

Blockchain: A Safe, Efficient Solution for Driver Privacy and Connected Vehicle Transportation Data Sharing

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1 **BLOCKCHAIN: A SAFE, EFFICIENT SOLUTION FOR DRIVER PRIVACY AND**
2 **CONNECTED VEHICLE TRANSPORTATION DATA SHARING**

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1 ABSTRACT

2 Connected and automated vehicles (CAVs) are becoming increasingly prevalent, bringing with
3 them potential for better safety and mobility. However, these vehicles can create many thousands
4 of transactions in a flash, creating a challenge for current technologies that are not capable of
5 transmitting such big data “privately” and “securely”. Distributed ledger technologies such as
6 Blockchain have the potential to address this challenge by using decentralized system.
7 Blockchain-based system allows users to enter into direct relationships with each other following
8 commonly agreed terms with a high degree of trust, eliminating the need for a central authority
9 while retaining security and privacy.

10 This study investigates the potential for Blockchain to support safer delivery of CAV data.
11 By using an actual simulation based implementation of the proposed architecture, it demonstrates
12 how Blockchain can improve the level of security and privacy of data sharing and attempts to
13 answer two fundamental questions: 1) how to securely and privately get and store data from
14 CAVs and 2) how to find the best method to connect them using Blockchain technology. The
15 proposed eight-layer framework uses Hyperledger Fabric as an underlying Blockchain
16 technology and uses machine learning models for analyzing data collected in chain. The traffic
17 data in the physical layer are simulated using microscopic traffic simulation tool SUMO and then
18 incorporated into the Blockchain platform. The experiments highlight that the CAV system can
19 be effectively combined with Blockchain technologies while enhancing security in a significant
20 manner.

21
22 **Keywords:** Blockchain, autonomous vehicle, security, and privacy, machine learning, simulation
23

1 INTRODUCTION AND MOTIVATION

2 Data analysis for transportation research is experiencing a major disruption in the era of big data.
3 New technologies in transportation systems are generating massive amounts of data, including
4 complex and diverse traffic and vehicle specific information. For instance, connected vehicles
5 generate speed, location, and acceleration records every 0.1 seconds and share them with other
6 vehicles and the infrastructure. IoT supported autonomous vehicles may also have the capability
7 to share the generated data. These changes in transportation systems raise significant concerns
8 regarding privacy and security. Security is critical, as the data must be kept confidential to
9 protect individual privacy. Accounting for these security needs while meeting operational
10 requirements is quite challenging for traditional CAV systems. However, Blockchain can
11 provide a potential solution for efficiently securing data.

12 In this paper, the advantages of using a decentralized Blockchain's benefits such as high
13 security and scalability are investigated and utilized to secure transportation data exchange
14 systems. The critical feature of Blockchain is that the technology ensures trust. In Blockchain,
15 the ledger is decentralized. It means no single computer or single system has control over the
16 ledger at any one time. To be able to gain access, one needs to coordinate an attack
17 simultaneously using thousands of smart devices. The chain itself is also a complicated security
18 measure. Anyone who tries to modify or forge a transaction would first have to accurately
19 replicate all transactions leading up to that transaction. There are more security features of
20 Blockchain such as the verification of transactions and the usage of cryptographic keys. This
21 study uses and evaluates most of Blockchain's security features, including the chain of sequential
22 blocks, decentralization and cryptographic keys, to design a customized Blockchain for a smart
23 vehicle platform. In addition, value-adding services such as traffic state prediction using
24 machine learning techniques are evaluated as a layer in the proposed system. A Blockchain-
25 based solution can also solve several major problems in current connected vehicle systems, such
26 as data ownership, data collection and accuracy, data exchange protocols, and application
27 infrastructure. With Blockchain, data ownership and access to the data belong to the data
28 provider.

29 The rest of the paper is structured as follows: the background section illustrates the
30 requirements and underlying technologies that were used to create the Blockchain. In the
31 following section, previous studies using Blockchain technologies in the transportation literature
32 are reviewed. Then, a novel 8-layer Blockchain-based system is proposed and demonstrated with
33 scenarios using microscopic traffic simulation software coupled with the actual implementation
34 of Blockchain technology in the app developed by the research team. How the proposed system
35 can affect privacy and security measures is explained in the security, privacy and scalability
36 section. The top layer, which incorporates machine learning-based prediction algorithms, is
37 evaluated with user-generated data to demonstrate its potential to provide more personalized
38 services. The final section of this paper reports results of scenarios developed for testing the
39 latency of various numbers of Blockchain nodes and for predicting the vehicles/ driving speeds
40 securely obtained from the Blockchain implementation. The paper is concluded with the
41 reporting of the performance of the proposed system in terms of message delay and latency and
42 the discussion of the potential future work.

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1 BACKGROUND

2 CAV applications and mobility services are one of the most significant innovations that the
 3 automotive industry has experienced within the last couple of decades. Most of these
 4 applications rely on the automotive industry to equip their vehicles with smart devices such as
 5 smart phone. Most car makers have started not only installing CAV capabilities in their vehicles
 6 but also exploring ways to use Blockchain to improve transportation. Porsche was the first
 7 company to test Blockchain technology in their cars. However, they are not the only company
 8 investigating solutions to integrate Blockchain technology into their vehicles. Several other
 9 companies like Dovu (1) and Streamr (2) have started experimenting Blockchain technologies
 10 mainly due to its security benefits. Dovu (1) adopts Blockchain technology not only to vehicle
 11 industry but also to aircraft and railways. Meanwhile, Streamr (2) focuses on data sharing field.

12 While the terms Blockchain and the term distributed ledger are used interchangeably,
 13 they do not necessarily mean the same thing. Blockchain is a technology that decentralizes a
 14 digital ledger relying on the consensus of a global peer-to-peer network to operate. A distributed
 15 ledger is a database that is spread across many smart devices. Information stored on a Blockchain
 16 also exists as a shared database. Thus, every Blockchain is a distributed ledger. However, not all
 17 distributed ledgers use a chain of blocks to provide security. The uniqueness of the Blockchain
 18 comes from the fact that the data is organized in blocks. These blocks are then grouped together
 19 and secured using cryptography.

20 Blockchain is a decentralized system that exists between all permitted participants. This
 21 removes the need to pay intermediaries and the potential for conflicts. Blocks are linked and
 22 secured using cryptography in a distributed environment, so they are inherently resistant to
 23 deletion and modification of the data. The summary of the comparison between traditional
 24 distributed systems, server-centric platforms, and Blockchain-based technologies can be seen in
 25 TABLE 1.

