Ohio's Research Initiative for Locals

Peer Exchange Report March 8-9, 2017



In Collaboration with the Ohio Department of Transportation Office of Statewide Planning and Research 1980 West Broard Street, MS 3280 Columbus, OH 43223

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Ohio's Research Initiative for Locals Peer Exchange Report March 8-9, 2017

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On March 8-9, 2017, the Ohio Department of Transportation (ODOT) hosted a peer exchange on behalf of the Ohio's Research Initiative for Locals (ORIL) program. Peer exchanges provide an opportunity to foster best practices and evaluate processes with colleagues from other states and national organizations. This exchange focused on transportation research programs designed to address issues specific to local public agencies (LPAs), e.g.: counties, townships and municipalities. Two specific topics were discussed during the exchange: (1) solicitation of research ideas and (2) implementation of research results.

Common Themes

During the exchange, common themes among the participants emerged on the two topics.

Idea Solicitation

- o The process for soliciting ideas needs to be as easy and as simple as possible.
- o Engagement with potential idea submitters is essential. Utilizing focus groups, conferences and meetings to encourage and assist with idea generation will help increase the amount and quality of submissions.
- o Including academia in the discussion phase for idea generation can be useful.
- o Enhancing partnerships with the Local Technical Assistance Program (LTAP) Center and the DOT can produce positive results.
- o When LPAs have a financial stake in the program, involvement tends to be greater as it allows them to view the program more as their own.
- Allowing for year-round submission of ideas and not limiting the audience that is permitted to submit ideas can increase the quantity of ideas received.

<u>Implementation</u>

- o Communication is key not only to growing the program, but in gaining acceptance and ultimate use of research products.
- Results of research projects should be marketed aggressively. Utilize multiple different communication channels (e.g.: newsletters, emails, conferences, YouTube channels, etc.) to share information.
- o Project champions that are identified during the research should be utilized during the implementation and marketing phases.
- o When attempting to determine a return on investment, be realistic.
- o Return on investment should be focused at the program level as opposed to the project level.

Summaries of the key discussion items that occurred for each exchange participant on the two topics are provided in the following pages. Also included are key takeaways for the participants as well as specific recommendations for ORIL to consider as the program continues to grow and refine.

Future Actions for ORIL

At the May 2017 Board meeting, the ORIL Board assessed the information and recommendations from the peer exchange. In an effort to encourage more idea submissions and attempt to improve the quality of those submissions, the Board decided to modify the following processes:

- 1. Idea solicitation will be left open year round; however, the program will continue to issue an official call for ideas once a year per the current program calendar.
- 2. An Idea Discussion Board will be incorporated into the ORIL website. The concept is to allow anyone to submit an idea/topic for research and have others comment on that

idea/topic. The goal is to encourage discussion on topics that could be turned into research projects. It will be clarified that submitting topics to the Idea Discussion Board does not constitute an official submission to the ORIL Board for funding consideration. While anyone can participate in the Idea Discussion Board, only representatives of LPAs will be permitted to officially submit ideas for funding consideration. Since the rules regulating the website usage of State of Ohio agencies do not permit real-time posting to a public website, the site will be updated the first and third Friday of each month.

3. A more concerted effort will be made to incorporate presentations on the ORIL program and/or specific projects at various conference and standing organizational meetings focused on LPAs (e.g.: County Engineers Association of Ohio [CEAO], Ohio Township Association [OTA], Ohio Municipal League [OML], American Society of Civil Engineers [ASCE], American Public Works Association [APWA] of Ohio, Metropolitan Planning Organizations [MPOs]). It is recommended to utilize these types of events for idea generation and vetting. While ODOT staff are available to assist with these activities, Board members will be more aggressive in promoting the program. Generic PowerPoints and flyers are already available on the Board Extranet Site for marketing purposes. Executive summaries and fact sheets can be used to promote individual projects. Additional materials will be developed to help Board members promote the program.

The ORIL Board will continue to evaluate information and recommendations related to implementation. Additional efforts are expected to be made to assist in the use and tracking of research results as the program continues to grow.

Iowa Highway Research Board (IHRB) Iowa DOT



Summary of Key Discussion Items

Idea Solicitation

- IHRB hosts a webpage that allows for the online submission of research ideas at any time throughout the year. Anyone is allowed to submit an idea; they do not have to be a representative of a LPA.
- IHRB conducts focus group sessions with locals to encourage idea generation and development.
- Researchers are invited to participate in focus group sessions. This encourages real collaboration between locals and academia.
- IHRB coordinates with the lowa LTAP program for assistance with focus groups and the development of request for proposals.
- IHRB posts requests for proposals two separate times per year. A portion of their budget is reserved for the second posting.
- Researchers who propose on projects provide a 15 minute presentation on their proposal to the IHRB prior to selection.

Implementation

• IHRB began to emphasize efforts on implementation of research findings within the last four years. Recent efforts have been focused towards demonstration projects, which have shown to be effective.

- Researchers provide a presentation to IHRB on final reports before reports are approved for publication.
- It is important to have the project champion participate in discussions and reviews of implementation activities.
- IHRB utilizes the county engineers association to help disseminate information on research projects and findings.
- Final reports from projects are expected to include a business plan or section addressing technology transfer and implementation of the findings, but not a completed plan for implementation. All final reports for IHRB projects are included on the lowa DOT research website.
- The use of executive summaries for completed projects has proven to be beneficial. Executive summaries are typically no longer than four pages and include contact information to learn more about the project.
- IHRB utilizes an agreement with the Institute for Transportation (InTrans) at Iowa State University to assist in implementation and technology transfer efforts. The Iowa LTAP provides assistance in the dissemination of information on research projects.

Summary of Participant Takeaways

IHRB applauds the work that has been accomplished by ORIL and recognizes the commitment of its board members. The system established in lowa alleviates concerns of year to year or project by project justification because program funding is consistent. This allows for a more holistic evaluation of the program and how it is benefiting all groups (e.g.: counties, cities, and the state). Ultimately, the services that are being provided through state and local transportation research programs are similar and are all funded with tax dollars. This peer exchange has reminded the IHRB to not take their program for granted and opportunities to continuously improve the programs should be identified. Idea solicitation and implementation go hand in hand. Identifying ideas from the source where they will be implemented will ultimately result in valuable findings.

As ORIL continues to refine and expand their program, the IHRB recommends the following items for consideration:

- Don't exclude research ideas from the Ohio DOT. Actively exchanging and comparing research ideas between ORIL and the Ohio DOT may provide opportunities to partner on projects.
- There is a disparity of funding in Ohio for local transportation research. While funding resources for the various groups participating in ORIL differ greatly, the goal to maintain and operate the transportation system is shared by all. As a result, activities that would be beneficial to one can actually be beneficial to all regardless of the source for the idea or the funding. This concept has been accepted among the locals in lowa. Work towards fostering this attitude in Ohio.
- Put forth more effort to "get the word out" about the ORIL program. Request to be included on meeting agendas for your various organizations to provide quick updates (e.g.: 20 minutes) about what is going on in the program and the projects. This will allow you to introduce the program to new people and keep others interested and engaged.

Local Roads Research Board (LRRB) Minnesota DOT

Summary of Key Discussion Items

Idea Solicitation

- LRRB utilizes their relationships with locals to solicit research ideas.
- LRRB conducts annual focus group meetings with locals to generate research ideas.
- Academia is included in the idea submission process. Ideas submitted by academia go through the same process as all other submissions and, if selected for RFP, are competitively advertised.
- LRRB hosts a focus group with researchers every four years to encourage participation in knowledge building activities.
- LRRB utilizes online discussion boards, referred to as IdeaScale, for developing and commenting on research ideas.
- As appropriate, the LRRB co-shares and co-funds research projects with the Minnesota DOT
- The Minnesota LTAP Center is very active with LRRB and provides assistance in writing RFPs.

