[Docket Wos. 8050, 5063, 5086, 5094, 6161, the regulations. Although some of these 6268; Amdt. 1-7]

PART 1—DEFINITIONS AND ABBREVIATIONS

PART 40—SCHEDULED INTERSTATE
AIR CARRIER CERTIFICATION AND
OPERATION RULES

PART 41—CERTIFICATION AND OP-ERATION RULES FOR CERTIFICATED ROUTE AIR CARRIERS ENGAGING IN OVERSEAS AND FOREIGN AIR TRANSPORTATION AND AIR TRANSPORTATION WITHIN HA-WAII AND ALASKA

PART 42—AIRCRAFT CERTIFICATION AND OPERATION RULES FOR SUP-PLEMENTAL AIR CARRIERS, COM-MERCIAL OPERATORS USING LARGE AIRCRAFT, AND CERTIFI-CATED ROUTE AIR CARRIERS EN-GAGING IN CHARTER FLIGHTS OR OTHER SPECIAL SERVICES

PART 121—CERTIFICATION AND OP-ERATIONS: DOMESTIC, FLAG, AND SUPPLEMENTAL AIR CARRIERS AND COMMERCIAL OPERATORS OF LARGE AIRCRAFT [NEW]

This amendment adds Part 12] to the Federal Aviation Regulations to replace Civil Air Regulations Parts 40, 41, and 42 and certain Special Civil Air Regulations. This amendment completes the Agency recodification program announced in Draft Release 61-25, published in the Federal Register on November 15, 1961 (26 F.R. 10698).

Part 121 was published as a notice of proposed rule making in the FEDERAL REGISTER On August 26, 1964 (29 F.R. 12182) and given further circulation as Notice No. 64-40. As stated in that notice, this Part 121 is basically a consolidation of the rules presently contained in CAR Parts 40, 41, and 42 and is in most cases identical with the proposed recodification of those Parts as issued in separate notices of proposed rule making (proposed Part 121 (CAR 40) published in the FEDERAL REGISTER on May 9, 1964 (29 F.R. 620); proposed Part 123 (CAR 41) published in the Feb-ERAL REGISTER On January 25, 1964 (29 P.R. 1848); and proposed Part 125 (CAR 42) published in the FEDERAL REGISTER on May 8, 1964 (29 P.R. 6112)),

Comments were received from: the Civil Aeronautics Board; Seaboard World Airlines, Inc.; the Air Line Pilots Association, International; the National Air Carriers Association, Inc.; the Helicopter Association of America; the Air Transport Association of America; and Aerospace Industries Association of America. Inc.

Some of the comments received recommended specific substantive changes to

the regulations. Although some of these recommendations appear to be meritorious, they cannot be adopted as a part of the recodification program. The purpose of the program is simply to streamline and clarify present regulatory language and delete obsolete or redundant provisions. To attempt substantive changes, other than relaxatory ones that are completely noncontroversial, would delay the project and be contrary to the ground rules specified for it in Draft Release 51–25. However, all substantive comments received will be retained and will be given careful consideration in future regulatory projects.

Throughout Part 121 there are references to other recodified Federal Aviation Regulations such as the reference in § 121.181(c) (1) to airplanes "certificated under Part 28". In a recodification program, such a reference automatically includes the predecessors to the new parts and therefore it is unnecessary to include a reference to former part numbers. This policy has been followed throughout the recodified regulations. As a consequence, references to Federal Aviation Regulations include their CAR predecessors unless otherwise specifically stated.

Section 121.11 has been rewritten to delete the reference to Part 91 of the Federal Aviation Regulations and also the requirement that certain certificate holders must comply with the ICAO rules when over the high seas. These provisions are deleted since they simply repeat requirements already contained in Part 91 which is applicable to all aircraft, including air carrier aircraft.

One comment stated that the wording of § 121.119(b) would require supplemental air carriers and commercial operators to "prepare forecasts", which is not the intent of CAR § 42.35. Sections 121.101 and 121.119 have been rewritten to make it clear than an air carrier or commercial operator may use forecasts prepared by someone else provided they are prepared from weather reports that meet the stated requirements.

Section 121.189 has been completely rewritten to avoid any implication that the "net takeoff flight path" includes the minimum distance required for takeoff". Also a new paragraph has been added stating that for the purposes of the section, the terms "takeoff distance; takeoff run, net takeoff flight path, and takeoff path" have the meanings set forth in the rules under which the airplane was certificated. Most of these terms were defined differently in SRs 422, 422A, and 422B and the inclusion of this statement preserves the different definitions for the purposes of this section while avoiding repeating each definition within the section.

Section 121.185(a) (1): Subparagraph (1) of § 121.185(a) has been rewritten to read "The airplane is landed on the most favorable runway and direction in still air". This is the language presently contained in § 40.77 and is also consistent with \$ 121.195(b) (1) that is based. on the SR 422 series.

Section 121,351 has been rewritten to include a paragraph (b) that reflects paragraph (b) of CAR \$5 41.233 and 42.-While the revision note to this section in the notice indicated that it reflected all of \$\$ 40.233, 41.233, and 42.233, these provisions were inadvertently omitted in the notice of proposed rule making.

Section 121,369(b) (2) has been amended to delete the words "preventive maintenance". This paragraph requires the maintenance manual to include a designation of the items of maintenance and alteration that must undergo "required inspections". Since no "preventive maintenance" item is required to undergo a "required inspection." term may be deleted here.

Section 121.377 has been amended to limit its applicability to "within the United States, its territories, and possessions". This section is now consistent with the regulations upon which it is

hased

Section 121.441(b) (24) has been amended to reflect a part of CAM 40.302-1(q). Subparagraph (24) is 40.302-1(q). Subparagraph (24) is based on CAR \$\frac{1}{2}40.302(b) (2) (ii), 41.302(b) (2) (ii), and 42.302(b) (2) (ii). It provides that certain flight maneuvers required for the proficiency check may be given in a synthetic trainer but that maneuvers associated with approach procedures for which the lowest minimums are approved must be given in flight. The CAM provision contained a further exception for an air carrier authorized landing minimums based on instrument landing systems and ground control approach. For such a carrier only the maneuvers related to the predominant landing aid on a system wide basis need be given in flight. CAR Parts 41 and 42 before their recent revision contained comparable CAM provisions that were dropped in the revised parts. The Agency believes that this relaxation has worked well in the past and since the deletion of this provision was not based on any Agency finding that safety was involved, that it should be restored.

Section 121.523 reflects the amendment to § 42.322(c) (42.14) published in the Feneral Register on December 11.

1964 (29 F.R. 16968).

Section 121.533 (based on § 40.351) has been amended to include a paragraph stating the authority of the pliot in command over other crewmembers during flight time. This paragraph is comparable to the provisions of \$ 121.535(d) and 121.537(d) which are based on \$5 41.531 and 42.531, respectively. While \$ 40.531 did not contain this statement as a rule, it was included in the regulation in a note as an interpretation of 440.531(c).

A new \$ 121.537 has been added to include the provisions of \$\$ 40.373, 41.373, and 42.373 related to the closing and locking of the flight crew compartment door during flight. These sections were added to CAR Parts 40, 41, and 42 by

amendments 40-45, 41-10, and 42-9, respectively, effective August 6, 1964.

One comment requested that \$ 121.555 be amended to reflect the deviation authority contained in the .359 sections of CARs 40, 41, and 42. Each of these sections began with the clause "Except when a deviation is necessary in accordance with \$ 40. (41 or 42) 360, a pilot * * ** The 360 sections contained the emergency situation provisions reflected in \$ 121.557. Since the emergency provisions authorizing a pilot to deviate from the requirements of the FARs "to the extent required in the interests of safety" apply across the board, it is not necessary to specifically state that deviation authority in \$ 121.555. In fact, to specifically state the authority in any individual section would raise a question as to the applicability of \$ 121.557 to other sections where no such statement is contained.

Section 121.647 has been rewritten to state that each person computing fuel requirements shall "consider" certain listed items. "Consider" more closely reflects the requirements of CAR \$\frac{1}{2}\$ 40.397, 41.397, and 42.397 than did the words "take into account" which were contained in the notice of proposed rule making.

The term "restricted area" used in \$ 121.649 has been replaced by the phrase area of local surface visibility restriction". This change was made to avoid any confusion with a "restricted area" as defined in Part I and used in Part 73.

A new Subpart W has been added to include the provisions of § 406.19 of the Regulations of the Administrator. That section established crewmember certificates to be issued to crewmembers of United States registered aircraft engaged in international air commerce. The certificates are issued under Annex 9, as amended, to the Convention on Inter-national Civil Aviation, to facilitate the entry and clearance of the crewmembers into ICAO contracting States.

Part 121 includes the miscellaneous amendments to CAR Parts 40, 41, and 42 proposed in Notice No. 64-32 published in the Federal Register on May 28, 1964 (29 F.R. 7026). As indicated in that notice, the proposed changes were of a minor substantive nature and would remove unjustified differences in CAR Parts 40, 41, and 42 that would facilitate the recodification of those Parts. With the exception of three items discussed below, the comments received by the Agency either supported the proposed changes or offered no objection thereto. Section 40.15 (§ 121.73): The amend-

ment to this section requires a domestic air carrier to keep its operations specifications available for inspection at its principal operations office. Section 40.15 currently requires only that the carrier's operating certificate be kept so available. However, the comparable provisions of Parts 41 and 42 include the operations specifications. The Air Transport Association of America (ATA) objected to this proposed amendment on the ground that it would place an unwarranted burden on those air carriers who have separate operations and maintenance bases

and who presently keep the appropriate portion of the operations specifications at the appropriate base. The Agency does not agree that this amendment places any additional burden on a carrier. CAR \$40.20 currently requires that "a set of specifications shall be maintained by the air carrier as a sepa-rate and complete document." Therefore this amendment would merely require that the separate and complete document required by \$ 40.20 be kept at the carrier's principal operations office. If the carrier wishes to separate the operations specifications between the maintenance and operations bases, copies of the pertinent portions can easily be furnished by it to each base.

Section 41.302 (\$ 121.441): The ATA objected to that part of the proposed amendment to this section that would prohibit a pilot who has unsatisfactorily performed a proficiency check from being used in air carrier operations until he has satisfactorily passed such a check. The ATA contends that this requirement would be burdensome in the case of incomplete checks due to traffic, weather, etc. in which there is insufficient time for additional training and rechecking of the pilot. The Agency realizes that there conceivably could be instances where due to traffic control, weather, or some similar problem, a check could be interrupted and this rule could cause some hardship. However, the Agency feels strongly that a pilot who has failed some part of his proficiency check should not be allowed to return to scheduled flight until he has satisfactorily completed that check. The hardship that could be caused by one of the possibilities discussed above does not. in our opinion, overcome the potential safety hazard that could result if this proposed amendment were withdrawn.

Section 42.396 (§ 121.643): While ATA had no objection to the Agency's proposed amendment to \$ 42.396, it requested that a relaxatory change be made in this section pertaining to certain charter or off-route flights into Canada. However, since some of these flights are into remote areas of Canada, it is considered that the present fuel require-ments should continue to apply to these operations.

Paragraph (c) of § 121.701 has been deleted as proposed in Notice No. 64-48 published in the FEDERAL REGISTER on October 17, 1964 (29 F.R. 14367). Several of the comments received from certain pilots, flight engineers, and aircraft mechanics organizations stated that the posting of the time since last overhaul of the engines in the maintenance log was important to the flight crew. These comments are essentially the same as the comments received from these organisations in connection with Notice No. 63-20 that were discussed in Notice No. 64-48. The Agency had considered these comments before this notice was issued and still believes that this requirement is not necessary and that if a flight crew desires this information it may be obtained from other records.

This amendment adds to Part 1 definitions of "air carrier", "commercial op-erator", and "show". If additional definitions prove to be necessary they will be added as needed. It should be noted that all of the definitions, abbreviations, and rules of construction contained in Part 1 apply to Part 121.

This amendment deletes CAR Parts 40, 41, and 42 and Special Civil Air Regulations 422, 422A, 422B, 425C, and 446B,

Other minor changes of a technical nature have been made. They are not substantive and do not impose any burden on regulated persons.

Interested persons have been afforded an opportunity to participate in the making of this regulation, and due consideration has been given to all relevant matter presented. As previously stated this amendment is the final part of the Agency's recodification project begun in 1961, The Agency wishes to thank those persons who submitted comments on this notice and on all other parts of the recodification program. The completion of this program would have been impossible without the constant cooperation of the many aviation associations and individuals interested in aviation who have submitted their comments throughout the program.

In consideration of the foregoing, Chapter I of Title 14 of the Code of Federal Regulations is amended as hereinafter set forth effective April 1, 1965.

1. By amending Part 1 by adding the definitions to \$ 1.1 as follows:

1.1 General definitions.

"Air carrier" means a person who undertakes directly by lease, or other arrangement, to engage in air transportation.

"Commercial operator" means a person who engages in the carriage by aircraft in air commerce of persons or property as a major enterprise for profit, and not merely incidental to his other business, other than as an air carrier or foreign air carrier or under the authority of Part 375 of this title.

"Show", unless the context otherwise requires, means to show to the satisfaction of the Administrator.

2. By striking out Parts 40, 41, and 42 and Special Civil Air Regulations 422, 422A, 422B, 426C, and 446B. 8. By adding a Part 121 (New) read-

ing as hereinafter set forth:

This amendment is made under the authority of secs. 313(a), 501, 601 through 610, and 1102 of the Federal Aviation Act of 1958 (49 U.S.C. 1854(a). 1421 through 1430, and 1502).

Issued in Washington, D.C., on December 23, 1964.

N. E. HALABY, Administrator.

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121,591 121,598	Applicability. Dispatching authority: domestic air carriers.	121.667	Flight plan: VFR and IFR: supplemental air carriers and commercial operators.	an air carrier or commercial operator in operations under this part, including the
121.595	Dispatching authority: flag air car-		Subpart V—Records and Reports	maintenance, preventive maintenance and alteration of sircraft; and
±121.597	Flight release authority: supple-	121.681	Applicability.	(2) Each person who is on board an
121,599	mental air carriers and commer- cial operators. Familiarity with weather conditions.	121,688 121.685	Crewmember and dispatcher record. Aircraft record; fiag and domestic air carriers.	aircraft being operated under this part. (d) For the purpose of determining
	Aircraft dispatcher information to pilot in command: domestic and	121.687	Dispatch release: flag and domestic air carriers.	whether a person is a commercial oper- ator under this part, operations are con-
121,608	flag air carriers. Facilities and services: supplemental air carriers and commercial	121.689		sidered to be for compensation or hire when they are a major enterprise for profit and not merely incidental to the
121.605	operators.	121.691	Load manifest: domestic and flag	person's other business.
121.607	Communication and navigation fa- cilities: domestic and flag air		air carriers. Load manifest; supplemental air carriers and commercial operators.	(e) For the purpose of this part, "passenger-carrying aircraft" or "passenger-carrying operation" means one carrying
121.609	carriers. Communication and navigation facilities: supplemental air carriers	121.695	Disposition of load manifest, dis- patch release, and flight plans: domestic and flag air carriers.	any person other than a flight crew- member or other crewmember, company
121.611	and commercial operators. Dispatch or flight release under VFR.	121.697		employee, authorized government repre- sentative, or person accompanying a
121.618	Dispatch or flight release under IFR	101 400	cial operators.	shipment. § 121.3 Certification requirements: gen-
121,615	or over-the-top. Dispatch or flight release over water: flag and supplemental air	121.609 121.701 121.708	Maintenance records. Maintenance log: aircraft. Mechanical reliability reports.	eral. (a) Except as provided in paragraph
121.617	carriers and commercial operators. Alternate airport for departure.	121.706	Mechanical interruption summary report.	(b) of this section, no person may en-
	Alternate airport for destination: IFR or over-the-top: domestic air	121.707 121.709	Alteration and repair reports. Airworthiness release or aircraft log	gage in scheduled interstate air transpor- tation within the 48 contiguous States
121.621	carriers. Alternate airport for destination: flag air carriers.	121.711	entry. Communication records: domestic and flag air carriers.	or the District of Columbia without, or in violation of, a domestic air carrier operating certificate and appropriate

operations specifications issued under this part. An air carrier holding such a certificate is hereafter in this part referred to as a "domestic air carrier".

(b) The Administrator may authorize any air carrier holding authority to engage in scheduled cargo operations under Title IV of the Federal Aviation Act to conduct those operations under the certification and operation rules applicable to carriers covered by paragraph (e) of this section.

(c) Except as provided in paragraph (d) of this section, no person may engage in scheduled air transportation, other than that described in paragraph (a), without, or in violation of, a flag air carrier operating certificate and appropriate operations specifications issued under this part. An air carrier holding such a certificate is hereafter in this part referred to as a "flag air carrier".

(d) A domestic air carrier may, in the case of segments of routes extending outside the 48 contiguous States and the District of Columbia, be authorized to conduct operations over those route segments under the domestic air carrier certification and operation rules.

(e) No person may engage in air transportation (other than that described in paragraph (a) or (c) of this section) without, or in violation of, a supple-mental air carrier certificate and appropriate operations specifications issued under this part. An air carrier holding a supplemental air carrier certificate is hereafter in this part referred to as a 'supplemental air carrier".

(f) No person (except a person covered by paragraph (a), (b), (c), (d), or (e) of this section) may engage in the carriage of persons or property for compensation or hire in air commerce without, or in violation of a commercial operator certificate and appropriate operations specifications issued under this part.

(g) A domestic or flag air carrier or an air carrier holding a certificate under Part 127 is not eligible for or required to obtain a separate certificate for operations under paragraph (e) or (f) of this section, but must obtain authority to conduct those operations by appropriate amendments to its operations specifica-

§ 121.5 Charter flights or other special service operation: flag and domestic air carriers.

Each flag or domestic air carrier, or air carrier holding an operating certificate under Part 127 shall conduct the following operations under the rules of this part applicable to supplemental air carriers and commercial operators:

- (a) Any charter flight or other special service conducted over routes into airports listed in its operations specifications, unless the air carrier obtains authority from the Administrator to conduct those operations under the rules that would otherwise apply to that air carrier's operations.
- (b) Any charter flight or other special service that involves, in whole or in part, off-route operations.

§ 121.7 Intrastate common carriage by commercial operator.

An applicant for a commercial operator certificate, or a commercial operator, who carries or intends to carry passengers for compensation or hire as a common carrier between two points entirely within any State with the frequency set forth in paragraph (a) or (b) of this section shall show that it is able to, and will conduct, those operations under the rules applicable to domestic air carriers or any other rules that the Administrator finds to be necessary to provide an appropriate level of safety for the operation:

(a) Two flights, or one round trip a week on the same day or days of the week for eight or more weeks in any 90 consecutive days.

(b) A total of 36 or more flights or 18 or more round trips in any 90 consecutive days.

§ 121.9 Certain operations of small sircraft.

Upon application, the Administrator may issue operations specifications to an air carrier conducting operations under this part, authorizing it to conduct operations with small aircraft under Part 135 if he finds that safety in air transportation and the public interest allows it. Operations specifications issued under this section contain such operating limitations and requirements as the Administrator finds necessary.

§ 121.11 Additional rules applicable to operations subject to this part: flag air carriers, supplemental air carriers, and commercial operators.

Each flag air carrier, supplemental air carrier, and commercial operator shall while operating an airplane within a foreign country, comply with the air traffic rules of the country concerned and local airport rules, except where any rule of this part is more restrictive and may be followed without violating the rules of that country.

§ 121.13 Rules applicable to helicopter operations: deviation authority.

(a) Each person operating a helicopter under this part shall comply with §§ 121.5, 121.9, 121.11, Subpart C (except holders of certificates under Part 127), Subpart F (except holders of certificates under Part 127) , Subpart G, 121.153, 121.-155, 121.157(e), 121.163, 121.315, Subpart L, 121.383, 121.385, 121.433, 121.435, 121.-437, 121.501, 121.533 through 121.563, 121.567, 121.575, 121.597, 121.599, 121.603, 121.609, 121.611 through 121.617, 121.623 through 121.631, 121.647, 121.653, 121.655, 121.657, 121.659, 121.665, 121.667, and Subpart V.

(b) In addition to the rules of this part listed in paragraph (a) of this section, each person operating a helicopter shall comply with \$\$ 127.81, 127.83, 127.91, 127.93, 127.101 through 127.117, 127.119, 127.121, 127.123, 127.125, 127.145, 127.151 through 127.161, 127.171 through 127.177, 127.231 through 127.261, and 127.301 through 127.319.

(c) The Administrator may issue operations specifications authorizing a deviation from any specific requirement for

helicopter operations if he finds that the deviation provides a substantially equivalent standard of safety.

Subpart B—Certification Rules for **Domestic and Flag Air Carriers**

§ 121.21 Applicability.

This subpart prescribes certification rules for domestic air carriers and flag air carriers.

§ 121.23 Operations specifications not a part of certificate.

Except for those operations specifications specifying airport and route or route segment authorizations, air carrier operations specifications are not a part of an air carrier's operating certificate.

§ 121.25 Contents of certificate and operations specifications.

- (a) Each domestic and flag air carrier operating certificate contains the following:
- (1) The air carrier's name.(2) The airports to and from which it may operate.
- (8) The approved routes over which it may operate.

These airports and routes are incorporated into the air carrier operating certificate by reference to the authorized airports and approved routes listed in that air carrier's operations specifications.

- (b) Each air carrier's operations specifications contain the following:
- (1) The kinds of operations authorizeđ.
- (2) The types of airplanes authorized for use.
- (3) En route authorizations and limitations.
 - (4) Airport authorizations.
 - (5) Airport limitations.
- (6) Time limitations, or standards for determining time limitations, for overhauls, inspections, and checks of airframes, engines, propellers, and appliances.
- (7) Procedures for control of weight and balance of airplanes.
- (8) Interline equipment interchange requirements, if relevant.
- (9) Any other item that the Administrator determines is necessary to cover a particular situation.

§ 121.27 Issue of certificate.

- (a) An applicant under this subpart is entitled to an operating certificate if-
- (1) He holds a certificate of public convenience and necessity or other appropriate economic authority issued by the Civil Aeronautics Board; and
- (2) The Administrator, after investigation, finds that the applicant is properly and adequately equipped and able to conduct a safe operation in accordance with this part and operations specifications issued under this part.
- (b) In the case of operations conducted under the rules of this Part applicable to domestic air carriers in small airplanes, or conducted under a temporary authorization issued by the Civil Aeronauties Board, the Administrator issues operations specifications

prescribing appropriate requirements that deviate from the requirements of this part whenever, after investigation, he finds that general standards of safety for such an operation require or allow a deviation from such a requirement for a particular operation or class of operations for which an application for an air carrier operating certificate has been made.

(c) Whenever, after investigation, the Administrator determines that the general standards of safety for flag air carrier operations conducted—

(1) Between points in Alaska; or

(2) Under a temporary authorization issued by the Civil Aeronautics Board; require or allow a deviation from any requirement of this part for a particular operation or class of operations for which an application for an air carrier operating certificate has been made, he issues operations specifications prescribing appropriate requirements that deviate from the requirements of this Part.

§ 121.29 Duration of certificate.

(a) An air carrier operating certificate issued under this subpart is effective until termination of the certificate of public convenience and necessity or other economic authority issued by the Civil Aeronautics Board to the air carrier or until it is surrendered or the Administrator suspends, revokes, or otherwise terminates it.

(b) If the Administrator suspends or revokes such an air carrier operating certificate, the holder of that certificate shall return it to the administrator.

Subpart C—Certification Rules for Supplemental Air Carriers and Commercial Operators

§ 121.41 Applicability.

This subpart prescribes certification rules for supplemental air carriers and commercial operators.

§ 121.43 Operations specifications not a part of certificate.

Operations specifications are not a part of a supplemental air carrier or commercial operator operating certificate.

§ 121.45 Contents of certificate and operations specifications.

(a) Each certificate issued under this subpart contains the following:

(1) The holder's name.

- (2) A description of the operations authorized.
- (3) The date it is issued and the date it terminates.
- (b) The operations specifications issued under this subpart contain the following:
- (1) The kinds of operations authorized.
- (2) The types and registration numbers of aircraft authorized for use.
- (3) En route authorizations and limitations, including areas of operation.
 - (4) Special airport authorizations.
 (5) Special airport limitations.
- (6) Time limitations, or standards for determining time limitations, for overhauls, inspections, and checks of air-

frames, aircraft engines, propellers, and appliances.

(7) Procedures for control of weight and balance of aircraft.

- (8) Any other item that the Administrator determines is necessary to cover a particular situation.
- § 121.47 Application for supplemental air carrier and commercial operator certificates.
- (a) Each applicant for the original issue or renewal of a supplemental air carrier or commercial operator certificate must submit his application, in a form and manner prescribed by the Administrator, to the FAA Air Carrier District Office in whose area the applicant proposes to establish or has established its principal operations base, at least 60 days before the date of intended operations, or in the case of a renewal application, at least 60 days before the expiration date of the certificate.

(b) Each application submitted under paragraph (a) of this section must contain a signed statement showing the fol-

lowing:

(1) For corporate applicants:

(i) The name and address of each stockholder who owns five percent or more of the total voting stock of the corporation, and if that stockholder is not the sole beneficial owner of the stock, the name and address of each beneficial owner. An individual is considered to own the stock owned, directly or indirectly, by or for his spouse, his children, his grandchildren, or his parents.

(ii) The name and address of each director and each officer, and each person employed or who will be employed in a management position described in

121.59.

(iii) The name and address of each person directly or indirectly controlling or controlled by the applicant, and each person under direct or indirect control with the applicant.

(2) For non-corporate applicants:

(1) The name and address of each person having a financial interest therein and the nature and extent of that interest.

(ii) The name and address of each person employed or who will be employed in a management position described in

121.59.

(c) In addition, each applicant for the original issue or renewal of a commercial operator certificate must submit with the application a signed statement showing.

(1) The financial information listed in

\$ 121.49; and

(2) The nature and scope of its intended operation, including the name and address of each person, if any, with whom the applicant has a contract to provide services as a commercial operator and the scope, nature, date, and duration of each of those contracts.

(d) Each applicant for, or holder of, a certificate issued under this subpart, shall notify the Administrator within 10

days after-

(1) A change in any of the persons, or the names and addresses of any of the persons, submitted to the Administrator under paragraph (b) (1) or (2) of this section; or (2) A change in the financial information submitted to the Administrator under § 121.49 that occurs while the application for the issue or renewal is pending before the FAA and that would make the applicant's financial situation substantially less favorable than originally reported.

§ 121.49 Commercial operator: financial information required.

(a) Each applicant for the original issue or renewal of a commercial operator certificate must submit the following financial information:

(1) A balance sheet that shows assets, liabilities, and net worth, as of a date not more than 60 days before the date of

application.

- (2) In the case of an application for renewal, a profit and loss statement for a fiscal year ending on a date not more than 60 days before the date of the application, with separation of items relating to applicant's commercial operator activitles from his other business activities. The applicant shall submit a listing and brief description of the nature and scope of the commercial operator contracts that gave rise to the operating income shown on the profit and loss statement, including the names of the contracting parties and the date and duration of each contract. However, if the applicant's regular fiscal year for income tax purposes ends on a date more than 60 days before the date of application, the applicant may submit a profit and loss statement covering its normal fiscal year, plus a supplementary profit and loss statement for the period from the end of the regular fiscal year to a date not more than 60 days before the date of application.
- (3) An itemization of over-due liabilities showing amounts, names and addresses of creditors, description of indebtedness, and due date of obligations.
- (4) An itemization of claims in litigation against the applicant showing the amounts claimed, the name and address of each claimant, and a description of each claim.

(5) A detailed analysis covering the first three months of the proposed operation after the possible issue or renewal of the certificate applied for that shows—

- (i) Estimated amount and source of both operating and non-operating revenue, including identification of its existing and anticipated income producing contracts and estimated revenue per mile or hour of operation by aircraft type;
- (ii) Estimated amount of operating and non-operating expenses by expense objective classification; and

(iii) Estimated profit or loss

(6) An estimate of the cash that will be needed during the first three months of the proposed operation after the possible issue or renewal date of the certificate applied for to cover—

(i) Acquisition of property and equip-

ment;
(ii) Retirement of debt:

(iii) Additional working capital:

(iv) Operations (losses) : and

(v) Other (explain).

(7) An estimate of the cash that will be available from the following sources during the first three months of the proposed operation after the possible issue or renewal of the certificate applied for that shows

(i) Bale of property or flight equipment;

(ii) New debt;

(iii) New equity;

(iv) Working capital reduction;

(v) Operations (profits);

(vi) Depreciation and amortization; and

(vii) Other (explain).

(8) Any other financial information the Administrator requires to enable him to determine that the applicant has sufficient financial resources to conduct its operations with the degree of safety required in the public interest.

(b) Each financial statement filed with the FAA under this part must be based on accounts prepared and maintained on an accrual basis in accordance with generally accepted accounting principles applied on a consistent basis, and must contain the name and address of the applicant's public accounting firm, if any.

§ 121.51 Issue of certificate.

(a) An applicant for a certificate under this suppart is entitled to the certificate if he is a citizen of the United States and the Administrator, after investigation (including any necessary verification of financial and other information submitted) finds that the applicant-

(1) Holds the economic authority required by the Civil Aeronautics Board,

if any;

(2) Is not disqualified under paragraph (b) of this section; and

(3) Is properly and adequately equipped and able to conduct a safe operation in accordance with the requirements of this part and the operations specifications provided for in this part.

(b) The Administrator may deny an application for a certificate under this

subpart if he finds-

(1) That an air carrier or commercial operator certificate previously issued to

the applicant was revoked;

- (2) That a person who was employed in a management position similar to any listed under \$ 121.59 with (or has exercised control with respect to) any air carrier or commercial operator whose operating certificate has been revoked, will be employed in any of those positions or a similar position (or will be in control of or have a substantial ownership interest in the applicant), and that the person's employment or control contributed materially to the reasons for revoking that certificate; or
- (3) In the case of an applicant for a commercial operator certificate, that for financial reasons the applicant is not able to conduct a safe operation.

§ 121.53 Duration of certificate.

- (a) A certificate issued under this subpart is effective for one year unless the Administrator sooner suspends, revokes, or otherwise terminates it, or in the case of a supplemental air carrier, upon termination of the economic authority required by the Civil Aeronautics Board, if
- (b) The Administrator may suspend or revoke a certificate under section 609

of the Federal Aviation Act of 1958 and the applicable procedures of Part 13 for any cause that, at the time of suspension or revocation, would have been grounds for denying an application for a certificate.

(c) If the Administrator suspends or revokes a certificate or it is otherwise terminated, the holder of that certificate shall return it to the Administrator.

§ 121.55 Commercial operator: supplemental periodic financial report.

(a) Each holder of a commercial operator certificate shall, within 45 days after his original or renewed certificate has been in effect for four months, submit a signed financial statement to the FAA that shows profit and loss for-

(1) The four-month period after the date the certificate was issued or re-

newed, as the case may be: and

(2) Any period immediately preceding the date of certificate issue or renewal not covered by the preceding financial statement filed under § 121.47(c) (1).

(b) Each holder shall submit a listing and brief description of the nature and scope of the contracts that gave rise to the operating income shown on the profit and loss statement, including the names of the contracting parties, and the date and duration of each contract. In addition, it shall submit all other information required for original issue of a certificate under § 121,47(c)(1).

§ 121.57 Obtaining waivers and authority for deviations.

(a) The Administrator may, upon application by the supplemental air carrier or commercial operator, authorize deviations from the applicable requirements of this part, by an appropriate amendment to the operations specifications, for military contract or for emergency operations. The Administrator may, at any time, terminate any grant of deviation authority or waiver issued under this section. Each supplemental air carrier and commercial operator authorized deviations under this section shall comply with the terms of the authorization when conducting operations affected thereby.

(b) If, in the case of military contracts, the Department of Defense certifles to the Administrator that an operation is essential to the national defense and requires a requested deviation, and the Administrator finds that the deviation is not based on an economic advantage or convenience to the air carrier or commercial operator or the United States, the Administrator may authorize deviations for-

(1) Operations conducted under a contract with an armed force as the primary contractor; or

(2) Operations' conducted for an armed force under a subcontract with a

primary contractor.

(c) In emergency conditions the Administrator may authorize deviations for operations if those conditions necessitate the transportation of persons or supplies for the protection of life or property, and he finds that a deviation is necessary for the expeditious conduct of the operation.

(d) The Administrator may, by an appropriate amendment to the operations

specifications, waive, in whole or in part. submission of the financial information required from a commercial operator in a renewal application or supplemental periodic financial report if-

(1) Application for the waiver is filed at least 30 days before the information

is due; and

(2) The Administrator finds that the submission is not required in the public interest, based on information as to the operator's-

(i) Financial standing:

(ii) Management; and

(iii) Kind of operations.

The filing of an application for a waiver under this paragraph does not automatically extend the time for submitting the required information.

§ 121.59 Management personnel required.

(a) Each applicant for a certificate under this subpart must show that it has enough qualified management personnel to provide the highest degree of safety in its operations and that those personnel are employed on a full-time basis in the following or equivalent positions:

(1) General manager.

(2) Director of operations (who may be the general manager if qualified).

(3) Director of maintenance.

(4) Chief pilot.

(5) Chief inspector.

- (b) Upon application by the supplemental air carrier or commercial operator the Administrator may approve different positions or numbers of positions than those listed in paragraph (a) of this section for a particular operation if the air carrier or commercial operator shows that it can perform the operation with the highest degree of safety under the direction of fewer or different categories of management personnel due to-
- The kind of operation involved;
 The number and type of aircraft used: and
- (3) The area of operations.

The title and number of positions so approved are set forth in the operations specifications of the air carrier or commercial operator.