26 **TABLE 1 Advantages of Blockchain platform over other platforms (3)**

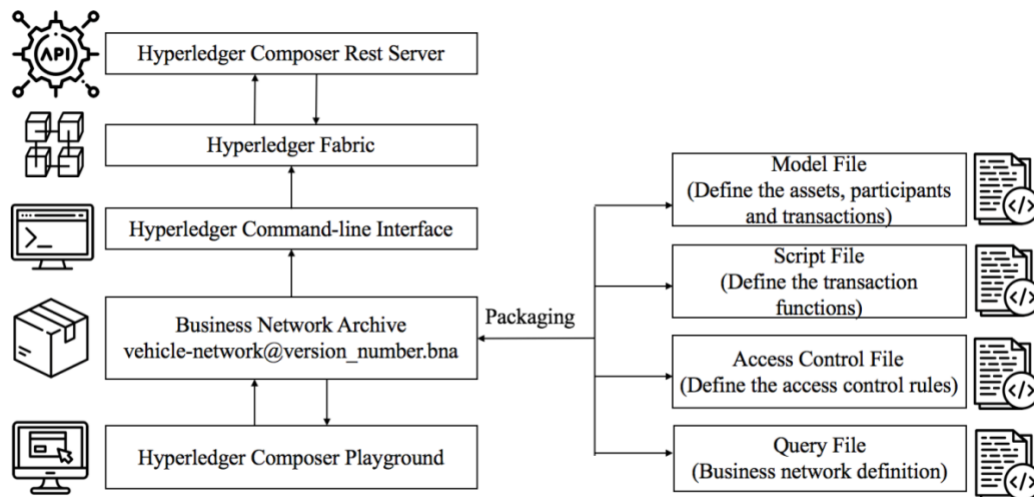
	Blockchain-based platforms	Server-centric platforms	Traditional distributed systems
Control schema	Decentralized control	Centralized control	Partially centralized control
Implementation difficulty	Easy to implement	Easy to implement	Hard to implement
Efficiency	High efficiency	Efficiency depends on the server configuration	Efficiency depends on the master node
Cost	Automated inspection	Large amount of manual inspection	Small amount of manual inspection
Safety (4)	Secure	Vulnerable	Vulnerable
Privacy	Private	Information leakage happens	Information leakage happens
Transparency	Transparent	Not transparent	Partially transparent
Application logic	Smart contracts	Central algorithms are needed	Complex algorithms are needed

27
 28 Another essential concept related to Blockchain is smart contracts. Smart contracts are a set
 29 of self-executing instructions written in computer code. They represent the terms of the
 30 agreement between drivers, vehicles, and government. Smart contracts are a set of rules that are
 31 executed automatically. For instance, passengers are required to pay to use the subway system. It
 32 is an example of a smart contract between the passenger and the transit authority. The moment

1 they pay for it at the turnstile, they start receiving the service on the other side of the turnstile.
 2 Instead of being written into plain English, smart contracts are written into lines of code. Smart
 3 contracts ensure trusted and permissioned data transmission between anonymous parties without
 4 the central authority.

5 There are various tools that can be used to establish the Blockchain framework. Most of them
 6 include aforementioned technologies in this section. In our case, Hyperledger Fabric (5) is
 7 chosen over others, because it is a permission and modular platform. It allows a faster data
 8 transmission speed and a higher privacy level, which is suitable for CAV system. Hyperledger
 9 Fabric is an open source Blockchain platform, and it supports high security, membership identity
 10 services, and pluggable consensus protocols. Hyperledger Fabric is still evolving under the
 11 Hyperledger Linux Foundation (6) project. Version 1.1 is used for the case study.

12 Hyperledger Composer (7) is an open source toolset that helps developers to quickly and
 13 easily develop and deploy their models and application logic. It has been built with JavaScript
 14 with multiple interfaces that allow us to efficiently incorporate simulated vehicle trajectory data
 15 with Blockchain. Composer version 0.19.10 is being used for the testing environment. Composer
 16 supports the existing Fabric Blockchain infrastructure, and it consists of a set of tools that make
 17 building Blockchain applications easier. For these reasons, it is selected as the framework for this
 18 study. FIGURE 1 shows the relation between Hyperledger Fabric and Hyperledger Composer,
 19 and how they are utilized in the CAV network.



20
 21 **FIGURE 1 The connection between Hyperledger Fabric and Hyperledger Composer**
 22
 23

24 LITERATURE REVIEW

25 Most conventional cybersecurity algorithms and methods are incapable of providing security to
 26 CAVs' data-sharing technologies. Diverse and complex driver behavior involves a high degree
 27 of social complexity. Thus, traditional Intelligent Transportation Systems (ITS) are confronted
 28 with critical security risks. In order to reduce these risks, a basic Blockchain-based novel ITS
 29 (B²ITS) framework (8) is introduced. This framework consists of 7 layers from the physical
 30 layer to the application layer. It connects the Internet of Things (IoT) devices in the physical
 31 layer and includes car sharing schema in the application layer. Besides demonstrating the B²ITS
 32 framework, they also analyze the research by artificial societies, computational experiments and

1 parallel (9) approach about how to transit B²ITS to Parallel transportation Management System
2 (PTMS). Interested readers about artificial societies are referred to the related reference (9).
3 Finally, a Dapp (Decentralized application) named La'zooz (10) is shown to prove that a 7-layer
4 framework is utilizable in a real-time car sharing scenario.

5 A similar 7-layer Blockchain-based Intelligent Transportation System is proposed by
6 Madhusudan Singh and Shiho Kim (11). They show an Intelligent Vehicle Trust Point(IV-TP)
7 element (12) that is used to build trust and reliable data communication channel among IVs.
8 Different from the previous framework, this is a reward-based IV communication framework
9 using Blockchain technology. In addition, they incorporate Vehicular Cloud Computing (VCC)
10 (12). VCC is a hybrid technology that makes use of vehicle resources to execute computations on
11 the cloud. The innovation of their framework is the rewarding system: if a vehicle wins the
12 consensus competition, then it will get a trust point from the benefiter IV, so its trust point goes
13 up. While Singh and Kim (11) did not illustrate the weaknesses of the proposed framework, they
14 theoretically show that the improved Blockchain framework with crypto IV-TP (13) can help to
15 improve the privacy of IVs.

