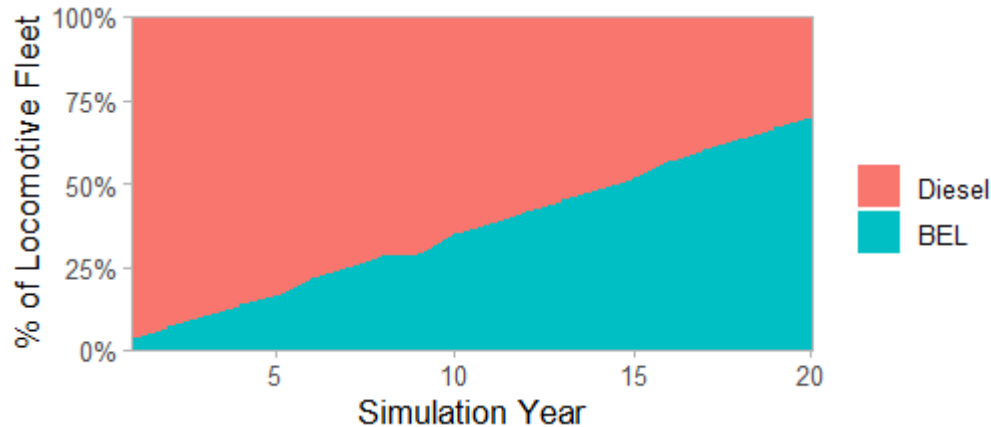
**Each marker is a hybrid consist train simulation, marker size indicates SOC**

*Wenatchee-Seattle, Hybrid Consist, 88 trains, 7 days*

# Example Application: Multi-Year, Multi-Train Roll-Out

## Estimates Electrification Costs

- Set of 20-year prescribed rollouts using 2.4 MWh BELs to meet electrification targets (total fleet size approx. 120 locomotives)
  - Initial proof of concept uses static, present-day cost assumptions for Li-ion batteries (NREL ATB), diesel (EIA), and electricity (EIA)
  - Freight demands and locomotive pool sizes are assumed and may not represent actual operations within that subdivision
  - 20-year locomotive life-span assumed (5% annual turnover)

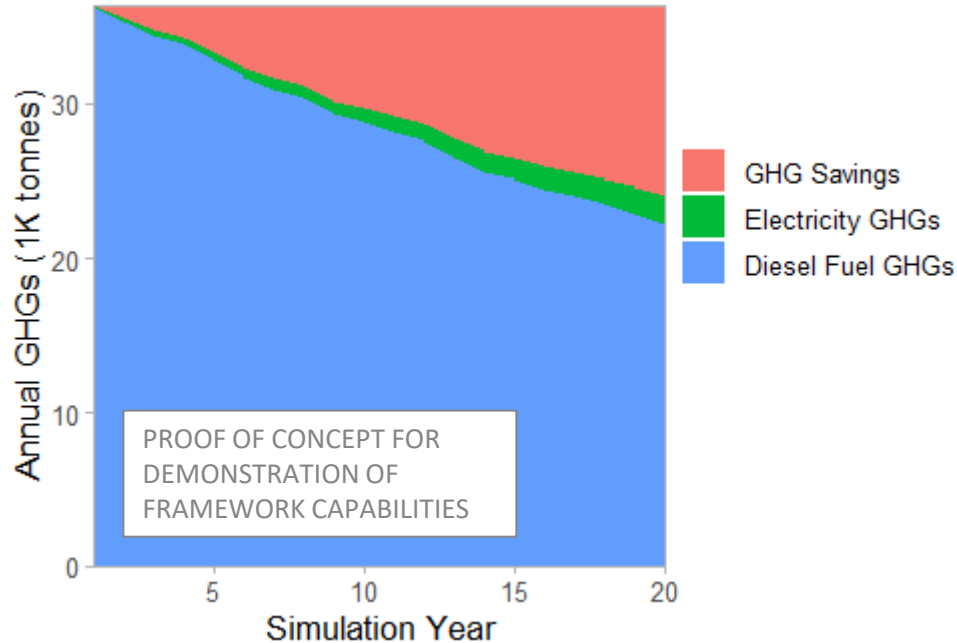




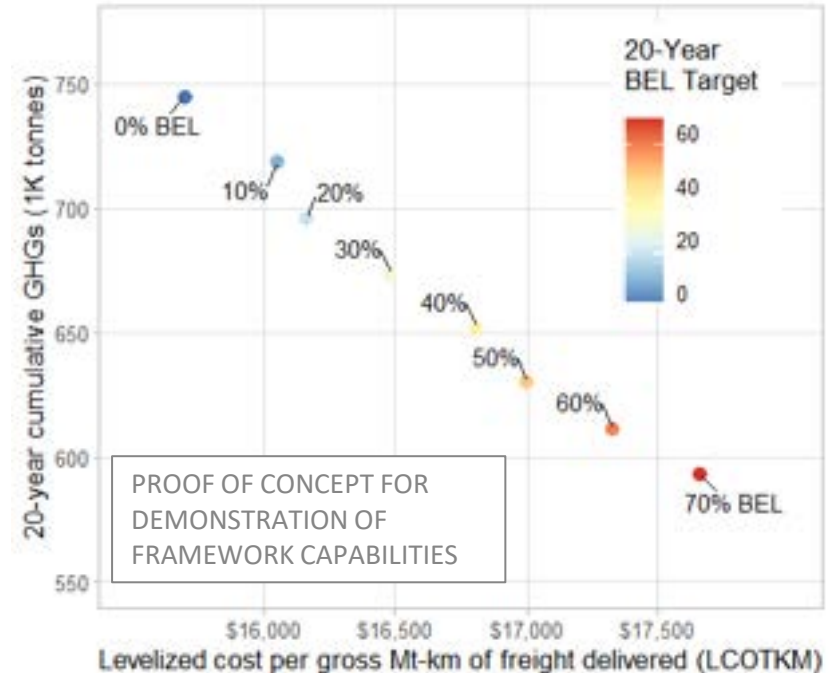
# Example Application: Multi-Year, Multi-Train Roll-Out

## Estimates Cumulative GHG Savings

A 70% 2.4 MWh BEL fleet reduces annual GHGs by 12,000 tonnes



Cumulatively, a 20-year target of 70% BELs increases levelized costs 12% and reduces GHGs 22%



# ALTRIOS-Lite Web Application

- Easy to use web-based application for running ALTRIOS simulations
- Includes features:
  - Single train simulation
  - A/B train simulation comparison
  - Simulate BEL fleet rollout
- Developed to be modular and expandable
- Meets Federal accessibility requirements defined by Section 508 (29 U.S.C. 794d) to ensure disabled employees and members of public access to information comparable to others.



# Next Steps

- Complete Graphic User Interface (GUI) and release publicly
- Complete case study assumption definitions and conduct trade-off analysis of BELs.
- Publication of example analysis study using ALTRIOS
- Open source by June 2023



## ALTRIOS

For the latest ALTRIOS news please see our website:  
<https://www.nrel.gov/transportation/altrios.html>

*Please email me if you  
would like to learn  
more about ALTRIOS*

# Thank You

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