(c) Each supplemental air carrier and commercial operator shall-

(1) Set forth the duties, responsibilities, and authority, of the personnel required by this section, in the general policy section of the air carrier manual or commercial operator manual;

(2) List in the manual the names and addresses of the persons assigned to

those positions; and

(3) Within at least 10 days, notify the FAA Air Carrier District Office charged with the overall inspection of the air carrier or commercial operator, of any change made in the assignment of persons to the listed positions.

§ 121.61 Management personnel: quali-

(a) No person may serve as director of operations unless he knows the contents of the air carrier's or commercial operator's operations manual and operations specifications, and the provisions of this part necessary to the proper performance of his duties and-

(1) Holds, or has held, an airline transport pilot certificate and has had at least three years of experience as pilot in command of a large sircraft; or

(2) Has had at least three years of experience as director of operations, of an operation using large aircraft, or a position of comparable responsibility.

(b) No person may serve as chief pilot

unless he-

(1) Holds a current airline transport pilot certificate with appropriate ratings for the type of aircraft used:

(2) Has had at least three years of experience as a pilot in command of a large aircraft with an air carrier or commercial operator: and

(3) Knows the contents of the air carrier's or commercial operator's manual and operations specifications, and the provisions of this part necessary to the

proper performance of his duties. (c) No person may serve as director

of maintenance unless he-

- (1) Holds a current mechanic certificate with either an airframe or powerplant rating, and has had at least five years of experience in the maintenance of large aircraft, one year of which must have been in a supervisory capacity; and
- (2) Knows the maintenance parts of the air carrier's or commercial operator's manual and operations specifications and the applicable maintenance provisions of this part.

(d) No person may serve as chief in-

spector unless he-

(1) Holds a current mechanic certificate with both airframe and powerplant ratings, and has held these ratings for at least three years;

(2) Has had at least three years of diversified maintenance experience on large aircraft with an air carrier, commercial operator, or certificated repair station, one year of which must have been as a maintenance inspector; and

(3) Knows the maintenance parts of the air carrier's or commercial operator's manual and operations specifications, and the applicable maintenance provisions of this part.

Subpart D—Rules Governing All Certificate Holders Under This Part

121.71 Applicability.

This subpart prescribes rules governing all certificate holders under this Part.

§ 121.73 Availability of certificate and operations specifications.

Each certificate holder shall make its operating certificate and operations specifications available for inspection by the Administrator at its principal operations office.

§ 121.75 Use of operations specifications.

(a) Each certificate holder shall keep each of its employees informed of the provisions of its operations specifications that apply to the employee's duties and responsibilities.

(b) Each certificate holder shall maintain a complete and separate set of its operations specifications. In addition, each certificate holder shall insert pertinent excerpts of its operations specifications, or reference thereto, in its manual in such a manner that they retain their identity as operations specifications.

8 121.77 Amendment of certificate.

(a) The Administrator may amend an operating certificate issued under this Part.

(1) Upon application by the holder, if the Administrator determines that safety in air transportation (or in air commerce, in the case of a commercial operator) and the public interest allows

the amendment; or

(2) Under section 609 of the Federal Aviation Act of 1958 (49 U.S.C. 1429) and Part 13 of this chapter, if the Administrator determines that safety in air transportation (or in air commerce, in the case of a commercial operator) and the public interest requires the amendment.

(b) An applicant for an amendment to an operating certificate must file his application with the FAA Air Carrier District Office charged with the overall inspection of his operations at least 15 days before the proposed effective date of that amendment, unless a shorter filing period is allowed by that office.

(c) At any time within 30 days after refusal of the District Office to approve application for amendment, the holder may petition the Administrator personally to reconsider the refusal.

§ 121.79 Amendment of operations specifications.

(a) The Administrator may amend any operations specifications issued under this Part, except those that are a part of the air carrier operating certificate-

(1) Upon application by the holder, if the Administrator determines that safety in air transportation (or in air commerce, in the case of a commercial operator) and the public interest allows the amendment; or

(2) If the Administrator determines that safety in air transportation (or in air commerce, in the case of a commercial operator) and the public interest

requires the amendment.

(b) In the case of an amendment under paragraph (a) (2) of this section, the Administrator notifies the holder, in writing, of the proposed amendment, fixing a reasonable period (but not less than seven days) within which the holder may submit written information, views, and arguments on the amendment. After considering all relevant material presented, the Administrator notifies the holder of any amendment adopted, or rescinds the notice. The amendment becomes effective not less than 30 days after the holder receives notice of it. unless the holder petitions the Administrator personally to reconsider the amendment, in which case its effective date is stayed pending a decision by the Administrator. If the Administrator finds that there is an emergency requiring immediate action with respect to safety in air transportation, that makes the procedure in this paragraph impracticable or contrary to the public interest, he may issue an amendment, effective without stay, on the date the holder receives notice of it. In such a case, the Administrator incorporates the finding, and a brief statement of the reasons for

it in the notice of the amended operations specifications to be adopted.

(c) An applicant must file his application for an amendment of operations specifications with the FAA District Office charged with the overall inspection of its operations at least 15 days before the date that he proposes for the amendment to become effective, unle a shorter filing period is allowed by that office.

(d) Within 30 days after receiving from the District Office a notice of refusal to approve the application, for amendment, the applicant may petition the Administrator personally to reconsider the refusal to amend.

(e) Airport and route authorizations. may be amended under \$ 121.77.

§ 121.81 Inspection authority.

(a) Each certificate holder shall allow the Administrator, at any time or place, to make any inspections or tests to determine its compliance with the Federal Aviation Act of 1958, the Federal Aviation Regulations, its operating certificate and operations specifications, or its eligibility to continue to hold its certificate.

(b) In the case of a supplemental air carrier or commercial operator, these inspections and tests include inspections and tests of financial books and records, except that the Administrator does not exercise this authority with respect to the financial books and records of a supplemental air carrier if the information sought can be obtained from the Civil Aeronautics Board.

§ 121.83 Change of address.

Each certificate holder shall notify the FAA Air Carrier District Office charged with the overall inspection of its operations, in writing, at least 30 days in advance, of any change in the address of its principal business office, its principal operations base, or its principal maintenance base.

Subpart E—Approval of Reutes: **Domestic and Flag Air Carriers**

§ 121.91 Applicability.

This subpart prescribes rules for obtaining approval of routes by domestic or flag air carriers.

§ 121.93 Route requirements: general.

(a) Each domestic or flag air carrier seeking a route approval must show-

(1) That it is able to conduct satisfactory scheduled operations between each regular, provisional, and refueling airport over that route or route segment;

(2) That the facilities and services required by \$\$ 121.97 through 121.107 are available and adequate for the proposed operation.

The Administrator approves a route outside of controlled airspace if he determines that traffic density is such that an

adequate level of safety can be assured.

(b) Paragraph (a) of this section does not require actual flight over a route or route segment if the air carrier shows that the flight is not essential to safety. considering the availability and ade-quacy of airports, lighting, maintenance, communication, nevigation, fueling,

ground, and airplane radio facilities, and the ability of the personnel to be used in the proposed operation.

\$ 121.95 Route width.

- (a) Approved routes and route segments over U.S. Federal airways or foreign airways (and advisory routes in the case of flag air carriers) have a width equal to the designated width of those airways or routes. Whenever the Administrator finds it necessary to determine the width of other approved routes, he considers the following:
 - (1) Terrain clearance.
 - (2) Minimum en route altitudes.
- (3) Ground and airborne navigation aids.
 - (4) Air traffic density.
 - (5) ATC procedures.
- (b) Any route widths of other approved routes determined by the Administrator are specified in the air carrier's operations specifications.

§ 121.97 Airports.

Each domestic and flag air carrier must show that each route it submits for approval has enough airports that are properly equipped and adequate for the proposed operation, considering such items as size, surface, obstructions, facilities, public protection, lighting, navigational and communications aids, and ATC.

§ 121.99 Communications facilities.

Each domestic and flag air carrier must show that a two-way air/ground radio communication system is available at points that will ensure reliable and rapid communications, under normal operating conditions over the entire route (either direct or via approved point to point circuits) between each airplane and the appropriate dispatch office, and between each airplane and the appropriate air traffic control unit. For all domestic air carrier operations and for flag air carrier operations in the 48 continguous States and the District of Columbia. the communications systems between each airpiane and the dispatch office must be independent of any system operated by the United States.

§ 121.101 Weather reporting facilities.

- (a) Each domestic and flag air carrier must show that enough weather reporting services are available along each route to ensure weather reports and forecasts necessary for the operation.
- (b) No domestic or flag air carrier may use any weather report to control flight unless—
- (1) For operations within the 48 contiguous States and the District of Columbia, it was prepared by the U.S. Weather Bureau or a source approved by the Weather Bureau; or

(2) For operations conducted outside the 48 contiguous States and the District of Columbia, it was prepared by a source approved by the Administrator.

(c) Each domestic or fiag air carrier that uses forecasts to control flight movements shall use forecasts prepared from weather reports specified in paragraph (b) of this section.

§ 121.103 En route navigational facili-

(a) Except as provided in paragraph
(b) of this section, each domestic and flag air carrier must show, for each proposed route, that nonvisual ground aids

(1) Available over the route for navigating aircraft within the degree of accuracy required for ATC: and

(2) Located to allow navigation to any regular, provisional, refueling, or alternate airport, within the degree of accuracy necessary for the operation involved.

Except for those aids required for routes to alternate airports, nonvisual ground aids required for approval of routes outside of controlled airspace are listed in the air carrier's operations specifications.

(b) Nonvisual ground aids are not required for—

(1) Day VFR operations that the air carrier shows can be conducted safely by pilotage because of the characteristics of the terrain:

(2) Night VFR operations on routes that the air carrier shows have reliably lighted landmarks adequate for safe operation; and

(3) Operations on route segments where the use of celestial or other specialized means of navigation is approved by the Administrator.

§ 121.105 Servicing and maintenance facilities.

Each domestic and flag air carrier must show that competent personnel and adequate facilities and equipment (including spare parts, supplies, and materials) are available at such points along the air carrier's route as are necessary for the proper servicing, maintenance, and preventive maintenance of airplanes and auxiliary equipment.

§ 121.107 Dispatch centers.

Each domestic and flag air carrier must show that it has enough dispatch centers, adequate for the operations to be conducted, that are located at points necessary to ensure proper operational control of each flight.

Subpart F—Approval of Areas and Routes for Supplemental Air Carriers and Commercial Operators

§ 121.111 Applicability.

This subpart prescribes rules for obtaining approval of areas and routes by supplemental air carriers and commercial operators.

§ 121.113 Area and route requirements:

(a) Each supplemental air carrier or commercial operator seeking route and area approval must show—

(1) That it is able to conduct operations within the United States in accordance with subparagraphs (3) and (4) of this paragraph;

(2) That it is able to conduct operations in accordance with the applicable requirements for each area outside the United States for which authorization is requested;

(3) That it is equipped and able to conduct operations over, and use the navigational facilities associated with, the Federal airways, foreign airways, or advisory routes (ADR's) to be used; and

(4) That it will conduct all IFR and night VFR operations over Federal airways, foreign airways, controlled airspace, or advisory routes (ADR's).

(b) Notwithstanding paragraph (a) (4) of this section, the Administrator may approve a route cutside of controlled airspace if the supplemental air carrier or commercial operator shows the route is safe for operations and the Administrator finds that traffic density is such that an adequate level of safety can be assured. The air carrier or commercial operator may not use such a route unless it is approved by the Administrator and is listed in the air carrier's or commercial operator's operations specifications.

§ 121.115 Route width.

(a) Routes and route segments over Federal airways, foreign airways, or advisory routes have a width equal to the designated width of those airways or advisory routes. Whenever the Administrator finds it necessary to determine the width of other routes, he considers the following:

(1) Terrain clearance.

(2) Minimum en route altitudes.

(3) Ground and airborne navigation aids.

(4) Air traffic density.

(5) ATC procedures.

(b) Any route widths of other routes determined by the Administrator are specified in the air carrier's or commercial operator's operations specifications.

§ 121.117 Airports.

No supplemental air carrier or commercial operator may use any airport unless it is properly equipped and adequate for the proposed operation, considering such items as size, surface, obstructions, facilities, public protection, lighting, navigational and communications aids, and ATC.

§ 121.119 Weather reporting facilities.

(a) No supplemental air carrier or commercial operator may use any weather report to control flight unless it was prepared and released by the U.S. Weather Bureau or a source approved by the Weather Bureau. For operations outside the U.S., or at U.S. Military airports, where those reports are not available, the air carrier or commercial operator must show that its weather reports are prepared by a source found satisfactory by the Administrator.

(b) Each supplemental air carrier or commercial operator that uses forecasts to control flight movements shall use forecasts prepared from weather reports specified in paragraph (a) of this section.

§ 121.121 En route navigational facili-

(a) Except as provided in paragraph (b) of this section, no supplemental air carrier or commercial operator may conduct any operation over a route unless nonvisual ground aids are—

(1) Available over the route for navigating airplanes within the degree of accuracy required for ATC; and

(2) Located to allow navigation to any airport of destination, or alternate airport, within the degree of accuracy necessary for the operation involved.

(b) Nonvisual ground aids are not re-

quired for-

(1) Day VFR operations that can be conducted safely by pilotage because of the characteristics of the terrain;

(2) Night VFR operations on lighted airways or on routes that the Administrator determines have reliable landmarks adequate for safe operation; or

(3) Operations on route segments where the use of celestial or other specialized means of navigation is approved.

(c) Except for those aids required for routes to alternate airports, the nonvisual ground navigational aids that are required for approval of routes outside of controlled airspace are specified in the air carrier's or commercial operator's operations specifications.

§ 121.123 Servicing and maintenance facilities.

Each supplemental air carrier or commercial operator must show that competent personnel and adequate facilities and equipment (including spare parts, supplies, and materials) are available for the proper servicing, maintenance, and preventive maintenance of aircraft and auxiliary equipment.

§ 121.125 Flight following system.

- (a) Each supplemental air carrier or commercial operator must show that it has-
- (1) An approved flight following system established in accordance with Subpart U of this part and adequate for the proper monitoring of each flight, considering the operations to be conducted; and

(2) Flight following centers located at those points necessary-

(i) To ensure the proper monitoring of the progress of each flight with respect to its departure at the point of origin and arrival at its destination, including intermediate stops and diversions therefrom, and maintenance or mechanical delays encountered at those points or stops; and
(ii) To ensure that the pilot in com-

mand is provided with all information necessary for the safety of the flight.

(b) A supplemental air carrier or commercial operator may arrange to have flight following facilities provided by persons other than its employees, but in such a case the air carrier or commercial operator continues to be primarily responsible for operational control of each flight.

(c) A flight following system need not provide for in-flight monitoring by a

flight following center.

(d) The supplemental air carrier's or commercial operator's operations specifications specify the flight following system it is authorized to use and the location of the centers.

§ 121.127 Flight following system: requirements.

(a) Each supplemental air carrier or commercial operator using a flight following system must show that-

(1) The system has adequate facilities and personnel to provide the information necessary for the initiation and safe conduct of each flight to-

(i) The flight crew of each aircraft: and

(ii) The persons designated by the air carrier or commercial operator to perform the function of operational control

of the aircraft; and

(2) The system has a means of communication by private or available public facilities (such as telephone, telegraph, or radio) to monitor the progress of each flight with respect to its departure at the point of origin and arrival at its destination, including intermediate stops and diversions therefrom, and maintenance or mechanical delays encountered at those points or stops.

(b) The supplemental air carrier or commercial operator must show that the personnel specified in paragraph (a) of this section, and those it designates to perform the function of operational control of the aircraft, are able to perform their required duties.

Subpart G-Manual Requirements § 121.131 Applicability.

This subpart prescribes requirements for preparing and maintaining manuals by all certificate holders.

§ 121.133 Preparation.

(a) Each domestic and flag air carrier shall prepare and keep current a manual for the use and guidance of flight and ground operations personnel in conducting its operations.

(b) Each supplemental air carrier and commercial operator shall prepare and keep current a manual for the use and guidance of flight, ground operations, and management personnel in conducting its operations.

§ 121.135 Contents.

(a) Each manual required by § 121.133 must

(1) Include instructions and information necessary to allow the personnel concerned to perform their duties and responsibilities with a high degree of safety:

(2) Be in a form that is easy to revise: (3) Have the date of last revision on

each page concerned; and

(4) Not be contrary to any applicable Federal regulation and, in the case of a flag or supplemental air carrier, any applicable foreign regulation, or the certificate holder's operations specifications or operating certificate.

(b) The manual may be in two or more separate parts, containing together all of the following information, but each part must contain that part of the information that is appropriate for each group of personnel:

(1) General policies.

(2) Duties and responsibilities of each crewmember and appropriate members of the ground organization and in the case of supplemental air carriers and commercial operators, management personnel.

(3) Reference to appropriate Federal

Aviation Regulations.

(4) Flight dispatching and operational control, including procedures for coordinated dispatch or flight control or flight following procedures, as applicable.

(5) En route flight, navigation, and communication procedures, including. procedures for the dispatch or release or continuance of flight if any item of equipment required for the particular type of operation becomes inoperative

or unserviceable en route.

(6) For domestic or flag air carriers, appropriate information from the en route operations specifications, including for each approved route the types of aircraft authorized, their crew complement, the type of operation such as VFR. IFR, day, night, etc., and any other pertinent information.

(7) For supplemental air carriers or commercial operators, appropriate information from the operations specifications, including the area of operations authorized, the types of aircraft authorized, their crew complement, the type of operation such as VFR, IFR, day, night, etc., and any other pertinent information.

(8) Appropriate information from the airport operations specifications, including for each airport-

(i) Its location (domestic and flag air

carrier operations only);

(ii) Its designation (regular, alternate, provisional, etc.) (domestic and flag air carrier operations only);

(iii) The types of aircraft authorized (domestic and flag air carrier oper-

ations only);

(iv) Instrument approach procedures; (v) Landing and takeoff minimums; and

(vi) Any other pertinent information. (9) Takeoff, en route, and landing weight limitations.

(10) Procedures for familiarizing passengers with the use of emergency equip-

ment, during flight.

(11) Emergency equipment and procedures.

(12) The method of designating succession of command of flight crewmembers.

(13) Procedures for determining the usability of landing and takeoff areas, and for disseminating pertinent information thereon to operations personnel.

(14) Procedures for operating in periods of ice, hall, thunderstorms, turbulence, or any potentially hazardous meteorological condition.

(15) Airman training programs, including appropriate ground, flight, and emergency phases.

(16) Instructions and procedures for maintenance, preyentive maintenance, and servicing.

(17) Time limitations, or standards for determining time limitations, for overhauls, inspections, and checks of airframes, engines, propellers, and appli-

(18) Procedures for refueling air-craft, eliminating fuel contamination, protection from fire (including electrostatic protection), and supervising and protecting passengers during refueling.

(19) Airworthiness inspections, including instructions covering procedures, standards, responsibilities, and authority

of inspection personnel.

(20) Methods and procedures for maintaining the aircraft weight and center of gravity within approved limits.

(21) Where applicable, pilot and dispatcher route and airport qualification procedures.

(22) Accident notification procedures. (23) Other information or instructions

relating to safety.

(c) Each certificate holder shall maintain at least one complete copy of the manual at its principal operations base.

. § 121.137 Distribution.

- (a) Each certificate holder shall furnish copies of the manual required by § 121.133 (and the changes and additions thereto) or appropriate parts of the manual to-
- (1) Its appropriate ground operations and maintenance personnel:

(2) Crewmembers: and

(3) Representatives of the Administrator assigned to it.

(b) Each person to whom a manual or appropriate parts of it are furnished under paragraph (a) of this section shall keep it up to date with the changes and additions furnished to him.

§ 121.139 Requirement for manual aboard aircraft: supplemental air carriers and commercial operators.

(a) Except as provided in paragraph (b) of this section, each supplemental air carrier and commercial operator shall carry appropriate parts of the manual on each aircraft when away from the principal base. The appropriate parts must be available for use of ground or flight personnel.

(b) If a supplemental air carrier or commercial operator is able to perform all scheduled maintenance at specified stations where it keeps maintenance parts of the manual, it does not have to carry those parts of the manual aboard the aircraft en route to those stations.

§ 121.141 Aircraft Flight Manual.

(a) Each certificate holder shall keep a current approved Aircraft Flight Manual for each type of transport category

aircraft that it operates.

(b) Each certificate holder shall carry an approved Aircraft Flight Manual, or manual required by § 121.133 containing the information required for the Aircraft Flight Manual, in each transport category aircraft. If sections of the required information from the Aircraft Flight Manual are incorporated in the manual required by § 121.133, the holder shall clearly identify the sections as Aircraft Flight Manual requirements.

Subpart H-Aircraft Requirements § 121.151 Applicability.

This subpart prescribes aircraft requirements for all certificate holders.

§ 121.153 Aircraft requirements: gen-أعجع

(a) No certificate holder may operate an aircraft unless that aircraft-

(1) Is registered as a civil aircraft of the United States and carries an appropriate current airworthiness certificate issued under this chapter; and

(2) Is in an airworthy condition and meets the applicable airworthiness requirements of this chapter, including those relating to identification and

equipment.

(b) A certificate holder may use an approved weight and balance control system based on average, assumed, or estimated weight to comply with applicable airworthiness requirements and operating limitations.

§ 121.155 Exclusive use requirements: supplemental air carriers and commercial operators.

- (a) No supplemental air carrier or commercial operator may use any aircraft unless-
- (1) It has exclusive use of the air-

craft;
(2) The aircraft is listed in its opera-

tions specifications; and

(3) The aircraft is not listed in the operations specifications of any other air carrier or commercial operator.

(b) Within 10 days after a supplemental air carrier or commercial operator ceases to have exclusive use of an aircraft listed in its operations specifications it shall notify the FAA Air Carrier Inspector assigned to its operations, and request an appropriate amendment deleting the aircraft from its operations specifications.

(c) A supplemental air carrier or commercial operator that does not have the exclusive use of at least one aircraft does not meet the requirements of this part, and the Administrator may, in an appropriate case, suspend or revoke the supplemental air carrier's or commercial

operator's certificate.

(d) For the purposes of this section, a supplemental air carrier or commercial operator has exclusive use of an aircraft if it has the sole possession, control, and use of it for flight, as owner, or has a written agreement (including arrangements for the performance of required maintenance) giving it that possession, control, and use for at least six months.

§ 121.157 Aircraft certification and equipment requirements.

(a) Airplanes certificated before July 1, 1942. No certificate holder may operate an airplane that was type certificated before July 1, 1942, unless-

(1) That airplane meets the require-

ments of \$ 121.173(c); or

(2) That airplane and all other airplanes of the same or related type operated by that certificate holder meet the

performance requirements of \$5 4a.737-T through 4a.750-T of the Civil Air Regulations as in effect on January 31, 1965; or ## 25.45 through 25.75 and § 121.173 (a), (b), (d), and (e).

(b) Airplanes certificated after June 30, 1942. Except as provided in paragraphs (c) and (d) of this section, no certificate holder may operate an airplane that was type certificated after June 30, 1942, unless it is certificated as a transport category airplane and meets the requirements of \$ 121.173 (a), (b).

(d), and (e).

(c) C-46 type airplanes: passengercarrying operations. No certificate holder may operate a C-46 airplane in passenger-carrying operations unless that airplane is operated in accordance with the operating limitations for transport category airplanes and meets the requirements of paragraph (b) of this section or meets the requirements of Part 4b, as in effect July 20, 1950, and the requirements of \$ 121.173 (a), (b), (d), and (e), except that-

(1) The requirements of \$5.4b.0 through 4b.19 as in effect May 18, 1954,

must be complled with;

(2) The birdproof windshield requirements of \$4b.352 need not be complied with:

(3) The provisions of 68 4b.480 through 4b.490 (except \$5 4b.484(a) (1) and 4b.487(e)), as in effect May 16, 1953, must be complied with; and

(4) The provisions of subparagraph 4b.484(a)(1), as in effect July 20, 1950.

must be complied with.

In determining the takeoff path in accordance with § 4b.116 and the one-engine inoperative climb in accordance with § 4b.120 (a) and (b), the propeller of the inoperative engine may be assumed to be feathered if the airplane is equipped with either an approved means for automatically indicating when the particular engine has failed or an approved means for automatically feathering the propeller of the inoperative engine. The Administrator may authorize deviations from compliance with the requirements of \$\$ 4b.130 through 4b.190 and Subparts C, D, E, and F of Part 4b (as designated in this paragraph) if he finds that (considering the effect of design changes) compliance is extremely difficult to accomplish and that service experience with the C-46 airplane justifies the deviation.

(d) C-46 type airplanes: cargo operations. No certificate holder may use a nontransport category C-46 type airplane in cargo operations unless-

(1) It is certificated at a maximum gross weight that is not greater than

48,000 pounds;
(2) It meets the requirements of \$\$ 121,199 through 121,205 using the performance data in Appendix C to this part:

(3) Before each flight, each engine contains at least 25 gallons of oil; and

(4) After December 31, 1964

(i) It is powered by a type and model engine as set forth in Appendix C of this part, when certificated at a maximum gross takeoff weight greater than for use in supplemental air carrier or 45,000 pounds: and

(ii) It complies with the special airworthiness requirement set forth in §§ 121.213 through 121.287 of this part or in Appendix C of this part.

(e) Helicopters. No supplemental air carrier or commercial operator may operate a helicopter unless it is operated, certificated, and equipped in accordance with §§ 127.71 through 127.125.

§ 121.159 Single-engine sirplanes pro-

Except as provided in § 121.9, no certificate holder may operate a single-engine airplane.

§ 121.161 Airplane limitations: type of route.

(a) Unless otherwise authorized by the Administrator, based on the character of the terrain, the kind of operation, or the performance of the airplane to be used, no domestic or fing air carrier may operate in any operations, and no supplemental air carrier or commercial operator may operate in passenger-carrying operations, a two-engine or threeengine airplane (except a three-engine turbine-powered airplane) over a route that contains a point farther than one hour's flying time (in still air at normal cruising speed with one engine inop-erative) from an adequate airport.

(b) No certificate holder may operate a land airplane (other than a DC-3, C-46, CV-340, or CV-440) in an extended overwater operation unless it is certificated or approved as adequate for ditching under the ditching provisions of

Part 25 of this chapter.

\$ 121.163 Aircraft proving tests.

(a) No domestic or flag air carrier may operate an aircraft not before proven for use in scheduled air carrier operations and no supplemental air carrier or commercial operator may operate an aircraft not before proven for use in air carrier or commercial operator operations unless an aircraft of that type has had, in addition to the aircraft certification tests, at least 100 hours of proving tests under the Administrator's supervision, at least 50 hours of which must have been flown over authorized routes (flag and domestic air carriers) or in en route operations (supplemental air carriers and commercial operators) and at least 10 hours of which must have been flown at night.

(b) A certificate holder may not operate an aircraft of a type that has been proven for use in its class of operations if it has not previously proved that type, or if that aircraft has been materially

altered in design, unless

(1) The sircraft has been tested for at least 50 hours, of which at least 25 hours were over authorized routes; or

(2) The Administrator specifically authorizes deviations because special circumstances of the particular case make a literal observance of the requirements of this paragraph unnecessary.

(c) A supplémental air carrier or commercial operator may operate a helicopter that has not before been proven

commercial operator operations if the helicopter has been used extensively in the services of the armed forces and meets the requirements of paragraph (b) of this section.

(d) For the purposes of paragraph (b) of this section, a type of aircraft is considered to be materially altered in design

if the alterations include-

(1) The installation of powerplants other than those of a type similar to those with which it is certificated; or

(2) Alterations to the sircraft or its components that materially affect flight

characteristics.

(e) No certificate holder may carry passengers in an aircraft during proving tests, except for those needed to make the test and those designated by the Administrator. However, it may carry mail, express, or other cargo, when approved.

Subpart I—Airplane Performance **Operating Limitations**

§ 121,171 Applicability.

(a) This subpart prescribes airplane performance operating limitations for all certificate holders.

(b) For the purposes of this part, "effective length of the runway". for takeoff means the distance from the end of the runway at which the takeoff is started to the point at which the obstruction clearance plane associated with the other end of the runway intersects the runway centerline. For landing, it means the distance from the point at which the obstruction clearance plane associated with the approach end of the runway intersects the centerline of the runway to the

far end thereof.

(c) For the purposes of this subpart, "obstruction clearance plane" means a plane sloping upward from the runway at a slope of 1:20 to the horizontal, and tangent to or clearing all obstructions within a specified area surrounding the runway as shown in a profile view of that area. In the plan view, the centerline of the specified area coincides with the centerline of the runway, beginning at the point where the obstruction clearance plane intersects the centerline of the runway and proceeding to a point at least 1,500 feet from the beginning point. Thereafter the centerline coincides with the takeoff path over the ground for the runway (in the case of takeoffs) or with the instrument approach counterpart (for landings), or, where the applicable one of these paths has not been established, it proceeds consistent with turns of at least 4,000 foot radius until a point is reached beyond which the obstruction clearance plane clears all obstructions. This area extends laterally 200 feet on each side of the centerline at the point where the obstruction clearance plane intersect the runway and continues at this width to the end of the runway; then it increases uniformly to 500 feet on each side of the centerline at a point 1,500 feet from the intersection of the obstruction clearance plane with the runway; thereafter it extends laterally 500 feet on each side of the centerline.

5 121.173 General.

(a) Each certificate holder operating a reciprocating engine powered transport category airplane shall comply with §§ 121.175 through 121.187.

(b) Each certificate holder operating a turbine engine powered transport category airplane shall comply with applicable provisions of §§ 121.189 through 121.197, except that when it operates a turbo-propeller powered transport category airplane certificated after August 29, 1959, but previously type certificated with the same number of reciprocating

engines, it may comply with \$\$ 121.175 through 121.187.

(c) Each certificate holder operating a large nontransport category airplane shall comply with \$\$ 121.199 through 121:205 and any determination of compliance must be based only on approved

performance data.

(d) The performance data in the Airplane Flight Manual applies in determining compliance with \$\$ 121.175 through 121.197. Where conditions are different from those on which the performance data is based, compliance is determined by interpolation or by computing the effects of changes in the specific variables. if the results of the interpolation or computations are substantially as accurate as the results of direct tests.

§ 121.177 Transport category airplanes: reciprocating engine powered: takeoff limitations.

(a) No person operating a reciprocating engine powered transport category airplane may takeoff that airplane unless it is possible-

(1) To stop the airplane safely on the runway, as shown by the accelerate stop distance data, at any time during take-off until reaching critical-engine failure

speed;
(2) If the critical engine falls at any time after the airplane reaches criticalengine failure speed V1, to continue the takeoff and reach a height of 50 feet, as indicated by the takeoff path data, before passing over the end of the runway: and

(3) To clear all obstacles either by at least 50 feet vertically (as shown by the takeoff path data) or 200 feet horizontally within the airport boundaries and 300 feet horizontally beyond the boundaries, without banking before reaching a height of 50 feet (as shown by the takeoff path data) and thereafter without banking more than 15 degrees.

(b) In applying this section, corrections must be made for any runway gradient. To allow for wind effect, takeoff data based on still air may be corrected by taking into account not more than 50 percent of any reported headwind component and not less than 150 percent of any reported tailwind component.

§ 121.179 Transport category airplanes: reciprocating engine powered: en route limitations: all engines operat-

(a) No person operating a reciprocating engine powered transport category airplane may take off that airplane at a weight, allowing for normal consumption of fuel and oil, that does not allow a rate of climb (in feet per minute), with all engines operating, of at least 6.90 V_{S_0} (that is, the number of feet per minute is obtained by multiplying the number of knots by 6.90) at an altitude of at least 1,000 feet above the highest ground or obstruction within ten miles of each side of the intended track.

(b) This section does not apply to transport category airplanes certificated under Part 4a of the Civil Air Regula-

- § 121.181 Transport category airplanes: reciprocating engine powered: en route limitations: one engine inoperative.
- (a) Except as provided in paragraph (b) of this section, no person operating a reciprocating engine powered transport category airplane may take off that airplane at a weight, allowing for normal consumption of fuel and oil, that does not allow a rate of climb (in feet per minute), with one engine inoperative, of

0.106 $V_{S_0}^2$ (where N is the at least 0.079number of engines installed and V_{S_0} is expressed in knots) at an altitude of at least 1,000 feet above the highest ground or obstruction within 10 miles of each side of the intended track. However, for the purposes of this paragraph the rate of climb for transport category airplanes certificated under Part 4a of the Civil Air Regulations is 0.026 $V_{S_0}^2$.

(b) In place of the requirements of paragraph (a) of this section, a person may, under an approved procedure, operate a reciprocating engine powered transport category airplane, at an allengines-operating altitude that allows the airplane to continue, after an engine failure, to an alternate airport where a landing can be made in accordance with § 121.187, allowing for normal consumption of fuel and oil. After the assumed fallure, the flight path must clear the ground and any obstruction within five miles on each aide of the intended track by at least 2,000 feet.

(c) If an approved procedure under paragraph (b) of this section is used, the certificate holder shall comply with the

following:

(1) The rate of climb (as prescribed in the Airplane Flight Manual for the appropriate weight and altitude) used in calculating the airplane's flight path shall be diminished by an amount, in

feet per minute, equal to $0.079 = \frac{0.106}{100}$ $V_{S_0}^2$ (when N is the number of engines installed and V_{s_0} is expressed in knots) for airplanes certificated under Part 25 of this chapter and by 0.026 V_{B_0} ° for airplanes certificated under Part 4a of the

Civil Air Regulations.

(2) The all-engines-operating altitude shall be sufficient so that in the event the critical engine becomes inoperative at any point along the route, the flight will be able to proceed to a predetermined alternate airport by use of this procedure. In determining the takeoff weight, the airplane is assumed to pass

over the critical obstruction following engine failure at a point no closer to the critical obstruction than the nearest approved radio navigational fix, unless the Administrator approves a procedure established on a different basis upon finding that adequate operational safeguards exist.