Implementation

- LRRB has three subcommittees, two of which are focused on implementation and communication: Research Implementation Committee (RIC) and the Outreach Committee.
- LRRB allocates funding for implementation in their budget. These funds are overseen by the RIC. From these funds, the RIC contracts with a consultant to provide assistance in implementation of research findings. This contract is for 3 to 4 years and typically initiates five to eight implementations each year.
- LRRB hosts booths at various state conferences such as APWA and LTAP. Preloaded flash
 drives containing research findings are handed out at these events. Numerous
 presentations are also given at these local conferences as well as Transportation Research
 Board subcommittees. Efforts are made to keep conference presentations interactive by
 utilizing trivia games and other audience participation activities.
- LRRB hosts their own YouTube channel. This has become a main method for outreach. In addition to the short videos, LRRB develops one-page summaries of projects and quidebooks for related topics.
- To share information about their projects, LRRB uses fact sheets and an annual "At-A-Glance" report that summarizes all reports for a given year. Email notifications are distributed through a listsery to let people know projects are completed and reports available.
- LRRB publishes a newsletter in February and August providing updates on the program and individual projects. In addition, articles are submitted for other publications such as DOT newsletters, LTAP newsletters, and national publications.
- LRRB neither expects nor requires full-blown implementation plans in research reports. Researchers are provided with opportunities to transition findings to practice as appropriate. There is not an approval process for releasing project information.
- LRRB does not have a formalized process for determining or tracking ROI activities on their research projects.



Summary of Participant Takeaways

This exchange has provided the LRRB with more appreciation for the maturity of the program and the established structure in which it operates. Ensuring that the program continues to meet needs in the arena of safety and system management is important. It should also be remembered that research and development go hand-in-hand; often the development portion of R&D is forgotten. As all programs struggle with the notion of demonstrating a numerical return on investment, it is important to keep in mind that strategic plans, which are the basis for project development, tend to speak to outcomes as opposed to numerical return-oninvestment calculations. LRRB intends to share information on ORIL's study "Evaluation and Design of a TL-3 Bridge Guardrail System Mounted to Steel Fascia Beams" with its membership and the DOT. The one-page fact sheet utilized by ORIL and ODOT will be shared with the LRRB's Outreach Committee as a potential marketing tool. In addition, the implementation summary and plan documents that the Ohio DOT utilized in the past are interesting and worth consideration. There is an opportunity for all three programs (LRRB, IHRB, and ORIL) to work together. While the environments and political arenas of the three states differ, the overall needs and concerns of LPAs as it relates to transportation are similar and relatable. Establishing a connection between the three programs to share problem statements and research ideas could be very beneficial. The respective LTAP programs could serve as conduits for this exchange of information.

As ORIL continues to refine and expand their program, the LRRB recommends the following items for consideration:

- Don't become discouraged. The longer the ORIL program is in existence, the easier it will become to demonstrate its benefit. Sustaining momentum when funding is a constant concern is difficult.
- As ORIL does not have a designated, guaranteed funding source, focus on the
 immediate future. Since the political climate may not be conducive for a discussion
 on funding at this time, identify what ORIL can do in the meantime to better position
 the program. Use the next two years to mature the program. By doing so, there will
 be more involvement and engagement in the program when the climate is more
 conducive to a discussion on funding. This creates momentum for the program and
 may shift the discussion from maintaining funding to increasing funding.
- Based on past experience, politicians tend to understand private business language better than government language. Keep this in mind as you promote the program, and attempt to secure funding. Counties, cities, townships and the state are investors in the program looking for research to come up with ways to make their jobs easier.
- Partnership with LTAP is important. Continue to pursue and grow this relationship.

American Association of State Highway and Transportation Officials (AASHTO)



Summary of Key Discussion Items

Idea Solicitation

- AASHTO's Innovation Initiative (http://aii.transportation.org/Pages/default.aspx) could be used as an example for idea solicitation.
- AASHTO encourages incorporating a vetting process of ideas through activities such as literature searches before the ideas are submitted to the Board for consideration. There is a lot of value that can be gained by identifying efforts that are currently

- underway or related projects that are completed. Identifying something that addresses 70% of your idea will help refine your project.
- AASTHO encourages local research programs to look for opportunities to collaborate
 with their DOTs. In addition, AASTHO also encourages these projects to reach beyond
 their respective localities and states to become involved in national endeavors, such
 as the Transportation Research Board.
- During the selection of ideas, emphasis should be placed on determining the benefit of the proposed project.

Implementation

- It is important to understand that every project is not going to be a "winner". It is possible that the successful implementation of one project every five years may be enough to justify the cost of the entire program.
- AASTHO's TRAC and RIDES program is an educational outreach program designed to encourage careers in civil engineering among school-aged children. (http://trac.transportation.org/Pages/default.aspx)
- When determining the structure of research reports, be cautious of trying to accomplish too many items with one report. Traditionally, research reports detail everything that was done during the study. This is good for other researchers who are interested in doing additional work on the subject matter. However, the needs of the sponsoring agencies and practitioners are different. Sponsoring agencies had an issue that they wanted evaluated while practitioners want directions for solving the problem. While it is good to have this technical documentation, many state DOTs are moving towards managing programs as opposed to performing engineering duties. Awareness of this trend should be considered when determining how to document research.
- One-page summaries may be a good promotional tool for senior/executive leadership to highlight the program. However, for practitioners, be careful that they realize additional information is available elsewhere. Potential misuse of the results could occur if one-pagers are relied on for all pertinent information.
- Communication is critical for the acceptance/implementation of projects and the survival of programs. Taking efforts to not only share the findings from research, but communicate the importance of those findings is key. Be aware that not everyone will like the results.
- There is not much value in attempting to determine a return-on-investment for individual research projects. In general, the criteria for quantifying value are ambiguous and argumentative. There is, however, immense value in evaluating the overall program. It is suggested that a program level evaluation occur every five years to show what the program has done, highlight success and show a programmatic savings/contribution.
- A politician's cycle for return on investment is not realistic. For example, it took the automobile industry 15 years to fully implement airbags.

Summary of Participant Takeaways

- It is important to realize that everyone is not going to become engaged in a program. Program managers should make an effort to engage those who are not involved, not to change their mind, but to determine why they don't participate.
- Keep in mind that knowing not to use or do something has value.

As ORIL continues to refine and expand their program, AASHTO recommends the following items for consideration:

- ORIL's process is a good; however, there is room for growth. The solicitation process needs to be easier. Locals may not have the time to do the necessary legwork to submit an idea and a couple of paragraphs may be too much. Establishing a connection with LTAP, or another entity, to help with this process would be beneficial. The focus group meetings hosted by LRRB and IHRB are great models that ORIL can use to encourage and assist in the generation and refinement of ideas.
- Take every opportunity to tout and aggressively market the program. Get the
 research products out there so people know what you are doing. Sharing information
 through emails is good, but it is important to physically get in front of people and get
 their attention. After some time has passed, follow up to see who is using the
 products and capture their experiences and any benefits.
- The best people to "sell" the program are the locals (e.g.: counties). Peer to peer conversations will generate more interest and potential buy-in. Utilize testimonials from locals who have used a research product. Those individuals become advocates for the program. Start with your board members and expand from there.

Ohio's Research Initiative for Locals (ORIL) Ohio DOT

Summary of Key Discussion Items

ORIL INIMATIVE LOCALS

Idea Solicitation

- The program is still fairly new. Once more locals participate in the program and can be developed into champions, more ideas should be submitted.
- The program is currently funded by the Ohio DOT. While locals make in-kind contributions to the program through time and participation, there are no financial contributions. It is reasonable to expect that a financial contribution on the part of locals will result in increased submissions.

Implementation

- Considering the program's relatively new status, a formal presentation on implementation was not provided as substantial results have not been available.
- One research project, Storm Water Best Management Practices for Local Roadways, has resulted in a follow-up, pilot project to further test and refine the research product.
- All research proposals are required to include a preliminary discussion on what implementation could potentially look like for the study.
- All research final reports are required to include recommendations from the researcher on how to implement the findings.