16 Scalability was another important consideration while researching for the most suitable
17 framework for the purpose of this study. Dorri et al. (14) propose an optimized Blockchain
18 instantiation for the IoT called Lightweight Scalable Blockchain (LSB) established upon
19 Blockchain technology. A public Blockchain is managed by the overlay nodes, such as smart
20 vehicles. Overlay transactions are broadcast and verified by Overlay Block Managers (OBMs).
21 As a result, scalability is improved. The mission of these OBMs is to verify each transaction's
22 public key and protect the whole network from malicious attacks. In order to reduce latency, they
23 also incorporate a soft handover method that selects new OBM with the lowest delay for IoT
24 devices. LSB can be used for various applications, such as remote software updates, insurance,
25 smart charging service and car sharing schema. The weakness of this framework may include the
26 high overhead caused by the frequent mobility of the vehicles.

27 Conventionally, private keys are used to digitally sign safety messages. Another feasible
28 option is to make use of public key infrastructure (PKI) with centralized management for
29 creation and revocation of digital certificates in order to ensure security. Those methods usually
30 impose significant overhead on vehicles. A new Blockchain based scheme that could alleviate
31 the computation overhead and enhance the response time while improving the overall system
32 security is proposed by Lasla, N., M. Younis, W. Znaidi, and D. B. Arbia (15). The core idea of
33 this mechanism is to make use of PKI and guarantee the security of every transaction. Each
34 safety message that transmits in the Blockchain has to be signed by a private key, unsigned
35 messages will be refused as it lacks of authentication. Meanwhile, the public key is known by
36 other vehicles and is used to verify the message integrity in a decentralized manner. So, in
37 contrast to the traditional PKI, the certificate is no longer included in safety messages, and the
38 verification is replaced by a simple lookup function, which is much faster than traditional
39 signature verification.

40 Having learned several Blockchain based transportation systems and frameworks, it is
41 clear that how to make full use of those data and create valuable analysis is another interesting
42 topic. For example, Uber developed a machine learning platform to predict the end to end
43 delivery duration during complex multi-stage process. (16). It is an internal machine learning
44 (ML)-as-a-service platform that adopts machine learning methods and algorithms to facilitate
45 people's lives while meeting business requirements. For example, an application called
46 UberEATS (17) is designed to estimate food delivery time. The idea to combine Blockchain

1 technology with machine learning and create our unique platform emerged because the data is
 2 collected in the chain in a very secure and decentralized way, so the analysis generated by the
 3 ML platform will be even more valuable.

4 Blockchain attracts the interest of many researchers conducting studies and experiments
 5 in this field. Nevertheless, most of the studies are at the very beginning of the Blockchain cycle.
 6 TABLE 2 lists some of the most recent studies and implementations using Blockchain in
 7 transportation. There are many Blockchain projects that are currently being developed but they
 8 are not widely used for applications in transportation. Blockchain is commonly used for
 9 cryptocurrency, banking (4), biotechnology, pharmacy, life sciences (18), crowdsourcing (19)
 10 and IoT (20) applications.

11 **TABLE 2 Existing Blockchain studies and their implementation**

Existing studies	Domain	Implementation
Dovu (1)	Mobility/Transportation	Implemented
Streamr (2)	Data sharing/Market place	Implemented
Vehicle data sharing framework (11)	Transportation	Conceptual

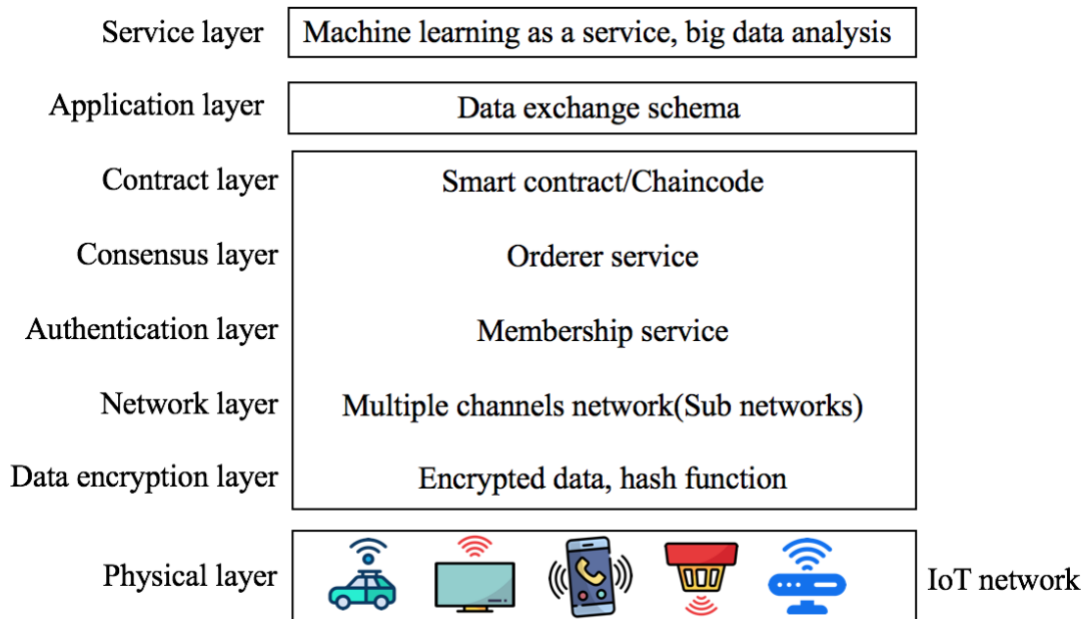
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 15 **DESCRIPTION OF THE PROPOSED BLOCKCHAIN FRAMEWORK**
 16 **ARCHITECTURE**

17 Based the existing Blockchain studies in the literature, we design an eight-layer framework based
 18 on Blockchain-based Intelligent Transportation Systems (8) and Hyperledger Fabric (5). This 8-
 19 layer network ranges from a low-level hardware data communication layer to a high-level
 20 services layer. The data generated from smart devices, including cars, sensors, and IoT devices,
 21 will be sent to our local Hyperledger Fabric platform by customized programs written using
 22 Golang (21). Golang is a programming language invented by Google in 2009 that highly
 23 supports concurrency. All of the source codes, tools and compilers are open source.