(3) The airplane must meet the provisions of paragraph (a) of this section at 1.000 feet above the airport used as

an alternate in this procedure.

(4) The procedure must include an approved method of accounting for winds and temperatures that would otherwise adversely affect the flight path.

(5) In complying with this procedure fuel lettisoning is allowed if the certificate holder shows that it has an adequate training program, that proper instructions are given to the flight crew, and all other precautions are taken to insure a safe procedure.

(6) The certificate holder shall specify in the dispatch or flight release an alternate airport that meets the require-

ments of § 121.625.

§ 121.183 Part 25 transport category airplanes with four or more engines: reciprocating engine powered: en route limitations: two engines inop-

(a) No person may operate an airplane certificated under Part 25 and having four or more engines unless

(1) There is no place along the intended track that is more than 90 minutes (with all engines operating at cruising power) from an airport that meets the requirements of § 121.187; or

(2) It is operated at a weight allowing the airplane, with the two critical engines inoperative, to climb at 0.013 V_{S_0} feet per minute (that is, the number of feet per minute is obtained by multiplying the number of knots squared by 0.013) at an altitude of 1,000 feet above the highest ground or obstruction within 10 miles on each side of the intended track, or at an altitude of 5,000 feet. whichever is higher.

(b) For the purposes of paragraph (a) (2) of this section, it is assumed

(1) The two engines fall at the point that is most critical with respect to the takeoff weight:

(2) Consumption of fuel and oil is normal with all engines operating up to the point where the two engines fail and with two engines operating beyond that

point;
(3) Where the engines are assumed to fail at an altitude above the prescribed minimum aititude, compliance with the prescribed rate of climb at the prescribed minimum altitude need not be shown during the descent from the cruising altitude to the prescribed mini-mum altitude, if those requirements can be met once the prescribed minimum altitude is reached, and assuming descent to be along a net flight path and the rate of descent to be 0.013 $V_{S_0}^2$ greater than the rate in the approved performance data; and

(4) If fuel jettlsoning is provided, the airplane's weight at the point where the two engines fail is considered to be not less than that which would include enough fuel to proceed to an airport meeting the requirements of § 121.187 and to arrive at an altitude of at least 1,000 feet directly over that airport.

8 121.185 Transport category airplanes: reciprocating engine powered: land-ing limitations: destination airport.

(a) Except as provided in paragraph (b) of this section no person operating a reciprocating engine powered transport category airplane may take off that airplane, unless its weight on arrival, allowing for normal consumption of fuel and oil in flight, would allow a full stop landing at the intended destination within 60 percent of the effective length of each runway described below from a point 50 feet directly above the intersection of the obstruction clearance plane and the runway. For the purposes of determining the allowable landing weight at the destination airport the following is assumed:

(1) The airplane is landed on the most favorable runway and in the most favor-

able direction in still air.

(2) The airplane is landed on the most suitable runway considering the probable wind velocity and direction (forecast for the expected time of arrival), the ground handling characteristics of the type of airplane, and other conditions such as landing aids and terrain, and allowing for the effect of the landing path and roll of not more than 50 percent of the headwind component or not less than 150 percent of the tallwind component.

(b) An airplane that would be prohibited from being taken off because it could not meet the requirements of paragraph (a) (2) of this section may be taken off if an alternate airport is specified that meets all of the requirements of this section except that the airplane can accomplish a full stop landing within 70 percent of the effective length of the

runway.

§ 121.187 Transport category sirplanes: reciprocating engine powered: land-ing limitations: alternate tirport.

No person may list an airport as an alternate sirport in a dispatch or flight release unless the airplane (at the weight anticipated at the time of arrival at the airport), based on the assumptions in 1 121.185, can be brought to a full stop landing, within 70 percent of the effective length of the runway.

- § 121.189 Transport category airplanes: turbine engine powered; takeoff lim-
- (a) No person operating a turbine engine powered transport category airplane may take off that airplane at a weight greater than that listed in the Airplane Flight Manual for the elevation of the airport and for the ambient temperature existing at takeoff.
- (b) No person operating a turbine engine powered transport category airplane certificated after August 26, 1957, but before August 30, 1959 (SR422, 422A), may take off that airplane at a weight greater than that listed in the Airplane Flight Manual for the minimum distances required for takeoff. In the case of an airplane certificated after Sen

tember 30, 1958 (SR422A, 422B), the takeoff distance may include a clearway distance but the clearway distance included may not be greater than ½ of the takeoff run.

(c) No person operating a turbine engine powered transport category airplane certificated after August 29, 1959 (SR422B), may take off that airplane at a weight greater than that listed in the Airplane Flight Manual at which compliance with the following may be shown:

(1) The accelerate-stop distance must not exceed the length of the runway plus

the length of any stopway.

(2) The takeoff distance must not exceed the length of the runway plus the length of any clearway except that the length of any clearway included must not be greater than one-half the length of the runway.

(3) The takeoff run must not be greater than the length of the runway.

(d) No person operating a turbine engine powered transport category airplane may take off that airplane at a weight greater than that listed in the Airplane Flight Manual—

(1) In the case of an airplane certificated after August 26, 1957, but before October 1, 1958 (SR422), that allows a takeoff path that clears all obstacles either by at least (35+0.01D) feet vertically (D is the distance along the intended flight path from the end of the runway in feet), or by at least 200 feet horizontally within the airport boundaries and by at least 300 feet horizontally after passing the boundaries; or

(2) In the case of an airplane certificated after September 30, 1958 (SR 422A, 422B), that allows a net takeoff flight path that clears all obstacles either by a height of at least 35 feet vertically, or by at least 200 feet horizontally within the airport boundaries and by at least 300 feet horizontally after passing the

boundaries.

(e) In Determining maximum weights, minimum distances and flight paths under pateraphs (a) through (d) of this section, correction must be made for the runway to be used, the elevation of the airport, the effective runway gradient, and the ambient temperature and wind component at the time of takeoff.

(f) For the purposes of this section, it is assumed that the airplane is not banked before reaching a height of 50 feet, as shown by the takeoff path or net takeoff flight path data (as appropriate) in the Airplane Flight Manual, and thereafter that the maximum bank is not more than 15 degrees.

(g) For the purposes of this section the terms, "takeoff distance," "takeoff run," "net takeoff flight path" and "takeoff off path" have the same meanings as set forth in the rules under which the air-

plane was certificated.

§ 121.191 Transport category airplanes: turbine engine powered: en route limitations: one engine inoperative.

(a) No person operating a turbine engine powered transport category airplane may take off that airplane at a weight that is greater than that which hunder the approved, one engine inoper-

ative, en route net flight path data in the Airplane Flight Manual for that airplane) will allow compliance with subparagraph (1) or (2) of this paragraph, based on the ambient temperatures expected en route:

(1) There is a positive slope at an altitude of at least 1,000 feet above all terrain and obstructions within five statute miles on each side of the intended track, and, in addition, if that airplane was certificated after August 29, 1959 (SR 422B) there is a positive slope at 1,500 feet above the airport where the airplane is assumed to land after an engine falls.

(2) The net flight path allows the airplane to continue flight from the cruising altitude to an airport where a landing can be made under § 121.197, clearing all terrain and obstructions within five statute miles of the intended track by at least 2,000 feet vertically and with a positive slope at 1,000 feet above the airport where the airplane lands after an engine fails, or, if that airplane was certificated after September 30, 1958 (SR 422A, 422B), with a positive slope at 1,500 feet above the airport where the airplane lands after an engine fails.

(b) For the purposes of paragraph (a) (2) of this section, it is assumed

that—

(1) The engine fails at the most criti-

cal point en route:

(2) The airplane passes over the critical obstruction, after engine failure at a point that is no closer to the obstruction than the nearest approved radio navigation fix, unless the Administrator authorizes a different procedure based on adequate operational safeguards;

(3) An approved method is used to

allow for adverse winds:

(4) Fuel jettisoning will be allowed if the certificate holder shows that the crew is properly instructed, that the training program is adequate, and that all other precautions are taken to insure a safe procedure;

(5) The alternate airport is specified in the dispatch or flight release and meets the prescribed weather minimums;

and

(6) The consumption of fuel and oil after engine failure is the same as the consumption that is allowed for in the approved net flight path data in the Airplane Flight Manual.

§ 121.193 Transport category airplanes: turbine engine powered: en route limitations: two engines inoperative.

(a) Airplanes certificated after August 26, 1957, but before October 1, 1958 (SR 422). No person may operate a turbine engine powered transport category airplane along an intended route unless he complies with either of the following:

(1) There is no place along the intended track that is more than 90 minutes (with all engines operating at cruising power) from an airport that meets

the requirements of § 121.197.

(2) Its weight, according to the twoengine-inoperative, en route, net flight
path data in the Airplane Flight Manual,
allows the airplane to fly from the point
where the two engines are assumed to
fail simultaneously to an airport that
meets the requirements of § 121.197, with
a net flight path (considering the am-

bient temperature anticipated along the track) having a positive slope at an altitude of at least 1,000 feet above all terrain and obstructions within five miles on each side of the intended track, or at an altitude of 5,000 feet, whichever is higher.

For the purposes of subparagraph (2) of this paragraph, it is assumed that the two engines fail at the most critical point en route, that if fuel jettlsoning is provided, the airplane's weight at the point where the engines fail includes enough fuel to continue to the airport and to arrive at an altitude of at least 1,000 feet directly over the airport, and that the fuel and oil consumption after engine failure is the same as the consumption allowed for in the net flight path data in the Airplane Flight Manual.

(b) Aircraft certificated after September 30, 1958, but before August 30, 1959 (SR 422A). No person may operate a turbine engine powered transport category airplane along an intended route unless he complies with either of the

following:

(1) There is no place along the intended track that is more than 90 minutes (with all engines operating at cruising power) from an airport that meets

the requirements of \$ 121.197.

(2) Its weight, according to the twoengine-inoperative, en route, net flight
path data in the Airplane Flight Manual,
allows the airplane to fly from the point
where the two engines are assumed to
fail simultaneously to an airport that
meets the requirements of § 121,197, with
a net flight path (considering the ambient temperatures anticipated along the
track) having a positive slope at an altitude of at least 1,000 feet above all terrain and obstructions within 5 miles on
each side of the intended track, or at an
altitude of 2,000 feet, whichever is higher.

For the purposes of subparagraph (2) of this paragraph, it is assumed that the two engines fail at the most critical point en route, that the airplane's weight at the point where the engines fail includes enough fuel to continue to the airport, to arrive at an altitude of at least 1,500 feet directly over the airport, and thereafter to fly for 15 minutes at cruise power or thrust, or both, and that the consumption of fuel and oil after engine failure is the same as the consumption allowed for in the net flight path data in the Airplane Flight Manual.

(c) Aircraft certificated after August 29, 1959 (SR 422B). No person may operate a turbine engine powered transport category airplane along an intended route unless he complies with either of

the following:

(1) There is no place along the intended track that is more than 90 minutes (with all engines operating at cruising power) from an airport that meets the requirements of \$121.197.

(2) Its weight, according to the twoengine inoperative, en route, net flight
path data in the Airplane Flight Manual,
allows the airplane to fly from the point
where the two engines are assumed to
fail simultaneously to an airport that
meets the requirements of § 121.197,
with the net flight path (considering the
ambient temperatures anticipated along

the track) clearing vertically by at least 2,000 feet all terrain and obstructions within five statute miles (4.34 nautical miles) on each side of the intended track. For the purposes of this subparagraph, it is assumed that—

(i) The two engines fail at the most

critical point en route;

(iii) The net flight path has a positive slope at 1,500 feet above the airport where the landing is assumed to be made

after the engines fail;

(iii) Fuel jettisoning will be approved if the certificate holder shows that the crew is properly instructed, that the training program is adequate, and that all other precautions are taken to ensure a safe procedure;

(iv) The airplane's weight at the point where the two engines are assumed to fail provides enough fuel to continue to the airport, to arrive at an altitude of at least 1,500 feet directly over the airport, and thereafter to fly for 15 minutes at cruise power or thrust, or both; and

(v) The consumption of fuel and oil after the engine failure is the same as the consumption that is allowed for in the net flight path data in the Airplane

Flight Manual

§ 121.195 Transport category airplanes: turbine engine powered: landing limitations: destination airports.

(a) No person operating a turbine engine powered transport category airplane may take off that airplane at such a weight that (allowing for normal consumption of fuel and oil in flight to the destination or alternate airport) the weight of the airplane on arrival would exceed the landing weight set forth in the Airplane Flight Manual for the elevation of the destination or alternate airport and the ambient temperature anticipated at the time of landing.

(b) Except as provided in paragraph (c) of this section, no person operating a turbine engine powered transport category airplane may take off that airplane unless its weight on arrival, allowing for normal consumption of fuel and oil in flight (in accordance with the landing distance set forth in the Airplane Flight Manual for the elevation of the destination airport and the wind conditions anticipated there at the time of landing). would allow a full stop landing at the intended destination airport within 60 percent of the effective length of each runway described below from a point 50 feet above the intersection of the obstruction clearance plane and the runway. For the purpose of determining the allowable landing weight at the destination airport the following is assumed:

(1) The airplane is landed on the most favorable runway and in the most favor-

able direction, in still air.

(2) The airplane is landed on the most suitable runway considering the probable wind velocity and direction and the ground handling characteristics of the airplane, and considering other conditions such as landing aids and terrain.

(c) An airplane that would be prohibited from being taken off because it could not meet the requirements of paragraph (b) (2) of this section, may be taken off if an alternate airport is specified that meets all the requirements of this section except that the airplane can accomplish a full stop landing within 70 percent of the effective length of the runway.

§ 121.197 Transport category airplanes: turbine engine powered: landing limitations: alternate airports.

No person may list an airport as an alternate airport in a dispatch or flight release for a turbine engine powered transport category airplane unless (based on the assumptions in § 121.195 (b)) that airplane at the weight antidpated at the time of arrival can be brought to a full stop landing within 70 percent of the effective length of the runway from a point 50 feet above the intersection of the obstruction clearance plane and the runway.

§ 121.198 Transport category cargo service sirplanes: increased zero fuel and landing weights.

- (a) Notwithstanding the applicable structural provisions of the transport category airworthiness regulations but subject to paragraphs (b) through (g) of this section, a certificate holder may operate (for cargo service only) any of the following transport category airplanes (certificated under Part 4b of the Civil Air Regulations effective before March 13, 1956) at increased zero fuel and landing weights—
- (1) DC-6A, DC-6B, DC-7B, and DC-7C; and
- (2) L1049B, C, D, E, F, G, and H, and the L1649A when modified in accordance with supplemental type certificate SA 4-1402.
- (b) The zero fuel weight (maximum weight of the airplane with no disposable fuel and oil) and the structural landing weight may be increased beyond the maximum approved in full compliance with applicable regulations only if the Administrator finds that—

(1) The increase is not likely to reduce seriously the structural strength:

(2) The probability of sudden fatigue failure is not noticeably increased;

- (3) The flutter, deformation, and vibration characteristics do not fall below those required by applicable regulations; and
- (4) All other applicable weight limitations will be met.
- (c) No zero fuel weight may be increased by more than five percent, and the increase in the structural landing weight may not exceed the amount, in pounds, of the increase in zero fuel weight.

(d) Each airplane must be inspected in accordance with the approved special inspection procedures, for operations at increased weights, established and issued by the manufacturer of the type of airplane.

(e) Each airplane operated under this section must be operated in accordance with the passenger-carrying transport category performance operating limitations prescribed in this part.

(f) The Airplane Flight Manual for each airplane operated under this section must be appropriately revised to include the operating limitations and information needed for operation at the increased weights.

(g) Except as provided for the carrying of persons under § 121.583 each airplane operated at an increased weight under this section must, before it is used in passenger service, be inspected under the special inspection procedures for return to passenger service established and issued by the manufacturer and approved by the Administrator.

§ 121.199 Nontransport category airplanes: takeoff limitations.

(a) No person operating a nontransport category airplane may take off that airplane at a weight greater than the weight that would allow the airplane to be brought to a safe stop within the effective length of the runway, from any point during the takeoff before reaching 105 percent of minimum control speed (the minimum speed at which an airplane can be safely controlled in flight after an engine becomes inoperative) or 115 percent of the power off stalling speed in the takeoff configuration, whichever is greater.

(b) For the purposes of this section—(1) It may be assumed that takeoff power is used on all engines during the

acceleration;

- (2) Not more than 50 percent of the reported headwind component, or not less than 150 percent of the reported tailwind component, may be taken into account;
- (3) The average runway gradient (the difference between the elevations of the endpoints of the runway divided by the total length) must be considered if it is more than one-half of 1 percent; and
- (4) It is assumed that the airplane is operating in standard atmosphere.

§ 121.201 Nontransport category airplanes; en route limitations: one engine inoperative.

- (a) Except as provided in paragraph (b) of this section, no person operating a nontransport category airplane may take off that airplane at a weight that does not allow a rate of climb of at least 50 feet a minute, with the critical engine inoperative, at an altitude of at least 1,000 feet above the highest obstruction within five miles on each side of the intended track, or 5,000 feet, whichever is higher.
- (b) Notwithstanding paragraph (a) of this section, if the Administrator finds that safe operations are not impaired, a person may operate the airplane at an altitude that allows the airplane, in case of engine failure, to clear all obstructions within 5 miles on each side of the intended track by 1,000 feet. If this procedure is used, the rate of descent for the appropriate weight and altitude is assumed to be 50 feet a minute greater than the rate in the approved performance data. Before approving such a procedure, the Administrator considers the following for the route, route segment, or area concerned:
- (1) The reliability of wind and weather forecasting.
- (2) The location and kinds of navigation aids.
- (3) The prevailing weather conditions, particularly the frequency and amount of turbulence normally encountered.

- (4) Terrain features.
- · (5) Air traffic control problems.
- (6) Any other operational factors that affect the operation.
- (c) For the purposes of this section, it is assumed that-
 - (1) The critical engine is inoperative;
- (2) The propeller of the inoperative engine is in the minimum drag position;
- (3) The wing flaps and landing gear are in the most favorable position;
- (4) The operating engines are operating at the maximum continuous power available;
- (5) The airplane is operating in

standard atmosphere; and

- (6) The weight of the airplane is progressively reduced by the anticipated consumption of fuel and oil.
- § 121.203 Nontransport category air-planes: landing limitations: destination airport.
- (a) No person operating a nontransport category airplane may take off that airplane at a weight that-
- (1) Allowing for anticipated consumption of fuel and oil, is greater than the weight that would allow a full stop landing within 60 percent of the effective length of the most suitable runway at the destination airport; and
- (2) Is greater than the weight allowable if the landing is to be made on the runway-
- (1) With the greatest effective length in still air; and
- (ii) Required by the probable wind, taking into account not more than 50 percent of the headwind component or not less than 150 percent of the tailwind component.
- (b) For the purposes of this section, it is assumed that-
- (1) The airplane passes directly over the intersection of the obstruction clearance plane and the runway at a height of 50 feet in a steady gliding approach at a true indicated airspeed of at least
- 1.3 V_{go}; (3) The landing does not require ex-level phot skill; and
- The airplane is operating in Mandard atmosphere.
- \$ 121.205 Nontransport category sirplanes: landing limitations: alternate airport.

No person may list an airport as an alternate airport in a dispatch or flight release for a nontransport category airplane unless that airplane (at the weight anticipated at the time of arrival) based on the assumptions contained in § 121.-203, can be brought to a full stop landing within 70 percent of the effective length of the runway.

§ 121.207 Provisionally certificated air carrier sirplane: operating limita-

In addition to the limitations in \$91.41. the following limitations apply to the operation of provisionally certificated airplane by air carriers:

(a) In addition to crewmembers, each air carrier may carry on such an airplane only those persons who are listed in \$121. 547(c) or who are specifically authorized by both the air carrier and the Administrator.

(b) Each air carrier shall keep a log of each flight conducted under this section and shall keep accurate and complete records of each inspection made and all maintenance performed on the airplane. The air carrier shall make the log and records made under this section available to the manufacturer and the Administrator.

Subpart J—Special Airworthiness Requirements

§ 121.211 Applicability.

This subpart prescribes special airworthiness requirements for all certificate holders.

§ 121.213 Special airworthiness requirements: general

- (a) Except as provided in paragraph (b) of this section, no air carrier or commercial operator may use an airplane powered by aircraft engines rated at more than 600 horsepower each for maximum continuous operation unless that airplane meets the requirements of §§ 121.215 through 121.283.
- (b) If the Administrator determines that, for a particular model of airplane used in cargo service, literal compliance with any requirement under paragraph (a) of this section would be extremely difficult and that compliance would not contribute materially to the objective sought, he may require compliance with only those requirements that are necessary to accomplish the basic objectives of this part.
- (c) This section does not apply to any airplane certificated under-
- (1) Part 4b of the Civil Air Regulations as in effect after October 31, 1946;

(2) Part 25; or

(3) Special Civil Air Regulation 422. 422A, or 422B.

\$ 121.215 Cabin interiors.

- (a) Each compartment used by the crew or passengers must meet the requirements of this section.
- (b) Materials must be at least flash resistant.
- (c) The wall and ceiling linings and the covering of upholstering, floors, and furnishings must be flame resistant.
- (d) Each compartment where smoking is to be allowed must be equipped with self-contained ash trays that are completely removable and other compartments must be placarded against smoking.
- (e) Each receptacle for used towels. papers, and wastes must be of fire-resistant material and must have a cover or other means of containing possible fires started in the receptacles.

8 121.217 Internal doors

In any case where internal doors are equipped with louvres or other ventilating means, there must be a means convenient to the crew for closing the flow of air through the door when necessary.

\$ 121.219 Ventilation.

Each passenger or crew compartment must be suitably ventilated. Carbon monoxide concentration may not be more than one part in 20,000 parts of air, and fuel fumes may not be present.

In any case where partitions between compartments have louvres or other means allowing air to flow between compartments, there must be a means convenient to the crew for closing the flowof air through the partitions, when necessary.

§ 121.221 Fire precautions.

- (a) Each compartment must be designed so that, when used for storing cargo or baggage, it meets the following requirements:
- (1) No compartment may include con-, trols, wiring, lines, equipment, or so-cessories that would upon damage or failure, affect the safe operation of the airplane unless the item is adequately shielded, isolated, or otherwise protected so that it cannot be damaged by movement of cargo in the compartment and so that damage to or failure of the item would not create a fire hazard in the compartment.
- (2) Cargo or baggage may not interfere with the functioning of the fireprotective features of the compartment.
- (3) Materials used in the construction of the compartments, including tie-down equipment, must be at least fiame resistant.
- (4) Each compartment must include provisions for safeguarding against fires according to the classifications set forth in paragraphs (b) through (f) of this section.
- (b) Class A. Cargo and baggage compartments are classified in the "A" category if-
- (1) A fire therein would be readily discernible to a member of the crew while at his station: and
- (2) All parts of the compartment are easily accessible in flight.

There must be a hand fire extinguisher available for each Class A compartment.

- (c) Class B. Cargo and baggage compartments are classified in the "B" category if enough access is provided while in flight to enable a member of the crew to effectively reach all of the compartment and its contents with a hand fire extinguisher and the compartment is so designed that, when the access provisions are being used, no hazardous amount of smoke, fiames, or extinguishing agent enters any compartment occupied by the crew or passengers. Each Class B compartment must comply with the follow-
- (1) It must have a separate approvedsmoke or fire detector system to give warning at the pilot or flight engineer stations.

(2) There must be a hand fire extinguisher available for the compartment.

- (3) It must be lined with fire-resistant material, except that additional service lining of flame-resistant material may be used.
- (d) Class C. Cargo and baggage compartments are classified in the "C" category if they do not conform with the requirements for the "A", "B", "D", or "E" categories. Each Class C compartment must comply with the following:
- (1) It must have a separate approved smoke or fire detector system to give warning at the pilot or flight engineer station.

(2) It must have an approved built-in fire-extinguishing system controlled from the pilot or flight engineer station.

(3) It must be designed to exclude hazardous quantities of smoke, flames, or extinguishing agents from entering into any compartment occupied by the crew or passengers.

(4) It must have ventilation and draft controlled so that the extinguishing agent provided can control any fire that may start in the compartment.

(5) It must be lined with fire-resistant material, except that additional service

lining of flame-resistant material may be used.

(e) Class D. Cargo and baggage compartments are classified in the "D" category if they are so designed and constructed that a fire occurring therein will be completely confined without endangering the safety of the airplane or the occupants. Each Class D compartment must comply with the following:

(1) It must have a means to exclude hazardous quantities of smoke, flames, or noxious gases from entering any compartment occupied by the crew or pas-

sengers.

- (2) Ventilation and drafts must be controlled within each compartment so that any fire likely to occur in the compartment will not progress beyond safe limits.
- (3) It must be completely lined with fire-resistant material.

(4) Consideration must be given to the effect of heat within the compartment on adjacent critical parts of the airplane.

- (f) Class E. On airplanes used for the carriage of cargo only, the cabin area may be classified as a Class "E" compartment. Each Class E compartment must comply with the following:
- (1) It must be completely lined with fire-resistant material.
- (2) It must have a separate system of an approved type smoke or fire detector to give warning at the pilot or flight engineer station.
- (3) It must have a means to shut off the ventilating air flow to or within the compartment and the controls for that means must be accessible to the flight crew in the crew compartment.

(4) It must have a means to exclude hazardous quantities of smoke, flames, or noxious gases from entering the flight crew compartment.

(5) Required crew emergency exits must be accessible under all cargo loading conditions.

§ 121.223 Proof of compliance with § 121.221.

Compliance with those provisions of 121.221 that refer to compartment accessibility, the entry of hazardous quantities of smoke or extinguishing agent into compartments occupied by the crew or passengers, and the disaipation of the extinguishing agent in Class "C" compartments must be shown by tests in flight. During these tests it must be shown that no inadvertent operation of smoke or fire detectors in other compartments within the airplane would occur as a result of fire contained in any one compartment, either during the time it is being extinguished, or thereafter,

unless the extinguishing system floods those compartments simultaneously.

§ 121.225 Propeller deicing fluid.

If combustible fluid is used for propeller deicing, the certificate holder must comply with § 121.253.

§ 121.227 Pressure cross-food arrangements.

- (a) Pressure cross-feed lines may not pass through parts of the airplane used for carrying persons or cargo unless—
- There is a means to allow crewmembers to shut off the supply of fuel to these lines; or
- (2) The lines are enclosed in a fuel and fume-proof enclosure that is ventilated and drained to the exterior of the airplane.

However, such an enclosure need not be used if those lines incorporate no fittings on or within the personnel or cargo areas and are suitably routed or protected to prevent accidental damage.

(b) Lines that can be isolated from the rest of the fuel system by valves at each end must incorporate provisions for relieving excessive pressures that may result from exposure of the isolated line to high temperatures.

§ 121.229 Location of fuel tanks.

(a) Fuel tanks must be located in accordance with § 121.255.

(b) No part of the engine nacelle skin that lies immediately behind a major air outlet from the engine compartment may be used as the wall of an integral tank.

(c) Fuel tanks must be isolated from personnel compartments by means of fume- and fuel-proof enclosures.

§ 121.231 Fuel system lines and fittings.

(a) Fuel lines must be installed and supported so as to prevent excessive vibration and so as to be adequate to withstand loads due to fuel pressure and accelerated flight conditions.

(b) Lines connected to components of the airplanes between which there may be relative motion must incorporate pro-

visions for flexibility.

(c) Flexible connections in lines that may be under pressure and subject to axial loading must use flexible hose assemblies rather than hose clamp connections.

(d) Flexible hose must be of an acceptable type or proven suitable for the particular application.

§ 121.233 Fuel lines and fittings in designated fire zones.

Fuel lines and fittings in each designated fire zone must comply with \$ 121.259.

§ 121.235 Fuel valves.

Each fuel valve must—

(a) Comply with § 121.257;

(b) Have positive stops or suitable index provisions in the "on" and "off" positions; and

(c) Be supported so that loads resulting from its operation or from accelerated flight conditions are not transmitted to the lines connected to the valve,

§ 121.237 Oil lines and fittings in designated fire south

Oil line and fittings in each designated fire zone must comply with § 121:259.

§ 121.239 Oil valves.

(a) Each oil valve must—

(1) Comply with § 121.257;

(2) Have positive stops or suitable index provisions in the "on" and "off" positions; and

(3) Be supported so that loads resulting from its operation or from accelerated flight conditions are not transmitted to the lines attached to the valve.

(b) The closing of an oil shutoff means must not prevent feathering the propeller, unless equivalent safety provisions are incorporated.

§ 121.241 Oil system drains.

Accessible drains incorporating either a manual or automatic means for positive locking in the closed position, must be provided to allow safe drainage of the entire oil system.

§ 121.243 Engine breather lines.

(a) Engine breather lines must be so arranged that condensed water vapor that may freeze and obstruct the line cannot accumulate at any point.

(b) Engine breathers must discharge in a location that does not constitute a fir hazard in case foaming occurs and so that oil emitted from the line does not impinge upon the pilots' windshield.

(c) Engine breathers may not discharge into the engine air induction

system.

§ 121.245 Fire walls.

Each engine, auxiliary power unit, fuel-burning heater, or other item of combustion equipment that is intended for operation in flight must be isolated from the rest of the airplane by means of firewalls or shrouds, or by other equivalent means.

§ 121.247 Fire-wall construction.

Each fire wall and shroud must—

(a) Be so made that no hazardous quantity of air, fluids, or flame can pass from the engine compartment to other parts of the airplane;

(b) Have all openings in the fire wall or shroud sealed with close-fitting fireproof grommets, bushings, or firewall fittings:

(c) Be made of fireproof material; and

(d) Be protected against corrosion.

§ 121.249 Cowling.

(a) Cowling must be made and supported so as to resist the vibration, inertia, and air loads to which it may be normally subjected.

(b) Provisions must be made to allow rapid and complete drainage of the cowling in normal ground and flight attitudes. Drains must not discharge in locations constituting a fire hazard. Parts of the cowling that are subjected to high temperatures because they are near exhaust system parts or because of exhaust gas impingement must be of exhaust gas impingement must be otherwise specified in these regulations,

all other parts of the cowling must be made of material that is at least fire resistant.

§ 121.251 Engine accessory section diaphragm.

Unless equivalent protection can be shown by other means, a diaphragm that complies with § 121.247 must be provided on air-cooled engines to isolate the engine power section and all parts of the exhaust system from the engine accessory compartment.

§ 121.253 Powerplant fire protection.

- (a) Designated fire zones must be protected from fire by compliance with §§ 121.255 through 121.261.
 - (b) Designated fire zones are—
 - (1) Engine accessory sections;
- (2) Installations where no isolation is provided between the engine and accessory compartment; and
- (3) Areas that contain auxiliary power units, fuel-burning heaters, and other combustion equipment.

§ 121.255 Flammable fluids.

(a) No tanks or reservoirs that are a part of a system containing flammable fluids or gases may be located in designated fire zones, except where the fluid contained, the design of the system, the materials used in the tank, the shutoff means, and the connections, lines, and controls provide equivalent safety.

(b) At least one-half inch of clear airspace must be provided between any tank or reservoir and a firewall or shroud isolating a designated fire zone.

§ 121.257 Shutoff means.

(a) Each engine must have a means for shutting off or otherwise preventing hazardous amounts of fuel, oil, deicer, and ether flammable fluids from flowing into, within, or through any designated fire zone. However, means need not be provided to shut off flow in lines that are an integral part of an engine.

(b) The shutoff means must allow an emergency operating sequence that is compatible with the emergency operation of other equipment, such as feathering the propeller, to facilitate rapid and

effective control of fires.

(c) Shutoff means must be located outside of designated fire zones, unless equivalent safety is provided, and it must be shown that no hazardous amount of flammable fluid will drain into any designated fire zone after a shut off.

(d) Adequate provisions must be made to guard against inadvertent operation of the shutoff means and to make it possible for the crew to reopen the shutoff means after it has been closed.

§ 121.259 Lines and fittings.

(a) Each line, and its fittings, that is located in a designated fire zone, if it carries fiammable fluids or gases under pressure, or is attached directly to the engine, or is subject to relative motion between components (except lines and fittings forming an integral part of the engine), must be fiexible and fire-resistant with fire-resistant, factory-fixed, detachable, or other approved fire-resistant ends.

(b) Lines and fittings that are not subject to pressure or to relative motion between components must be of fireresistant materials.

§ 121.261 Vent and drain lines.

All vent and drain lines and their fittings, that are located in a designated fire zone must, if they carry fiammable fluids or gases, comply with § 121.259, if the Administrator finds that the rupture or breakage of any vent or drain line may result in a fire hazard.

§ 121.263 Fire-extinguishing systems.

(a) Unless the certificate holder shows that equivalent protection against destruction of the airplane in case of fire is provided by the use of fireproof materials in the nacelle and other components that would be subjected to fiame, fire-extinguishing systems must be provided to serve all designated fire zones.

(b) Materials in the fire-extinguishing system must not react chemically with the extinguishing agent so as to be a

hazard.

§ 121.265 Fire-extinguishing agents.

Only methyl bromide, carbon dioxide, or another agent that has been shown to provide equivalent extinguishing action may be used as a fire-extinguishing agent. If methyl bromide or any other toxic extinguishing agent is used, provisions must be made to prevent harmful concentrations of fluid or fluid vapors from entering any personnel compartment either because of leakage during normal operation of the airplane or because of discharging the fire extinguisher on the ground or in flight when there is a defect in the extinguishing system. If a methyl bromide system is used, the containers must be charged with dry agent and sealed by the fire-extinguisher manufacturer or some other person using satisfactory recharging equipment. If carbon dioxide is used, it must not be possible to discharge enough gas into the personnel compartments to create a danger of suffocating the occupants.