Summary of Participant Takeaways

• The processes utilized by the IHRB and LRRB to generate research ideas are more engaging than ORIL's current process, which, in comparison, is too formal and rigid. The use of focus groups and meetings targeted towards idea generation provides an opportunity to vet potential projects for interest and refine goals. Likewise, it is common for committee/board members of these programs to propose ideas. As a

- result, the ideas that are submitted for consideration seem to be more developed. Having board members be more participative in the idea generation process is an activity that should be considered.
- Return-on-investment and implementation is a hot button for all government programs. While this is a difficult task to do, it is important. ORIL should continue to evaluate its processes to identify opportunities.
- It is important to recognize that not every project will produce a quantifiable returnon-investment. As projects produce results, effort should be made to identify the projects that may produce a quantifiable return and focus efforts towards those projects as opposed to every project.
- It is critical for ORIL to share successes; however, this needs to be done in a meaningful way. ORIL should evaluate and pursue various avenues for marketing the program and research products as appropriate.
- ORIL should continue efforts towards identifying a mechanism for secure and consistent funding.
- The Ohio LTAP Center has been a strong partner of Ohio's Research Initiative for Locals since the program's inception. Ohio LTAP has played a major role in coordinating with CEAO, OTA and OML to facilitate appointment of local agency personnel to the ORIL Board; distributing ORIL program announcements to local agencies statewide through the extensive LTAP email list; marketing the ORIL program through the LTAP newsletter; and production of several promotional videos for ORIL. Continuing this partnership with Ohio LTAP is recommended.

APPENDIX A Peer Exchange Participants

AASHTO

Keith Platte, Associate Program Director	
Project Delivery	

IHRB/Iowa DOT

Danny Waid, Secondary Roads Research Engineer

Iowa County Engineers Association Service Bureau

Wade Weiss, Engineer

Green County

LRRB/Minnesota DOT

Mitch Rasmussen, State Aid Engineer *Minnesota DOT*

ORIL/Ohio DOT

OKIL/OHIO DO I	
Steven Bergstresser, Assistant City Manager	Greg Butcher, Engineer
City of Kettering	Violet Township
Matt Chaney, Engineer	Debbie Cox, Administrative Professional
ODOT District 4	ODOT - Statewide Planning & Research
Doug Davis, Engineer	Mark Eicher, Engineer
Muskingum County	Nobel County
Jennifer Elston, Engineer	Mike Fitch, Program Manager
ODOT District 8	ODOT - Ohio LTAP Center
Vicky Fout, Project Manager	Anna Kuzmich, Statewide Shale Coordinator
ODOT - Statewide Planning & Research	ODOT - District 11
Rui Liu, Assistant Professor	Michelle Lucas, Contract Manager
Kent State University	ODOT - Statewide Planning & Research
Steve Luebbe, Engineer	Brian Olson, Area Maintenance Engineer
Fayette County	ODOT District 4
Warren Schlatter, Engineer	Paul Schmelzer, Safety Service Director
Defiance County	City of Findlay
Bill Schneider, Professor	Carol Schubert, Facilitator
University of Akron	ODOT - LEAN
Leo Shanayda,	Eric Steinberg, Professor
City of Springfield	Ohio University
Chase Wells, Engineer	James Young, City Engineer
ODOT - Construction Management	City of Columbus

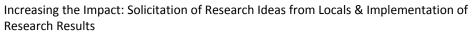
Exchange Report-Out Guests

Victoria Beale, Director	Heidi Fought, Director Government Affairs
ODOT - Ohio LTAP Center	Ohio Township Association
Tim Keller, Administrator	Tim McDonald, Administrator
ODOT - Structural Engineering	ODOT - Program Management
Scott Phinney, Administrator	John Puente, Administrator
ODOT - Statewide Planning & Research	ODOT - Asset Inventory & Systems Integration
Michele Risko, CSTP/LBR Program Manager	
County Engineers Association of Ohio	

APPENDIX B Agenda



Ohio's Initiative for Locals Research Peer Exchange





AGENDA

March 8 to 9, 2017 Ohio Department of Transportation 1980 West Broad St. Columbus OH 43223

Wednesday, March 8

7:35 a.m.	Meet in hotel lobby for ride to ODOT (unless driving separate)
8:00 to 8:30 a.m.	Breakfast and networking
8:30 to 9:00 a.m.	Welcome by ODOT
	Introductions and Agenda Overview
9:00 to 9:15 a.m.	ORIL Research Program Overview and Peer Exchange Goals
	Vicky Fout, ODOT Statewide Planning and Research
	Michelle Lucas, ODOT Statewide Planning and Research
9:15 to 9:45 a.m.	State Presentations – Topic # 1: Solicitation of Research Ideas (15-20 min.
	presentations).
	Michelle Lucas, ODOT Statewide Planning and Research
	Mitch Rasmussen, Minnesota DOT
9:45 – 10:00 a.m.	Break
10:00 a.m. to 11:45	State Presentations Continued from Topic #1. Followed by discussion questions.
p.m.	Dan Waid, Iowa County Engineers Association
	Wade Weiss, Iowa Highway Research Board
11:45 to 12:45 p.m.	Lunch on site
12:45 to 2:45 p.m.	State Presentations - Topic #2: Implementation of Research Results (30 minute
	presentations). Followed by discussion questions.
	Mitch Rasmussen, Minnesota DOT
	Dan Waid, Iowa County Engineers Association
	Wade Weiss, Iowa Highway Research Board
2:45 to 3:00 p.m.	Break
3:00 to 4:30 p.m.	Continue with discussion questions for Topic #2.
4:30 p.m.	Dinner outing for those who would like to participate

Thursday, March 9

7:35a.m.	Meet in hotel lobby for ride to ODOT (unless driving separate)
8:00 to 8:30 a.m.	Breakfast and networking
8:30 to 9:30 a.m.	Wrap-up and takeaways
9:30 to 10:30 a.m.	Report Out
10:30 a.m.	Depart

APPENDIX C Preliminary Discussion Questions



Ohio's Initiative for Locals Research Peer Exchange

Increasing the Impact: Solicitation of Research Ideas from Locals and Implementation of Research Results
March 8-9, 2017 Columbus, Ohio



Peer Exchange Topics and Discussion Questions

Topic #1: Solicitation of Research Ideas (15-20 minute presentation)

- Encouraging the submission of research ideas
- Asking the right questions to get the best information to develop good projects

Discussion Questions:

- 1. What is your process for soliciting research ideas for your local research program? Please include a timeline for your process indicating when steps occur and the length of time allotted to that step. Include any pertinent steps leading up to the actual solicitation (e.g.: development of focus areas, a strategic plan, etc.).
- 2. Who is permitted to submit research ideas for consideration (e.g.: local public agencies, DOT staff, researchers, industry, associations, etc.)?
- 3. How do you notify people that ideas are being accepted? Explain your process and methods used to encourage submissions? How frequently do you send notifications/reminders?
- 4. What information is requested from submitters for research ideas? Please provide a copy of your idea submission form and be prepared to explain what is asked and why.
- 5. In general, how good are the ideas received? Are the ideas clear and easy to understand?
- 6.Do you allow the idea submitters to explain/defend their idea to the selection committee before the selection/prioritization is made? If so, how does this process work? Has it been beneficial and resulted in better projects?