24 The CAV network may generate both sensitive and insensitive data involving different
 25 stakeholders ranging from government to public clients. Hence, the Hyperledger access control
 26 system is used to assign various access levels to different participants. Moreover, channels in
 27 Hyperledger protect data privacy. Channels can be defined as sub-networks. If two organizations
 28 use different channels, then they will not be able to communicate with each other, and each node
 29 holds a separate ledger. In other words, if one node belongs to two different channels, then it
 30 holds two different ledgers. However, if two nodes belong to the same channel, they can quickly
 31 view the transactions that occurred within that channel. The information exchange speed is faster
 32 when the same channel is used. Each connected vehicle will generate ten messages per second.
 33 Because of this high throughput requirement, a Byzantine fault-tolerant system (BFT) (22) is not
 34 suitable for our system. Hyperledger Fabric provides three different types of consensus mode
 35 (orderer service): SOLO, Kafka, and BFT. The SOLO mode can be considered centralized
 36 because the entire fabric network relies on a single orderer node. The orderer node is a node that
 37 running the communication service. It can guarantee a delivery, broadcast proposals and results
 38 to other nodes in network, collecting responses in order to realize consensus. Kafka mode is a
 39 semi-centralized mode which relies on a Kafka cluster (23). The BFT mode represents a
 40 decentralized orderer cluster. For simplicity, the SOLO order node is used to fulfill the consensus
 41 task of the testing system. In the beginning, the application generates a transaction proposal and
 42 sends the proposal to corresponding peers for endorsement. Each peer executes the Chaincode

1 separately. If the peers agree on the proposal, they respond by adding their digital signatures, and
 2 signing the entire payload using their private keys without updating the ledger. Once the orderer
 3 node receives enough responses and achieves consistent endorsement by all relevant
 4 organizations, it will package the transactions into blocks and send them back to each peer.
 5 Finally, new transactions append to the ledger.

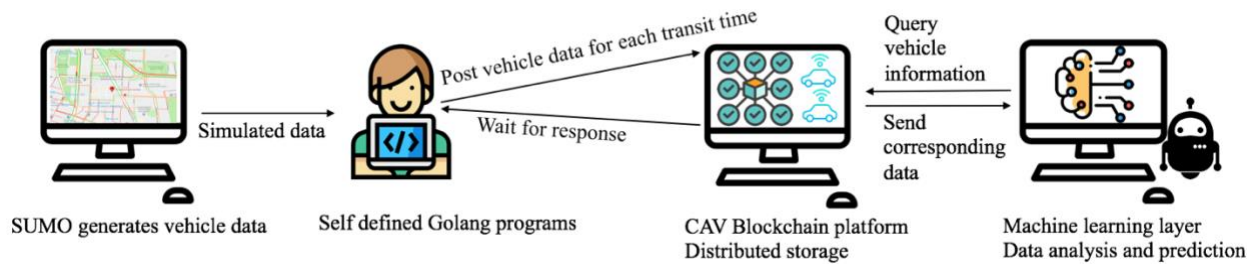
6 All the application logic is defined in a smart contract written by the authors in JavaScript.
 7 FIGURE 2 shows the high-level 8-layer network architecture. The bottom layer is the physical
 8 layer. It consists of diverse IoT devices including connected vehicles, smart phones, sensors, and
 9 so on. Those devices could post real time information to the data encryption layer. They wait for
 10 the real-time data to be hashed then to be transmitted in different channels. Each organization has
 11 a membership service. Members who want to enter the network have to be preregistered, so this
 12 process happens on the authentication layer. In order to realize consensus, the orderer service is
 13 implemented on the consensus layer. It collects corresponding responses and return consensus
 14 results. Finally, smart contracts are designed on the contract layer and data exchange schema is
 15 created on the application layer to fulfill the application logic. The top layer is the service layer,
 16 where two machine learning models are created to forecast future vehicle speed.
 17



18 **FIGURE 2 Blockchain based 8-layer network architecture**

21 For testing purposes, real-time vehicle trajectory data from a calibrated microscopic
 22 traffic simulation model is generated and transferred to the Blockchain system using the
 23 developed code. Traffic simulation model is created using SUMO, an open source traffic
 24 simulation tool (24), and the trajectory data is collected at a certain section of the model for an
 25 hour. After the data collection, trajectories containing data for every 0.1 second interval are post-
 26 processed and posted to the Blockchain. The primary function of the developed codes is to
 27 format the raw data, record vital timestamps such as posting time and receiving time, and POST
 28 converted JSON data corresponding to the Blockchain platform. Each time a valid message is
 29 disseminated in the network, a transaction will be created in Blockchain along with a
 30 cryptographic hashed transaction ID. Overall, it is valid to state that the activities taking place in

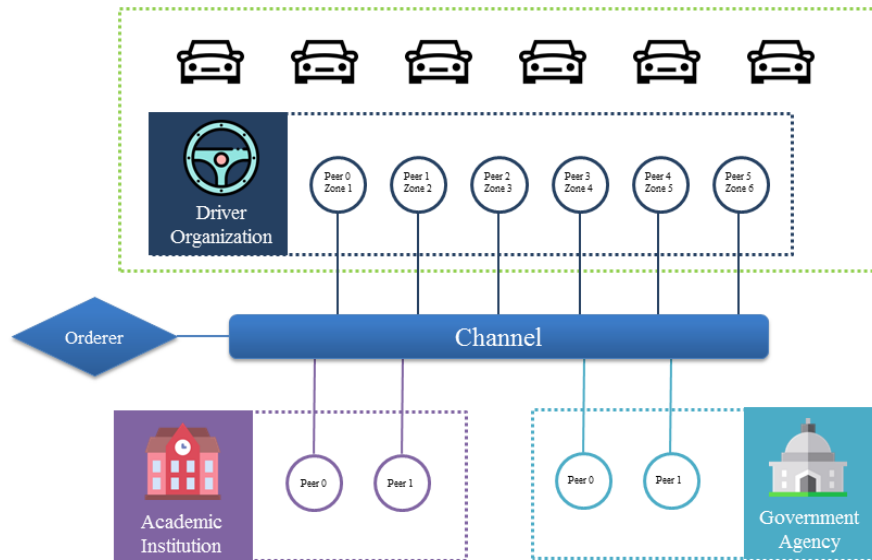
1 the Physical layer of FIGURE 2 are simulated in SUMO and then they are incorporated into the
 2 Blockchain framework. The data flow is shown in FIGURE 3.