§ 121.267 Extinguishing a g e n t container pressure relief.

Extinguishing agent containers must be provided with a pressure relief to prevent bursting of the container because of excessive internal pressures. The discharge line from the relief connection must terminate outside the airplane in a place convenient for inspection on the ground. An indicator must be provided at the discharge end of the line to provide a visual indication when the container has discharged.

§ 121.269 Extinguishing agent container compartment temperature.

Precautions must be taken to insure that the extinguishing agent containers are installed in places where reasonable temperatures can be maintained for effective use of the extinguishing system.

§ 121.271 Fire-extinguishing system materials.

(a) Except as provided in paragraph
(b) of this section, each component of a fire-extinguishing system that is in a

designated fire zone must be made of fireproof materials.

(b) Connections that are subject to relative motion between components of the airplane must be made of flexible materials that are at least fire-resistant and be located so as to minimize the probability of failure.

§ 121.273 Fire-detector systems.

Enough quick-acting fire detectors must be provided in each designated fire zone to assure the detection of any fire that may occur in that zone.

8 121,275 Fire detectors.

Fire detectors must be made and installed in a manner that assures their ability to resist, without failure, all vibration, inertia, and other loads to which they may be normally subjected. Fire detectors must be unaffected by exposure to fumes, oil, water, or other fluids that may be present.

§ 121.277 Protection of other airplane components against fire.

(a) Except as provided in paragraph (b) of this section, all airplane surfaces aft of the nacelle diameter on both sides of the nacelle centerline must be made of material that is at least fire resistant.

(b) Paragraph (a) of this section does not apply to tail surfaces lying behind nacelles unless the dimensional configuration of the airplane is such that the tail surfaces could be affected readily by heat, flames, or sparks emanating from a designated fire zone or from the engine compartment of any nacelle.

§ 121.279 Control of engine rotation.

(a) Except as provided in paragraph
(b) of this section, each airplane must have a means of individually stopping and restarting the rotation of any engine in fight.

(b) In the case of turbine engine installations, a means of stopping the rotation need be provided only if the Administrator finds that rotation could leopardize the safety of the airplane.

§ 121.281 Fuel system independence.

(a) Each airplane fuel system must be arranged so that the failure of any one component does not result in the irrecoverable loss of power of more than one engine.

(b) A separate fuel tank need not be provided for each engine if the certificate holder shows that the fuel system incorporates features that provide equivalent safety.

§ 121.283 Induction system ice preven-

A means for preventing the maifunctioning of each engine due to ice accumulation in the engine air induction system must be provided for each airplane.

§ 121.285 Carriage of cargo in passenger compartments.

(a) Except as provided in paragraph
(b) or (c) of this section, no certificate holder may carry cargo in the passenger compartment of an airplane.

(b) Cargo may be carried aft of the foremost seated passengers if it is carried in an approved cargo bin that meets

the following requirements:

(1) The bin must withstand the load factors and emergency landing conditions applicable to the passenger seats of the airplane in which the bin is installed, multiplied by a factor of 1.15, using the combined weight of the bin and the maximum weight of cargo that may be carried in the bin.

(2) The maximum weight of cargo that the bin is approved to carry and any instructions necessary to insure proper weight distribution within the bin must be conspiguously marked on the bin.

(3) The bin may not impose any load on the floor or other structure of the airplane that exceeds the load limita-

tions of that structure.

- (4) The bin must be attached to the seat tracks or to the floor structure of the airplane, and its attachment must withstand the load factors and emergency landing conditions applicable to the passenger seats of the airplane in which the bin is installed, multiplied by either the factor 1.15 or the seat attachment factor specified for the airplane, whichever is greater, using the combined weight of the bin and the maximum weight of cargo that may be carried in the bin.
- (5) The bin may not be installed in a position that restricts access to or use of any required emergency exit, or of the aisle in the passenger compartment.

(6) The bin must be fully enclosed and made of material that is at least flame resistant.

(7) Suitable safeguards must be provided within the bin to prevent the cargo from shifting under emergency landing conditions.

(8) The bin may not be installed in a position that obscures any passenger's view of the "seat belt" sign "no smoking" sign, or any required exit sign, unless an auxiliary sign or other approved means for proper notification of the passenger is provided.

(c) Cargo may be carried forward of the foremost seated passengers if carried either in approved cargo bins as specified in paragraph (b) of this section, or in accordance with the following:

(1) It is properly secured by a safety belt or other tiedown having enough strength to eliminate the possibility of shifting under all normally anticipated flight and ground conditions.

(2) It is packaged or covered in a manner to avoid possible injury to pas-

sengers.

(3) It does not impose any load on seats or the floor structure that exceeds the load limitation for those components.

- (4) Its location does not restrict access to or use of any required emergency or regular exit, or of the aisle in the passenger compartment.
- (5) Its location does not obscure any passenger's view of the "seat belt" sign, "no smoking" sign, or required exit sign, unless an auxiliary sign or other approved means for proper notification of the passenger is provided.

§ 121.287 Carriage of cargo in cargo compartments.

When cargo is carried in cargo compartments that are designed to require the physical entry of a crewmember to extinguish any fire that may occur during flight, the cargo must be loaded so as to allow a crewmember to effectively reach all parts of the compartment with the contents of a hand fire extinguisher.

§ 121.289 Landing gear: aural warning device.

- (a) Except as provided in paragraph (d) of this section, after April 30, 1965, each large landplane must have a landing gear aural warning device that functions continuously:
- (1) For airplanes with an established approach wing-flap position, whenever the wing flaps are extended beyond the maximum certificated approach climb configuration position in the Airplane Flight Manual and the landing gear is not fully extended and locked.
- (2) For airplanes without an established approach climb wing-flap position, whenever the wing flaps are extended beyond the position at which landing gear extension is normally performed and the landing gear is not fully extended and locked.

(b) The warning system required by paragraph (a) of this section—

 May not have a manual shutoff;
 Must be in addition to the throttle-actuated device installed under the type certification airworthiness require-

ments; and

(3) May utilize any part of the throttle-actuated system including the aural warning device.

(c) The flap position sensing unit may be installed at any suitable place in the airplane.

Subpart K—Instrument and Equipment Requirements

§ 121,301 Applicability.

This subpart prescribes instrument and equipment requirements for all certificate holders.

§ 121.303 Airplane instruments and equipment.

- (a) Unless otherwise specified, the instrument and equipment requirements of this subpart apply to all operations under this part.
- (b) Instruments and equipment required by §§ 121.305 through 121.351 must be approved and installed in accordance with the airworthiness requirements applicable to them.
- (c) Each airspeed indicator must be calibrated in knots, and each airspeed limitation and item of related information in the Airplane Flight Manual and pertinent placards must be expressed in knots.
- (d) Except as provided in § 121.627 (b) and (c), no person may take off any airplane unless the following instruments and equipment are in operable condition:
- (1) Instruments and equipment required to comply with airworthiness requirements under which the airplane is

type certificated and as required by \$\ 121.213 through 121.283 and 121.289.

(2) Instruments and equipment specified in §§ 121.305 through 121.321 for all operations, and the instruments and equipment specified in §§ 121.323 through 121.351 for the kind of operation indicated, wherever these items are not already required by subparagraph (1) of this paragraph.

§ 121.305 Flight and navigational equipment.

No person may operate an airplane unless it is equipped with the following flight and navigational instruments and equipment:

(a) An airspeed indicating system with heated pitot tube or equivalent means for preventing malfunctioning

due to icing.

(b) A sensitive altimeter.

(c) A sweep-second hand clock.(d) A free-air temperature indicator.

(e) A gyroscopic bank and pitch indicator (artificial horizon).

(f) A gyroscopic rate-of-turn indicator combined with a slip-skid indicator (turn-and-bank indicator).

(g) A gyroscopic direction indicator (directional gyro or equivalent).

(h) A magnetic compass.

 A vertical speed indicator (rateof-climb indicator).

§ 121.307 Engine instruments.

Unless the Administrator allows or requires different instrumentation for turbine engine powered airplanes to provide equivalent safety, no person may conduct any operation under this part without the following engine instruments:

(a) A carburetor air temperature in-

dicator for each engine.

(b) A cylinder head temperature indicator for each air-cooled engine.

(c) A fuel pressure indicator for each engine.

- (d) A fuel flowmeter or fuel mixture indicator for each engine not equipped with an automatic altitude mixture control.
- (e) A means for indicating fuel quantity in each fuel tank to be used.

 (f) A manifold pressure indicator for
- each engine.
 (g) An oil pressure indicator for each
- (g) An oil pressure indicator for each engine.
- (h) An oil quantity indicator for each oil tank when a transfer or separate oil reserve supply is used.

 An oil-in temperature indicator for each engine.

(j) A tachometer for each engine.

- (k) An independent fuel pressure warning device for each engine or a master warning device for all engines with a means for isolating the individual warning circuits from the master warning device.
- (1) A device for each reversible propeller, to indicate to the pilot when the propeller is in reverse pitch, that complies with the following:
- (1) The device may be actuated at any point in the reversing cycle between the normal low pitch stop position and full reverse pitch, but it may not give an

indication at or above the normal low pitch stop position.

(2) The source of indication must be actuated by the propeller blade angle or be directly responsive to it.

§ 121.309 Emergency equipment.

(a) General. No person may operate an airplane unless it is equipped with the emergency equipment listed in this section.

(b) Each item of emergency equip-

ment

- (1) Must be inspected regularly in accordance with inspection periods established in the operations specifications to insure its continued serviceability and immediate readiness for its intended emergency purposes;
- (2) Must be readily accessible to the

(3) Must clearly indicate its method

of operation; and

(4) When carried in a compartment or container, must have that compartment or container marked as to contents and date of last inspection.

(c) Hand fire extinguishers for crew, passenger, and cargo compariments. Hand fire extinguishers of an approved type must be provided for use in crew, passenger, and cargo compartments in accordance with the following:

(1) The type and quantity of extinguishing agent must be suitable for the kinds of fires likely to occur in the compartment where the extinguisher is in-

tended to be used.

(2) At least one hand fire extinguisher must be provided and conveniently located on the flight deck for use by the

flight crew.

(3) At least one hand fire extinguisher must be conveniently located in the passenger compartment of each airplane accommodating more than 6 but less than 31 passengers, and at least two hand fire extinguishers must be conveniently located in each airplane accommodating more than 30 passengers.

(d) First-aid equipment. Approved first-aid kits for treatment of injuries likely to occur in flight or in minor accidents must be provided and must meet the specifications and requirements of

Appendix A.

(e) Crash ax. Each airplane must be

equipped with a crash ax.

(1) Means for emergency evacuation. Each passenger-carrying airplane must have a means to help occupants descend from the airplane through each emergency exit that is more than six feet from the ground with the landing gear extended. At approved floor level emergency exits, this means must be a chute or equivalent device suitable for rapid evacuation of passengers and must be in position during flight time for immediate installation and ready use. paragraph does not apply if the emergency exit is over a wing and the distance from the lower sill of the exit to the surface of the wing is 36 inches or less. However, this paragraph does not require a means to help the occupants of a passenger-carrying DC-3 airplane in descending from the airplane by way of the rear window emergency exit, unless that airplane is operated with more occupants than are specified in 4 121.291 for DC-3 airplanes with four exits authorized for passenger use.

(g) Interior emergency exit markings. Each passenger-carrying airplane emergency exit, its means of access, and its means of opening, must be conspicuously marked. The identity and location of each emergency exit must be recognizable from a distance equal to the width of the cabin. The location of the emergency exit operating handle and the instructions for opening must be marked on or adjacent to the emergency exit and must be readable from at least 30 inches by a person with normal eyesight.

(h) Lighting for interior emergency exit markings. Each passenger-carrying airplane must have a source or sources of light with an energy supply that is independent of the main lighting system for passenger emergency exit markings. Each light must be designed

(1) Function automatically in a crash landing, to continue functioning thereafter, and to be manually operable; or

(2) Be manually operable only and to continue functioning after a crash landing.

If a light requires manual operation, it must be turned on before each takeoff and landing. If a light requires arming of the system to function automatically the system must be armed before each takeoff and landing.

§ 121.311 Seat and safety belts.

(a) No certificate holder may operate an airplane unless there are available during the takeoff, en route flight, and

(1) An approved seat or berth for each person over 2 years of age aboard the

airplane; and

(2) An approved safety belt for separate use by each person over 2 years of age aboard the airplane, except that two persons occupying a berth may share one approved safety belt and two persons occupying a multiple lounge or divan seat may share one approved safety belt during en route flight only.

(b) During the takeoff or landing of an airplane, each person on board shall occuppy an approved seat or berth and secure himself with the approved safety belt provided him. However, a person who is 2 years of age or less may be held by an adult who is occupying a seat or berth. A safety belt provided for the occupant of a seat may not be used by more than one adult during takeoff or landing.

§ 121.313 Miscellaneous equipment.

No person may conduct any operation unless the following equipment is in-

stalled in the airplane:

(a) If protective fuses are installed on an airplane, the number of spare fuses approved for that airplane and appropriately described in the certificate holder's manual.

(b) A windshield wiper or equivalent for each pilot station.

(c) A power supply and distribution system that meets the requirements of §§ 25.1309, 25.1331, 25.1351(a) and (b) (1) through (4), 25.1353, 25.1355, and 25.1491 (b) or that is able to produce and dis-

tribute the load for the required instruments and equipment, with use of an external power supply if any one power source or component of the power distribution system fails. The use of common elements in the system may be approved if the Administrator finds that they are designed to be reasonably protected against malfunctioning. Engine-driven sources of energy, when used, must be on separate engines.

(d) A means for indicating the adequacy of the power being supplied to required flight instruments.

(e) Two independent static pressure systems, vented to the outside atmospheric pressure so that they will be least affected by air flow variation or moisture or other foreign matter, and installed so as to be airtight except for the vent. When a means is provided for transferring an instrument from its primary operating system to an alternate system, the means must include a positive positioning control and must be marked to indicate clearly which system is being

(f) A means for locking all companionway doors that separate passenger compartments from flight crew compart-

ments

(g) A key for each door that separates a passenger compartment from another compartment that has emergency exit provisions. The key must be readily available for each crewmember.

(h) A placard on each door that is the means of access to a required passenger emergency exit, to indicate that it must be open during takeoff and landing.

(1) A means for the crew, in an emergency to unlock each door that leads to a compartment that is normally accessible to passengers and that can be locked by passengers.

§ 121.315 Cockpit check procedure.

(a) Each certificate holder shall provide an approved cockpit check procedure for each type of aircraft.

(b) The approved procedures must include each item necessary for flight crewmembers to check for safety before starting engines, taking off, or landing, and in engine and systems emergencies. The procedures must be designed so that a flight crewmember will not need to rely upon his memory for items to be checked.

(c) The approved procedures must be readily usable in the cockpit of each aircraft and the flight crew shall follow them when operating the aircraft.

\$ 121.317 Passenger information.

(a) No person may operate an airplane unless it is equipped with signs that are visible to passengers and cabin attendants to notify them when smoking is prohibited and when safety belts should be The signs must be so confastened. structed that the crew can turn them on and off. They must be turned on for each takeoff and each landing and when otherwise considered to be necessary by the pilot in command,

(b) No passenger or cabin attendant may smoke while the no smoking sign is lighted and each passenger shall fasten his seat belt and keep it fastened while the seat belt sign is lighted.

§ 121.319 Exterior exits and evacuation markings.

No person may operate an airplane unless the exterior surfaces of the airplane are marked to clearly identify each required emergency exit. If the exits are operable from the outside, the markings must consist of or include information indicating the method of opening.

§ 121.321 Shoulder harness.

No person may operate a transport category airplane that was certificated after January 1, 1958, unless it is equipped with a shoulder harness at the pilot in command station, the second in command station, and the flight engineer station.

§ 121.323 Instruments and equipment for operations at night.

No person may operate an airplane at night unless it is equipped with the following instruments and equipment in addition to those required by §§ 121.305 through 121.321:

(a) Position lights.

(b) An anti-collision light, for large airplanes.

(c) Two landing lights.

- (d) Instrument 1 ights providing enough light to make each required instrument, switch, or similar instrument, easily readable and installed so that the direct rays are shielded from the flight crewmembers' eyes and that no objectionable reflections are visible to them. There must be a means of controlling the intensity of illumination unless it is shown that nondimming instrument lights are satisfactory.
- (e) An airspeed-indicating system with heated pitot tube or equivalent means for preventing malfunctioning due to icing.
 - (f) A sensitive altimeter.

§ 121.325 Instruments and equipment for operations under IFR or over-thetop:

No person may operate an airplane under IFR or over-the-top conditions unless it is equipped with the following instruments and equipment, in addition to those required by §§ 121.305 through 121.321:

- (a) An airspeed indicating system with heated pitot tube or equivalent means for preventing malfunctioning due to icing.
 - (b) A sensitive altimeter.
- (c) Instrument lights providing enough light to make each required instrument, switch, or similar instrument, easily readable and so installed that the direct rays are shielded from the flight crewmembers' eyes and that no objectionable reflections are visible to them, and a means of controlling the intensity of illumination unless it is shown that nondimming instrument lights are satisfactory.

§ 121.327 Supplemental oxygen; reciprocating engine powered airplanes.

(a) General. Except where supplemental oxygen is provided in accordance with § 121.331, no person may operate an airplane unless supplemental oxygen is furnished and used as set forth in paragraphs (b) and (c) of this section.

The amount of supplemental oxygen required for a particular operation is determined on the basis of flight altitudes and flight duration, consistent with the operation procedures established for each operation and route.

(b) Crewmembers. (1) At cabin pressure altitudes above 10,000 feet up to and including 12,000 feet, oxygen must be provided for, and used by, each member of the flight crew on flight deck duty, and must be provided for other crewmembers, for that part of the flight at those altitudes that is of more than 30

minutes duration.

(2) At cabin pressure altitudes above 12,000 feet, oxygen must be provided for, and used by, each member of the flight crew on flight deck duty, and must be provided for other crewmembers, during the entire flight time at those altitudes.

- (3) When a flight crewmember is required to use oxygen, he must use it continuously, except when necessary to remove the oxygen mask or other dispenser in connection with his regular duties. Standby crewmembers who are on call or are definitely going to have flight deck duty before completing the flight must be provided with an amount of supplemental oxygen equal to that provided for crewmembers on duty other than on flight deck duty. If a standby crewmember is not on call and will not be on flight deck duty during the remainder of the flight, he is considered to be a passenger for the purposes of supplemental oxygen requirements.
- (c) Passengers. Each certificate holder shall provide a supply of oxygen, approved for passenger safety, in accordance with the following:
- (1) For flights of more than 30 minutes duration at cabin pressure altitudes above 8,000 feet up to and including 14,000 feet, enough oxygen for 30 minutes for 10 percent of the passengers.
- (2) For flights at cabin pressure altitudes above 14,000 feet up to and including 15,000 feet, enough oxygen for that part of the flight at those altitudes for 30 percent of the passengers.

(3) For flights at cabin pressure altitudes above 15,000 feet, enough oxygen for each passenger carried during the entire flight at those altitudes.

(d) For the purposes of this subpart "cabin pressure altitude" means the pressure altitude corresponding with the pressure in the cabin of the airplane, and "flight altitude" means the altitude above sea level at which the airplane is operated. For airplanes without pressurized cabins, "cabin pressure altitude" and "flight altitude" mean the same thing.

§ 121.329 Supplemental oxygen for sustenance; turbine engine powered airplanes.

- (a) General. When operating a turbine engine powered airplane, each certificate holder shall equip the airplane with sustaining oxygen and dispensing equipment for use as set forth in this section:
- (1) The amount of oxygen provided must be at least the quantity necessary to comply with paragraphs (b) and (c) of this section.

(2) The amount of sustaining and first-aid oxygen required for a particular operation to comply with the rules in this part is determined on the basis of cabin pressure altitudes and flight duration, consistent with the operating procedures established for each operation and route.

(3) The requirements for airplanes with pressurized cabins are determined on the basis of cabin pressure altitude and the assumption that a cabin pressurization failure will occur at the altitude or point of flight that is most critical from the standpoint of oxygen need, and that after the failure the airplane will descend in accordance with the emergency procedures specified in the Airplane Flight Manual, without exceeding its operating limitations, to a flight altitude that will allow successful termination of the flight.

(4) Following the failure, the cabin pressure altitude is considered to be the same as the flight altitude unless it is shown that no probable failure of the cabin or pressurization equipment will result in a cabin pressure altitude equal to the flight altitude. Under those circumstances, the maximum cabin pressure altitude attained may be used as a basis for certification or determination of oxygen supply, or both.

(b) Crewmembers. Each certificate holder shall provide a supply of oxygen for crewmembers in accordance with the

following:

(1) At cabin pressure altitudes above 10,000 feet, up to and including 12,000 feet, oxygen must be provided for and used by each member of the flight crew on flight deck duty and must be provided for other crewmembers for that part of the flight at those altitudes that is of more than 30 minutes duration.

(2) At cabin pressure altitudes above 12,000 feet, oxygen must be provided for, and used by, each member of the flight crew on flight deck duty, and must be provided for other crewmembers during the entire flight at those altitudes.

- (3) When a flight crewmember is required to use oxygen, he must use it continuously except when necessary to remove the oxygen mask or other dispenser in connection with his regular duties. Standby crewmembers who are on call or are definitely going to have flight deck duty before completing the flight must be provided with an amount. of supplemental oxygen equal to that provided for crewmembers on duty other than on flight duty. If a standby crew-member is not on call and will not be on flight deck duty during the remainder of the flight, he is considered to be a passenger for the purposes of supplemental oxygen requirements.
- (c) Passengers. Each certificate holder shall provide a supply of oxygen for passengers in accordance with the following:
- (1) For flights at cabin pressure altitudes above 10,000 feet, up to and including 14,000 feet, enough oxygen for that part of the flight at those altitudes that is of more than 30 minutes duration, for 10 percent of the passengers.
- (2) For flights at cabin pressure altitudes above 14,000 feet, up to and includ-

ing 15,000 feet, enough oxygen for that part of the flight at those altitudes for

30 percent of the passengers.

(3) For flights at cabin pressure altitudes above 15,000 feet, enough oxygen for each passenger carried during the entire flight at those altitudes.

- § 121.331 Supplemental oxygen require-ments for pressurized cabin air-planes: reciprocating engine powcred airplanes.
- (a) When operating a reciprocating engine powered airplane pressurized cabin, each certificate holder shall equip the airplane to comply with paragraphs (b) through (d) of this section in the event of cabin pressurization failure.
- (b) For crewmembers. When operating at flight altitudes above 10,000 feet, the certificate holder shall provide enough oxygen for each crewmember for the entire flight at those altitudes and not less than a two-hour supply for each flight crewmember on flight deck duty. The oxygen required by § 121.337 may be considered in determining the supplemental breathing supply required for flight crewmembers on flight deck duty in the event of cabin pressurization failure.

(c) For passengers. When operating at flight altitudes above 8,000 feet, the certificate holder shall provide oxygen as follows:

(1) When an airplane is not flown at a flight altitude above flight level 250, enough oxygen for 30 minutes for 10 percent of the passengers, if at any point along the route to be flown the airplane can safely descend to a flight altitude of 14,000 feet or less within four minutes.

(2) If the airplane cannot descend to a flight altitude of 14,000 feet or less within four minutes, the following supply

of oxygen must be provided:

(1) For that part of the flight that is more than four minutes duration at flight altitudes above 15,000 feet, the supply required by \$ 121,327(c) (3).

(ii) For that part of the flight at flight altitudes above 14,000 feet, up to and including 15,000 feet, the supply required

by § 121.327(c) (2).

(iii) For flight at flight altitudes above 8,000 feet up to and including 14,000 feet, enough oxygen for 30 minutes for 10 per-

cent of the passengers.

- (3) When an airplane is flown at a flight altitude above flight level 250, enough oxygen for 30 minutes for 10 percent of the passengers for the entire flight (including emergency descent) above 8,000 feet, up to and includ-ing 14,000 feet, and to comply with \$ 121.327(c) (2) and (3) for flight above 14,000 feet.
- (d) For the purposes of this section it is assumed that the cabin pressurization fallure occurs at a time during flight that is critical from the standpoint of oxygen need and that after the failure the airplane will descend, without exceeding its normal operating limitations, to flight altitudes allowing safe flight with respect to terrain clearance.

1.333 Supplemental oxygen for emergency descent and for first aid; \$ 121.333 Supplemental turbine engine powered airplanes with pressurized cabins.

(a) General. When operating a turbine engine powered airplane with a pressurized cabin, the certificate holder shall furnish oxygen and dispensing equipment to comply with paragraphs (b) through (e) of this section in the event of cabin pressurization failure.

(b) Crewmembers. When operating at flight altitudes above 10,000 feet, the certificate holder shall supply enough oxygen to comply with § 121.329, but not less than a two-hour supply for each flight crewmember on flight deck duty. The oxygen required in the event of cabin pressurization failure by § 121.337 may be included in determining the supply required for flight crewmembers on

flight deck duty.

(c) Use of oxygen masks by flight crewmembers. (1) When operating at flight altitudes above flight level 250, each flight crewmember on flight deck duty must be provided with an oxygen mask so designed that it can be rapidly placed on his face from its ready position, properly secured, sealed, and supplying oxygen upon demand; and so designed that after being placed on the face it does not prevent immediate communication between the flight crewmember and other crewmembers over the airplane intercommunication system. When it is not being used at flight altitudes above flight level 250, the oxygen mask must be kept in condition for ready use and located so as to be within the immediate reach of the flight crewmember while at his duty station.

(2) When operating at flight altitudes above flight level 250, one pilot at the controls of the airplane shall at all times wear and use an oxygen mask secured, sealed, and supplying oxygen, except that the one pilot need not wear and use an oxygen mask while at or below flight level 350 if each flight crewmember on flight deck duty has a quick-donning type of oxygen mask that the certificate holder has shown can be placed on the face from its ready position, properly secured, sealed, and supplying oxygen upon demand, with one hand and within five seconds. The certificate holder shall also show that the mask can be put on without disturbing eye glasses and without delaying the flight crewmember from proceeding with his assigned emergency duties. The oxygen mask after being put on must not prevent immediate communication between the flight crewmember and other crewmembers over the airplane intercommunication system.

(3) Notwithstanding subparagraph (2) of this paragraph, if for any reason at any time it is necessary for one pilot to leave his station at the controls of the airplane when operating at flight altitudes above flight level 250, the remaining pilot at the controls shall put on and use his oxygen mask until the other pilot has returned to his duty station.

(4) Before the takeoff of a flight, each flight crewmember shall personally preflight his oxygen equipment to insure that the oxygen mask is functioning, fitted properly, and connected to appropriate supply terminals, and that the oxygen supply and pressure are adequate for use.

(d) Use of portable oxygen equipment by cabin attendants. Each attendant shall, during flight above flight level 250 flight altitude, carry portable oxygen equipment with at least a 15-minute supply of oxygen unless it is shown that enough portable oxygen units with masks or spare outlets and masks are distributed throughout the cabin to insure immediate availability of oxygen to each cabin attendant, regardless of his location at the time of cabin depressurization.

(e) Passenger cabin occupants. When the airplane is operating at flight altitudes above 10,000 feet, the following supply of oxygen must be provided for the use of passenger cabin occupants:

(1) When an airplane certificated to operate at flight altitudes up to and including flight level 250, can at any point along the route to be flown, descend safely to a flight altitude of 14,000 feet or less within four minutes, oxygen must be available at the rate prescribed by this Part for a 30-minute period for at least 10 percent of the passenger cabin occu-

(2) When an airplane is operated at flight altitudes up to and including flight level 250 and cannot descend safely to a flight altitude of 14,000 feet within four minutes, or when an airplane is operated at flight altitudes above flight level 250, oxygen must be available at the rate prescribed by this part for not less than 10 percent of the pasenger cabin occupants for the entire flight after cabin depressurization, at cabin pressure altitudes above 10,000 feet up to and including 14,000 feet and, as applicable, to allow compliance with § 121.329(c) (2) and (3), except that there must be not less than a 10-minute supply for the passenger cabin occupants.

(3) For first-aid treatment of occupants who for physiological reasons might require undiluted oxygen following descent from cabin pressure altitudes above flight level 250, a supply of oxygen in accordance with the requirements of § 25.1443(d) must be provided for two percent of the occupants for the entire flight after cabin depressurization at cabin pressure altitudes above 8.000 feet. but in no case to less than one person. An appropriate number of acceptable dispensing units, but in no case less than two, must be provided, with a means for the cabin attendants to use this supply.

(f) Passenger briefing. Before flight is conducted above flight level 250, a crewmember shall instruct the passengers on the necessity of using oxygen in the event of cabin depressurization and shall point out to them the location and demonstrate the use of the oxygen-dispensing equipment.

§ 121.335 Equipment standards.

(a) Reciprocating engine powered airplanes. The oxygen apparatus, the minimum rates of oxygen flow, and the supply of oxygen necessary to comply with § 121.327 must meet the standards established in § 4b.651 of the Civil Air Regulations as in effect on July 20, 1950, except that if the certificate holder shows full compliance with those standards to be impracticable, the Administrator may authorize any change in those standards that he finds will provide an equivalent level of safety.

(b) Turbine engine powered airplanes. The oxygen apparatus, the minimum rate of oxygen flow, and the supply of oxygen necessary to comply with §§ 121.—329 and 121.333 must meet the standards established in § 4b.651 of the Civil Air Regulations as in effect on September 1, 1958, except that if the certificate holder shows full compliance with those standards to be impracticable, the Administrator may authorize any changes in those standards that he finds will provide an equivalent level of safety.

§ 121.337 Protective breathing equipment for the flight crew.

(a) Pressurized cabin airplanes. Each required flight crewmember on flight deck duty must have readily available at his station protective breathing equipment covering the eyes, nose, and mouth (or the nose and mouth if accessory equipment is provided to protect the eyes) to protect him from the effects of smoke or carbon dioxide or other harmful gases. There must be at least a 300-liter standard temperature and pressure dry supply of oxygen for each required flight crewmember on flight deck duty. (Standard temperature and pressure dry oxygen at 0° centerade 750 mm Hg.)

gen at 0° centigrade, 760 mm. Hg.)
(b) Nonpressurized cabin airplanes:
general. The requirements of paragraph
(a) of this section apply to nonpressurized cabin airplanes if the Administrator
finds that it is possible to obtain a
dangerous concentration of smoke or
carbon dioxide or other harmful gases in
the flight crew compartments in any attitude of flight that might occur when
the airplane is flown in accordance with
either normal or emergency procedures.

(c) Nonpressurized cabin airplanes with built-in carbon dioxide fire extinguisher system in fuselage compartment. Each certificate holder operating a nonpressurized cabin airplane that has a built-in carbon dioxide fire extinguisher system in a fuselage compartment shall provide protective breathing equipment for the flight crew, except where—

(1) Not more than five pounds of carbon dioxide would be discharged into any compartment in accordance with established fire control procedures; or

(2) The carbon dioxide concentration at each flight crew station has been determined in accordance with § 25.1197 and has been found to be less than three percent by volume (corrected to standard sea-level conditions).

§ 121.339 Equipment for extended overwater operations.

(a) Except where the Administrator,

by amending the operations specifications of the certificate holder, requires the carriage of all or any specific items of the equipment listed below for any overwater operation, or upon application of the certificate holder, the Administrator allows deviation for a particular extended overwater operation, no person may operate an airplane in extended overwater operations without having on the airplane the following equipment:

(1) A life preserver equipped with an approved survivor locator light, for each

occupant of the airplane.

(2) Enough liferafts (each equipped with an approved survivor locator light) of a rated capacity and buoyancy to accommodate the occupants of the airplane.

(3) Suitable pyrotechnic signaling devices.

(4) One self-buoyant, water-resistant, portable emergency radio signaling device, that is capable of transmission on the appropriate emergency frequency or frequencies, and not dependent upon the simples power supply.

airplane power supply.

(b) The required life rafts, life preservers, and signaling devices must be easily accessible in the event of a ditching without appreciable time for preparatory procedures. This equipment must be installed in conspicuously marked approved locations.

(c) A survival kit, appropriately equipped for the route to be flown, must be attached to each required life raft.

§ 121.341 Equipment for operations in icing conditions.

(a) Unless an airplane is certificated under the transport category airworthiness requirements relating to ice protection, no person may operate an airplane in icing conditions unless it is equipped with means for the prevention or removal of ice on windshields, wings, empennage, propellers, and other parts of the airplane where ice formation will adversely affect the safety of the airplane.

(b) No person may operate an airplane in icing conditions at night unless means are provided for illuminating or otherwise determining the formation of ice on the parts of the wings that are critical from the standpoint of ice accumulation. Any illuminating that is used must be of a type that will not cause glare or reflection that would handicap crewmembers in the performance of their duties.

§ 121.343 Flight recorders.

(a) No person may operate any of the following airplanes unless it is equipped with an approved flight recorder that records at least time, altitude, air speed, vertical acceleration, and heading:

(1) A large airplane that is certificated for operations above 25,000 feet altitude:

• (2) Any large turbine engine powered airplane.

(b) Whenever an approved flight recorder is installed, it must be operated continuously from the instant the airplane begins the takeoff roll until it has completed the landing roll at an airport.

(c) Each certificate holder shall keep the recorded information for at least 60

days and for a longer period upon the request of the Administrator or the Civil Aeronautics Board for a particular flight or series of flights.

§ 121.345 Radio equipment.

(a) No person may operate an airplane unless it is equipped with radio equipment required for the kind of opperation being conducted.