Topic #2: Implementation of Research Results (30 minute presentation)

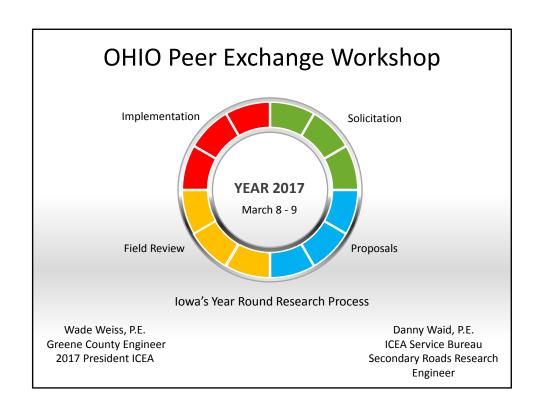
- Planning for implementation
- Identifying results for implementation
- Tracking, monitoring and reporting on implementation of research results
- Developing a return on investment Promoting the program through quantifiable means (e.g.: showing your worth)

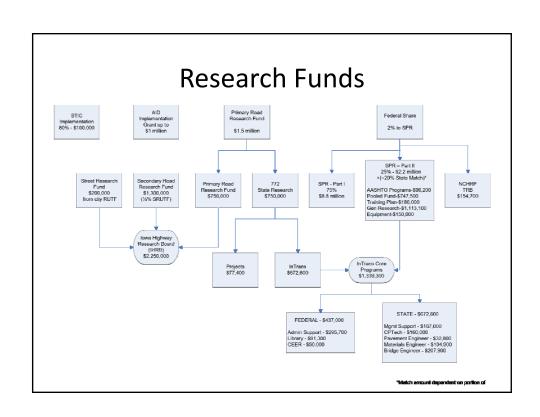
Discussion Questions:

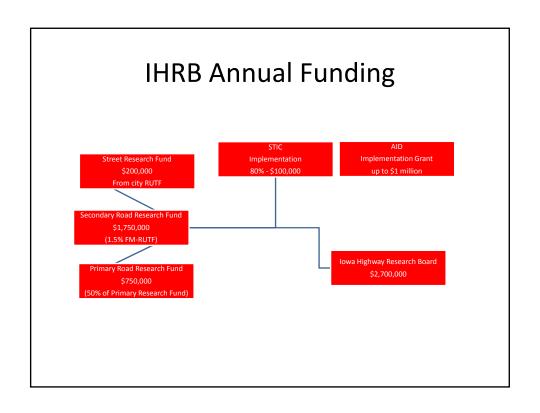
- 1. How do you disseminate and communicate the findings of your research projects to raise the visibility of your program? Your response should take into consideration projects that produce findings that only enhance knowledge/understanding (e.g.: best practices, synthesis studies, findings that say current processes are good keep doing things the way you are, findings that say don't do "this", etc.).
- 2. What is your current process for implementing research findings from your local program? Provide an overview of your entire process, please be sure to include information on the following items:
 - a. When in your process is implementation a true consideration (e.g.: during idea development, during project execution, at the conclusion of the study, etc.) and how is consideration given?
 - b. How do you determine which research projects have findings that should have extra effort expended on implementation?

- c. How do you track the implementation of research findings amongst local public agencies statewide?
- d. How to you determine if the implementation effort was a success? Do you apply a performance metric to implementation? If yes, please describe the metric and its' application.
- e. How do you fund implementation efforts within your local program? Are these efforts competing for funds with new research projects?
- f. What involvement (if any) do the following entities have in the implementation of local research results: local research board; researchers; state DOT; associations for county engineers, townships, municipalities, public works, etc.; and LTAP Center? Please include additional entities not named above that that play an important role in implementation.
- 3. How do you determine a return-on-investment for the research projects you conduct? How do you communicate that value to stakeholders?
- 4. How do you determine a return-on-investment for your local research program (as a whole)? How do you communicate that value to stakeholders?

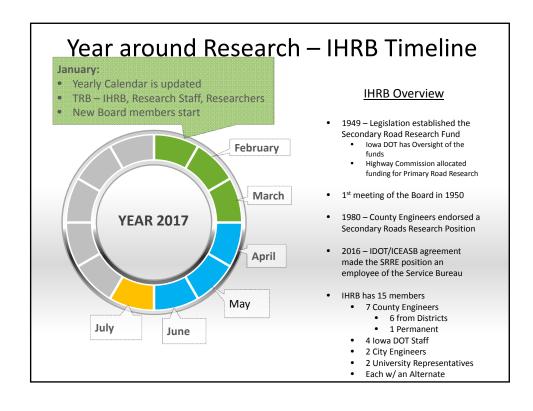
APPENDIX D IHRB/Iowa DOT Idea Solicitation Presentation





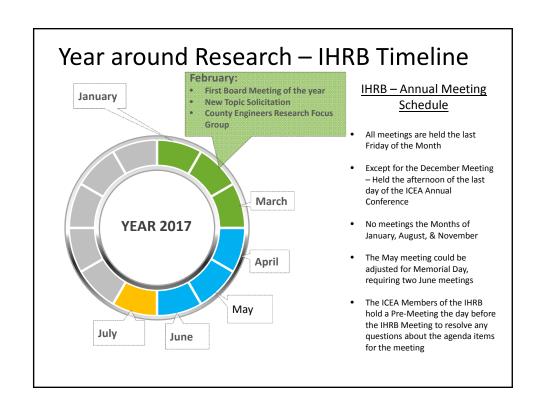






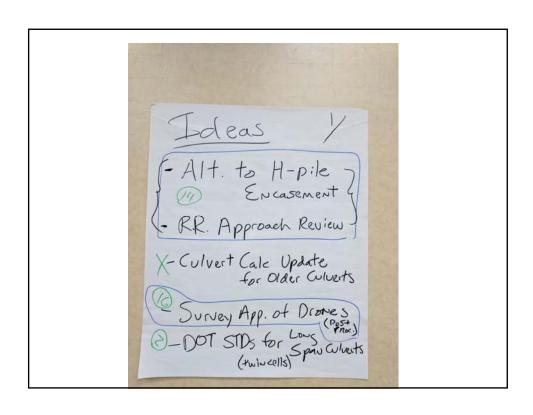
2017	IOWA HIGHWAY RESE	RCH BOARD January 1, 2017
Member Ahmad Abu-Hawash, Chair Chief Structural Engineer, Iowa DOT 800 Lincoln Way Ames, 4, 50010 (515) 239-1393 Ahmad Abu-hawash@iowadot.us	Term Expires 12/31/2018	Alternate Dave Claman Preliminary Bridge Engineer, Iowa DOT 800 Uncoln Way Ames, IA 50010 (515) 239-1487 David Claman@iowadot.us
Kevin Jones Materials Testing Engineer, lowa DOT 800 Lincoln Way Ames, IA S0010 (515) 239-1237 Kevin Jones®iowadot us	12/31/2018	Chris Brakke Pawement Management Engineer 800 Lincoln Way Ames, IA 50010 (515) 239-1882 Chris Brakke@iowadot.us
Chris Poole Safety Programs Engineer, Iowa DOT 800 Lincoln Way Ames, IA 50010 (515) 239-1267 Chris Poele@iowadot.us	12/31/2019	Khyle Clute Methods Transportation Engineer 800 Uncoin Way Ames, IA 50010 (1315) 239-1862 Khyle Clute@powadoLus
Tammy Nicholson Director, Office Location & Environment, Iowa 800 Lincoln Way Ames, IA 50010 (515) 239-1052 Tamara Nicholson@iowadot.us	12/31/2017 DOT	Das Sprengeler Wook Zone Traffic Control Engineer, Iowa DOT 800 Uncoln Way Ames, IA 50010 (\$151,293-1823) Ban Sprengeler@iowadot.us
Sarah Okerlund, Civil Engineer II, City of Ankeny 220 W 1 ss 5 Ankeny, M 50023-1751 (515) 963-3526 Sokerlund@ankenyigwa.gov	12/31/2017	Matt Cox City Engineer, City of Council Bluffs 209 Pearl Street Council Bluffs, IA 51503-0826 (712) 328-4635 mcoxillecomocilbluffs-ia gov
Ronald Knoche Director of Public Works, Jowa City 410 E. Washington Street Iowa City, IA 52240-1825 (313) 356-5138 Bon-Knoche@iowa-city.org	12/31/2018	Bruce Braun Street Maintenance Administrator, Des Moines 216 SE 5th Street Des Moines, IA 50309 5153 (237-1371 BABraun@dmgov.org
Paul Hanley The University of Iowa — Dept. of CEE 4105 Seamans Center Iowa City, IA 52242 (319) 33-58-337 paul-hanley@ulowa.edu	***************************************	
Terry Wipf lows State University, Dept. of CCEE 420 Town Engineering Bidg. (515) 294-6979 TWide Bissate edu	***************************************	