3
 4 **FIGURE 3 The overall implementation framework for the proposed Blockchain**
 5 **Architecture for CAVs**
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7 The other two crucial components of Blockchain are participants and assets. Participants
 8 are the manipulators who are involved in the network. Assets can be real estate or conceptual
 9 property. In our system, drivers and decision makers are participants. Trips and vehicles are
 10 assets. All clients can report themselves as a driver with their driver ID and create a trip with
 11 their driver's license. The vehicle information such as the ID, vehicle state, manufacturer, model
 12 type, and color will also be transmitted to the proposed system and stored in Hyperledger Fabric.
 13 The vehicle state could be "ACTIVE," "OFF_THE_ROAD" or "IN_INCIDENT." The trip state
 14 could be "CREATED," "DRIVER_ASSIGNED," "VEHICLE_ASSIGNED," "DEPARTED" or
 15 "ARRIVED." The status of both vehicle and trip will be updated by participants. However, if a
 16 vehicle is in an accident, only the regulators have the control to change its status to normal. For
 17 the access control of the system, regulators will have the highest authority, while drivers will
 18 only have limited access.

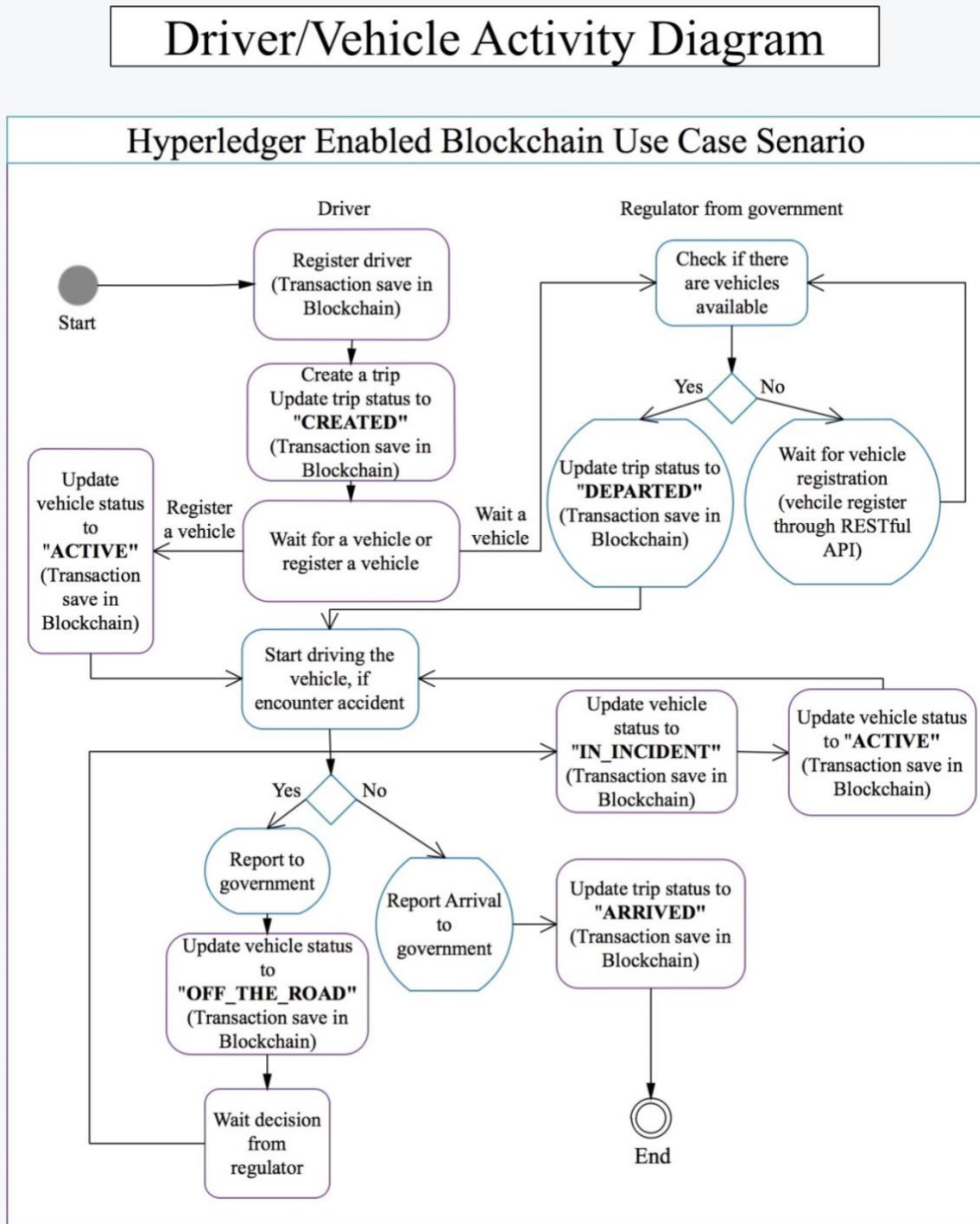
19 FIGURE 4 shows the topology of the Blockchain network. There are ten peers and 1
 20 SOLO orderer node. Peers can be considered as nodes in Blockchain, which can hold both ledger
 21 and smart contracts. They can be separated by physical machines or different Docker containers
 22 (25) that reside on the same machine. There are three organizations (driver organization,
 23 academic institution, and government agency) in the CAVs Blockchain platform. Each
 24 organization has an administrator. Vehicles can post real-time information through an authorized
 25 registered port in the network. All participants must ask the organization for a valid key to enter
 26 the network. In Hyperledger Fabric, this mechanism is called membership service. Membership
 27 service requires that each participant entering the network should have a valid identity and get
 28 approved by the administrator of the corresponding organization. The driver organization,
 29 academic institution and government agency will post data through different ports. However,
 30 they all store the data inside the same Blockchain network and hold the same ledger.



1
2 **FIGURE 4 Topology of the proposed Blockchain network**
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FIGURE 5 presents a more detailed scenario where there is a person who drives to a city every day. She is a new user of this CAVs Blockchain platform. First of all, she is required to register herself in Blockchain as a legal driver with a driver's license. Then, she will be allowed to create a trip, register her car or wait for an automatic car attribute assigned by the system (There are 535 pre-registered vehicles in system). After receiving the car attributes and beginning the journey, the vehicle's status will turn to ACTIVE, and its trip status turns to DEPARTED. If the vehicle has a problem in the middle of the trip, the vehicle's status changes to OFF_THE_ROAD. All these updated statuses will be stored in Blockchain as transactions. Decision-makers will receive these updates. If they think the problem is valid, then they will update the corresponding status to IN_INCIDENT. When the problem is resolved, the vehicle's status will be switched back to ACTIVE. The driver can continue her journey and finally report her arrival to the Blockchain platform. All the transactions such as status changes and information registrations are stored in the CAVs Blockchain platform. Transactions move through the previously mentioned 8-layer framework.