(b) Where two independent (separate and complete) radio systems are required by §§ 121.347 and 121.349, each system must have an independent antenna installation except that, where rigidly supported nonwire antennas or other antenna installations of equivalent reliability are used, only one antenna is required.

§ 121.347 Radio equipment for operations under VFR over routes navigated by pilotage.

(a) No person may operate an airplane under VFR over routes that can be navigated by pilotage, unless it is equipped with the radio equipment necessary under normal operating conditions to fulfill the following:

(1) Communicate with at least one appropriate ground station from any

point on the route.

(2) Communicate with appropriate traffic control facilities from any point in the control zone within which flights are intended.

(3) Receive meteorological information from any point en route by either of two independent systems. One of the means provided to comply with this subparagraph may be used to comply with subparagraphs (1) and (2) of this paragraph.

(b) No person may operate an airplane at night under VFR over routes than can be navigated by pilotage unless that airplane is equipped with the radio equipment necessary under normal operating conditions to fulfill the functions specified in paragraph (a) of this section and to receive radio navigational signals applicable to the route flown, except that a marker beacon receiver or ILS receiver is not required.

§ 121.349 Radio equipment for operations under VFR over routes not navigated by pilotage or for operations under IFR or over-the-top.

(a) No person may operate an airplane under VFR over routes that cannot be navigated by pilotage or for operations conducted under IFR or over-the-top, unless the airplane is equipped with that radio equipment necessary under normal operating conditions to fulfill the functions specified in § 121.347(a) and to receive satisfactorily by either of two independent systems, radio navigational signals from all primary en route and approach navigational facilities intended to be used. However, only one marker beacon receiver providing visual and aural signals and one ILS receiver need be provided. Equipment provided to receive signals en route may be used to receive signals on approach, if it is capable of receiving both signals.

(b) In the case of operation over routes on which navigation is based on

low frequency radio range or automatic for the number of occupants of the airdirection finding, only one low frequency radio range or ADF receiver need be installed if the airplane is equipped with two VOR receivers, and VOR navigational aids are so located and the airplane is so fueled that, in the case of failure of the low frequency radio range receiver or ADF receiver, the flight may proceed safely to a suitable airport, by means of VOR aids, and complete an instrument approach by use of the remaining airplane radio system.

(c) Whenever VOR navigational receivers are required by paragraph (a) or (b) of this section, at least one approved distance measuring equipment unit (DME), capable of receiving and indicating distance information from VOR-TAC facilities, must be installed on each airplane when operated within the 48 contiguous States and the District of Columbia at and above 24,000 feet MSL and must be installed on each of the following airplanes, regardless of the altitude flown, when operating within the 48 contignous States and the District of Colum-

bia after the indicated dates.

(1) Turbojet airplanes—June 30, 1963.(2) Turboprop airplanes—December 31, 1963.

(3) Pressurized reciprocating engine airplanes-June 30, 1964.

(4) Other large airplanes-June 30, 1965.

- (d) If the distance measuring equipment (DME) becomes inoperative en route, the pilot shall notify ATC of that failure as soon as it occurs.
- § 121.351 Radio equipment for extended overwater operations and for certain other operations.
- (a) No person may conduct an extended overwater operation unless the airplane is equipped with the radio equipment necessary to comply with 121.349 and an independent system that complies with § 121.347(a) (1).
- (b) No flag or supplemental air carrier or commercial operator may conthat an operation without the equipment specified in paragraph (a) of this section, if the Administrator finds that equipment to be necessary for search and rescue operations because of the nature of the terrain to be flown over.
- § 121.353 Equipment for operations over uninhabited terrain areas: flag and supplemental air carriers and commercial operators.

Unless it has the following equipment, no flag or supplemental air carrier or commercial operator may conduct an operation over an uninhabited area or any other area that (in its operations specifications) the Administrator specifies requires equipment for search and rescue in case of an emergency:

(a) Suitable pyrotechnic signaling

(b) One self-buoyant, water-resistant. portable emergency radio signaling device capable of transmission on the appropriate emergency frequency or frequencies and not dependent upon the airplane power supply.

(c) Enough survival kits, appropriately equipped for the route to be flown,

plane.

§ 121.355 Equipment for operations on which specialized means of naviga-tion are required; flag and supplemental air carriers and commercial operators.

No flag or supplemental air carrier or commercial operator may conduct an operation for which specialized means of navigation are required unless it shows that adequate airborne equipment is provided for the specialized navigation authorized for the particular route to be operated.

§ 121.357 Airborne weather radar equipment requirements: passenger-carrying airplanes.

(a) No person may operate any airplane certificated under the transport category rules (except C-46 type airplanes), in passenger-carrying operations, unless approved airborne weather radar equipment has been installed in the

(b) Each person operating a transport category airplane with approved airborne weather radar installed shall, when using it in passenger operations under this Part, operate it in accordance with the

following:

- (1) Dispatch. No person may dispatch an airplane (or begin the flight of an airplane in the case of an air carrier or commercial operator that does not use a dispatch system) under IFR or night VFR conditions when current weather reports indicate that thunderstorms, or other potentially hazardous weather conditions that can be detected with airborne weather radar, may reasonably be expected along the route to be flown, unless the airborne weather radar equipment is in satisfactory operating condi-
- (2) If the airborne weather radar becomes inoperative en route, the airplane must be operated in accordance with the approved instructions and procedures specified in the operations manual for such an event.
- (c) This section does not apply to airplanes used solely within the State of Hawaii or within the State of Alaska and that part of Canada west of longitude 130 degrees W, between latitude 70 degrees N, and latitude 53 degrees N, or during any cargo only, training, test, or ferry flight.
- (d) Notwithstanding any other provision of this chapter, an alternate electrical power supply is not required for airborne weather radar equipment.

§ 121.359 Cockpit voice recorders.

(a) No certificate holder may operate any of the following airplanes after the listed date unless an approved cockpit voice recorder is installed in that airplane and is operated continuously from the start of the use of the checklist (before starting engines for the purpose of flight), to completion of the final checklist at the termination of the flight:

(1) Large turbine engine powered air-

planes-June 30, 1966.

(2) Large pressurized airplanes with four reciprocating engines—December 31, 1966.

(b) Each certificate holder shall establish a schedule for completion, before the prescribed dates, of the cockpit voice recorder installations required by paragraph (a) of this section. In addition the certificate holder shall identify any airplane specified in paragraph (a) of this section he intends to discontinue using before the prescribed dates.

(c) Each cockpit voice recorder must be installed in accordance with the requirements of Part 25 of this chapter.

(d) In complying with this section an approved cockpit voice recorder having an erasure feature may be used, so that at any time during the operation of the recorder, information recorded more than 30 minutes earlier may be erased or otherwise obliterated.

(e) In the event of an accident or occurrence requiring immediate notification of the Civil Aeronautics Board under Part 320 of its regulations, the certificate holder shall keep the recorded information for at least 60 days or, if requested by the Administrator or the Board, for a longer period. Information obtained from the record is used to assist in determining the cause of accidents or occurrences in connection with investigations under Part 320. The Administrator does not use the record in any civil penalty or certificate action.

Subpart L-Maintenance, Preventive Maintenance, and Alterations

§ 121.361 Applicability.

This subpart prescribes requirements for maintenance, preventive maintenance, and alterations for all certificate holders.

§ 121.363 Responsibility for airworthiness.

(a) Each certificate holder is primarily responsible for-

(1) The airworthiness of its aircraft. including airframes, aircraft engines, propellers, appliances, and parts thereof: and

(2) The performance of the maintenance, preventive maintenance, and alteration of its aircraft, including airframes, aircraft engines, propellers, or appliances, and parts thereof, in accordance with its manual and the regulations of this chapter.

(b) A certificate holder may make arrangements with another person for the performance of any maintenance, preventive maintenance, or alterations. However, this does not relieve the certificate holder of the responsibility specified in paragraph (a) of this section.

§ 121.365 Maintenance, preventive maintenance, and alteration organization.

- (a) Each certificate holder that performs any of its maintenance (other than required inspections), preventive maintenance, or alterations, and each person with whom it arranges for the performance of that work must have an organization adequate to perform the work.
- (b) Each certificate holder that performs any inspections required by its manual (in this subpart referred to as "required inspections") and each person

with whom it arranges for the performance of that work must have an organization adequate to perform that work.

(c) Each person performing required inspections in addition to other maintenance, preventive maintenance, or alterations, shall organize the performance of those functions so as to separate the required inspection functions from the other maintenance, preventive maintenance, and alteration functions. The separation shall be below the level of administrative control at which overall responsibility for the required inspection functions and other maintenance, preventive maintenance, and alteration functions are exercised.

§ 121.367 Maintenance, presentive maintenance, and alterations pro-

Each certificate holder shall have an inspection program and a program covering other maintenance, preventive maintenance, and alterations that ensures that...

- (a) Maintenance, preventive maintenance, and alterations performed by it, or by other persons, are performed in accordance with the certificate holder's manual;
- (b) Competent personnel and adequate facilities and equipment are provided for the proper performance of maintenance, preventive maintenance, and alterations; and
- (c) Each aircraft released to service is airworthy and has been properly maintained for operation in air transportation.

§ 121.369 Manual requirements.

(a) The certificate holder shall put in its manual a chart or description of the certificate holder's organization required by § 121.365 and a list of persons with whom it has arranged for the performance of any of its required inspections, other maintenance, preventive maintenance, or alterations, including a general description of that work.

(b) The certificate holder's manual must contain the programs required by \$121.367 that must be followed in performing maintenance, preventive maintenance, and alterations of that certificate holder's airplanes, including airframes, aircraft engines, propellers, appliances, and parts thereof, and must include at least the following:

 The method of performing routine and nonroutine maintenance (other than required inspections), preventive main-

tenance, and alterations.

(2) A designation of the items of maintenance and alteration that must be inspected (required inspections), including at least those that could result in a failure, malfunction, or defect endangering the safe operation of the aircraft, if not performed properly or if improper parts or materials are used.

(3) The method of performing required inspections and a designation by occupational title of personnel authorized to perform each required inspection.

(4) Procedures for the reinspection of work performed pursuant to previous required inspection findings ("buy-back procedures").

(5) Procedures, standards, and limits necessary for required inspections and acceptance or rejection of the items required to be inspected and for periodic inspection and calibration of precision tools, measuring devices, and test equipment.

(6) Procedures to ensure that all required inspections are performed.

(7) Instructions to prevent any person who performs any item of work from performing any required inspection of that

(6) Instructions and procedures to prevent any decision of an inspector, regarding any required inspection from being countermanded by persons other than supervisory personnel of the inspection unit, or a person at that level of administrative control that has overall responsibility for the management of both the required inspection functions and the other maintenance, preventive maintenance, and alterations functions.

(9) Procedures to ensure that required inspections, other maintenance, preventive maintenance, and alterations that are not completed as a result of shift changes or similar work interruptions are properly completed before the aircraft is released to service.

§ 121.371 Required inspection personnel.

(a) No person may use any person to perform required inspections unless the person performing the inspection is appropriately certified, properly trained, qualified, and authorized to do so.

(b) No person may allow any person to perform a required inspection unless, at that time, the person performing that inspection is under the supervision and control of an inspection unit.

(c) No person may perform a required inspection if he performed the item of

work required to be inspected.

(d) Each certificate holder shall maintain, or shall determine that each person with whom it arranges to perform its required inspections maintains, a current listing of persons who have been trained, qualified, and authorized to conduct required inspections. The persons must be identified by name, occupational title, and the inspections that they are authorized to perform. The certificate holder (or person with whom it arranges to perform its required inspections) shall give written information to each person so authorized describing the extent of his responsibilities, authorities, and inspectional limitations. The list shall be made available for inspection by the Administrator upon request.

§ 121.373 Continuing analysis and surveillance.

(a) Each certificate holder shall establish and maintain a system for the continuing analysis and surveillance of the performance and effectiveness of its inspection program and the program covering other maintenance, preventive maintenance, and alterations and for the correction of any deficiency in those programs, regardless of whether those programs are carried out by the certificate holder or by another person,

(b) Whenever the Administrator finds that either or both of the programs described in paragraph (a) of this paragraph does not contain adequate procedures and standards to meet the requirements of this Part, the certificate holder shall, after notification by the Administrator, make any changes in those programs that are necessary to meet those requirements.

(c) A certificate holder may petition the Administrator to reconsider the notice to make a change in a program. The petition must be filed with the FAA air Carrier District Office charged with the overall inspection of the certificate holder's operations within 30 days after the certificate holder receives the notice. Except in the case of an emergency requiring immediate action in the interest of safety, the filing of the petition stays the notice pending a decision by the Administrator.

§ 121.375 Maintenance and preventive maintenance training program.

Each certificate holder or person performing maintenance or preventive maintenance functions for it shall have a training program to ensure that each person (including inspection personnel) who determines the adequacy of work done is fully informed about procedures and techniques and new equipment in use and is competent to perform his duties.

§ 121.377 Maintenance and preventive maintenance personnel duty time limitations.

Within the United States, each certificate holder (or person performing maintenance or preventive maintenance functions for it) shall relieve each person performing maintenance or preventive maintenance from duty for a period of at least 24 consecutive hours during any seven consecutive days, or the equivalent thereof within any one calendar month.

§ 121.378 Certificate requirements.

(a) Each person who is directly in charge of maintenance, preventive maintenance, or alteration, and each person performing required inspections must hold an appropriate airman certificate.

(b) For the purposes of this section, a person "directly in charge" is each person assigned to a position in which he is responsible for the work of a shop or station that performs maintenance, preventive maintenance, alterations, or other functions affecting aircraft airworthiness. A person who is "directly in charge" need not physically observe and direct each worker constantly but must be available for consultation and decision on matters requiring instruction or decision from higher authority than that of the persons performing the work.

§ 121.379 Authority to perform and approve maintenance, preventive maintenance and alterations.

(a) A certificate holder may perform maintenance, preventive maintenance, and alterations as provided in its continuous airworthiness maintenance program and its maintenance manual. In addition, an air carrier may perform these functions for another air carrier

as provided in the continuous airworthiness maintenance program and maintenance manual of the other air carrier.

(b) A certificate holder may approve any aircraft, airframe, aircraft engine, propeller, or appliance for return to service after maintenance, preventive maintenance, or alterations that it performed under paragraph (a) of this section. However, in the case of a major repair or major alteration, the work must have been done in accordance with technical data approved by the Administrator.

Subpart M—Airman and Crewmember Requirements

§ 121.381 Applicability.

This subpart prescribes airman and crewmember requirements for all certificate holders.

§ 121.385 Airman: limitations on use of

- (a) No certificate holder may use a person as an airman unless that person—
- (1) Holds an appropriate current airman certificate issued by the FAA;
- (2) Has any required appropriate current airman and medical certificates in his possession while engaged in operations under this part; and

(3) Is otherwise qualified for the operation for which he is to be used.

- (b) Each airman covered by paragraph, (a) (2) of this section shall present either or both certificates for inspection upon the request of the Administrator.
- (c) No certificate holder may use the services of any person as a pilot on an airplane engaged in operations under this part if that person has reached his 60th birthday. No person may serve as a pilot on an airplane engaged in operations under this part if that person has reached his 60th birthday.

§ 121.385 Composition of flight crew.

(a) No certificate holder may operate an aircraft with less than the minimum flight crew in the airworthiness certificate or the aircraft Flight Manual approved for that type aircraft and required by this part for the kind of operation being conducted.

(b) In any case in which this part requires the performance of two or more functions for which an airman certificate is necessary, that requirement is not satisfied by the performance of multiple functions at the same time by one air-

men.

(c) The following minimum pilot

crews apply:

(1) Domestic air carriers. If a domestic air carrier is authorized to operate under IFR, or if it operates large aircraft, the minimum pilot crew is two pilots and the air carrier shall designate one pilot as pilot in command and the other-second in command.

(2) Flag air carriers. If a flag air carrier is authorized to operate under IFE, or if it operates large aircraft, the minimum pilot crew is two pilots.

(3) Supplemental air carriers and commercial operators. If a supplemental air carrier or commercial operator is authorized to operate helicopters under IFR, or if it operates large aircraft, the

minimum pilot crew is two pilots and the supplemental air carrier or commercial operator shall designate one pilot as pilot in command and the other second in command.

(d) On each flight requiring a flight engineer at least one flight crewmember, other than the flight engineer, must be qualified to provide emergency performance of the flight engineer's functions for the safe completion of the flight if the flight engineer becomes ill or is otherwise incapacitated. A pilot need not hold a flight engineer's certificate to perform the flight engineer's functions in such a situation.

§ 121.387 Flight engineer.

(a) No certificate holder may operate an airplane having a maximum certificated takeoff weight of more than 80,000 pounds without a flight crewmember holding a current flight engineer certificate.

(b) Such a flight crewmember is also required on each four-engine airplane having a maximum certificated takeoff weight of more than 30,000 pounds, if the Administrator determines that the design of the airplane or the kind of operation requires a flight engineer for safe operation.

§ 121.389 Flight navigator: flag and supplemental air carriers and commercial operators.

- (a) No flag or supplemental air carrier or commercial operator may operate an airplane over any area, route, or route segment that is outside the 48 contiguous States and the District of Columbia, without a flight crewmember holding a current flight navigator certificate, whenever the Administrator determines that celestial navigation is necessary or other specialized means of navigation necessary to obtain a reliable fix for the safety of the flight cannot be adequately accomplished from the pilot station for a period of more than one hour. However, the Administrator may also require certificated flight navigator when those specialized means of navigation are necessary for one hour or less. In making that determination the Administrator considers-
 - (1) The speed of the airplane;
- (2) Normal weather conditions en route:
 - (2) Extent of air traffic control:

(4) Traffic congestion;

(6) Area of land at destination;

(6) Fuel requirements;

- (7) Fuel available for return to point of departure or alternates; and
- (8) Predication of flight upon operation beyond the point-of-no-return.
- (b) The areas, routes, or route segments over which a navigator is required are specified in the operations specifications of the air carrier or commercial operator.

§ 121.391 Flight attendants: domestic air carrière.

Each domestic air carrier conducting a passenger operation shall provide at least one flight attendant on each airplane with a capacity of more than nine passengers.

§ 121.393 Flight attendants: flag and supplemental air carriers and commercial operators.

(a) Except as provided in paragraph
(b) of this section, each flag and supplemental air carrier and each commercial operator conducting a passenger operation shall provide at least the following flight attendants on each airplane used:

(1) For airplanes having a seating capacity of at least 10 but less than 45 passengers—one flight attendant.

(2) For airplanes having a seating capacity of at least 45 but less than 101 passengers—two flight attendants.

(3) For airplanes having a seating capacity of more than 100 passengers—

three flight attendants.

- (b) Upon application by the air carrier or commercial operator, the Administrator may approve the use of an airplane in a particular operation with less than the number of flight attendants required by paragraph (a) of this section, if the air carrier or commercial operator shows that, based on the following, safety and emergency procedures and functions established under § 121.397 for the particular type of airplane and operation can be adequately performed by fewer flight attendants:
 - (1) Kind of operation.
 - (2) The number of passenger seats.
 - (3) The number of compartments.
 - (4) The number of emergency exits.
 - (6) Emergency equipment.
- (6) The presence of other trained flight crewmembers, not on flight deck duty, whose services may be used in emergencies.

§ 121.395 Aircraft dispatcher: domestic and flag air carriers.

Each domestic and flag air carrier shall provide enough qualified aircraft dispatchers at each dispatch center to ensure proper operational control of each flight.

§ 121.396 Emergency and emergency evacuation duties: domestic air carriers.

(a) Each domestic air carrier shall assign to each required crewmember the necessary functions that he is to perform in an emergency or a situation requiring emergency evacuation. The air carrier shall show that those functions are realistic and can be practically accomplished.

(b) The air carrier shall describe each required crewmember's functions under paragraph (a) of this section in its air carrier manual.

§ 121.397 Emergency and emergency evacuation duties: flag and supplemental air carriers and commercial operators.

(a) Each fing and supplemental air carrier and each commercial operator of airplanes shall assign to each required-crewmember the necessary functions that he is to perform in an emergency or a situation requiring emergency evacuation. The air carrier or commercial operator shall assign those functions for each type of airplane that it uses and shall show that those functions are realistic and can be accomplished.

(b) The air carrier or commercial operator shall describe each required crewmember's functions under paragraph (a) of this section in its manual.

(c) The air carrier or commercial operator shall train each required crewmember in his functions under paragraph (a) of this section during the emergency training part of the approved training program prescribed in § 121.411.

Subpart N—Training Program

§ 121.410 Applicability.

Except where otherwise stated, this subpart prescribes requirements applicable to each certificate holder for establishing and maintaining a training program.

§ 121.411 Establishment.

(a) Each certificate holder shall have an approved training program that assures that each crewmember and each aircraft dispatcher (where required) is adequately trained to perform his assigned duties. Each crewmember and each aircraft dispatcher (where required) must satisfactorily complete the initial training phases before serving in operations under this part.

(b) Each certificate holder shall provide adequate ground and flight training facilities and properly qualified instruc-tors for the training required by this section, and enough check airmen to conduct the flight checks required by this part. Each check airman must hold the airman certificates and ratings that are required for the airman being checked.

- (c) The training program for each flight crewmember must consist of appropriate ground and flight training, including proper flight crew coordination and training in emergency procedures. The certificate holder shall standardize procedures for each flight crew function to the extent that each flight crewmember knows the functions for which he is responsible and the relation of those functions to the functions of other flight crewmembers. The initial program must include at least the requirements set forth in §§ 121.413 through 121.423.
- (d) The crewmember emergency procedures training program must include at least the requirements set forth in § 121.428.
- (e) Each instructor, supervisor, or check airman that is responsible for a particular training or flight check shall certify as to the proficiency of the crewmember or dispatcher concerned after he completes his initial training and after he completes his recurrent training. That certification shall be made a part of the crewmember's or dispatcher's record.

§ 121.418 Ground training: pilots.

(a) The initial ground training that the certificate holder must provide for each pilot before he serves as a flight crewmember must include at least

(1) Instruction in the appropriate provisions of the certificate holder's operations specifications and of this chapter, especially the operating and dispatcher flight release rules and airplane operating limitations;

(2) Dispatch procedures (domestic and flag air carriers) or flight release procedures (supplemental air carriers and commercial operators) and appropriate contents of the manuals;

(3) Duties and responsibilities of crewmembers:

(4) The type of aircraft to be flown, including a study of the aircraft, engines, major components and systems. performance limitations, standard and emergency operating procedure, and appropriate contents of the approved Aircraft Flight Manual;

(5) Principles and methods for determining weight and balance limitations

for takeoff and landing:

(6) Navigation and the use of appropriate navigation alds, including instrument approach facilities and procedures that the certificate holder is authorized to use:

(7) Air traffic control systems and procedures, and pertinent ground con-

trol letdown procedures:

(8) Enough meteorology to ensure a practical knowledge of the principles of icing, fog, thunderstorms, and frontal systems; and

(9) Procedures for operating in turbulent air, icing, hail, thunderstorm, and other potentially hazardous meteorologi-

cal conditions.

(b) In addition to the training required by paragraph (a) of this section, each flag and supplemental air carrier and each commercial operator shall provide training in communications procedures and communications equipment failure procedures.

(c) Each certificate holder shall provide the following for each pilot:

(1) Any additional ground training necessary to ensure qualifications in new equipment, procedures, or techniques.

(2) Checks (and, in the case of flag air carriers, supplemental air carriers, and commercial operators, recurrent ground training) at least once each twelve months to ensure his continued proficiency in procedures, techniques, and information essential to the satisfactory performance of his duties. A check may be given during the month before or after it is due without affecting its effective date.

§ 121.415 Flight training: pilots.

(a) The initial flight training that the certificate holder must provide for each pilot before he serves as a flight crewmember must include at least-

(1) Takeoffs and landings during day and night in each type of airplane he is to pilot in operations under this Part;

- (2) Normal and emergency flight maneuvers in each type of airplane he is to pilot in operations under this Part; and
- (3) Flight under simulated instrument conditions.

(b) A pilot qualifying to serve as other than pilot in command or second in command, shall show the Administrator or a check pilot that he is able to take off and land each type of airplane in which he is to serve.

(c) The initial flight training for each pilot qualifying to serve as a pilot in command (and, in the case of a flag or supplemental air carrier or commercial operator, the second in command of an airplane in an operation that requires three or more pilots) must include flight instruction and practice in at least the following maneuvers and procedures:

(1) In each type of airplane to be flown by him in operations under this part, he must perform the following:

(i) In the case of takeoffs at the authorized maximum takeoff weight using maximum takeoff power with a simulated failure of the critical engine. In transport category airplanes the simulated failure must be done as close as possible to the critical engine failure speed V. and climb-out must be made as close as possible to the takeoff safety speed V., and the pilot shall determine the values for V₁ and V₂.

(ii) If a three-engine or four-engine airplane, flight, including maneuvering to a landing at the authorized maximum landing weight, with the most critical combination of two engines inoperative, or operating at zero thrust, using where appropriate applicable climb speeds set forth in the Airplane Flight Manuals.

(iii) At the authorized maximum landing weight, simulated pull-out from the landing and approach configurations at a safe altitude with the critical engine inoperative or operating at zero thrust.

(2) Flight must be conducted under simulated IFR conditions using each kind of navigation facility and letdown procedure that is used in normal operations. If a particular kind of facility is not available in the training area, the training may be given in a synthetic trainer.

For the purposes of subparagraph (1) of this paragraph, weight and power combinations less than those specified in subdivisions (i), (ii), and (iii) of that subparagraph may be used if the performance capabilities of the airplane under the specified conditions are simulated.

(d) Initial flight training for each pilot qualifying to serve as second in command of an airplane in domestic operations (or second in command of an airplane that requires two pilots in flag or supplemental air carrier or commercial operator operations) must include flight instruction and practice in at least the following maneuvers and procedures:

(1) In each type of airplane to be flown by him in operations under this part, flight training must include

(i) Assigned flight duties as second in command, including flight emergencies;

(ii) Taxiing:

- (iii) Takeoffs and landings; (iv) Climbs and climbing turns;
- (v) Slow flight:

(vi) Approach to stall:

(vii) Engine shutdown and restart: (vili) Takeoff and landing with simulated engine failure; and

(ix) Flight under simulated IFR conditions, including instrument approach at least down to circling approach minimums and missed approach procedures.
(2) Flight must be conducted under

simulated IFR conditions using each kind of navigation facility and letdown procedure that is used in normal operations. Except for those approach procedures for which the lowest minimums are approved, letdown procedures may be given in a synthetic trainer that has the radio

equipment and instruments necessary to simulate other navigational and letdown procedures approved for the certificate holder.

(e) The certificate holder shall give each pilot any additional flight training necessary to insure his qualification for new equipment, procedures, or techniques. At least once each 12 months, as a part of the training program, it shall give him a check (and in the case of a flag or supplemental air carrier or commercial operator, recurrent flight training). A check may be given during the month before or after it is due without affecting its effective date. The purpose of these checks and training is to insure his continued proficiency with respect to procedures, techniques, and information essential to the satisfactory performance of his duties. If the check of a pilot in command or second in command requires actual flight, satisfactory completion of the applicable proficiency checks required by § 121.441 or 121.449 meets the requirements of this section.

§ 121.417 Flight navigator training: flag air carriers.

(a) The training for each flight navigator must include at least the applicable parts of subparagraphs (1) through (4) and (6) through (8) of § 121.413(a).

(b) Before serving as a flight crewmember, each flight navigator must have enough ground and flight training to be proficient in the duties assigned to him by the air carrier. The flight training may be given during scheduled flight in air transportation under the supervision of a qualified flight navigator.

(c) The flag air carrier shall give each flight navigator any additional ground and flight training necessary to ensure his qualification for new equipment, procedures, and techniques. At least once each 12 months, as a part of the training program, it shall give him recurrent ground training and a flight check to ensure his continued proficiency with respect to procedures, techniques, and information essential to the satisfactory performance of his duties. flight check may be given during scheduled flight in air transportation, or in a synthetic trainer in place of a check in flight.

§ 121.419 Flight navigator training: supplemental air carriers and commercial operators.

(a) The training for each flight navigator must include at least the applicable parts of subparagraphs (1) through (4) and (6) through (8) of § 121.413(a).

(b) Before serving as a flight crewmember, each flight navigator must have enough ground and flight training to be proficient in the duties assigned to him by the air carrier or commercial operafor. The flight training may be given during flights subject to this part under the supervision of a qualified flight navi-

(6) The supplemental air carrier or commercial operator shall give each flight navigator any additional ground and flight training necessary to ensure his qualification for new equipment, prowithin the preceding 12 months, as a part of the training program, it shall give him recurrent ground training and a flight check to ensure his continued proficiency with respect to procedures, techniques, and information essential to the satisfactory performance of his duties. The flight check may be given during passenger or cargo flights under the supervision of a qualified navigator, or in a synthetic trainer in place of a check in flight. A competence check may be given during the month before or the month after it is due without affecting its effective date.

§ 121.421 Flight engineer training.

(a) The training for each flight engineer must include at least the applicable parts of subparagraphs (1) through (5) of \$ 121.413(a).

(b) Before serving as a flight crewmember, each flight engineer must have enough flight training to be proficient in the duties assigned to him by the certificate holder. Except for emergency procedures, the flight training may be given during flights subject to the provisions of this part applicable to the certificate holder under the supervision of a qualified flight engineer.

(c) The certificate holder shall give each flight engineer any additional ground and flight training necessary to assure his qualification for new equipment, procedures, and techniques. At least once each 12 months, as a part of the training program, it shall give him a check (and in the case of a flag or supplemental air carrier or commercial operator, recurrent training) to assure his continued proficiency with respect to procedures, techniques, and information essential to the satisfactory performance of his duties. A competence check may be given during the month before or the month after it is due without affecting its effective date.

§ 121.423 Crewmember emergency training.

(a) Each certificate holder shall design its initial training in emergency procedures to give each required crewmember appropriate instruction in emergency procedures, including assignments in an emergency and coordination among crewmembers and appropriate individual instruction in at least the following subjects, as appropriate to the particular crewmember :

(1) Procedures for handling failure of an engine, engines, or other airplane components or systems.

(2) Procedures for handling-

(i) Emergency decompression;

(ii) Fire in the air or on the ground;

(iii) Ditching; and

(iv) Evacuation.

- (3) The location of emergency equipment.
- (4) The operation of emergency equipment.
- (5) The power setting for maximum endurance and maximum range.

(b) The certificate holder shall give each crewmember, at least once each 12 months, a check (and, in the case of a flag or supplemental air carrier or a comcedures, and techniques. At least once mercial operator, recurrent training) in

the emergency procedures set forth in paragraph (a) of this section).

(c) Synthetic trainers approved to simulate flight operating emergency conditions may be used for training crewmembers in emergency procedures.

(d) The certificate holder shall give instruction, by lectures and films (or other equivalent means approved after demonstration) to each crewmember performing duties on pressurized airplanes operated above 25,000 feet covering at least-

(1) Respiration:

(2) Hypoxia;

(3) Duration of consciousness at altitudes without supplemental oxygen:

(4) Gas expansion:

(5) Gas bubble formation: and

(6) Physical phenomena and incidents of decompression.

(e) The certificate holder shall give each crewmember performing duties on pressurized airplanes operated above 25,000 feet, training and practice in putting on oxygen masks and operating oxygen equipment.

§ 121.425 Aircraft dispatcher training: domestic and flag air carriers.

- (a) Each domestic and flag air carrier shall provide a training program for its aircraft dispatchers that includes
- (1) Training in their duties and responsibilities:
 - (2) Flight operations procedures;
 - Air traffic control procedures; (4) Performance of airplanes used;
 - (5) Navigation aids and facilities; and
 - (6) Meteorology.

(b) The training program must emphasize emergency procedures, including the alerting of proper governmental, company, and private agencies to give the maximum help to an airplane in distress.

(c) Each aircraft dispatcher shall, before performing duties as an aircraft dispatcher, show the supervisor or ground instructor authorized to certify his proficiency, his knowledge of the following:

(1) Contents of the air carrier operat-

ing certificate.

(2) Appropriate provisions of the air carrier's operations specifications, manual, and this chapter.

(3) Characteristics of airplanes used by the carrier.

(4) Cruise control data and cruising

speeds for those airplanes. (5) Maximum authorized airplane

loads for the routes and airports used.

(6) Air carnier radio facilities.

- (7) Characteristics and limitations of each kind of radio and navigation facility
- (8) Effect of weather conditions on airplane radio reception.
- (9) Airports used and the terrain en route.
- '(10) Prevailing weather phenomena. (11) Sources of weather information
- available. (12) Pertinent air traffic control procedures.
 - (13) Emergency procedures.
- (d) The air carrier shall give each dispatcher any additional training necessary to assure his qualification for new equipment, procedures, and techniques. At least once each 12 months, as a part

of the training program, it shall give him a check (and, in the case of a flag or supplemental air carrier or a commercial operator, recurrent training) to assure his continued competence with respect to the procedures, techniques, and information essential to his duties.

Subpart O—Flight Crowmombor Qualifications

§ 121.431 Applicability.

This subpart prescribes flight crewmember qualifications for all certificate holders except where otherwise specified.

§ 121.435 General.

(a) No certificate holder may use a flight crewmember, and none of its flight crewmembers may perform duties under his airman certificate, unless he meets the appropriate requirements of \$\frac{1}{2}\$ 121.411 through 121.423 and \$\frac{1}{2}\$ 121.439 through 121.463.

(b) When a pilot completes a check required by this subpart, the check airman who is responsible for the particular check shall certify as to the pilot's proficiency. This certification shall be made a part of the pilot's record.

(c) If a flight crewmember who is required to take a check takes that check in the calendar month before, or the calendar month after, the month in which it becomes due, he is considered to have taken it during the month it became due.

§ 121.435 Helicopter operations: Supplemental air carriers and commercial operators.