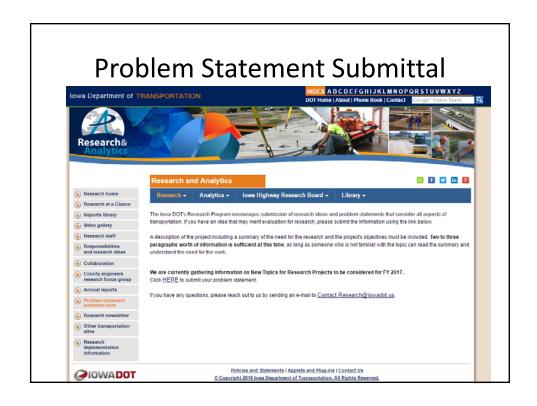
	2017 IOWA HIGHWAY RESE	ARCH BOARD	January 1, 2017
Wade Weiss Greene County Engineer 114 N. Chestnut Street Jefferson, IA 50129 (515) 386-5650 wweiss@co.greene.ia.us	TRB Rep		
Russ Stutt Jasper Co Secondary Road Department 910 N. 11th Ave. E. Newton, IA, 50208 (641) 792-586 Stuttl@co.lasper.ia.us	12/31/2017 District 1	Paul Geilenfeldt III Marshall Co Engineers Office 101 East Church Street Marshalltown IA, 50158-4915 (641)-754-6343 pgeilenfeldt@co.marshall.ia.us	
Lee Bjerke Winneshiek County Engineers Office 201 W Main St Decorah, IA, 52101-1713 (563) 382-2951 Iblerke@co.winneshiek.ia.us	12/31/2019 District 2	Joel D. Fantz Fayette County Engineers Office 114 N. Vine Street West Union, IA 52175 (563) 422-3552 fantz@co.fayette.ia.us	
Scott Rinehart Clay County Engineers Office 300 W 4th 5t #5 Spencer, Ia 51301-3806 (712) 262-2825 srinehart @co.clay.ia.us	12/31/2018 District 3	Paul Assman Crawford County Engineer 1202 Broadway, PO Box 458 Denison , IA 51442 (712) 263-2449 passman@crawfordcounty.org	
Kevin Mayberry Mills County Engineers Office 403 Railroad Avenue Glenwood, IA, 51534 (712) 527-4873 kmayberry@millscola.us	12/31/2017 District 4	Brad Skinner Montgomery County Engineers Offic 406 West 4th Street Red Oak IA, 51566-0095 (712) 623-5197 Uskinner⊕montgomerycola.us	e
Jacob Thorius Washington County Engineers Office 210 W Main St., Ste. 2 Washington, IA, 52353-1723 (319) 653-7731 thorius@co.washington.ia.us	12/31/2019 District 5	Andrew McGuire Keokuk County Engineer 101 S. Main Sigourney, Iowa 52591 (641) 622-2610 engineer@keokukcountyla.com	
Myron Parizek Benton County Engineer 1707 W 15 ti PO Box 759 Vinton, IA 52349 (319) 472-2211 mparizek@co.benton.ia.us	12/31/2018 District 6	Todd Kinney Clinton County Engineer 1900 N 3rd Street Clinton IA, 52733-2957 (563) 244-0564 tkinney@clintoncounty-ia.gov	

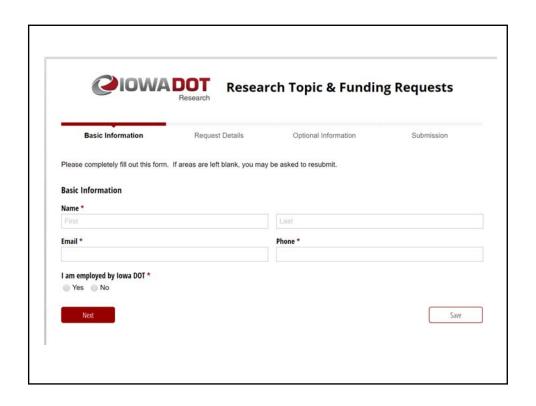


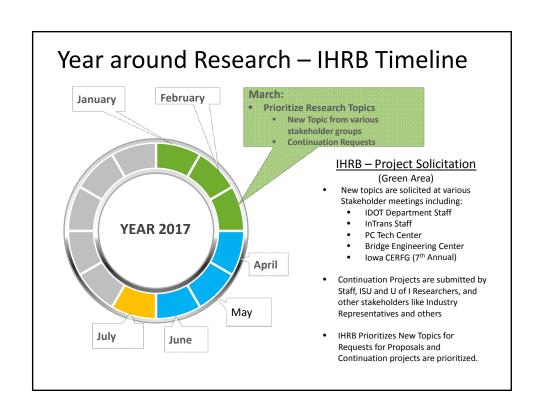


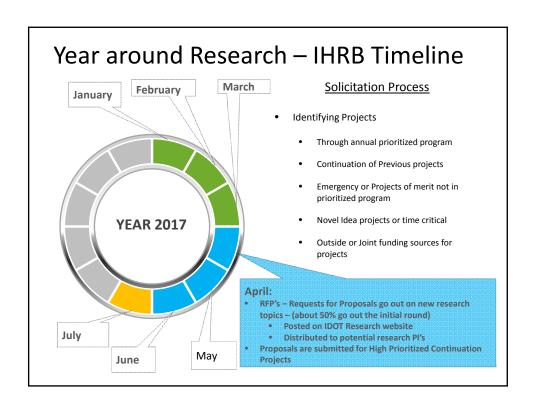


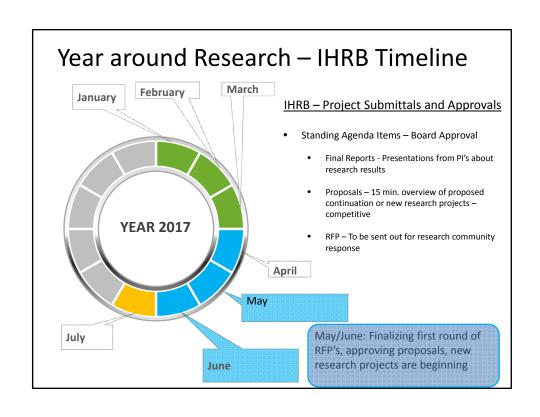






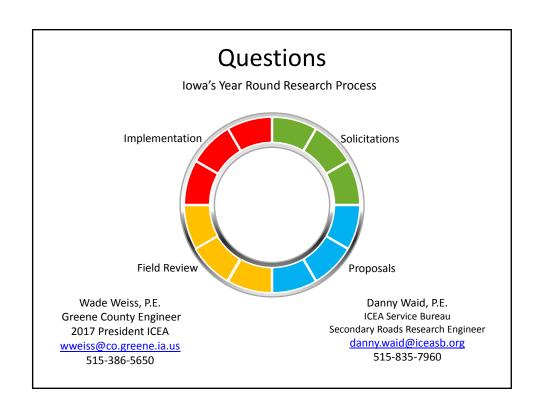






Overview of Idea Solicitation Process

- Request for Ideas January
 - Emails, Websites, and focus groups
 - Each Focus Group selects top priority topics
 - · Ideas are presented to IHRB for ranking and approval of RFP
- Requests for Proposals are sent out (twice per year) from IHRB through the Iowa Transportation Research Collaboration Agreement between IDOT, 3 State Universities, and InTrans
 - Each RFP has a DOT advocate or lead contact assigned
 - Budgets are fixed and Quarterly progress reports are required
- Research Proposals are Accepted -
 - Proposals must include Implementation and Tech Transfer Components
 - Universities find a researcher advocate in the work area to work out proposal details w/ IDOT reconciling allowable budget amount and Scope of Work
 - Proposals are reviewed for approval (or denied) by IHRB



THANK YOU!