1
2 **FIGURE 5 Driver-vehicle activity diagram example**

3
4 **Security, Privacy, and Scalability**

5 The proposed 8-layer platform focuses on security, privacy and scalability aspects of CAV data
6 transactions. It is necessary to introduce state-of-the-art technologies to appropriately address the
7 increasing need for data exchange while ensuring safety and security. To increase safety,

1 different numbers of subnetworks can be designed to establish private communication channels
2 in the proposed platform. All the identifiers of transactions are encrypted and kept anonymous.
3 This double layer of security makes the system challenging to hack. Several additional methods
4 are implemented in the proposed CAVs Blockchain platform to best secure the data stored in
5 Blockchain while guaranteeing user privacy, including:

- 6 • Incorporating a Data Encryption Layer
 - 7 ○ On a data encrypted layer every transaction and block's information is
 - 8 encapsulated into a hash code.
- 9 • Key Pairs
 - 10 ○ Every user needs to register before entering the network. Member services
 - 11 provide a generated key pair to let them join the network. A certificate (key)
 - 12 needs to be provided before getting access to the Blockchain network.
- 13 • Identity
 - 14 ○ Everyone in each organization is required to confirm their identity to access data
 - 15 from Blockchain.

16 It is challenging for attackers to break into the Blockchain. Cracking multiple complex
17 processes and authentication layer of this platform is not only time consuming but it also requires
18 an intensive source of computing power. A potential attack may include introducing a fake node
19 or a computer, from which attacker may try to create a terminal with a wrong pseudonym
20 certificate in order to get access to the CAVs data (26). With the proposed platform, such
21 scenario is less likely to happen due to the existing authentication layer. All the members of the
22 platform should be preregistered in the secured database. A pseudonym certificate is unlikely to
23 be allowed to query information from the Blockchain platform.

24 Besides security and privacy, scalability is also a vital factor for the proposed platform. A
25 channel is a private sub-network that connects different network members willing to share the
26 same information and store confidential transactions. Using the same channel enhances the
27 transmission speed of data exchange. Multiple channels can be added in the same network. Peers
28 that belong to the same channel build up a subnetwork which holds the same ledger, while
29 different channels cannot connect with each other directly without the authorization of the
30 Blockchain network. The authorization policy could be given by a smart contract that is designed
31 based on the application logic. This feature of the platform provides the capability of dividing the
32 CAV network into several parts and makes it scalable. For example, different states may impose
33 different speed limits, thus, each state may possess their own channel. In other words, they can
34 create their own subnetworks to execute corresponding traffic rules, guarantee privacy, and
35 enhance the transmission speed at the same time.

36 The hierarchical CAVs Blockchain framework is designed not only to enhance the
37 security and privacy but also improve the scalability levels of CAV systems. Meanwhile, it could
38 only store data that is frequently requested in the system while discarding uncommonly used data
39 in a certain period.

40 **Machine Learning as a Service Layer**

41 It is possible and efficient to utilize machine learning algorithms to predict and identify future
42 data. ML is commonly used in transportation studies to discover the hidden patterns of
43 transportation data for traffic state estimation and prediction. Some applications include speed
44 prediction, peak hour travel forecasting, accident analysis and prevention, incident management
45 and response.
46

CAVs' real-time information is usually complex and highly variable, vehicle speed can change rapidly. It is hard to find a certain pattern of the trend of such data with traditional technologies. Therefore, ML technologies such as simple supervised models (27) can be used to predict and analyse future data stored in the Blockchain platform. For instance, traffic speed can be predicted using the historical data stored in the platform real-time. Then, this predicted speed information can also be stored into Blockchain. The authorized user could use those data by querying information from Composer Rest API. A more detailed explanation will be shown in the next section. In this way, at the service layer, an ML platform could be established, and certain APIs will be provided to help others get analysis results from the Blockchain platform with the goal of alleviating traffic pressure and improving people's lives.

PERFORMANCE EVALUATION

In this section, two test case studies and the use case of the ML layer are conducted to test the performance of the CAVs Blockchain platform. The first case scenario is built on a single peer network, and the second case scenario is made of multiple peers. The detailed case scenario parameters are shown in TABLE 3.

TABLE 3 Case Scenario Parameters

Environment	Single peer	Multiple peers
	535	535
Vehicle number	535	535
Ubuntu 16.04 LTS operation system	11706	11706
64-bit processor	11167	11167
32GB memory workstation	11167	11167
New York University local area network	535	535
Download/Upload Speed: 100 Mbps	4	4
	4	4

Traffic Micro-simulation Model

The test network is coded using SUMO. The simulation network is extracted from a real network provided by using Open Street Maps (OSM). The details such as the number of lanes, lane width, and speed limit are edited using SUMO's graphical network editor. FIGURE 6 shows the overall network and selected trajectory data collection section.

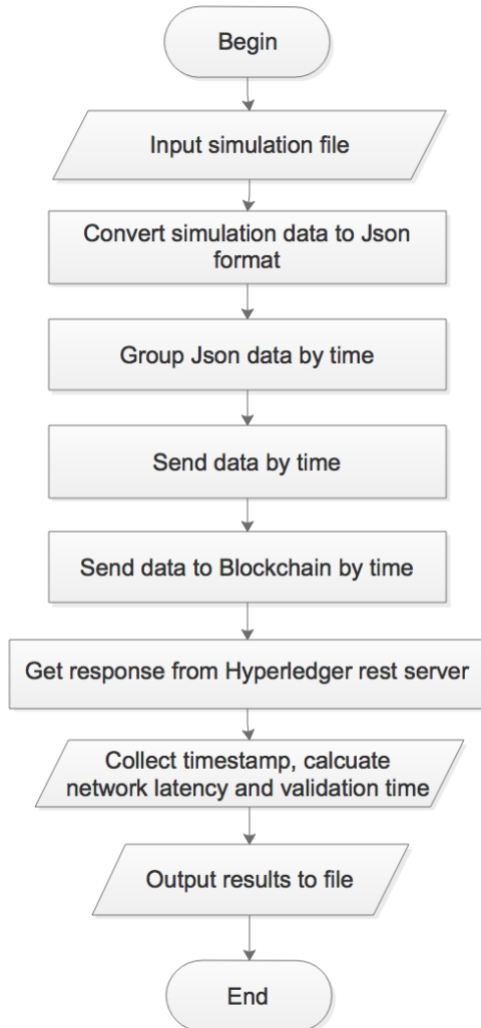


1 **FIGURE 6 Selected Section in SUMO**

2

3 **Scenario 1: Single Peer Network**

4 The single peer network consists of one peer, one channel and, one organization. Three programs
 5 are developed in Golang (21) for formatting and posting SUMO generated vehicle trajectory data
 6 to the Blockchain network. The developed program will first read data from a trajectory file,
 7 generate JSON array each 0.1 second, and then post them concurrently. There is no posting
 8 interval except the response latency from the previous request. FIGURE 7 illustrates the steps in
 9 the developed Golang code.