No supplemental air carrier or commercial operator may use a flight crewmember, and none of its flight crewmembers may perform duties under his airman certificate in helicopter operations, unless that flight crewmember meets the requirements of §§ 127.151 or 127.161 and 127.175 and 127.177.

§ 121.437 Pilot qualification: certificates required.

(a) No pilot may act as pilot in command of an aircraft (or as second in command of an aircraft in a flag or supplemental air carrier or commercial operator operation that requires three or more pilots) unless he holds an airline transport pilot certificate and an appropriate type rating for that aircraft.

(b) Each pilot who acts as a pilot in a capacity other than those specified in paragraph (a) of this section must hold at least a commercial pilot certificate and

an instrument rating.

§ 121.439 Pilot qualification: recent experience.

No certificate holder may use a pilot as a pilot in command or second in command in operations under this part unless, within the preceding 90 days, he has made at least three takeoffs and three landings in an airplane of the type in which he is to serve.

§ 121.441 Pilot checks.

(a) Line check. No certificate holder may use a pilot as pilot in command of an airplane until he has passed a line check in one of the types of airplanes that he is to fly as follows:

(1) For domestic and flag air carriers the check must—

(i) Be given by an approved check pilot who is qualified on both the route and the airplane; and

(ii) Consist of at least a scheduled flight over a typical part of the air carrier's route to which the pilot is normally assigned.

(2) For supplemental air carriers and commercial operators the check must—

- (i) Be given by an approved check pilot who is qualified on the airplane; and
- (ii) Consist of at least one flight over a part of a Federal airway, foreign airway, or advisory route over which the pilot may be assigned.

Thereafter, a pilot may not serve as pilot in command unless each 12 months he passes a similar line check. During the flight (that must be long enough for a determination to be made) the check pilot shall determine whether the pilot being checked satisfactorily performs the duties and responsibilities of a pilot in command.

· (b) Proficiency check. No certificate holder may use a pilot as a pilot in command of an airplane in operations under this part unless he has satisfactorily shown to the Administrator or a check pilot that he is able to pilot and navigate airplanes that he is to fiy. Thereafter he may not serve as a pilot in command unless each six months he passes a similar pilot proficiency check. If a pilot serves in more than one airplane type, at least each alternate check must be given in flight in the largest type of airplane in which he serves. The proficiency check must include the following:

(1) Equipment test (oral or written).

- (2) Taxling.
- (8) Runup.
- (4) Takeoff.(5) Climb.
- (6) Climbing turns.
- (7) Steep turns.
- (8) Maneuvers at minimum speeds.
- (9) Approaches to stalls.
- (10) Propeller feathering.
- (11) Maneuvers with one or more engine(s) inoperative.
- (12) Rapid descent and pullout.
 - (13) Radio tuning.
 - (14) Orientation.
 - (15) Approach procedures.
 - (16) Missed approach procedures.
- (17) Traffic control procedures.
 (18) Crosswind landings.
- (18) Crosswind landings.
 (19) Landing under circling approach
- conditions.
 (20) Takeoffs and landings with en-
- gine(s) failure.
 (21) Demonstration of pilot judg-
- ment.
 (22) Emergency procedures.

(23) Flight maneuvers specified in § 121.415(c) (1), except that the simulated engine failure during takeoff need not be at speed V₁ or at the actual or simulated maximum authorized weight.

(24) Approved flight maneuvers under simulated instrument conditions using the navigational facilities and letdown procedures normally used by the pilot except that maneuvers other than those associated with approach procedures for which the lowest minimums are approved may be given in a synthetic trainer.

However, where a certificate holder is authorized landing minimums based on instrument landing systems and ground control approach, only maneuvers associated with the predominant landing aid on a system-wide basis need be given in flight. A synthetic trainer used under this subparagraph must contain the radio equipment and instruments necessary to simulate the appropriate navigational and letdown procedures.

An equipment test given to an airmanin the certificate holders ground school within the preceding six months, may be accepted as equal to the test required by subparagraph (1) of this paragraph, in the discretion of the check pilot.

(c) If, in the judgment of the check pilot, the pilot being checked performs any of the items listed in paragraph (b) of this section in an unsatisfactory manner, the check pilot may give additional training to the pilot during the course of the proficiency check. If the pilot being checked is unable to demonstrate satisfactory performance to the check pilot, the certificate holder may not use him in operations under this part until he has satisfactorily shown his proficiency.

(d) Use of flight simulator. After the first proficiency check, the satisfactory completion of an approved training course in an approved airplane simulator may be substituted at alternate sixmonth intervals for the proficiency check required by paragraph (b) of this section, if the simulator meets the requirements of Appendix B of this part and—

(1) The simulator is maintained at the same level as required for initial

approval;

(2) A functional preflight check of the simulator is performed each day before beginning simulator flight training or proficiency checks;

(3) A daily discrepancy log is kept and an entry of each discrepancy is made by the simulator instructor or check airman before the end of each training or check

flight; and

(4) If a modification is made to the airplane, a corresponding modification is made to the simulator if necessary for flight crew training or proficiency checks.

The simulator may be used with inoperative instruments or equipment, if they are not applicable to the particular phase of training being given.

(e) Before serving as a pilot in command on any airplane, the pilot must have passed, during the preceding 12 months, either a proficiency check or a line check in that type of airplane.

§ 121.445 Pilot in command qualification: routes and airports: domestic and flag air carriers.

(a) No domestic or flag air carrier may use a pilot as pilot in command until he has qualified, for the route on which he is to serve, in accordance with this section, and the appropriate instructor or check pilot has so certified.
(b) The qualifying pilot shall show

(b) The qualifying pilot shall show that he has adequate knowledge of the following with respect to each route he

is to fly:

(1) Weather characteristics.

(2) Navigation facilities.

- (3) Communication procedures.
- (4) Kinds of terrain and obstruction hazards.
 - (5) Minimum safe flight levels.
 - (6) Position reporting points.
 - (7) Holding procedures.
- (8) Pertinent air traffic control procedures.
- (9) Congested areas, obstructions. physical layout, and instrument approach procedures for each regular, provisional, or refueling airport that is approved for the route.

Those parts of the requirements of this paragraph relating to holding procedures and instrument approach procedures may be accomplished in a synthetic trainer that contains the radio equipment and instruments necessary to simulate the navigation and letdown procedures approved for the air carrier.

(c) The qualifying pilot shall make an entry as a member of a flight crew at each regular, provisional, and refueling airport into which he is scheduled to fly. The entry must include a landing and a takeoff. The qualifying pilot must occupy a seat in the pilot compartment and must be accompanied by a pilot who is qualified for the airport.

(d) Paragraph (c) of this section does

not apply if-

(1) The initial entry is made under VFR weather conditions at the airport involved:

(2) The air carrier shows that the qualification can be made by using approved pictorial means; or

- (3) The air carrier notifies the Administrator that it intends to operate at an airport that is near an airport into which the pilot concerned is currently qualified by entry, and the Administrator finds that the pilot is adequately qualified at the new airport, considering at least the pilot's familiarity with the layout, surrounding terrain, location of obstacles, and instrument approach and traffic control procedures at the new airport
- (e) No pilot in command may serve on a route or route segment on which he must navigate by pilotage and fly at or below the level of terrain that is within 25 miles horizontally of the centerline of that route or route segment unless he has made at least two one-way trips over the route or route segment on the flight deck under VFR weather conditions.
- & 121.445 Pilot in command qualification: routes and airports: supplemental air carriers and commercial Oberators.
- (a) Each supplemental air carrier and commercial operator shall establish in its manual a procedure whereby each pilot who has not flown over a route and into an airport within the preceding 60 days will certify on a form provided by the operator that he has studied and knows the subjects listed in paragraph (b) of this section in regard to the routes and airports into which he is to operate.
- (b) Each qualifying pilot shall show that he has adequate knowledge of the following:
- (1) Weather characteristics appropriate to the seasons.
 - (2) Navigation facilities.

- (3) Communication procedures.
- (4) Kinds of terrain and obstruction hazards.

(5) Minimum safe flight levels.

(6) Pertinent air traffic control procedures including terminal area, arrival, departure, and holding and all kinds of instrument approach procedures.

(7) Congested areas, obstruction, and physical layout of each airport in the terminal area in which the pilot will

operate.

§ 121.447 Pilot route and airport qualifications for particular trips: Domestic and flag air carriers.

- (a) A domestic or flag air carrier may not use a pilot as pilot in command unless, within the preceding 12 months, the pilot has made at least one trip as pilot or other member of a flight crew between terminals into which he is scheduled to fly and has complied with \$ 121.443(e). if applicable.
- (b) To re-establish route and airport qualification after being absent from the route for a period of more than 12 months, a pilot must comply with the appropriate provisions of § 121.443.

§ 121.449 Proficiency checks: second in command.

- (a) A certificate holder may not use a pilot as second in command unless he has satisfactorily shown to the Administrator or a check pilot that he is able to pilot and navigate airplanes that he is to fly and to perform his assigned duties. Thereafter, he may not serve as second in command unless each 12 months he satisfactorily completes a similar pilot proficiency check.
- (b) If a pilot serves in more than one airplane type, at least each alternate check must be given in flight in the largest type of airplane in which he
- (c) The proficiency check must include at least an oral or written equipment test and the procedures and flight maneuvers specified in § 121.415(d)(1) (for domestic air carriers), or in § 121.-415(d) (for other certificate holders). The check may be given from either the right or left pilot seat.
- (d) After the initial check, satisfactory completion of an approved course of training in an aircraft simulator that meets the requirements of § 121.441(d) may be substituted at alternate 12-month intervals for the checks required by paragraphs (a) and (e) of this section. In addition, satisfactory completion of the proficiency check in accordance with § 121.441 (b), (c), and (d) meets the requirements of this section.
- (e) For flag and supplemental air carriers and commercial operators, the proficiency check for the second in command of a required three-pilot crew is that set forth in § 121.441 (b), (c), and (d).
- § 121.451 Flight navigator qualifica-tion: flag and supplemental air car-riers and commercial operators.
- (a) No flag or supplemental air carrier or commercial operator may use a flight navigator unless, within the preceding 12-month period, he has had at least 50 hours of flight time as a flight navigator, or the air carrier or commercial operator or the Administrator

has checked him (including a check in flight or in an approved synthetic trainer) and has determined that he is familiar with essential current navigation information pertaining to routes to be flown by him and that he is competent in the operating procedures and navigation equipment to be used.

(b) A flag or supplemental air carrier or commercial operator may check a flight navigator during a flight subject to this part, but it may not assign him as a required flight crewmember on that

flight.

§ 121.453 Flight engineer qualification.

(a) No certificate holder may use a flight engineer unless, within the preceding six-month period, he has had at least 50 hours of flight time as a flight engineer on the type of airplane in which he is to serve, or the certificate holder or the Administrator has checked him (in a flight other than a flight under this Part) and has determined that he is familiar with all essential current information and operating procedures for the type of airplane to which he is assigned and is competent in that airplane.

(b) If a flight engineer has been previously qualified in the type of airplane in which he is to serve, the certificate holder may give the check in a synthetic trainer approved to simulate the necessary operating conditions in place of the

flight check.

Subpart P—Aircraft Dispatcher Qualifications and Duty Time Limitations: **Domestic and Flag Air Carriers**

§ 121.461 Applicability.

This subpart prescribes the qualifications and duty time limitations for aircraft dispatchers for domestic and flag air carriers.

§ 121.463 Aircraft dispatcher qualifica-

- (a) No domestic or flag air carrier may use an aircraft dispatcher unless he meets the requirements in \$\$ 121.411 and 121.425.
- (b) No domestic or flag air carrier may use a dispatcher to dispatch sirplanes. over any route or route segment unless the air carrier has determined that he is familiar with all essential operating procedures for the entire route and the airplanes to be used. However, a dispatcher who is qualified to dispatch airplanes over part of a route may dispatch airplanes after coordinating with dispatchers who are qualified to dispatch airplanes over the other parts of the route.
- (c) No aircraft dispatcher may dispatch airplanes over any area in which he is authorized to exercise dispatch jurisdiction unless, within the preceding 12 months, he has made at least a oneway qualification trip over that area on the flight deck of an airplane. The trip must include entry into as many points as practicable; it is not necessary to make a flight over each route in the area.

§ 121.465 Duty time limitations: Do-mestic and flag air carriers.

(a) Each domestic and flag air carrier shall establish the daily duty period for a dispatcher so that it begins at a time that allows him to become thoroughly familiar with existing and anticipated weather conditions along the route before he dispatches any airplane. He shall remain on duty until each airplane dispatched by him has completed its flight, or has gone beyond his jurisdiction, or until he is relieved by another qualifiled dispatcher.

(b) Except in cases where circumstances or emergency conditions beyond the control of the air carrier require

otherwise-

(1) No domestic or flag air carrier may schedule a dispatcher for more than 10 consecutive hours of duty;

(2) If a dispatcher is scheduled for more than 10 hours of duty in 24 consecutive hours, the carrier shall provide him a rest period of at least eight hours at or before the end of 10 hours of duty.

(3) Each dispatcher must be relieved of all duty with the air carrier for at least 24 consecutive hours during any seven consecutive days or the equivalent

thereof within any month.

(c) Notwithstanding paragraphs (a) and (b) of this section, a flag air carrier may, if authorized by the Administrator, schedule an aircraft dispatcher at a duty station outside of the 48 contiguous States and the District of Columbia, for more than 10 consecutive hours of duty in a 24-hour period if that aircraft dispatcher is relieved of all duty with the carrier for at least eight hours during each 24-hour period.

Subpart Q—Flight Time Limitations: Domestic Air Carriers

§ 121.470 Applicability.

This subpart prescribes flight time limitations for domestic air carriers.

§ 121.471 Flight time limitations: all flight crewmembers.

- (a) No domestic air carrier may schedule any flight crewmember for duty aloft in scheduled air transportation or in other commercial flying if that crewmember's total flight time in all commercial flying will exceed:
 - (1) 1,000 hours in any year.(2) 100 hours in any month.

(3) 30 hours in any seven consecutive days.

- (b) No domestic air carrier may schedule a flight crewmember for duty aloft for more than eight hours during any 24 consecutive hours without a rest period at or before the end of that eight hours, equal to twice the number of hours of duty aloft since the last rest period, but not less than eight hours. However, in conducting a scheduled transcontinental nonstop flight, an air carrier may schedule a flight crewmember for more than eight but not more than 10 hours of continuous duty aloft without an intervening rest period, if—
- The flight is in an airplane with a pressurization system that is operative at the beginning of the flight;

(2) The flight crew consists of at least two pilots and a flight engineer.

(c) Each flight crewmember who has been on duty aloft for more than eight hours during any 24 consecutive hours must be given, upon completion of his

assigned flight or series of flights, at least 16 hours of rest before being assigned to any duty with the air carrier.

(d) Each domestic air carrier shall relieve each flight crewmember engaged in scheduled air transportation from all further duty for at least 24 consecutive hours during any seven consecutive days.

(e) No domestic air carrier may assign any flight crewmember to any duty with the air carrier during any required

rest period.

(1) Time spent in transportation, not local in character, that an air carrier requires of a flight crewmember and provides to transport the crewmember to an airport at which he is to serve on a flight as a crewmember, or from an airport at which he was relieved from duty to return to his home station, is not considered part of a rest period.

(g) A flight crewmember is not considered to be scheduled for duty in excess of flight time limitations if the flights to which he is assigned are scheduled and normally terminate within the limitations, but due to circumstances beyond the control of the air carrier (such as adverse weather conditions), are not at the time of departure expected to reach their destination within the scheduled time.

Subpart R—Flight Time Limitations: Flag Air Carriers

§ 121.480 Applicability.

This subpart prescribes flight time limitations for flag air carriers.

§ 121.481 Flight time limitations: one or two pilot crews.

- (a) A flag air carrier may schedule a pilot to fly in an airplane that has a crew of one or two pilots for eight hours or less during any 24 consecutive hours without a rest period during these eight hours.
- (b) If a flag air carrier schedules a pilot to fly more than eight hours during any 24 consecutive hours, it shall give him an intervening rest period, at or before the end of eight scheduled hours of flight duty. This rest period must be at least twice the number of hours flown since the preceding rest period, but not less than eight hours. The air carrier shall relieve that pilot of all duty with it during that rest period.

(c) Each pilot who has flown more than eight hours during 24 consecutive hours must be given at least 18 hours of rest before being assigned to any duty

with the air carrier.

(d) No pilot may fly more than 32 hours during any seven consecutive days, and each pilot must be relieved from all duty for at least 24 consecutive hours at least once during any seven consecutive days.

(e) No pilot may fly as a member of a crew more than 100 hours during any one month.

- (f) No pilot may fly as a member of a crew more than 1,000 hours during any 12-month period.
- § 121.483 Flight time limitations: two pilots and one additional flight crewmember.
- (a) No flag air carrier may schedule a pilot to fly, in an airplane that has a

crew of two pilots and at least one additional flight crewmember, for a total of more than 12 hours during any 24 consecutive hours.

(b) If a pilot has flown 20 or more hours during any 48 consecutive hours or 24 or more hours during any 72 consecutive hours, he must be given at least 18 hours of rest before being assigned to any duty with the air carrier. In any case, he must be given at least 24 consecutive hours of rest during any seven consecutive days.

(c) No pilot may fly as a flight crew-

member more than-

(i) 120 hours during any 30 consecutive days;

(2) 300 hours during any 90 consecutive days; or

(3) 1,000 hours during any 12-month period.

- § 121.485 Flight time limitations: three or more pilots and an additional flight crewmember.
- (a) Each fing air carrier shall schedule its flight hours to provide adequate rest periods on the ground for each pilot who is away from his base and who is a pilot on an airplane that has a crew of three or more pilots and an additional flight crewmember. It shall also provide adequate sleeping quarters on the airplane whenever a pilot is scheduled to fly more than 12 hours during any 24 consecutive hours.
- (b) The fiag air carrier shall give each pilot, upon return to his base from any flight or series of flights, a rest period that is at least twice the total number of hours he flew since the last rest period at his base. During the rest period required by this paragraph, the air carrier may not require him to perform any duty for it. If the required rest period is more than seven days, that part of the rest period in excess of seven days may be given at any time before the pilot is again scheduled for flight duty on any route.
- (c) No pilot may fly as a flight crewmember more than—
- (1) 350 hours during any 90 consecutive days; or
- (2) 1,000 hours during any 12-month period.
- § 121.487 Flight time limitations: pilots not regularly assigned.
- (a) Except as provided in paragraphs (b) through (e) of this section, a pilot who is not regularly assigned as a flight crewmember for an entire month under § 121.483 or 121.485 may not fly more than 100 hours in any 30 consecutive days.

(b) The flight time limitations for a pilot who is scheduled for duty aloft for more than 20 hours in two-pilot crews in any month, or whose assignment-in such a crew is interrupted more than once in that month by assignment to a crew consisting of two or more pilots and an additional flight crewmember, are those set forth in § 121.481.

(c) Except for a pilot covered by paragraph (b) of this section, the flight time limitations for a pilot who is scheduled for duty aloft for more than 20 hours in two-pilot and additional flight crewmember crews in any month, or whose

assignment in such a crew is interrupted more than once in that month by assignment to a crew consisting of three pilots and additional flight crewmember, are

those set forth in \$ 121,483.

(d) The flight time limitations for a pilot to whom paragraphs (b) and (c) of this section do not apply and who is scheduled for duty aloft for a total of not more than 20 hours within any month in two-pilot crews (with or without additional flight crewmembers) are those set forth in \$ 121.485.

(e) The flight time limitations for a pilot assigned to each of two-pilot, twopilot and additional flight crewmember. and three-pilot and additional flight crewmember crews in a given month, and who is not subject to paragraph (b), (c), or (d) of this section, are those set

forth in § 121.483.

\$ 121.489 Flight time limitations: other commercial flying.

No pilot that is employed as a pilot by a flag air carrier may do any other commercial flying if that commercial flying plus his flying in air transportation will exceed any flight time limitation in this DATE

§ 121.491 Flight time limitations: deadhead transportation.

Time spent in deadhead transportation to or from duty assignment is not considered to be a part of a rest period.

- \$ 121.493 Flight time limitations: flight engineers and flight navigators.
- (a) In any operation in which one flight engineer or flight navigator is required, the flight time limitations in 121.483 apply to that flight engineer or flight navigator.
- (b) In any operation in which more than one flight engineer or flight navigator is required, the flight time limitations in \$ 121.485 apply to those flight engineers or flight navigators.
- Subpart 5—Flight Time Limitations: Supplemental Air Carriers and **Commercial Operators**

§ 121.500 Applicability.

This section prescribes flight time limitations for supplemental air carriers and commercial operators.

§ 121.501 Flight time limitations: belicopters.

"No supplemental air carrier or commercial operator may schedule a flight crewmember for duty aloft in helicopter operations subject to this part, or in any other commercial flying, that would exceed the flight time limitations prescribed in § 127.191.

- § 121.503 Flight time limitations: nilote: airpianes.
- (a) A supplemental air carrier or commercial operator may schedule a pilot to fly in an airplane for eight hours or less during any 24 consecutive hours without a rest period during those eight hours.
- (b) Each pilot who has flown more than eight hours during any 24 consecutive hours must be given at least 16 hours of rest before being assigned to any duty

with the air carrier or commercial operator.

(c) Each supplemental air carrier and commercial operator shall relieve each pilot from all duty for at least 24 consecutive hours at least once during any seven consecutive days.

(d) No pilot may fly as a crewmember in air carrier service more than 100 hours during any 30 consecutive days.

(e) No pilot may fly as a crewmember in air carrier service more than 1,000 hours during any calendar year.

(f) Notwithstanding paragraph (a) of this section, an air carrier may, in conducting a transcontinental nonstop flight, schedule a flight crewmember for more than eight but not more than 10 hours of continuous duty aloft without an intervening rest period, if—

(1) The flight is in an airplane with a pressurization system that is operative

at the beginning of the flight;

(2) The Flight crew consists of at least two pilots and a flight engineer: and

(3) The air carrier uses, in conducting the operation, an air/ground communication service that is independent of systems operated by the United States, and a dispatch organization, both of which are approved by the Administrator as adequate to serve the terminal points concerned.

§ 121.505 Flight time limitations: two pilot crews: airplanes.

- (a) If a supplemental air carrier or commercial operator schedules a pilot to fly more than eight hours during any 24 consecutive hours, it shall give him an intervening rest period at or before the end of eight scheduled hours of flight duty. This rest period must be at least twice the number of hours flown since the preceding rest period, but not less than eight hours. The supplemental air carrier or commercial operator shall relieve that pilot of all duty with it during that rest period.
- (b) No pilot of an airplane that has a crew of two pilots may be on duty for more than 16 hours during any 24 consecutive hours.
- § 121.507 Flight time limitations: three pilot crews: airpianes.
- (a) No supplemental air carrier or commercial operator may achedule pllot
- (1) For flight deck duty in an airplane that has a crew of three pilots for more than eight hours in any 24 consecutive

(2) To be aloft in an airplane that has a crew of three pilot for more than 12 hours in any 24 consecutive hours.

- (b) No pilot of an airplane that has a crew of three pilots may be on duty for more than 18 hours in any 24 consecutive hours.
- 121.509 Flight time limitations: four pilot crews: airplanes.
- (a) No supplemental air carrier or commercial operator may schedule a pilot--
- (1) For flight deck duty in an airplane that has a crew of four pilots for more than eight hours in any 24 consecutive hours: or

- (2) To be aloft in an airplane that has e crew of four pilots for more than 16 hours in any 24 consecutive hours.
- (b) No pilot of an airplane that has a crew of four pilots may be on duty for more than 20 hours in any 24 consecutive hours.

§ 121.511 Flight time limitations: flight engineers: airplanes.

(a) In any operation in which one flight engineer is serving the flight time limitations in §§ 121.503 and 121.505 apply to that flight engineer.

(b) In any operation in which more than one flight engineer is serving and the flight crew contains more than two pilots the flight time limitations in \$ 121 .-509 apply in place of those in 4 121.505.

121.515 Flight time limitations: everseas and international operations: airplanes.

In place of the flight time limitations in \$\$ 121.503 through 121.511, a supplemental air carrier or commercial operator may elect to comply with the flight time limitations of \$5 121.515 and 121.-521 through 121.525 for operations conducted-

(a) Between a place in the 48 contiguous States and the District of Columbia, or Alaska, and any place outside thereof:

(b) Between any two places outside the 48 contiguous States, the District of Columbia, and Alaska; or

(c) Between two places within the State of Alaska or the State of Hawaii.

§ 121.515 Flight time limitations: all airmen: airplanes.

No airman may be aloft as a flight crewmember more than 1,000 hours in any 12-month period.

§ 121.517 Flight time limitations: other commercial flying: airplanes.

No airman who is employed by a supplemental air carrier or commercial operator may do any other commercial flying, if that commercial flying plus his flying in operations under this part will exceed any flight time limitation in this part.

§ 121.519 Flight time limitations: deadhead transportation: airplanes

Time spent by an airman in deadhead transportation to or from a duty assignment is not considered to be part of any rest period.

- \$ 121.521 Flight time limitations: crew of two pilots and one additional airman as required.
- (a) No supplemental air carrier or commercial operator may schedule an sirman to be aloft as a member of the flight crew in an airplane that has a crew of two pilots and at least one additional crewmember for more than 12 hours during any 24 consecutive hours.
- (b) If an airman has been aloft as a member of a flight crew for 20 or more hours during any 48 consecutive hours or 24 or more hours during any 72 consecutive hours, he must be given at least 18 hours of rest before being assigned to any duty with the air carrier or commercial operator. In any case, he must be relieved of all duty for at least 24

consecutive hours during any seven consecutive days.

- (c) No airman may be aloft as a flight crewmember more than-
- (1) 120 hours during any 30 consecutive days; or
- (2) 300 hours during any 90 consecutive days.
- § 121,523 Flight time limitations: crew of three or more pilots and additional airmen as required.
- (a) No supplemental air carrier or commercial operator may schedule an airman for flight deck duty as a flight engineer, or navigator in a crew of three or more pilots and additional airmen for a total of more than 12 hours during any 24 consecutive hours.
- (b) Each supplemental air carrier and commercial operator shall schedule its flight hours to provide adequate rest periods on the ground for each airman who is away from his principal operations base. It shall also provide adequate sleeping quarters on the airplane whenever an airman is scheduled to be aloft as a flight crewmember for more than 12 hours during any 24 consecutive hours.
- (c) No supplemental air carrier or commercial operator may schedule any flight crewmember to be on continuous duty for more than 30 hours. Such a crewmember is considered to be on continuous duty from the time he reports for duty until the time he is released from duty for a rest period of at least 10 hours on the ground. If a flight crewmember is on continuous duty for more than 24 hours (whether scheduled or not) duty any scheduled duty period, he must be given at least 16 hours for rest on the ground after completing the last flight scheduled for that scheduled duty period before being assigned any further flight duty.

(d) If a flight crewmember is required to engage in deadhead transportation for more than four hours before beginning flight duty, one half of the time spent in deadhead transportation must be treated as duty time for the purpose of complying with duty time limitations, unless he is given at least 10 hours of rest on the ground before being assigned to

flight duty.

(e) Each supplemental air carrier and commercial operator shall give each airman, upon return to his operations base from any flight or series of flights, a rest period that is at least twice the total number of hours he was aloft as a flight crewmember since the last rest period at his base, before assigning him to any further duty. If the required rest period is more than seven days, that part of the rest period that is more than seven days may be given at any time before the pilot is again scheduled for flight duty.

(f) No airman may be aloft as a flight crewmember for more than 350 hours in

any 90 consecutive days.

§ 121.525 Flight time limitations: pilote serving in more than one kind of flight crew.

(a) This section applies to each pilot assigned during any 30 consecutive days to more than one type of flight crew.

(b) The flight time limitations for a pilot who is scheduled for duty aloft for more than 20 hours in two-pilot crews in 30 consecutive days, or whose assignment in such a crew is interrupted more than once in any 30 consecutive days by assignment to a crew of two or more pilots and an additional flight crewmember, are those listed in \$\$ 121.503 through 121.509, as appropriate.

(c) Except for a pilot covered by paragraph (b) of this section, the flight time limitations for a pilot scheduled for duty aloft for more than 20 hours in twopilot and additional flight crewmember crews in 30 consecutive days or whose assignment in such a crew is interrupted more than once in any 30 consecutive days by assignment to a crew consisting of three pilots and an additional flight crewmember, are those set forth in 6 121.521.

(d) The flight time limitations for a pilot to whom paragraphs (b) and (c) of this section do not apply, and who is scheduled for duty aloft for a total of not more than 20 hours within 30 consecutive days in two-pilot crews (with or without additional flight crewmembers) are those set forth in \$ 121.523.

(e) The flight time limitations for a pilot assigned to each of two-pilot, twopilot and additional flight crewmember, and three-pilot and additional flight crewmember crews in 30 consecutive days, and who is not subject to paragraph (b), (c), or (d) of this section, are those listed in § 121.523.

Subpart T—Flight Operations § 121.531 Applicability.

This subpart prescribes requirements for flight operations applicable to all certificate holders, except where otherwise specified.

§ 121.533 Responsibility for operational control: domestic air carriers.

(a) Each domestic air carrier is responsible for operational control.

(b) The pilot in command and the aircraft dispatcher are jointly responsible for the preflight planning, delay, and dispatch release of a flight in compliance with this chapter and operations specifications.

(c) The aircraft dispatcher is responsible for-

(1) Monitoring the progress of each

(2) Issuing necessary information for the safety of the flight; and

(3) Cancelling or redispatching a flight if, in his opinion or the opinion of the pilot in command, the flight cannot operate or continue to operate safely as planned or released.

(d) Each pilot in command of an aircraft is, during flight time, in command of the aircraft and crew and is responsible for the safety of the passengers, crewmembers, cargo, and airplane.

(e) Each pilot in command has full control and authority in the operation of the aircraft, without limitation, over other crewmembers and their duties during flight time, whether or not he holds valid certificates authorizing him to perform the duties of those crewmembers.

§ 121.535 Responsibility for operational control: flag air carriers.

(a) Each flag air carrier is responsible for operational control.

(b) The pilot in command and the aircraft dispatcher are jointly responsible for the preflight planning, delay, and dispatch release of a flight in compliance with this chapter and operations specifications.

(c) The aircraft dispatcher is responsible for-

(1) Monitoring the progress of each flight:

(2) Issuing necessary instructions and information for the safety of the flight:

(3) Cancelling or redispatching a flight if, in his opinion or the opinion of the pilot in command, the flight cannot operate or continue to operate safely as planned or released.

(d) Each pilot in command of an aircraft is, during flight time, in command of the aircraft and crew and is responsible for the safety of the passengers, crewmembers, cargo, and airplane.

(e) Each pilot in command has full control and authority in the operation of the aircraft, without limitation, over other crewmembers and their duties during flight time, whether or not he holds valid certificates authorizing him to perform the duties of those crewmembers.

(f) No pilot may operate an aircraft in a careless or reckless manner so as to

endanger life or property.

§ 121.537 Responsibility for operational control: supplemental air carriers and commercial operators.

(a) Each supplemental air carrier and commercial operator-

(1) Is responsible for operational control; and

(2) Shall list each person authorised by it to exercise operational control in its operator's manual.

(b) The pilot in command and the director of operations are jointly responsible for the initiation, continuation, diversion, and termination of a flight in compliance with this chapter and the operations specifications. The director of operations may delegate the functions for the initiation, continuation, diversion, and termination of a flight but he may not delegate the responsibility for those functions.

(c) The director of operations is responsible for canceling, diverting, or delaying a flight if in his opinion or the opinion of the pilot in command the flight cannot operate or continue to operate safely as planned or released. The director of operations is responsible for assuring that each flight is monitored with respect to at least the following:

(1) Departure of the flight from the place of origin and arrival at the place of destination, including intermediate stons and any diversions therefrom.

(2) Maintenance and mechanical delays encountered at places of origin and destination and intermediate stops.

(3) Any known conditions that may adversely affect the safety of flight.

(d) Each pilot in command of an aircraft is, during flight time, in command of the aircraft and crew and is responsi-

ble for the safety of the passengers, crewmembers, cargo, and aircraft. The pilot in command has full control and authority in the operation of the aircraft, without limitation, over other crewmembers and their duties during flight time, whether or not he holds valid certificates authorizing him to perform the duties of those crewmembers.

(e) Each pilot in command of an aircraft is responsible for the preflight planning and the operation of the flight in compliance with this chapter and the operations specifications.

(f) No pilot may operate an aircraft in a careless or reckless manner, so as to endanger life or property.

§ 121.539 Operations notices.

Each certificate holder shall notify its appropriate operations personnel of each change in equipment and operating procedures, including each known change in the use of navigation aids, airports, air traffic control procedures and regulations, local airport traffic control rules, and known hazards to flight, including icing and other potentially hazardous meteorological conditions and irregularities in ground and navigation facilities

§ 121.541 Operations schedules: domestic and flag air carriers.

In establishing flight operations schedules, each domestic and flag air carrier shall allow enough time for the proper servicing of aircraft at intermediate stops, and shall consider the prevailing winds en route and the cruising speed of the type of aircraft used. This cruising speed may not be more than that resulting from the specified cruising output of the engines

§ 121.543 Flight crewmembers at controle.

Each required flight crewmember on flight deck duty shall remain at his station while the aircraft is taking off or landing, and while it is en route unless the absence of one member is necessary for the performance of duties in connection with the operation of the airplane. Each flight crewmember shall keep his seat belt fastened when at his station

§ 121.545 Manipulation of controls.

No person may manipulate the flight controls of an aircraft during flight unless he is-

(a) A qualified pilot of the certificate holder operating that aircraft.