APPENDIX E LRRB/Minnesota DOT Idea Solicitation Presentation







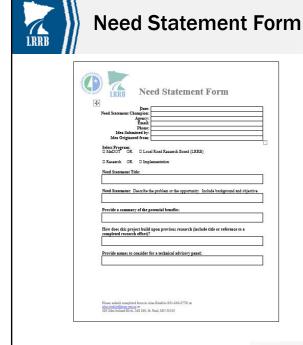


LRRB Annual Focus Group



- · Half-day, each spring
- Location rotates between Metro and outstate
- Local practitioners, state aid reps, CTS
- Largest source of ideas
- Strategic Plan identifies focus areas

ORIL Peer Exchange Report - March 2017



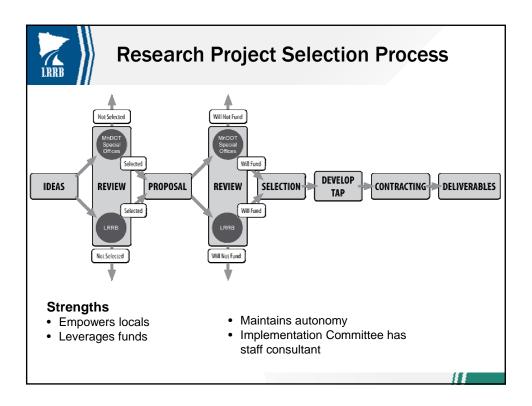
Available at Irrb.org/contact-us/submit-ideas/

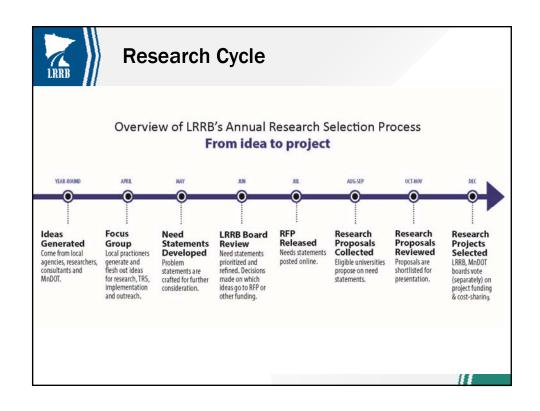


UMN 'Knowledge Building' Activity

- Chance for UMN researchers to put forth their own ideas
- Focus group every four years
- Proposals considered for funding at same time as those submitted through RFP









Next Step: Board Action

Summer board meeting:



- Determine which ideas will move forward
 as research, TRS, implementation or outreach projects
- Develop/refine need statements

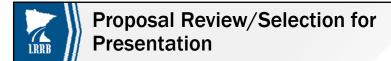




Request for Proposals

- RFP issued for MnDOT, LRRB research project ideas in August
- University researchers have six weeks to respond
- In October, MnDOT & LRRB boards review proposals





• Meeting held in October

	LRRB FY2018 Research Proposal Voting Summary					
Need Statement#	Project Title	Project Duration (months)	Total Requested Budget	Transport		
461a	Characterization of Bunoff Quality from Payed Low Volume Boads and Optimization of Treatment Methods	36	\$202,916	1	1	1
<u>465a</u>	Examining Optimal Sight Distances at Bural Intersections	19	\$166,549	1	1	
462d	Is Seal Coating Counterproductive or Not?	24	\$136,508		1	
463a	Cost@enefit.Analysis of the Effectiveness of Crack Sealing Techniques	23	\$104,504		1	
455a	Experimental and Computational Investigations of High Density Asphalt Mixtures.	24	\$148,075			1
485	Cloud-Based Dynamic Warning System	17	\$78,130	1	1	1





- Proposals presented in December
- Boards vote on projects, cost-sharing

II



APPENDIX F ORIL Idea Solicitation Presentation







 To ensure the program is meeting transportation needs of Ohio's locals and funds are being utilized in a responsible manner, the Board will establish, review and update a strategic research plan annually with focus areas.

(Done during August Strategy Meeting)

- Safety
- Renewal/Infrastructure
- Operations & Business Practices



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Idea Solicitation Process

- September A formal solicitation for research ideas is issued by the board
 - Announcement on ORIL Webpage
 - Announcement on ODOT Research Webpage
 - · Email sent out to ORIL email list
 - Email sent out through the Ohio LTAP Center
- Deadline for idea submission is around 6-8 weeks from posting
- Reminders are sent with 1-2 weeks left in solicitation

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Example: Call for Research Ideas

ORIL Research Ideas Solicitation

Do you have a problem you need solved? Is there a topic you would like to know more about? Ever wondered how your colleagues are dealing with a particular issue and what the best practices for handling it could be? All of these (and more) could be addressed through research!

Ohio's Research Initiative for Locals (ORIL) is now accepting research ideas for consideration for the upcoming FY2018 program.

If you are a representative for a village, township, city, county, MPO or RPO, you can submit an idea to ORIL. The ORIL Board will review all ideas submitted and select those that propose the biggest benefit for Ohio. Selected ideas will be developed into Requests for Proposals, which will be posted for response from the transportation research community in March 2017. Research projects may begin any time after July 2017.

To Submit An Idea:

- Complete an online application by clicking here.
 Submit by 3:00PM on November 4, 2016.

Requirements for Consideration:

- equirements for Consideration:

 I deas must be submitted by a representative of a local public agency.

 I deas must be related to transportation issues. [See "NOTE" below;

 I deas must be responsive to at least one of the research focus areas identified in the ORLL,

 Strategic Research Plan: Safety, Renewal/Infrastructure, and Operations & Business Practices.

 I deas must be received by the deadline (3:00PM on November 4, 2016).

Check out the <u>ORIL FAQ page</u> for answers to frequently asked questions. Current topics include how ORIL funding works, where the funding comes from, what is required of individuals whose ideas are selected, and more. For more information on the ORIL program, how it works, and details on the criteria used by the ORIL Board to select the ideas, check out the <u>ORIL Guidebook</u>. To learn more about the research currently being funding by ORIL, check out the <u>FY2017 ORIL Program Book</u>.

If you have a question about ORIL or need help submitting an idea, please email: ORIL@dot.ohio.gov contact Vicky Fout (614-387-2710), Michelle Lucas (614-644-8135) or Mike Fitch (614-387-7358).

NOTE: ORIL funding is currently provided through the State Planning & Research Part 2 Program, which carries the following eligibility criterio: According to 25/05/03, 59.86.8 fl mids may only be used to support two specific activities:

(3) Research, development, and sectionality transfer activities necessary in construction with the planning, design, construction, management, and maintenance of hiphway, public transportation, and tremetodal transportation systems.

(6) Study, research, and training in the engineering standard and construction materials for transportation systems described in paragraph (3), including the evaluation and carcellitation of inspection and testing and the regulation and training of which the system of the

Who Can Submit Ideas?

- Any representative from a Local government organization
 - City
 - Township
 - County
 - Village
 - Metropolitan Planning Organization (MPO)
 - Regional Transportation Planning Organization (RTPO)
- · Ideas submitted by non-locals will not be considered



Idea Solicitation Encouragement

- Ohio Transportation Engineering Conference (OTEC)
 - Held Annually in October (Columbus Ohio)
- Ohio LTAP Center
 - Email Reminders
 - Notices in LTAP Newsletter
- Rely on Board Representatives to encourage colleagues
 - Ohio Township Association (OTA)
 - County Engineers Association of Ohio (CEAO)
 - Ohio Municipal League (OML)



How to Submit an Idea



Ohio's Research Initiative for Locals Research Idea Form

Please complete this form to have an idea considered as a research project by the ORIL Board. The information you provide on this form will be used by the ORIL Board to determine whether on not a research project should be funded. Therefore, please provide enough information so that the ORIL Board will have an understanding of what your idea is and how funding research on this topic will be beneficial, loses must be related to at least one of the research focus areas obtinined in the <u>ORIL Strategies There and Plan 2014-2015</u>. For examples of research research focus areas obtinined in the <u>ORIL Strategies There and Plan 2014-2015</u>. For information on ORIL's research tides stelection process, please visit the <u>ORIL strategies</u>.

SUBMITTER INFORMATION	
Name:	
Agency:	
Email:	
Phone:	
Date:	

<u>Idea Name</u> Please provide a brief name for your idea (e.g.: Work Zone Traffic Control for Low Volume Roads)

That is your problem/idea? I that is your problem/idea? I lease briefly describe the problem you are experiencing or topic for which you need research. To assist the IRIL Board in understanding the overall magnitude of your problem/idea, please feel free to include ecommendations on what you think should be done to address this problem/idea (e.g.: a literature evview/synthesis study, a laboratory study, a field study, a study including both lab and field work, etc.).