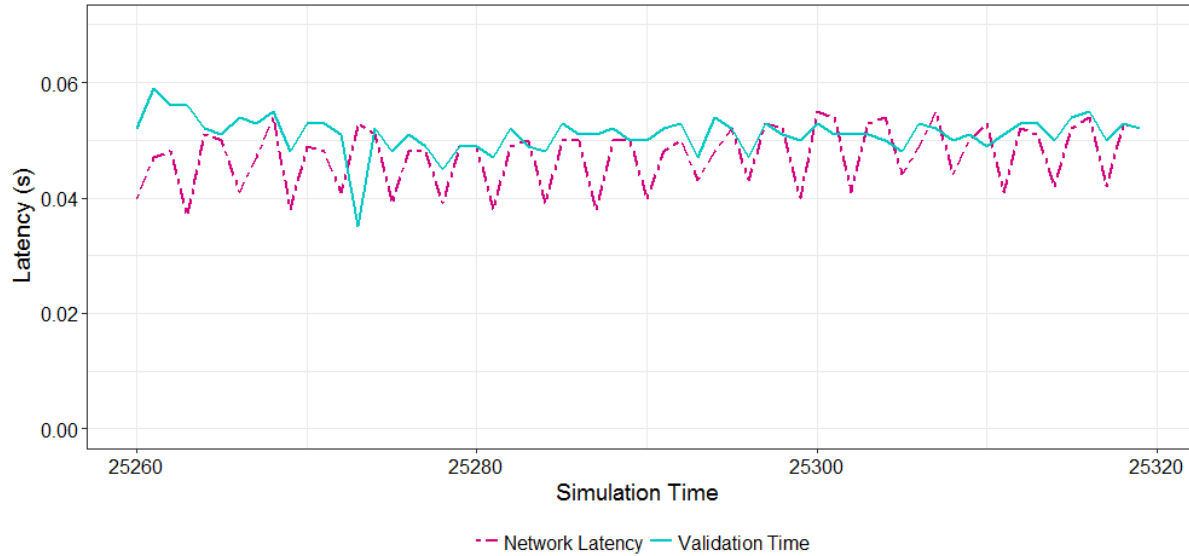


10

11 **FIGURE 7 Golang Program flowchart**

12

13 FIGURE 8 below shows the network latency and validation time for each vehicle to post
 14 real-time information including speed, acceleration, and location to the Blockchain network with
 15 rising block size. The test is conducted for 60 seconds.



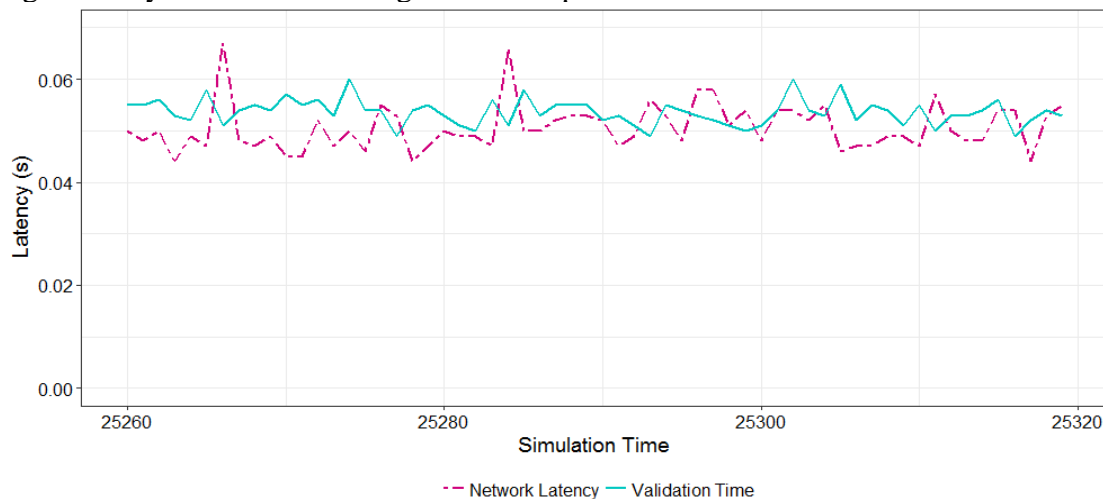
1
2 **FIGURE 8 CAV single peer Blockchain network latency and validation time**

3 From FIGURE 8, we can see that the overall network latency as well as validation time
4 are all less than 0.1 seconds. Network latency represents the time period from Golang posting
5 data time to the time when Blockchain receives data. While validation time stands for the time
6 period which all transactions generate data in the Blockchain every 0.1 seconds. The latency
7 trend is relatively stable with no apparent ups and downs, confirming the suitability of the
8 proposed platform for real-world traffic applications.

9
10 **Scenario 2: Multiple Peers Network**

11 Different from the single peer network, the multiple peers network consists of 10 peers, one
12 orderer peer, one channel, and three organizations. All the peers connect to the same channel and
13 hold the same ledger. The orderer peer will package and distribute the valid transactions to each
14 peer.

15 FIGURE 9 shows the network latency and validation time for the multiple peers network.
16 It can be seen that the network latency is still below 0.1 seconds. The latency did not increase
17 significantly with the increasing number of peers.