(b) An authorized pilot safety representative of the Administrator or of the Civil Aeronautics Board who has the permission of the pilot in command, is qualified in the aircraft, and is checking flight operations: or

(c) A pilot of another certificate holder who has the permission of the pilot in command, is qualified in the aircraft, and is authorized by the certificate holder operating the aircraft.

\$ 121.547 Admission to flight deck.

(a) No person may admit any person to the flight deck of an aircraft unless the person being admitted is(1) A crewmember:

(2) An FAA air carrier inspector, or an authorized representative of the Civil Aeronautics Board, who is performing official duties:

(3) An employee of the United States. a certificate holder, or an aeronautical enterprise who has the permission of the pilot in command and whose duties are such that admission to the flight deck is necessary or advantageous for safe operations: or

(4) Any person who has the permission of the pilot in command and is specifically authorized by the certificate holder management and by the Admin-

istrator.

Subparagraph (2) of this paragraph does not limit the emergency authority of the pilot in command to exclude any person from the flight deck in the interests of safety.

(b) For the purposes of paragraph (a) of this section, employees of the United States who deal responsibly with matters relating to safety and employees of the certificate holder whose efficiency would be increased by familiarity with flight conditions, may be admitted by the certificate holder. However, the certificate holder may not admit employees of traffic, sales, or other departments that are not directly related to flight opera-tions, unless they are eligible under paragraph (a) (4) of this section.

(c) No person may admit any person to the flight deck unless there is a seat available for his use in the passenger

compartment, except-

(1) An FAA air carrier inspector or an authorized representative of the Administrator or Civil Aeronautics Board who is checking or observing flight opera-

(2) An air traffic controller who is authorized by the Administrator to ob-

serve ATC procedures:

(3) A certificated airman employed by the certificate holder whose duties require an airman certificate;

(4) A certificated airman employed by another certificate holder whose duties with that carrier require an airman certificate and who is authorized by the certificate holder operating the aircraft to make specific trips over a route;

(5) An employee of the certificate holder operating the aircraft whose duty is directly related to the conduct or planning of flight operations or the inflight monitoring of aircraft equipment or operating procedures, if his presence on the flight deck is necessary to perform his duties and he has been authorized in writing by a responsible supervisor, listed in the Operations Manual as having that

authority; and (6) A technical representative of the manufacturer of the aircraft or its components whose duties are directly related to the in-flight monitoring of aircraft equipment or operating procedures, if his presence on the flight deck is necessary to perform his duties, and he has been au-thorized in writing by the Administrator and by a responsible supervisor of the operations department of the certificate holder, listed in the Operations Manual as having that authority.

6 121.548 Air carrier inspector's credentials: admission to pilot's compartment.

Whenever, in performing his duties of conducting an inspection, an inspector of the Federal Aviation Agency presents his credential Form FAA 110A "Air Carrier Inspector's Credential" to the pilot in command of an aircraft operated by an air carrier or commercial operator, he must be given free and uninterrupted access to the pilot's compariment of that aircraft.

§ 121.549 Flying equipment.

(a) The pilot in command shall ensure that appropriate aeronautical charts containing adequate information concerning navigation aids and instrument approach procedures are aboard the aircraft for each flight.

(b) Each crewmember shall, on each flight, have readily available for his use a flashlight that is in good working order.

§ 121.551 Restriction or suspension of operation: domestic and flag air car-

When a domestic or flag air carrier knows of conditions, including airport and runway conditions, that are a hazard to safe operations, it shall restrict or suspend operations until those conditions are corrected.

§ 121.553 Restriction or suspension of operation: supplemental air carriers and commercial operators.

When a supplemental air carrier, commercial operator, or pilot in command knows of conditions, including airport and runway conditions, that are a hazard to safe operations, the air carrier, commercial operator, or pilot in command, as the case may be, shall restrict or suspend operations until those conditions are corrected.

§ 121.555 Compliance with approve routes and limitations: domestic and flag air catriers.

No pilot may operate an airplane in scheduled air transportation-

(a) Over any route or route segment unless it is specified in the domestic or flag air carrier's operations specifications: or

(b) Other than in accordance with the limitations in the operations specifications

§ 121.557 Emergencies: domestic and flag air carriers.

(a) In an emergency situation that requires immediate decision and action the pilot in command may take any ac-tion that he considers necessary under the circumstances. In such a case he may deviate from prescribed operations procedures and methods, weather minimums, and this chapter, to the extent required in the interests of safety.

(b) In an emergency situation arising during flight that requires immediate decision and action by an aircraft dispatcher, and that is known to him, the aircraft dispatcher shall advise the pilot in command of the emergency, shall as-certain the decision of the pilot in command, and shall have the decision recorded. If the aircraft dispatcher cannot communicate with the pilot, he shall declare an emergency and take any action that he considers necessary under the circumstances.

(e) Whenever a pilot in command or dispatcher exercises emergency authority, he shall keep the appropriate ATC facility and dispatch centers fully informed of the progress of the flight. The person declaring the emergency shall send a written report of any deviation through the air carrier's operations manager, to the Administrator. A dispatcher shall send his report within 10 days after the date of the emergency, and a pilot in command shall send his report within 10 days after returning to his home base.

§ 121.559 Emergencies: supplemental air carriers and commercial oper-

(a) In an emergency situation that requires immediate decision and action, the pilot in command may take any action that he considers necessary under the circumstances. In such a case, he may deviate from prescribed operations, procedures and methods, weather minimums, and this chapter, to the extent required in the interests of safety.

(b) In an emergency situation arising during flight that requires immediate decision and action by appropriate management personnel in the case of operations conducted with a flight following service and which is known to them. those personnel shall advise the pilot in command of the emergency, shall ascertain the decision of the pilot in command, and shall have the decision recorded. If they cannot communicate with the pilot, they shall declare an emergency and take any action that they consider necessary under the circumstances

(c) Whenever emergency authority is exercised, the pilot in command or the appropriate management personnel shall keep the appropriate ground radio station fully informed of the progress of the flight. The person declaring the emergency shall send a written report of any deviation, through the air carrier's or commercial operator's director of operations, to the Administrator within 10 days after the flight is completed or, in the case of operations outside the United States, upon return to the home base.

§ 121.561 Reporting potentially hazard-ous meteorological conditions and irregularities of ground and navigation facilities.

(a) Whenever he encounters a meteorological condition or an irregularity in a ground or navigational facility, in flight, the knowledge of which he considers essential to the safety of other flights, the pilot in command shall notify an appropriate ground station as soon as practicable.

(b) The ground radio station that is notified under paragraph (a) of this section shall report the information to the agency directly responsible for operating the facility.

§ 121.563 Reporting mechanical irregu-Invition

The pilot in command shall enter or have entered in the maintenance log of the airplane each mechanical irregularity that comes to his attention during flight time. Before each flight, he shall ascertain the status of each irregularity entered in the log at the end of the preceding flight.

§ 121.565 Engine inoperative; landing; reporting.

(a) Except as provided in paragraph (b) of this section, whenever an engine of an airplane fails or whenever the rotation of an engine is stopped to prevent possible damage, the pilot in command shall land the airplane at the nearest suitable airport, in point of time, at which a safe landing can be made.

(b) If not more than one engine of an airplane that has three or more engines fails or its rotation is stopped, the pilot in command may proceed to an airport that he selects if, after considering the following, he decides that proceeding to that airport is as safe as landing at the nearest suitable airport:

(1) The nature of the malfunction and the possible mechanical difficulties that may occur if flight is continued.

(2) The altitude, weight, and usable fuel at the time of engine stoppage.

- (3) The weather conditions en route and at possible landing points.
 - (4) The air traffic congestion.(5) The kind of terrain.
- (6) His familiarity with the airport to be used.
- (c) The pilot in command shall report each stoppage of engine rotation in flight to the appropriate ground radio station as soon as practicable and shall keep that station fully informed of the progress of
- (d) If the pilot in command lands at an airport other than the nearest suitable airport, in point of time, he shall (upon completing the trip) send a written report, in duplicate, to his operations manager, (or director of operations in the case of a supplemental air carrier or commercial operator) stating his reasons for determining that his selection of an airport, other than the nearest airport, was as safe a course of action as landing at the nearest suitable airport. The operations manager or director of operations shall within 10 days after the pilot returns to his home base, send a copy of this report with his comments to the FAA Air Carrier District Office charged with the overall inspection of the air carrier's operations.

§ 121.567 Instrument approach procedures and IFR landing minimums.

No person may make an instrument approach at an airport except in accordance with IFR weather minimums and instrument approach procedures set forth in the certificate holder's operations specifications.

§ 121.569 Equipment interchange: domestic and flag air carriers.

(a) Before operating under an interchange agreement, each domestic and fing air carrier shall show that-

- (1) The procedures for the interchange operation conform with this chapter and with safe operating prac-
- (2) Required crewmembers and dispatchers meet approved training requirements for the airplanes and equipment to be used and are familiar with the communications and dispatch procedures to be used:

(3) Maintenance personnel. training requirements for the airplanes and equipment, and are familiar with the maintenance procedures to be used:

(4) Flight crewmembers and dispatchers meet appropriate route and airport qualifications; and

(5) The airplanes to be operated are essentially similar to the airplanes of the air carrier with whom the interchange is effected with respect to the arrangement of flight instruments and the arrangement and motion of controls that are critical to safety unless the Administrator determines that the air carrier has adequate training programs to insure that any potentially hazardous dissimilarities are safely overcome by flight crew familiarization.

(b) Each domestic and flag air carrier shall include the pertinent provisions and procedures involved in the equipment interchange agreement in its manuals.

§ 121,571 Briefing passengers: ex-tended overwater flights.

- (a) Each certificate holder operating an airplane in extended overwater operations shall ensure that all passengers are orally briefed on-
- (1) The location and operation of emergency exists:
- (2) The location and operation of life preservers, including a demonstration of donning and inflating a life preserver; and
 (3) The location of life rafts.

(b) The certificate holder shall describe the procedure to be followed in the briefing in its manual.

(c) If the airplane proceeds directly over water after takeoff, the briefing on locations of life preservers and emergency exists must be done before takeoff, and the rest of the briefing must be done as soon as practicable after takeoff.

(d) If the sirplane does not proceed directly over water after takeoff, no part of the briefing has to be given before takeoff but the entire briefing must be given before reaching the over water part of the flight.

§ 121.573 Briefing passengers before takeoff: supplemental air carriers and commercial operators.

Before each takeoff, each supplemental air carrier or commercial operator operating an airplane carrying passengers shall ensure that each passenger is orally briefed on-

- (a) Smoking:
- (b) The use of seat belts;
- (c) The location and operation of emergency exits; and
- (d) The emergency evacuation procedures to be used in an emergency evacuation of the airplane.

§ 121.575 Alcoholic beverages.

(a) No person may drink any alcoholic beverage aboard an aircraft unless the certificate holder operating the aircraft has served that beverage to him.

(b) No certificate holder may serve any alcoholic beverage to any person aboard any of its aircraft if that person appears to be intoxicated.

(c) No certificate holder may allow any person to board any of its aircraft if that person appears to be intoxicated.

(d) Each certificate holder shall, within five days after the incident, report to the Administrator the refusal of any person to comply with paragraph (a) of this section, or of any disturbance caused by a person who appears to be intoxicated aboard any of its aircraft.

& 121.579 Minimum altitudes for use of automatic pilot.

(a) En route operations: Except as provided in paragraph (b) of this section, no person may use an automatic pilot en route, including climb and descent, at an altitude above the terrain that is less than twice the maximum altitude loss specified in the Airplane Flight Manual for a malfunction of the automatic pilot under cruise conditions,

or less than 500 feet, whichever is higher.

(b) Approaches. When using an instrument approach facility, no person may use an automatic pilot at an altitude above the terrain that is less than twice the maximum altitude loss specified in the Airplane Flight Manual for a malfunction of the automatic pilot under approach conditions, or less than 50 feet below the approved minimum ceiling for the facility, whichever is higher, except-

(1) When reported weather conditions are less than the basic VFR weather conditions in \$ 91.105 of this chapter, no person may use an automatic pilot with an approach coupler for ILS approaches at an altitude above the terrain that is less than 50 feet higher than the maximum altitude loss specified in the Airplane Flight Manual for the malfunction of the automatic pilot with approach coupler under approach conditions; and

(2) When reported weather conditions are equal to or better than the basic VFR minimums in \$ 91.106 of this chapter, no person may use an automatic pilot with an approach coupler for ILS approaches at an altitude above the terrain that is less than the maximum altitude loss specified in the Airplane Flight Manual for the malfunction of the automatic pilot with approach coupler under approach conditions, or 50 feet, whichever is higher.

§ 121.581 Forward observer's seat; en route inspections: air carriers.

(a) . Each air carrier shall make available a seat on the flight deck of each airplane, used by it in air transportation. for occupancy by the Administrator while conducting en route inspections. The location and equipment of the seat, with respect to its suitability for use in conducting en route inspections, is determined by the Administrator.

(b) In each airplane that has more then one observer's seat, in addition to the seats required for the crew complement for which the airplane was certificated, the forward observer's seat must be made available to the Administrator.

§ 121.585 Carriage of persons aboard sirplane in cargo-only operations allcargo aircraft.

(a) When authorized by the certificate holder operating the sirplane, any of the following persons may be carried aboard an airplane engaged in the carriage of cargo only, without complying with the passenger-carrying or passenger-service airplane requirements of this chapter:

(1) Any person performing a specific duty assignment aboard the airplane in connection with the safety of the flight, the safe carriage of animals, or the safe carriage of radioactive materials as prescribed in \$1 103.1, 103.6, and 103.21 of this chapter.

(2) Any person traveling to or from a duty assignment described in subparagraph (1) of this paragraph, in any case in which the certificate holder finds that other means of transportation are not

practicable. (3) Any person performing duty as a security or honor guard aboard an airplane for shipments made by or under the authority of the United States.

(4) Any military courier, military route supervisor, or flight crewmembers of any military cargo contract air carrier or commercial operator, when operating under a military cargo contract and specifically authorized by the appropriate armed forces.

(5) Any employee of the certificate holder and his dependents when traveling on company business to or from outlying stations not served by adequate

regular passenger flights.

(b) Whenever any person covered by paragraph (a) (5) of this section is carried on the airplane, the cargo must be loaded in such a manner that it does not obstruct access to the pilot compartment, or appropriate regular or emergency exits. In addition, for extended overwater flights, or for flights over uninhabited terrain, there must be on the airplane emergency and survival equipment adequate for the particular operation. Procedures for the safe carriage of company employees and their dependents must be incorporated into the air carrier's or commercial operator's operations manual.

(c) The certificate holder must have an approved seat with a safety beit for each person covered by paragraph (a) of this section. The seat must be located so that the occupant is not in any posttion to interfere with the flight crewmembers in performing their duties.

(d) The pilot in command may authorize any person covered by paragraph (a) of this section to be admitted to the flight deck of the airplane.

§ 121.585 Prohibition against carriage of weapons.

No person may, while aboard an airplane being operated by an air carrier in air transportation, carry on or about his person a deadly or dangerous weapon, either concealed or unconcealed. This paragraph does not apply to-

- (a) Officials or employees of a municipality or a State, or of the United States, who are authorized to carry arms: end
- (b) Crewmembers and other persons authorized by the air carrier to carry

§ 121.587 Closing and locking of flight crew compartment door.

(a) Except as provided in paragraph (b) of this section, the pilot in command of a large airplane carrying passengers shall ensure that the door separating the flight crew compartment from the passenger compartment is closed and locked during flight.

(b) The provisions of paragraph (a)

of this section do not apply-

(1) During takeoff and landing if the crew compartment door is the means of access to a required passenger emergency exit; or
(2) At any time that it is necessary to

provide access to the flight crew or passenger compartment, to a crewmenber in the performance of his duties or for a person authorized admission to the flight crew compartment under i 121.547.

Subpart U—Dispatching and Flight Release Rules

§ 121.591 Applicability.

This subpart prescribes dispatching rules for domestic and flag air carriers and flight release rules for supplemental air carriers and commercial operators.

§ 121.593 Dispatching authority: domestic air carriers.

Except when an airplane lands at an intermediate airport specified in the original dispatch release and remains there for not more than one hour, no person may start a flight unless an aircraft dispatcher specifically authorises that flight.

§ 121.595 Dispatching authority: flag air carriers.

(a) No person may start a flight unless an aircraft dispatcher specifically authorizes that flight.

(b) No person may continue a flight from an intermediate airport without redispatch if the airplane has been on the ground more than six hours.

§ 121.597 Flight release authority: supplemental air carriers and commercial operators.

(a) No person may start a flight under a flight following system without mecific authority from the person authorized by the operator to exercise operational control over the flight.

(b) No person may start a flight unless the pilot in command has executed a flight release setting forth the conditions under which the flight will be conducted. The pilot in command may sign the flight release only when he and the person authorised by the operator to exercise operational control believe that the flight can be made with safety.

(c) No person may continue a flight from an intermediate airport without a new flight release if the aircraft has been on the ground more than six hours.

8 121,599 Familiarity with weather conditions.

- (a) Domestic and flag air carriers. No aircraft dispatcher may release a flight unless he is thoroughly familiar with reported and forecast weather conditions on the route to be flown.
- (b) Supplemental air carriers and commercial operators. No pilot in command may begin a flight unless he is thoroughly familiar with reported and forecast weather conditions on the route to be flown.
- § 121.601 Aircraft dispatcher information to pilot in command: domestic and flag air carriers.
- (a) The aircraft dispatcher shall provide the pilot in command all available current reports or information on airport conditions and irregularities of navigation facilities that may affect the safety of the flight.
- (b) During a flight, the aircraft dispatcher shall provide the pilot in command any additional available information of meteorological conditions and irregularities of facilities and services that may affect the safety of the flight.
- 8 121.603 Facilities and services: supplemental air carriers and commercial operators.
- (a) Before beginning a flight, each pilot in command shall obtain all available current reports or information on airport conditions and irregularities of navigation facilities that may affect the safety of the flight.
- (b) During a flight, the pilot in command shall obtain any additional available information of meteorological conditions and irregularities of facilities and services that may affect the safety of the flight

§ 121.605 Airplane equipment.

No person may dispatch or release an airplane unless it is airworthy and is equipped as prescribed in § 121.303.

- 8 121.607 Communication and navigation facilities: domestic and fing air carriers.
- (a) Except as provided in paragraph (b) of this section for flag air carriers, no person may dispatch an airplane over an approved route or route segment unless the communication and navigation facilities required by \$\$ 121.99 and 121.103 for the approval of that route or segment are in satisfactory operating condition.
- (b) If, because of technical reasons or other reasons beyond the control of a flag air carrier, the facilities required by \$\$ 121.99 and 121.103 are not available over a route or route segment outside the United States, the air carrier may dispatch an airplane over that route or route segment if the pilot in command and dispatcher find that communication and navigation facilities equal to those required are available and are in satisfactory operating condition.
- § 121.609 Communication and navigation facilities: supplemental air carriers and commercial operators.

No person may release an aircraft over any route or route segment unless

communication and navigation facilities equal to those required by § 121.121 are in satisfactory operating condition.

§ 121,611 Dispatch or flight release under VFR.

No person may dispatch or release an aircraft for VFR operation unless the ceiling and visibility en route, as indicated by available weather reports or forecasts, or any combination thereof. are and will remain at or above applicable VFR minimums until the aircraft arrives at the airport or airports specified in the dispatch or flight release.

§ 121.613 Dispatch or flight release under IFR or over the top.

Except as provided in § 121.615, no person may dispatch or release an aircraft for operations under IFR or over-thetop, unless appropriate weather reports or forecasts, or any combination thereof, indicate that the ceilings and visibilities will be at or above the authorized minimums at the estimated time of arrival at the airport or airports to which dispatched or released.

- § 121.615 Dispatch or flight release over water: flag and supplemental air car-riers and commercial operators.
- (a) No person may dispatch or release an aircraft for a flight that involves extended overwater operation unless appropriate weather reports or forecasts. or any combination thereof, indicate that the ceilings and visibilities will be at or above the authorized minimums at the estimated time of arrival at any airport to which dispatched or released or to any required alternate airport.
- (c) Each flag and supplemental air carrier and commercial operator shall conduct extended overwater operations under IFR unless it shows that operating under IFR is not necessary for safety.
- (d) Each flag and supplemental air carrier and commercial operator shall conduct other overwater operations under IFR if the Administrator determines that operation under IFR is necessary for safety.
- (e) Each authorization to conduct extended overwater operations under VFR and each requirement to conduct other overwater operations under IFR will be specified in the air carrier's or commercial operator's operations specifications.

§ 121.617 Alternate airport for departure.

- (a) If the weather conditions at the airport of takeoff are below the landing minimums in the certificate holder's operations specifications for that airport, no person may dispatch or release an aircraft from that airport unless the dispatch or flight release specifies an alternate airport located within the following distances from the airport of takeoff.
- (1) Aircraft having two engines. Not more than one hour from the departure airport at normal cruising speed in still air with one engine inoperative.
- (2) Aircraft having three or more engines. Not more than two hours from the departure airport at normal cruising speed in still air with one engine inoper-

- (b) For the purpose of paragraph (a) of this section, the alternate airport weather conditions must meet the requirements of the certificate holder's operations specifications.
- (c) No person may dispatch or release an aircraft from an airport unless he lists each required alternate airport in the dispatch or flight release.
- § 121.619 Alternate airport for destination: IFR or over-the-top: domestic air carriers.
- (a) No person may dispatch an airplane under IFR or over-the-top unless he lists at least one alternate airport for each destination airport in the dispatch release. When the weather conditions forecast for the destination and first alternate airport are marginal at least one additional alternate must be designated. However, no alternate airport is required if-
- (1) For at least two hours before and two hours after the estimated time of arrival, the ceiling at the airport to which the flight is dispatched is forecast to be at least 1,000 feet above the minimum initial approach altitude to that

airport; and
(2) The visibility at that airport is

forecast to be at least 3 miles

(b) For the purposes of paragraph (a) of this section, the weather conditions at the alternate airport must meet the requirements of \$ 121.625.

(c) No person may dispatch a flight unless he lists each required alternate

airport in the dispatch release.

- § 121.621 Alternate airport for destination: flag air carriers.
- (a) No person may dispatch an airplane under IFR or over-the-top unless he lists at least one alternate airport for each destination airport in the dispatch release, unles
- (1) The flight is scheduled for not more than six hours and the ceiling is forecast to be at least 1,000 feet above the minimum initial approach aititude, and the visibility is forecast to be at least three miles, at the destination airport for two hours before and two hours after the estimated time of arrival: or
- (2) The flight is over a route approved without an available alternate airport for a particular destination airport and the airplane has enough fuel to meet the requirements of ## 121.641(b) or 121.645(b).
- (b) For the purposes of paragraph (a) of this section, the weather conditions at the alternate airport must meet the requirements of the air carrier's operations specifications.
- (c) No person may dispatch a flight unless he lists each required alternate airport in the dispatch release.
- § 121.623 Alternate airport for destination; IFR or over-the-top: supple mental air carriers and commercial operators.
- (a) Except as provided in paragraph (b) of this section, each person releasing an aircraft for operation under IFR or over-the-top shall list at least one alternate airport for each destination airport in the flight release.

(b) An alternate airport need not be designated for IFR or over-the-top operations where the aircraft carries enough fuel to meet the requirements of §§ 121.643 and 121.645 for flights outside the 48 contiguous States and the District of Columbia over routes without an available alternate airport for a particular airport of destination.

(c) For the purposes of paragraph (a) of this section, the weather requirements at the alternate airport must meet the requirements of the air carrier's or commercial operator's operations specifications

(d) No person may release a flight unless he lists each required alternate airport in the flight release

\$ 121.625 Alternate airport weather minimume.

No person may list an airport as an alternate airport in the dispatch or flight release unless the appropriate weather reports or forecasts, or any combination thereof, indicate that the ceilings and visibilities will be at or above the alternate weather minimums specified in the certificate holder's operations specifications for that airport when the flight arrives.

§ 121.627 Continuing flight in unsafe conditions

(a) No pilot in command may allow a flight to continue toward any airport to which it has been dispatched or released if. in the opinion of the pilot in command or dispatcher (domestic and flag air carriers only), the flight cannot be completed safely; unless, in the opinion of the pilot in command, there is no safer procedure. In that event, continuation toward that airport is an emergency situation as set forth in \$ 121.557.

(b) If any instrument or item of equipment required under this chapter for the particular operation becomes inoperative en route, the pilot in command shall comply with the approved procedures for such an occurrence as specified in the certificate holder's manual

(c) The minimum equipment list and procedures for continuing flight beyond a terminal point with equipment required in § 121.303(d) inoperative may be included in the certificate holder's manual if the Administrator finds that, in a particular situation literal compliance with those equipment requirements is not necessary in the interests of safety.

§ 121.629 Operation in joing conditions.

(a) No person may dispatch or release an aircraft, continue to operate an aircraft en route, or land an aircraft when in the opinion of the pilot in command or aircraft dispatcher (domestic and flag air carriers only), icing conditions are expected or met that might adversely affect the safety of the flight.

(b) No person may takeoff an air-eraft when frost, snow, or ice is adher-ing to the wings, control surfaces, or propellers of the aircraft.

121,631 Original dispatch or flight reions, redispatch or amendment of dispatch or flight release.

A certificate holder may specify any regular, provisional, or refueling airport, authorized for the type of aircraft. as a destination for the purpose of original dispatch or release.

(b) No person may allow a flight to continue to an airport to which it has been dispatched or released unless the weather conditions at an alternate airport that was specified in the dispatch or flight release are forecast to be at or above the alternate minimums specified in the operations specifications for that airport at the time the aircraft would arrive at the alternate airport. However, the dispatch or flight release may be amended en route to include any alternate airport that is within the fuel range of the aircraft as specified in 11 121.639 through 121.649.

(c) No person may change an original destination or alternate airport that is specified in the original dispatch or flight release to another airport while the aircraft is en route unless the other airport is authorized for that type of aircraft and the appropriate requirements of §§ 121.593 through 121.659 and 121.173 are met at the time of redispatch or amendment of the flight release.

(d) Each person who amends a dispatch or flight release en route shall record that amendment

§ 121,633 Dispatch to and from provisional airports: domestic air carriers.

(a) No person may dispatch an airplane to a provisional airport unless that airport meets the requirements of this part applicable to regular airports.

(b) No person may dispatch an airplane from a provisional airport except in accordance with the requirements of this part applicable to dispatch from regular airports.

§ 121.635 Disputch to and from refueling or provisional airports: flag air carriers.

No person may dispatch an airplane to or from a refueling or provisional airport unless that airport meets the requirements of this part applicable to regular airports.

§ 121.637 Takeoffs from unlisted and alternate airports: domestic and flag air carriers.

(a) No pilot may take off an airplane from an airport that is not listed in the operations specifications unless

(1) The airport and related facilities are adequate for the operation of the airplane:

(2) He can comply with the applicable airplane operating limitations;

(3) The airplane has been dispatched according to dispatching rules applicable to operation from an approved airport: and

(4) The ceiling and visibility at that airport are equal to or better than the following:

(1) Airports in the United States. The ceiling and visibility minimums for takeoff prescribed in Part 97 of this chapter, but not less than 300-1; or where minimums are not prescribed for the airport, 800-2, 900-11/2, or 1,000-1

(ii) Airports outside the United States. The ceiling and visibility minimums for takeoff prescribed or approved by the government of the country in which the almost is located but not less than 300-1; or where minimums are not prescribed or approved for the airport, 800-2, 990-11/2, or 1,000-1.

(b) No pilot may take off from an alternate airport unless the ceiling and visibility are at least equal to the minimums prescribed in the air carrier's operations specifications for alternate air-

§ 121.639 Fuel supply; all operations: domestic air carriers

No person may dispatch or take off an airplane unless it has enough fuel-

(a) To fly to the airport to which it is dispatched;

(b) Thereafter, to fly to and land at the most distant alternate airport (where required) for the airport to which dispatched; and

(c) Thereafter, to fly for 45 minutes at normal cruising fuel consumption.

§ 121.641 Fuel supply; nonturbine and turbo-propeller-powered airplanes: flag air carriers.

(a) No person may dispatch or take off a nonturbine or turbo-propeller-powered airplane unless, considering the wind and other weather conditions expected, it has enough fuel-

(1) To fly to and land at the airport to which it is dispatched:

(2) Thereafter, to fly to and land at the most distant alternate airport specifled in the dispatch release; and

(3) Thereafter, to fly for 30 minutes plus 15 percent of the total time required to fly at normal cruising fuel consumntion to the airports specified in subparagraphs (1) and (2) of this paragraph or to fly for 90 minutes at normal cruising fuel consumption, whichever is less.

(b) No person may dispatch a nonturbine or turbo-propeller-powered sirplane to an airport for which an alternate is not specified under \$ 121.621(a) (2), unless it has enough fuel, considering wind and forecast weather conditions, to fly to that airport and thereafter to fly for three hours at normal cruising fuel consumption.

§ 121.643 Fuel supply: nonturbine and turbo-propeller-powered airplanes: supplemental air carriers and commercial operators.

(a) Except as provided in paragraph (b) of this section, no person may release for flight or takeoff a nonturbine or turbo-propeller-powered airplane unless, considering the wind and other weather conditions expected, it has enough fuel-

(1) To fly to and land at the airport to which it is released;

(2) Thereafter, to fly to and land at the most distant alternate airport specified in the flight release; and

(3) Thereafter, to fly for 45 minutes. (b) If the airplane is released for any flight other than from one point in the contiguous United States to anotherpoint in the contiguous United States. it must carry enough fuel to meet the requirements of subparagraphs (1) and (2) of paragraph (a) of this section and thereafter fly for 30 minutes plus 15 percent of the total time required to fly at normal cruising fuel consumption to

the airports specified in subparagraphs (1) and (2) of paragraph (a) of this section, or to fly for 90 minutes at normal cruising fuel consumption, whichever is less.

- (c) No person may release a nonturbine or turbo-propeller-powered airplane to an airport for which an alternate is not specified under § 121.623(b), unless it has enough fuel, considering wind and other weather conditions expected, to fly to that airport and thereafter to fly for three hours at normal cruising fuel consumption.
- § 121.645 Fuel supply; turbine-enginepowered airplanes, other than turbo propeller; Flag and supplemental air carriers and commercial operators.
- (a) For any flag air carrier operation and for a supplemental air carrier or commercial operator operation outside the 48 contiguous States and the District of Columbia, no person may release for flight or take off a turbine-engine powered airplane (other than a turbo-propeller airplane) unless, considering wind and other weather conditions expected, it has enough fuel—

(1) To fly to and land at the airport to which it is released;

- (2) Thereafter, to fly for a period of 10 percent of the total time required to fly from the airport of departure to, and land at, the airport to which it was released;
- (3) Thereafter, to fly to and land at the most distant alternate airport specified in the flight release, if an alternate is required; and

(4) Thereafter, to fly for 30 minutes at holding speed at 1,500 feet above the alternate airport (or the destination airport if no alternate is required) under standard temperature conditions.

(b) No person may release a turbineengine powered airplane (other than a turbo-propeller airplane) to an airport for which an alternate is not specified under § 121.621(a) (2) or 121.623(b) unless it has enough fuel, considering wind and other weather conditions expected, to fly to that airport and thereafter to fly for at least two hours at normal cruising fuel consumption.

(c) The Administrator may amend the operations specifications of a flag or supplemental air carrier or commercial operator to require more fuel than any of the minimums stated in paragraph (a) or (b) of this section if he finds that additional fuel is necessary on a particular route in the interest of safety.

§ 121.647 Factors for computing fuel

Each person computing fuel required for the purposes of this subpart shall consider the following:

- (a) Wind and other weather conditions forecast.
 - (b) Anticipated traffic delays.
- (e) One instrument approach and possible missed approach at destination.
- (d) Any other conditions that may delay landing of the aircraft.

For the purposes of this section, required fuel is in addition to unusable fuel.

- § 121.649 Takeoff and landing weather minimums: VFR: domestic air carriers.
- (a) Except as provided in paragraph (b) of this section, regardless of any clearance from ATC, no pilot may take-off or land an airplane under VPR when the reported ceiling or visibility is less than the following:

(1) For day operations—1,000-foot ceiling and one-mile visibility.

(2) For night operations—1,000-foot ceiling and two-mile visibility.

(b) Where a local surface restriction to visibility exists (e.g., smoke, dust, blowing snow or sand) the visibility for day and night operations may be reduced to ½ mile, if all turns after takeoff and prior to landing, and all flight beyond one mile from the airport boundary can be accomplished above or outside the area of local surface visibility restriction.

§ 121.651 Takeoff and landing weather minimums: IFR: domestic and flag air carriers.

(a) Regardless of any clearance from ATC, no pilot may take off an airplane under IFR if the ceiling or ground visibility reported by the U.S. Weather Bureau or a source approved by the Weather Bureau is less than that specified for the takeoff airport in Part 97 [New] of this chapter, or in the air carrier's operations specifications for the airport.

(b) Except as provided in paragraphs (c) and (d) of this section, no pilot may execute an instrument approach procedure or land under IFR at an airport if the latest U.S. Weather Bureau Report or a source approved by the Weather Bureau for that airport indicates that the ceiling or visibility is less than that prescribed by the Administrator for

landing at that airport.

(c) A pilot may execute an instrument approach procedure if the U.S. Weather Bureau report or a source approved by the Weather Bureau indicates that the ceiling or visibility is less than the approved minimum for landing, if the airport is served by operative ILS and PAR and both are used by the pilot. Thereafter, the pilot may land if the pilot in command finds, upon reaching the authorized minimum landing altitude, that actual weather conditions are at least equal to the prescribed minimums.