<u>fimated Duration and Funding</u>
sase provide an estimate for how long you think research on this idea should take and an estimate for how
how by out hink research on this topic should cost. This information is used by the ORIL Board to gauge how
lensive/fivolved the research on this idea could be, if it was funded. This is for planning purposes only and
it intended to be a limitation on the idea. For examples of active research projects and their corresponding
dding and durations, please see the current <u>ORIL Program Book</u>.



Number and Quality of Ideas

Number of Ideas

- 2014/2015 18 Ideas Received
- 2016 6 Ideas Received
- 2017 9 Ideas Received
- 2018 10 Ideas Received



Quality of Ideas *

- * Opinions expressed are those of ODOT staff and not the ORIL Board.
- Room for improvement
- Concept of research not fully understood
- Purpose/Intent of the idea at times is difficult to determine
- · Great ideas mentioned, but not submitted

q

Idea Explanation/Clarifications

- Currently ORIL does not give idea submitters a chance to come into a Board Meeting to explain or clarify their ideas.
- The Board is given ideas in advance of selection meeting
 - If there are questions in advance, ODOT will reach out for clarification from the submitter



ORIL

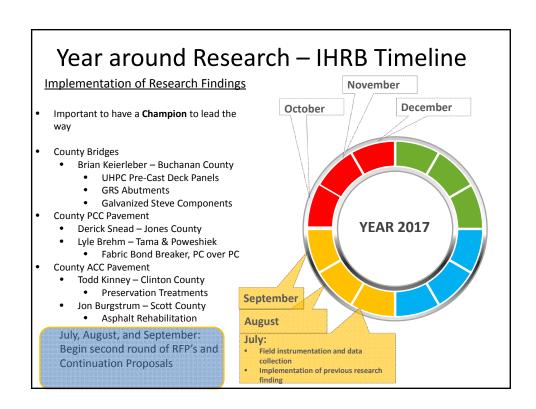


- Website:
 - http://oril.transportation.ohio.gov
 - Guidebook, Strategic Plan, Board Members Information, Research Idea Submission, and more.
- Email:
 - ORIL@dot.ohio.gov
- Phone:
 - 614-387-2710 (Vicky Fout ODOT Statewide Planning & Research)
 - 614-644-8138 (Michelle Lucas ODOT Research)
 - 614-387-7358 (Mike Fitch Ohio LTAP Center)

APPENDIX G IHRB/Iowa DOT Implementation Presentation

Research Implementation

- Send out the report and tell them to use it! (The Good Old Days)
- Implementation needs to be addressed at the initiation of the research
- Implementation needs consideration the entire way through the process
- Practitioners need to know the information is available
- Results need to be understandable and applicable
- Need to monitor on-going results of implementation
- Build on the knowledge gained and the field results
- Evaluate the benefits of the research and determine if more information is needed



Historic Research

Evaluation of Experimental Stabilized Soil Base Construction, Webster County, Iowa

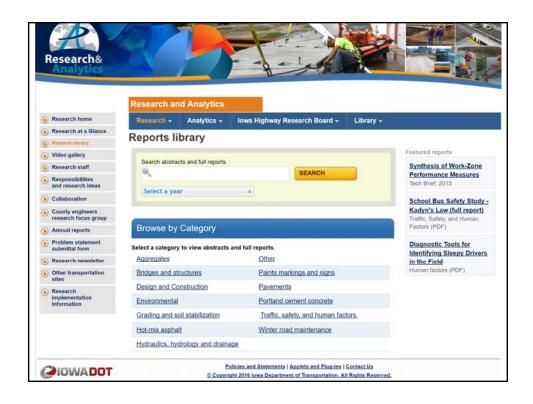
J. M. HOOVER, Assistant Professor of Civil Engineering, Iowa State University

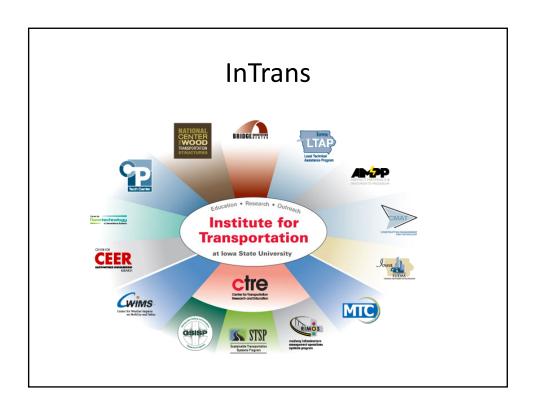
OOVER, Assistant Professor of Civil Engineering, Iowa State University
This paper presents a portion of the results of an experimental stabilized soil road base program initiated by the Iowa State Highway Commission and the Webster County Engineer's Office. The 8.058-ml long site chosen is typical of the Clarion-Nicollet-Webster soil association area materials Iowal in hundreds of miles of farm-to-market roads in the north-central third of Iowa. The Webster series, a black, heavy-textured, poorly drained clayey soil, dominates.

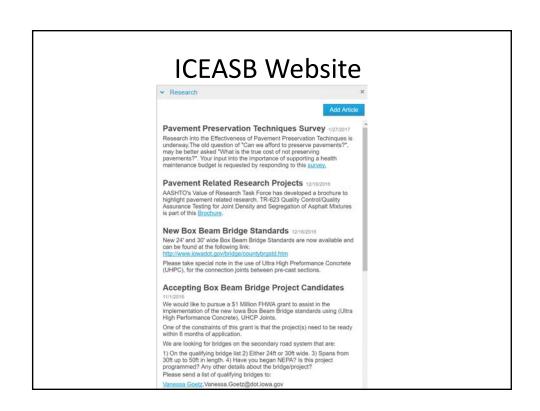
John Marchael Carlot County Engineer's County Engineer's Department of the properties of the project were divided into two primary objectives, both directly related: (a) evaluation of conventional construction procedures, existing construction-inspection specifications and techniques, and recommendations for establishment and/or changes to cach of the above areas; and (b) evaluation of the constructed material by field and laboratory tests for determination of stability requirements in the development of design criteria for low-cost stabilized soil base reads. This paper deals principally with the area of the Properties o

ized soil base roads. This paper deals principally will me area of suffirst objective.

Presented are an evaluation of the construction techniques, gradation specifications preceding introduction of the stabilizing agent(s); discussion of use of time as a pretreating agent for reduction of plasticity and increase of friability, comparison of absoratory and field standard Proctor moisture-density relationships, in-place field density determinations using oil density and standard Proctor penetrometer methods, and variation of unconfined compressive strength of 7-day moist-cure specimens with variations in moisture and density.







Ways to get the word out

Demonstration Projects

Field review – Site visits





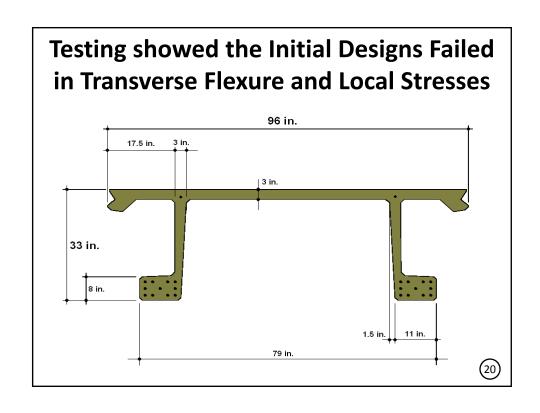


Buchanan County Iowa



259 Bridges over 20'
3 Pin-connected
Trusses constructed
1870-1914
Henry Ford started
mass production of the
Model T in 1913

(2)



The Initial PI beam Design

- Design Guidelines University of New South Wales, France, and Japan
- Development of PI section by Dr Ulm at MIT
- Testing of UHPC and PI section (Turner-Fairbanks)
- I-Beam Testing by Turner-Fairbanks & Iowa State University
- Experience Wapello Co. project
- Discussions with Dr. Graybeal (FHWA) and Vic Perry (LaFarge North America)