18
19 **FIGURE 9 Performance for multiple peers Blockchain platform**

1 Example Machine Learning for Data Analytics

2 This section introduces an example application located at the top layer of the platform to
 3 highlight the platform's capabilities. The goal of this experiment is to use the existing data in
 4 Blockchain to predict future vehicle speed. Speed is selected because the travel time in this
 5 section does not vary too much to properly evaluate the accuracy of the ML model. In the
 6 database, there are 999999 total records with features: location, lane, speed and acceleration, link
 7 and link id. The dataset is split into the training and testing sets. The first 92,520 transactions, or
 8 the first 9 minutes of data, sorted by transit time are used as the training dataset. The goal of this
 9 test is to predict the speed for each vehicle in the following 1 minute and compare the accuracy.

10 The trajectory data is pre-processed to remove the columns that are not used for the
 11 prediction to avoid overfitting. After pre-processing, labeled data are collected and formatted.
 12 Decision Tree Regression and Linear Regression models are used to fit training features and
 13 target training value. Since the training data is dispersed, it is challenging to find a particular
 14 trend for speed. However, Decision Tree Regression's max-depth parameter can be accurately
 15 set to alleviate this problem.

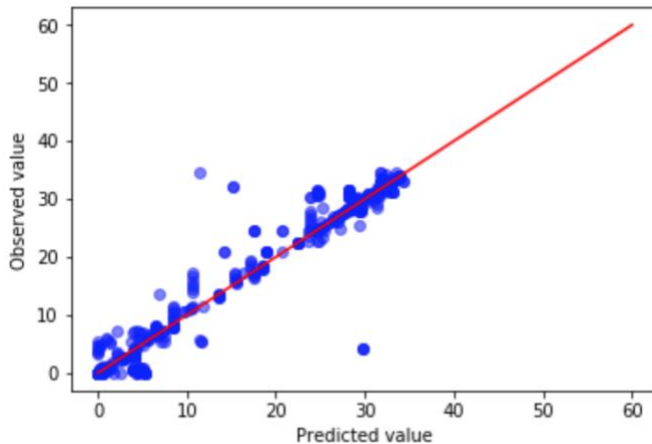
16 The accuracy metric used for the prediction is the coefficient of determination R^2 (28) in
 17 the test. The coefficient is equal to $(1 - u/v)$, where u is the residual sum of squares and v is the
 18 total sum of squares. The test results show that using Decision Tree Regression is better than
 19 using Linear Regression in our case. Cross-validation score is also used in the experiment to
 20 better evaluate the performance. It helps to partition the sample data into complementary subsets,
 21 performing the analysis on the training set, and validating the analysis on the testing set. In the
 22 test scenario, five rounds of cross-validation are performed. An accuracy score is generated after
 23 each round. Then, the average score is taken to give a reasonable estimate of the predictive
 24 performance.

25 To investigate different market penetration levels, we randomly select 20% and 50% of
 26 the whole dataset and conduct the same test 10 times. The accuracy levels of the prediction for
 27 each run for different market penetration levels can be seen in TABLE 4.

28 **TABLE 4 Accuracy comparison based on sample data**

	1 vehicle (ID: 3683)	535 vehicles 100% market penetration	535 vehicles 50% market penetration	535 vehicles 20% market penetration
Training transit time period	~2 minutes (1332 transactions)	~9 minutes (921521 transactions)	~9 minutes (460723 transactions)	~9 minutes (184541 transactions)
Testing transit time period (Last 1 minute)	1 minute (600 transactions)	1 minute (78478 transactions)	1 minute (39277 transactions)	1 minute (15459 transactions)
Cross validation accuracy score	0.69	0.56	0.67	0.55

30
 31
 32 FIGURE 10 shows the comparison between the predicted value and observed value for
 33 535 vehicles without excluding any data.



1
2 **FIGURE 10 Predicted value compared with the observed value for 535 vehicles of the last 1**
3 **minute**

4
5 Although test results show that the accuracy is above 50% with the full sample, the
6 existing dataset is still dispersed. More features are needed to improve the accuracy of the
7 prediction. Other machine learning models and technologies such as a Tensorflow neural
8 network (29) and deep learning (30) can be used to improve the accuracy. These models are
9 more flexible and more accurate regarding predicting discrete data.

10 11 12 **CONCLUSIONS AND FUTURE WORK**

13 The Blockchain is a newly emerging technology that has great potential for applications in the
14 context of transportation systems. This study presents the development and computer based
15 implementation of a simple yet realistic distributed ledger technology for transportation networks.
16 The proposed architecture is based on a permissioned Blockchain (5). It is divided into different
17 channels to restrict communication between authorized organizations. Several use case scenarios
18 are evaluated to illustrate the applicability of the proposed framework. The framework is
19 implemented under 8-layer architecture and tested using the trajectory data generated by a
20 calibrated traffic micro-simulation model developed in SUMO. The computational test results
21 show that the CAV system can be effectively combined with Blockchain technologies while
22 enhancing security due to its distributed nature. The privacy of the users is preserved by using a
23 data encrypted layer, key pairs and identity confirmation.

24 Distributed ledger technologies are more aligned with addressing the security need than
25 traditional systems for more seamless and interconnected transport services. Although there are
26 many early stage and exploratory efforts to use Blockchain in transportation by both industry and
27 academia, more attention and work are needed to move beyond the presentation and discussion
28 of conceptual frameworks towards actual implementation and testing. The first step of this type
29 of implementation oriented approach is to use simulation models similar to the one presented in
30 this paper. These simulations studies will lay the groundwork for more sophisticated and costly
31 field studies with actual cars and users.

32 More detailed research and use cases are required to understand Blockchain's scalability,
33 speed, and security by conducting tests at different locations and using larger data sources. In
34 CAV networks, safety data require real-time logging of big datasets and high volume data
35 processing. This may require newer and faster distributed ledger technologies or alternative ways

1 to ensure security. As future work, we will focus on ensuring security by incorporating more
2 Blockchain capabilities such as fault tolerant consensus algorithms, generating automated ways
3 to find network intrusions and forged transactions, and providing benefits such as
4 cryptocurrencies to users to encourage the usage of the platform.
5
6

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10
11

12 **AUTHOR CONTRIBUTION STATEMENT**

13 The authors confirm contribution to the paper as follows: study conception and design: Abdullah
14 Kurkcu, Yingxi Cao, Kaan Ozbay; Trajectory data collection and interpretation: Abdullah
15 Kurkcu; Blockchain data collection and analysis: Yingxi Cao; Analysis and interpretation of
16 results: Yingxi Cao, Abdullah Kurkcu; Draft manuscript preparation: Yingxi Cao, Abdullah
17 Kurkcu, Kaan Ozbay. All authors reviewed the results and approved the final version of the
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