(d) If a pilot initiates an instrument approach procedure when the current U.S. Weather Bureau report or a source approved by the Weather Bureau indicates that the prescribed ceiling and visibility minimums exist, and a later weather report indicating below minimum conditions is received after the airplane—

(1) Is on an ILS final approach and has passed the outer marker;

- (2) Is on final approach using a radio range station or comparable facility, or a final approach fix, has passed the appropriate facility, or a final approach fix, and has reached the authorized minimum landing altitude; or
- (3) Is on GCA final approach and has been turned over to the final approach controller;

the approach may be continued and a landing may be made, if the pilot in command finds, upon reaching the authorized landing minimum landing attitudthat actual weather conditions are at least equal to the prescribed minimums.

(e) If the pilot in command of an airplane has not served 100 hours as pilot in command in air carrier or commercial operations in the type of airplane he is operating, the ceiling and visibility landing minimums in the air carrier operations specifications for regular, provisional, or refueling airports are increased by 100 feet and one-half mile, respectively. The ceiling and visibility minimums need not be increased above those applicable to the airport when used as an alternate airport. The sliding scale when authorized in the operations specifications does not apply until the pilot in command has served 100 hours as pilot in command in air carrier or commercial operations in the type of airplane he is operating.

§ 121.653 Takeoff and landing weather minimums: IFR: supplemental air carriers and commercial operators.

- (a) Regardless of any clearance from ATC, if the reported ceiling or ground visibility is less than that specified in the supplemental air carrier or commercial operator's operations specifications, no pilot may—
- (1) Take off an aircraft under IPR; or
 (2) Except as provided in paragraph
 (c) of this section, land an aircraft under IFR.
- (b) Except as provided in paragraph (c) of this section, no pilot may execute an instrument approach procedure if the latest reported ceiling or visibility is less than the landing minimums specified in the air carrier or commercial operator's operations specifications.
- (c) If a pilot initiates an instrument approach procedure when the latest weather report indicates that the specified ceiling and visibility minimum exist, and a later weather report indicating below minimum conditions is received after the airplane—

(1) Is on an ILS final approach and has passed the outer marker;

(2) Is on final approach using a radio range station or comparable facility, has passed the appropriate facility, and has reached the authorised minimum landing altitude: or

(3) Is on PAR final approach and has been turned over to the final approach controller;

the approach may be continued and a landing may be made, if the pilot in command finds, upon reaching the authorized landing minimum altitude, that actual weather conditions are at least equal to the minimums prescribed in the operations specifications.

(d) If the pilot in command of an airplane has not served 100 hours as pilot in command in operations under this part or in the type of aircraft he is operating, the ceiling and visibility landing minimums in the air carrier or commercial operator's operations specifications for airports are increased by 100 feet and one-half mile, respectively. The ceiling-

and visibility minimums need not be increased above those applicable to the airport when used as an alternate airport.

§ 121.655 Applicability of reported weather minimums.

In conducting operations under §§ 121.-649 through 121.653, the ceiling and visibility values in the main body of the latest weather report control for VFR and IFR takeoffs and landings and for instrument approach procedures on all runways of an airport. However, if the latest weather report, including an oral report from the control tower, contains a visibility value specified as runway visibility or runway visual range for a particular runway of an airport, that specified value controls for VFR and IFR landings and takeoffs and straight-in instrument approaches for that runway.

§ 121.657 Flight altitude rules.

(a) General. Notwithstanding § 91.79 or any rule applicable outside the United States, no person may operate an aircraft below the minimums set forth in paragraphs (b) and (c) of this section, except when necessary for takeoff or landing, or except when, after considering the character of the terrain, the quality and quantity of meteorological services, the navigational facilities available, and other flight conditions, the Administrator prescribes other mini-mums for any route or part of a route where he finds that the safe conduct of the flight requires other altitudes. Outside of the United States the minimums prescribed in this section are controlling unless higher minimums are prescribed in the air carrier or commercial opera-tor's operations specifications or by the foreign country over which the aircraft is operating.
(b) Day FFR operations. No domestic

(b) Day FFR operations. No domestic air charter may operate a passenger-carrying aircraft and no flag or supplemental air carrier or commercial operator may operate any aircraft under VFR during the day at an altitude less than 1,000 feet above the surface or less than 1,000 feet from any mountain, hill, or other obstruction to flight.

(c) Night VFR, IFR, and over-the-top operations. No person may operate an sircraft under IFR including over-thetop or at night under VFR at an altitude less than 1,000 feet above the highest obstacle within a horizontal distance of five miles from the center of the intended course, or, in designated mountainous areas, less than 2,000 feet above the highest obstacle within a horizontal distance of five miles from the center of the intended course. However, any person operating an aircraft under VPR at night in designated mountainous areas may operate over an approved lighted airway at a minimum altitude of 1,000 feet above such an obstacle. For supplemental air carriers and commercial operators adherence to a flight altitude is not required during the time a flight is operating in accordance with paragraph (d) of this section.

(d) Day over-the-top operations below minimum en route altitudes: Domestic and supplemental air carriers and commercial operators. A person may conduct day over-the-top operations in an airplane at flight altitudes lower than the minimum en route IFR altitudes if—

(1) The operation is conducted at least 1,000 feet above the top of lower broken or overcast cloud cover;

(2) The top of the lower cloud cover is generally uniform and level;

(3) Plight visibility is at least five miles; and

(4) The base of any higher broken or overcast cloud cover is generally uniform and level and is at least 1,000 feet above the minimum en route IFR altitude for that route segment.

§ 121.659 Initial approach altitude: domestic and supplemental air carriers and commercial operators.

(a) Except as provided in paragraph (b) of this section, when making an initial approach to a radio navigation facility under IFR, no person may descend an aircraft below the pertinent minimum altitude for initial approach (as specified in the instrument approach procedure for that facility) until his arrival over that facility has been definitely established.

(b) When making an initial approach on a flight being conducted under § 121.657(d), no pilot may commence an instrument approach until his arrival over the radio facility has definitely been established. In making an instrument approach under these circumstances no person may descend an aircraft lower than 1,000 feet above the top of the lower cloud or the minimum altitude determined by the Administrator for that part of the IFR approach, whichever is lower.

§ 121.661 Initial approach altitude: flag

When making an initial approach to a radio navigation facility under IFR, no person may descend below the pertinent minimum altitude for initial approach (as specified in the instrument approach procedure for that facility) until his arrival over that facility has been definitely established.

§ 121.665 Responsibility for dispatch release: domestic and flag air carriers.

Each domestic and fiag air carrier shall prepare a dispatch release for each flight between specified points, based on information furnished by an authorised aircraft dispatcher. The pilot in command and an authorized aircraft dispatcher shall sign the release only if they both believe that the flight can be made with safety. The aircraft dispatcher may delegate authority to sign a release for a particular flight, but he may not delegate his authority to dispatch.

§ 121.665 Load manifest.

Each certificate holder is responsible for the preparation and accuracy of a load manifest form before each takeoff. The form must be prepared and signed for each flight by employees of the certificate holder who have the duty of supervising the loading of aircraft and preparing the load manifest forms or by other qualified persons authorized by the certificate holder.

§ 121.667 Flight plan: VFR and IFR: supplemental air carriers and commercial operators.

(a) No person may take off an aircraft unless the pilot in command has filed a flight plan, containing the appropriate information required by Part 91 [New], with the nearest FAA communication station or appropriate military station or, when operating outside the United States, with other appropriate authority. However, if communications facilities are not readily available, the pilot in command shall file the flight plan as soon as practicable after the aircraft is airborne. A flight plan must continue in effect for all parts of the flight.

(b) When flights are operated into military airports, the arrival or completion notice required by § 91.83 may be filed with the appropriate airport control tower or aeronautical communication facility used for that airport.

Subpart V—Records and Reports

§ 121.681 Applicability.

This subpart prescribes requirements for the preparation and maintenance of records and reports for all certificate holders.

§ 121.683 Crewmember and dispatcher record.

(a) Each certificate holder shall-

(1) Maintain current records of each crewmember, and each aircraft dispatcher (domestic and flag air carriers only), that shows whether or not he complies with this chapter (e.g., proficiency and route checks, airplane and route qualifications, training, any required physical examinations, and flight time records); and

(2) Record each action taken concerning the release from employment or physical or professional disqualification of any flight crewmember or aircraft dispatcher (domestic and flag air carriers only) and keep the record for at least six months thereafter.

(b) Supplemental air carriers and commercial operators: Each supplemental air carrier and commercial operator shall maintain the records required by paragraph (a) of this section at its principal operations base, or at another location used by it and approved by the Administrator.

§ 121.685 Aircraft records flag and domestic air carriers.

Each flag and domestic air carrier shall maintain a current list of each aircraft that it operates in scheduled air transportation and shall send a copy of the record and each change to the FAA Air Carrier District Office charged with the overall inspection of its operations. Airplanes of another air carrier operated under an interchange agreement may be incorporated by reference.

§ 121.687 Dispatch release: flag and demestic air carriers.

(a) The dispatch release may be in any form but must contain at least the following information concerning each flight:

- craft.
 - (2) Trio number.
- (3) Departure airport, intermediate stops, destination airports, and alternate atroorts

(4) A statement of the type of operation (e.g., IFR, VFR).

(5) Minimum fuel supply.

- (b) The dispatch release must contain, or have attached to it, weather reports, available weather forecasts, or a combination thereof, for the destination airport, intermediate stops, and alternate airports, that are the latest available at the time the release is signed by the pilot in command and dispatcher. It may include any additional available weather reports or forecasts that the pilot in command or the aircraft dispatcher considers necessary or desirable.
- § 121.689 Flight release form: supplemental air carriers and commercial operators.
- (a) Except as provided in paragraph (c) of this section, the flight release may be in any form but must contain at least the following information concerning each flight:
 - (1) Company or organization name.
- (2) Make, model, and registration number of the aircraft being used.
- (3) Flight or trip number, and date of flight.
- (4) Name of each flight crewmember, flight attendant, and pilot designated as pilot in command.
- (5) Departure airport, destination airports, alternate airports, and route.
- (6) Minimum fuel supply (in gallons or pounds).

(7) A statement of the type of opera-

tion (e.g. IFR, VFR).

- (b) The aircraft flight release must contain, or have attached to it, weather reports, available weather forecasts, or a combination thereof, for the destination airport, and alternate airports, that are the latest available at the time the release is signed. It may include any additional available weather reports or forecasts that the pilot in command considers necessary or desirable.
- (c) Each flag or domestic air carrier operating under the rules of this part applicable to supplemental air carriers and commercial operators shall comply with the dispatch or flight release forms required for scheduled operations under this subpart.
- § 121.691 Load manifest: domestic and flag air carriers.

The load manifest must contain the following information concerning the loading of an aircraft at takeoff time:

- (a) The weight of the aircraft, fuel and oil, cargo (including mail and baggage), and passengers.
- (b) The maximum allowable weight for that flight.
- (c) The total weight computed under approved procedures.
- (d) Evidence that the aircraft is loaded according to an approved schedule that insures that the center of gravity is within approved limits.

(1) Identification number of the air- \$ 121.69\$ Load manifest: supplemental air carriers and commercial operators.

> The load manifest must contain the following information concerning the airplane at takeoff time:

> (a) The weight of the aircraft, fuel and oil, cargo and baggage, passengers, and crewmembers.

(b) The maximum allowable weight for that flight that must not exceed the least of the following weights:

- (1) Maximum allowable takeoff weight for the runway intended to be used (including corrections for altitude and gradient, and wind and temperature conditions existing at the takeoff time).
- (2) Maximum takeoff weight considering anticipated fuel and oil consumption that allows compliance with applicable en route performance limitations.
- (3) Maximum takeoff weight considering anticipated fuel and oil consumption that allows compliance with the maximum authorized design landing weight limitations on arrival at the destination airport
- (4) Maximum takeoff weight considering anticipated fuel and oil consumption that allows compliance with landing distance limitations on arrival at the destination and alternate airports.

(c) The total weight computed under

approved procedures.

(d) Evidence that the aircraft is loaded according to an approved schedule that insures that the center of gravity is within approved limits.

(e) Names of passengers.

- § 121.695 Disposition of load manifest, dispatch release, and flight plans: domestic and flag air carriers.
- (a) The pilot in command of an aircraft shall carry in the airplane to its destination-
- (1) A copy of the completed load manifest (or information from it, except information concerning cargo and passenger distribution);

(2) A copy of the dispatch release: and

- (3) A copy of the flight plan.(b) The air carrier shall keep copies of the records required in this section for at least three months.
- § 121.697 Disposition of load manifest, flight release, and flight plans: sup-plemental air carriers and commercial operators.
- (a) The pilot in command of an aircraft shall carry in the airplane to its destination the original or a signed copy of the-
 - (1) Load manifest:
 - (2) Flight release:
 - (3) Airworthiness release;
 - (4) Pilot route certification; and

(5) Flight plan,

(b) If a flight originates at the principal operations base of the air carrier or commercial operator, it shall retain at that base a signed copy of each document listed in paragraph (a) of this section.

(c) If a flight originates at a place other than the principal operations base, the pilot in command (or other person authorized by the carrier or operator) shall, before or immediately after departure of the flight, mail signed copies

of the documents listed in paragraph (a) of this section to the principal operations base

(d) The supplemental air carrier or commercial operator shall keep at its operations base either the original or a copy of the records required in this section for at least six months.

§ 121.699 Maintenance records.

(a) Each certificate holder shall keep. at its principal maintenance base, current records of total time in service, time since last overhaul, and time since last inspection, for each major compenent of each airframe, aircraft engine, propeller, and, where practicable, appliance.

(b) A certificate holder may discontinue total time in service records if it shows that the service life of component parts is safely controlled by inspection, overhaul, or parts retirement procedures. The Administrator may require the keeping of total time in service records for a part when he finds that other procedures will not safely limit the service life of that part.

(c) An aircraft component, aircraft engine, propeller, or appliance for which complete records required by this section are not available may be placed in service

(1) It is of a type for which total time inservice records are not required by paragraph (b) of this section;

(2) Parts that the Administrator or manufacturer limits to a specific total time in service are retired and replaced

by new parts; or
(3) It has been properly overhauled or rebuilt and the overhaul or rebuilding is recorded in the maintenance records.

121.701 Maintenance log: aircraft

(a) Each person who takes action in the case of a reported or observed failure or malfunction of an airframe, engine, propeller, or appliance that is critical to the safety of flight shall make, or have made, a record of that action in the airplane's maintenance log.

(b) Each certificate holder shall have an approved procedure for keeping adequate copies of the record required in paragraph (a) of this section in the airplane in a place readily accessible to each flight crewmember and shall put that procedure in the certificate holder's

manual.

§ 121.703 Mechanical reliability reports.

- (a) Each certificate holder shall report the occurrence or detection of each failure, malfunction; or defect concern-
- (1) Fires during flight and whether the related fire-warning system functioned properly:

(2) Fires during flight not protected by a related fire-warning system;

(3) False fire warning during flight; (4) An engine exhaust system that causes damage during flight to the engine, adjacent structure, equipment, or components:

(5) An aircraft component that causes accumulation or circulation of smoke, vapor, or toxic or noxious fumes in the crew compartment or passenger cabin during flight;

(6) Engine shutdown during flight because of flameout;

(7) Engine shutdown during flight when external damage to the engine or airplane structure occurs:

(8) Engine shutdown during flight due to foreign object ingestion or icing;

(9) Engine shutdown during flight of

more than one engine:

- (10) A propeller feathering system or ability of the system to control overspeed during flight;
- (11) A fuel or fuel-dumping system that affects fuel flow or causes hazardous leakage during flight;

(12) A landing gear extension or retraction or opening or closing of landing

gear doors during flight:

- (13) Brake system components that result in loss of brake actuating force when the airplane is in motion on the ground:
- (14) Aircraft structure that requires major repair;
- (15) Cracks, permanent deformation, or corrosion of aircraft structures, more than the maximum acceptable to the manufacturer or the FAA: and

(16) Aircraft components or systems that result in taking emergency actions during flight (except action to shutdown an engine).

(b) For the purpose of this section "during flight" means the period from the moment the aircraft leaves the surface of the earth on takeoff until it

touches down on landing.

(c) In addition to the reports required by paragraph (a) of this section, each certificate holder shall report any other failure, malfunction, or defect in an aircraft that occurs or is detected at any time if, in its opinion, that failure, malfunction, or defect has endangered or may endanger the safe operation of an aircraft used by it.

(d) Each certificate holder shall send each report required by this section, in writing, covering each 24-hour period beginning at 0900 hours local time of each day and ending at 0900 hours local time on the next day, to the FAA maintenance inspector assigned to its operations. The report must be delivered to him by 0900 hours local time on the following day. However, a report that is due on Saturday or Sunday may be delivered on the following Monday and one that is due on a holiday may be delivered on the next

(e) The certificate holder shall transmit the reports required by this section in a manner and on a form that is convenient to its system of communication and procedure, and shall include in the first daily report as much of the follow-

ing as is available:

1) Type and identification number

of the aircraft. (2) The name of the operator.

(8) The date, flight number, and stage during which the incident occurred (e.g., preflight, takeoff, climb, cruise, descent, lending and inspection).

(1) The emergency procedure effected unicheduled landing and emer-

tring descent).

13 The nature of the failure, malion, or defect.

(4) Identification of the part and sysin involved, including available information pertaining to type designation

of the major component and time since overhaul,

- (7) Apparent cause of the failure, malfunction, or defect (e.g., wear, crack, design deficiency, or personnel error).
- (8) Whether the part was repaired, replaced, sent to the manufacturer, or other action taken.
- (9) Whether the aircraft was grounded.
- (10) Other pertinent information necessary for more complete identification, determination of seriousness, or corrective action.
- (f) Failures, malfunctions, or defects reported under the accident reporting provisions of Part 320 of the regulations of the Civil Aeronautics Board need not be reported under this section.

(g) No person may withhold a report required by this section even though all information required in this section is

not available.

(h) When certificate holder gets additional information, including information from the manufacturer or other agency, concerning a report required by this section, it shall expeditiously submit it as a supplement to the first report. and reference the date and place of submission of the first report.

§ 121.705 Mechanical interruption summary report.

Each certificate holder shall regularly and promptly send a summary report on the following occurrences to the Administrator:

(a) Each interruption to a scheduled flight, unscheduled change of aircraft en route, or unscheduled stop or diversion from a route, caused by known or suspected mechanical difficulties or malfunctions that are not required to be reported under § 121.703.

(b) The number of engines removed prematurely because of malfunction, failure or defect, listed by make and model and the aircraft type in which it

was installed.

(c) The number of propeller featherings in flight, listed by type of propeller and engine and aircraft on which it was installed. Propeller featherings for training, demonstration, or flight check purposes need not be reported.

§ 121.707 Alteration and repair reports.

- (a) Each certificate holder shall, promptly upon its completion, prepare a report of each major alteration or major repair of an airframe, aircraft engine, propeller, or appliance of an aircraft operated by it.
- (b) The certificate holder shall submit a copy of each report of a major alteration to, and shall keep a copy of each report of a major repair available for inspection by, the representative of the Administrator who is assigned to it.

§ 121.709 Airworthiness release or aircraft log entry.

(a) No certificate holder may operate an aircraft after maintenance, preventive maintenance or alterations are performed on the aircraft unless the certificate holder, or the person with whom the certificate holder arranges for the performance of the maintenance, preventive

maintenance, or alterations, prepares or causes to be prepared-

(1) An airworthiness release; or

(2) An appropriate entry in the aircraft log.

- (b) The airworthiness release or log entry required by paragraph (a) of this section must-
- (1) Be prepared in accordance with the procedures set forth in the certificate holder's manual:

(2) Include a certification that-

(i) The work was performed in accordance with the requirements of the certificate holder's manual;

(ii) All items required to be inspected were inspected by an authorized person who determined that the work was satisfactorily completed;

(iii) No known condition exists that would make the airplane unairworthy;

(iv) So far as the work performed is concerned, the aircraft is in condition for safe operation: and

(3) Be signed by an authorized certificated mechanic or repairman except that a certificated repairman may sign the release or entry only for the work for which he is employed and certificated.

(c) When an airworthiness release form is prepared the certificate holder must give a copy to the pilot in command and must keep a record thereof for at least two months.

§ 121.711 Communication records: domestic and flag air carriers.

Each domestic and flag air carrier shall record each en route radio contact between the air carrier and its pilots and shall keep that record for at least 30 days.

§ 121.713 Retention of contracts and amendments: commercial operator.

Each commercial operator shall keep a copy of each written contract under which it provides services as a commercial operator for a period of at least one year after the date of execution of the contract. In the case of an oral contract, it shall keep a memorandum stating its elements, and of any amendments to it, for a period of at least one year after the execution of that contract or change.

Subpart W-Crewmember Certificate; International

§ 121.721 Applicability.

This subpart describes the certificates that are issued to United States citizens who are employed by air carriers or commercial operators as flight crewmembers or crewmembers on United States registered aircraft engaged in international air commerce. The purpose of the certificate is to facilitate the entry and clearance of those crew members into ICAO contracting states. They are issued under Annex 9, as amended, to the Convention on International Civil Aviation.

§ 121.723 Application and issue.

(a) An application for a crewmember certificate is made on Form FAA-2116 "Application for Crewmember Certificate", to the Air Carrier District Office in charge of the air carrier or commercial operator by whom the applicant is employed. The certificate is issued on Form FAA-2116.1 "Crewmember Cer-tificate".

(b) The holder of a certificate issued under this subpart, or the air carrier or commercial operator by whom he is employed, shall surrender the certificate for cancellation at the nearest Air Carrier District Office at the end of the holder's assignment in international air commerce with that carrier or operator.

Norz: The record keeping and reporting requirements contained herein have been approved by the Bureau of the Budget in ac-cordance with the Federal Reports Act of 1942.

DISTRIBUTION TABLE	. 4
Former	Revised 4
section 40.1 (less 2d proviso)	section 4
40.1 (2d proviso)	1919 *
40.2	121.11
40.5	1010 4
40.11	101.05
40.12	(2) 4
40.12-1 40.18 (less (c))	101 07 4
40.18(c)	101 9 4
40.14	121.77
40.16	121.78 121.29
40.17	(4)
40.18	121.8
40.18-1	(2)
40.18-8	8
40.18-4	(*) .
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40.20	121.75
40.21	121.79
40.23	121.81 121.83
40.80	121.98
40.80-1	(2)
40.82	(*) 121.95
40.88	121.97
40.88-1	(*)
40.84-1	131.99 (³)
40.85	121.101
40.86	121.108
40.87-1	121.106 (°)
40.88	121.107
40.50	121.188
40.51	121.185 (°)
60.51-9	. (*)
40.62	131.187
40.60	121.141 121.158
40.61	
40.69 (1st sentence)	. 121.159
40.62 (less 1st sentence)	. 121.161 . 121.163
40.68-1	. (*)
40.70	
40.70-1	
40.70-240.70-8	. (*)
40.71	121.175
40.71-1	. (*) . 121.177
40.72-1	. (*)
40.78	
40.75	
40.76	
1 Transferred to Boyt 1 (New)	_

¹ Transferred to Part 1 [New].

DISTRIBUTION TABLE—Continue		DISTRIBUTION TABLE-
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40.76-1	(8)	40.208-1
40.77	121.185	40.208-2
40.77-1	. (*)	40.908-8
40.90	121.187 121.176	40.208-T
40.90-1	(")	40.204
40.91	121.199	40.205
40.91-1	(*) (*)	40.205-1
40.92	121.201	40.306
40.92-1	(*)	40.207
40.98	121,208	40.908
40.98-1	(*) (*)	40.212
40.94	121.206	40.280-1
40.94-1	(*) 121.218	40.281
40.111	(3)	40.282-1
40,112	12ì 2 15	40.239
40.118	121.917	40.240
40.114	121.219 121.221	40.241 (less (a))
40.116	121,228	40.941-1
40.117	121.226	40.242
40.119	121.227 121.229	40.248
40.120	121.281	40.261
40.121	121.288	40.268
40.122	121.285	40.265
40.124	121.237 121.239	40.266
40.125	121.241	40.280
40.126	121,248	40.281
40.128	121, 245 121, 24 7	40.282
40.129		40.286
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40.182		40.288
40.188		40.289 (less (c)) (as app
40.184	121.259	pilot ground training)
40.136	121.261	40.289 (less (c)) (as appl pilot flight training
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40.138	121.267	flight engineer training)
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40.170	121.806	40.802
40.170-1	· (<u>*)</u>	40.802-1
40.170-8		40.802-2
40.171	121.806	40.802-4
40.172		40.803
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40.178-1		40.806
40.174		40.807
40.175 (less (g))	_ 121.818 . (4)	40.307-1
40:175-1(a)		40.820
40.175-1 (less (a))	. (*)	40.940
40.176	121.818 121.817	40.851
40.178		40.858
40.170		40.854
40.201		40.855
40.202		40.856
40.202-1	(!)	40.856-1
40.202-8		40.857
40.202-4		40.859
40.202-5	(')	40.960
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Former section	Revised
40.208-1	action "
40.206-2	
40.908-8	
40. 208-4	
40.208-T	121.888
40.204	
40.205	121.887
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40.239	_ 121.851
40.240	121.361
40.240 40.241 (loss (n))	121.868
40.341 (&)	131,557
40.941-1	_ (*)
40.242:	
40.248	_ 121.871
40.260	_ 121.888
40.261	_ 121.886
40.268	
40.265	_ 121.891
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Surplusage.
Not a rule.

Distribution Table—Continue	d	Distribution Table—Continue	a , , ,	DISTRIBUTION TABLE—Continue	diameter is
Former	Revised	Former	Revised section	Former	Revised section
section 40.862	section - 121.568	section 41.60	121.158	41.241(a) (1st sentence)	121.267
40.363	121.565	41.01		41.241 (less 1st sentence of (a))	
40.865		41.62 (less 1st sentence)		41.248	
40.870	121.571	41.68	121.168	41,260	121.38\$
40.872		41.71		41.261	
40.878	121.587	41.79	121.177	41.263	121.387
40.382		41.74		41.266	
40.888		41.75	121.188	41.267	121.897
40.884		41.77		41.281	
40.385		41.78		41.282	
40.887	121.613	41.91		41.284	
40.888		41.92		41.286	121.428
40.890		41.94		41.300(a) (portion of 1st sentence)_	121.425
40.890-2	(*)	41.110		41.300(a) (less portion of 1st sen-	•
40.890-8	(*)	41.112		tence) and (b)	191,455
40.891	191.627 (°)	41.114		(p))	
40.892	121.629	41.116		41.801	
40.898		41,116		41.803	
40:396	121.687	41.118	121.227	41.804	121.447
40.896		41.120		41.805	
40.406		41.121	121.233	41.807	121.468
40.406-1	(*) 121.651	41.128		41.820	
40.406-1	(4)	41.124		41.821	
40.406-2	(a)	41.126	121.941	41.828	
40.407	(*) 121.655	41.127		41.824	
40.408	121.657	41.126		41.825	
40.411		41.130		41.82641.827	
40.412	121.665	41.131	121.253	41.340	121.465
40.501		41.132		41.351	
40.502	12Ì.685	41.134	121.259	41.353	121.541
40.508_1		41.186		41.856	
40.504 (less (b))		41.137		41.856	121.547
40.504(b)		41.188		41.857	
40.506		41.140		41.85641.856	
40.507		41.141		41.860	
40.509		41.143		41.362	
40.E10	121.707	41.150	121.279	41.368	121.565
40.511		41.182		41.866	
40.512	191.711	41.158	121.286	41.870	121.671
41.9		41.156		41.878	
4.5		41.170	121.809	41.573	121.567
41.11		41.178		41.882	
41.18	191,27	41.178	121.800	41.889	121.601
41.18(0)	191.8	41.176		41.886	
41.15	191.78	41.176	121,815	41.886	121.611
41.16	121.20	41.177	121.317	41.387(a) (1st 56 words) 41.387 (less (a) 1st 56 words)	. 191.618
41.18(b)		41.179:	121.321	41.888	121,517
41.19	121.25	41.201	121.828	41.390	
41.20	121.70	41.202		41.801	
41.23	121.81	41.202-T.	121.320	41.893	121.699
41.28		41.208_T	121.531 121.532	41.894	
41.22	121.95	41.204	121.885	41.896	121.687
41.84		41.206		41.896(a) 41.896 (less (a))	
41.25	121.101	41.207	121.841	41.897	. 121.647
MAC (mote)		41.208		41.406	
43.80 (note)	121.106	41.210	121.848	41.406	
	131.107	41.212	121,860	41.400	121.661
4430		41.281		41.411	121.665
444	121.187	41.232	121,849	41.601	
41.55	131.141	41.288		41.509	
Transferred to Part 1 [New].		41.940	. 121.351	41.508	. 121.057

DISTRIBUTION TABLE—Continue	sd.	Distribution Table—Continue	ď	Distribution Taxas Constitute	
Former	Persion.	14774 2 7 7 7	Revised	Former	Revised
section 41.504(b)	121.665	42.124	section 121,289	acction 42.817(a) (6)	acction 121.517
41.504 (less (b))	121.601	42.125		42.817(a) (7)	121.519
41.506		42.127		42.817 (less (a), (b), and (c))	121,509 121,511
41.507	121.701	42.126	121.547	42.819	131,518
41.508		42.180		42.820(a) 42.820(b)	
41.510	121.707	42.131	121.958	42.520 (less (a) and (b))	121.517
41,519		42.182		42.821	
42.1(a) (4)	121.7	42.134	121.259	42.533	121.528
43.1 (less (a) (4))		42.186		42.85042.852	
42.5	(1)	42.187	121.265	42.858	121.589
42.11		42.139		42.855	
42.12	121.47	49.140	121.271	42.856	121.547
49.14		42.141		42.868	
42.15	121.65	42.148	121.277	49.860	121.55 0
42.17		42.150		42.861	
43.176	121.58	42.152	121.283	43.468	191.568
42.18 (b)		42.154		42.86442.870(a)	
42.19	121.45	42.155	121 <i>.</i> 289	42.270 (less (a))	121.571
49.21		42.171		42.872	
47.22	121.81	49.173	121.307	42.878	121.587
42.24(0)		42.174		42.881	
42.24 (lees (c))	121.5	42.175	121.318	42.888	121.603
49.25		42.177		42.384	
42.97		42.178		42.86	
42.28		49.179		42.387(a) (1st 56 words)	
42.39		42.201		42.887 (less (s.) 1st 86 words)	
42.31		42.202		42.88942.890	
42.35		42.308		42.891	
42.86	121.121	42.203-T.		42.392	
42.88		42.206		42.898(4)	
42.89	121.127	42.306		42.396 (lees (a))	191.645
42.50		42.207		42.406	
42.52(b)	121.189	42.209	121.855	42.407	121.656
42.53 (less (b))		42.212		42.408	
42.60(c)-(f)	121.155	42.230	121,345	42.411	121.665
42.60 (loss (e)-(f))	121.167	49.282		42.501	
42.82 (1st sentance)	121.159	42.233		42.508	. 121.689
42.62 (less 1st sentence)	121.161 121.163	42.341(a) (1st sentence)		42.504 (less (b))	
42.70	121.178	42.241 (less 1st sentence of (a))	191,365	42.506	121.697
42.72		42.342		42.50642.507	
42.78	131.179	42.260	121.388	42.506	121.708
42.75		49.261		42.510	
42.76	121.178	42.263	191.387	49.511	. 121.709
42.77		42.265		42.513 SR, 390F	
42.90	121.178	42.280	121.411	SR 889B Transferred t	o Part 91
42.92		42.002		8R 406C	
42.98	121.208	42.268	121.419	5R 410	_ 121.508
42.110		42.365		ER 411B (less applicability to foreign air carriers)	
49.111	. (*)	42.800(a) (1st 87 words of 1st sen-	•	SR 490	_ 121.800
49.118		tence) and (b)		SR 422 40T.80 SR 422 40T.81 (less (b) and (d)).	
42.114		worde)	121.485	AR 422 40T.81(b)	_ 121.195
42.115	121.221	42.800 (less 1st sentence of (a) and less (b))		SR 422 \$ 40T.81(d) SR 422 \$ 40T.89	
42.117		42.301	121.439	5R 422 40T.59(a)	121.191
42.118	121.937	42.808		SR 422 40T.88 (less (a))	_ 121.195 _ 121.196
42.119	121.229 121.931	42.05	121.449	SR 422 § 40T.84 (less (a))	_ 121.197
42.121	121.288	42.807		8R 422A 40T.80 60 and (d))	
42.129		42.215	121,501	ER 422A 40T.81(b)	_ 121.195
· · · · · · · · · · · · · · · · · · ·		42.317(a) (less (6) and (7))		SR 422A § 40T.81(4) SR 422A § 40T.83	
 Transferred to Part 1 [New]. Surplusage. 	•	42.517(e)		SR 423A 40T.45(a)	
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Former	Revised
section	auction
BR 422 § 40T.83 (less (a))	191.191
SR 429A 1 40T.84(s)	121,196
SR 422A 40T.84 (less (a))	
SR 422B 40T.80	121.171
SR 422B 40T.81 (less (b) and (d))_	121.100
SR 422B 40T.81(b)	121.106
SR 422B 40T.81(d)	121.172
SR 422B 40T.82	121.180
SR 422B 40T.83(a)	
SR 492B \$ 40T.88 (less (a))	
SR 422B 40T.84(a)	
SR 422B 40T.84 (less (a))	191.191
8R 425C 14	
SR 482A	
SR 436B	
SR 440	
5R 446A	
5R 466	
406.19(A)	
406.19 (less (a))	191.730

Appendix A-First-Aid Kits

Appendix B—Minimum Standards for the Approval of Airplane Simulators

Appendix C—C-46 Nontransport Category Airplanes

Norm: Text of Appendixes A. B. and C to Part 121 will be published in the Februar REGISTER early in January 1965.

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