Lessons Learned

- Follow the Mixing instructions, Mix the Premix and the Portland prior to the sand
- Always have super plasticizer available to add as needed.
- High density and high viscosity create pressures we are not accustom to. (uplift pulled the screws through the 2x4's
- Post tensioning is easy

ULTRA HIGH PERFORMANCE CONCRETE ACEC August 24,2016

ISU Research on Stabilization and Recycling of Granular-Surfaced Roadways

Session D – Hot Topics: Gravel Road and Shoulder Stabilization Panel Jeramy Ashlock, Iowa State University; John Rasmussen, Pottawattamie County; Todd Kinney, Clinton County; Jacob Thorius, Washington County

Jeramy C. Ashlock, Ph.D. Associate Professor jashlock@iastate.edu

70th Iowa County Engineers Conference
December 6-8, 2016

Scheman Building Iowa State University Ames, Iowa

Recent and Ongoing Granular Road Projects

- 1. TR-644: Low-Cost Rural Surface Alternatives: Demonstration Project (completed 2015)
- TR-685: Feasibility of Gravel (Granular) Road and Shoulder Recycling
- 3. TR-704: Performance Based Evaluation of Cost Effective Aggregate Options for Granular Roadways

TR-644: Low-Cost Rural Surface Alternatives: Demonstration Project (completed in 2015)

PI: Jeramy Ashlock, Co-PIs: David White, Pavana Vennapusa

- TAC:
- Vanessa Goetz, Iowa DOT
- Dan Waid, Previous Hamilton County Engineer
- Assistance from:
- Wade Weiss, Greene County Engineer



Direct link

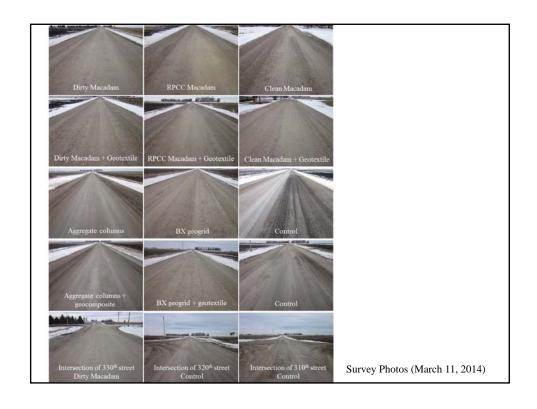
http://www.intrans.iastate.edu/research/projects/detail/?projectl D=-1618950266

5

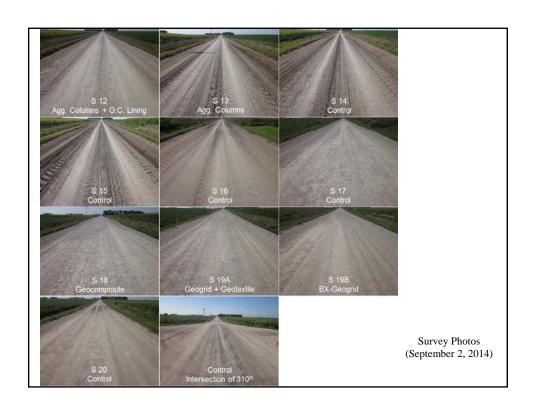
Field tests were performed before/after construction, and after two seasonal freeze-thaw cycles



Field testing methods: (a) FWD, (b) MASW, and (c) DCP test

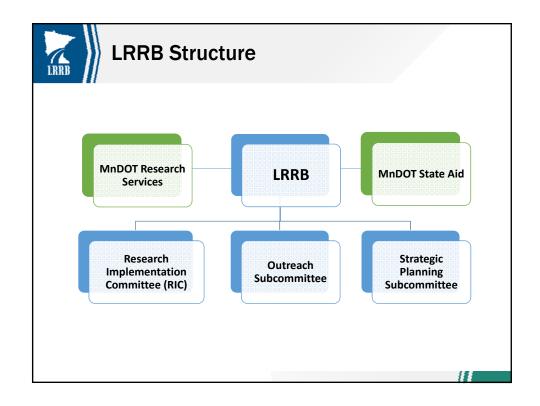


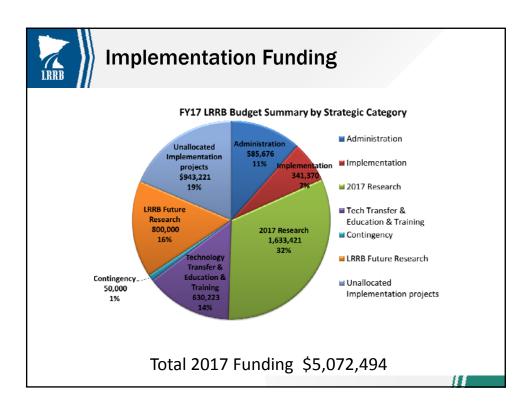




APPENDIX H LRRB/Minnesota DOT Implementation Presentation









Identifying Implementation Projects

- Relationships with city and county staff asking what they need
- Listening to issues locals discuss
- LRRB reviews completed research projects
- RIC identifies needs
- Annual Focus Group Meetings
- Needs Statements from Locals



Agency Involvement in Implementation

- Local Road Research Board Suggests projects
- Researchers Required to identify opportunities in proposals
- LRRB's Research Implementation Committee
 - 2 Research Services (MnDOT)
 - 2 State Aid (MnDOT)
 - 4 County Engineers
 - 2 City Engineers
 - 1 LTAP Center representative
- Technical Advisory Panels
- RIC Consultant Multi-year contract
- Townships Minimal





Tracking Implementation Use

- Relationships Talking to local agencies about what they are using
- Google analytics product views and downloads
- Product interest at LRRB conference booths
- Product requests
- Presentation requests
 - Conferences (Local and National)
 - Publications



Return on Investment

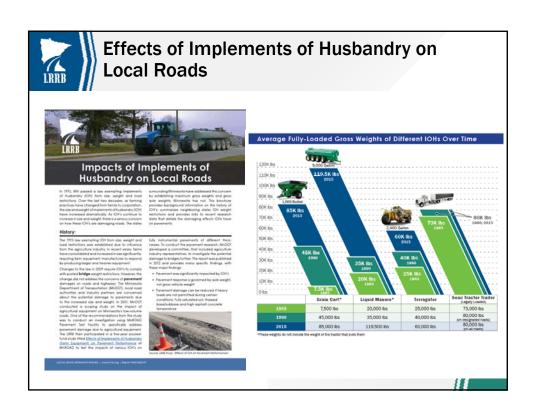
- Funding Distribution
 - County (75%)
 - City (25%)
- Communications
 - Presentations at annual meetings
 - Emails announcing new products
 - Annual At-A-Glace report

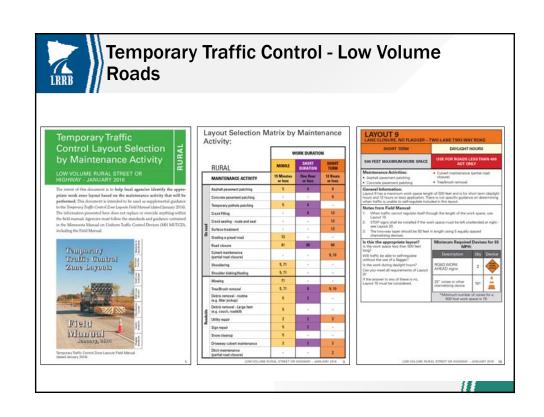




Recently Completed Research Implementation Projects

[] [















Communicating Findings of Implementation Projects









Conference Presentations

LRRB Program Overview Presentations:

- City Engineers Annual Conference
- County Engineers Annual Conference

Project Specific Presentations:

- APWA Conference
- National LTAP Conference
- Toward Zero Deaths Conference
- ATSSA How-To Conference
- MN Transportation Conference
- CTS Research Conference
- TRB Annual Conference





