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16. Abstract The design and selection of surface treatment binders in service is currently based on specifications that include tests of emulsion residues or hot-applied asphalt cements at standard temperatures that do not cover the entire range of in service temperatures, measure properties that are not performance-related, and do not consider representative aging conditions for the critical first year. Current specifications for these binders consider properties of the material during both construction and in service, and a wide range of materials can be utilized to meet the current specified properties. A surface performance-graded (SPG) specification for the evaluation and selection of chip seal binders which addressed these shortcomings was developed as part of previous Texas Department of Transportation (TxDOT) and National Cooperative Highway Research Program (NCHRP) research projects. In the current study, the SPG specification was revised and further validated.. This was accomplished by standardizing the emulsion residue recovery method through the evaluation of two warm oven methods, exploring the exclusive use of the dynamic shear rheometer (DSR) for determining performance-related properties, and further field validating the thresholds for these properties. The laboratory and field results were used to revise the SPG specification for surface treatment binders in service. Moreover, the results obtained from the multiple stress creep recovery and DSR frequency sweep tests were compared with field performance to evaluate additional criteria for the specification. This study is limited to producing a revised SPG specification for performance-related properties that address aggregate retention and bleeding in service. The effects of construction and quality control processes are beyond the scope of this study sponsored by TxDOT, and additional specifications are needed for use in conjunction with the SPG specification to address these issues and ensure adequate performance.					
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**REVISION AND FURTHER VALIDATION OF SURFACE-  
PERFORMANCE GRADED SPECIFICATION FOR SURFACE  
TREATMENT BINDERS**

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## **DISCLAIMER**

This research was performed in cooperation with the Texas Department of Transportation (TxDOT) and the Federal Highway Administration (FHWA). The contents of this report reflect the views of the authors, who are responsible for the facts and the accuracy of the data presented herein. The contents do not necessarily reflect the official view or policies of TxDOT or FHWA. This report does not constitute a standard, specification, or regulation. This report is not intended for construction, bidding, or permit purposes. The engineer in charge of the project was Amy Epps Martin, P. E TX#91053.

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## **CHAPTER 1: INTRODUCTION**

Surface treatments are an essential part of pavement preservation programs adopted by the Texas Department of Transportation (TxDOT) and other highway agencies aiming to maintain and improve the condition of asphalt pavements. This study validates a performance-based grading system for surface treatment asphalt binders in use in Texas. The study explores the recovery, testing, and characterization of emulsion residues and hot-applied asphalt cements used in surface treatments in order to develop a surface performance-graded (SPG) specification. The following sections will provide an overview of the performance grading of surface treatment asphalt binders, the development of the SPG system, and the research tasks undertaken as part of this study.

### **BACKGROUND**

Surface treatments are defined in TxDOT specifications (Item 316) as an application of asphaltic material covered with aggregate (TxDOT 2004). The specification allows for single, double, or triple spray applications of hot-applied asphalt cements, asphalt emulsions, or cutback asphalts, each covered with aggregate. The application of surface treatments is a simple, inexpensive, and effective preventive maintenance strategy to obtain a durable, weatherproof hot-mix asphalt (HMA) surface. The performance of surface treatments depends on the careful construction as well as the properties of the asphalt binder and the aggregates used. Epps et al. (1981) have recommended that surface treatment binders should (a) be fluid enough to be sprayed yet viscous enough to be applied uniformly; (b) have sufficient consistency to wet and adhere to aggregate quickly; (c) be able to retain the aggregate upon curing; and (d) be resistant to excessive deformation under varying traffic loads as well as weather conditions.

Currently, the design and selection of surface treatment binders in service is currently based on specifications that include tests of emulsion residues or hot-applied asphalt cements at standard temperatures that do not cover the entire range of in service temperatures, measure properties that are not performance-related, and do not consider representative aging conditions for the critical first year. . Current specifications for the binding materials used in surface treatments (Item 300) consider both the properties of the material during construction and in

service, and a wide range of materials can be utilized to meet the current specified properties (TxDOT 2004). A SPG specification for the selection of surface treatment binders was developed as part of TxDOT Project 1710 and NCHRP Project 14-17 (Walubita and Epps Martin 2005b ; Shuler et al. 2011). The SPG system relates the properties of surface treatment asphalt binders to the conditions under which they are used; it accounts for the effects of the expected climatic conditions, pavement temperatures, and aging on the performance of the binder.

## **PROBLEM STATEMENT**

Advances in binder testing during the Strategic Highway Research Program (SHRP) led to the implementation of a performance-graded (PG) specification and associated grade selection process for binders used in HMA (AI 2003; McGennis et al. 1994). In this specification system, binders are tested in three critical aging states using laboratory tests that measure physical properties directly related to the performance of HMA mixtures. The development of these tests addressed many shortcomings of the previous viscosity- or penetration-graded specification systems, including the empirical nature of penetration and ductility tests, the limited temperature range for determination of physical properties, and the lack of consideration for long-term aging. The Superpave PG specification for HMA employs many new tests that require the physical properties of the binder to be specified at the temperature ranges in which the material will be used. These properties are specified to preclude the three primary forms of distress in HMA: rutting, fatigue cracking, and thermal cracking. The temperature range where the specified properties are met is defined as the binder grade, and this range spans from the very high temperatures the binder is exposed to during production and construction to the large range from high to low temperatures the binder is subjected to in service. Both short- and long-term aging are considered in the PG system through the use of the rolling thin film oven test (RTFOT) and the pressure-aging vessel (PAV), respectively (AI 2003; McGennis et al. 1994). The associated binder grade selection process uses environmental data for a specific highway section (HS) to select the grade required for use in HMA that will provide adequate performance at a selected reliability level (AI 2003; McGennis et al. 1994). Researchers recommended that traffic data be used to increase the high temperature grade, if necessary, to account for either slow-moving traffic or the anticipation of a large volume of traffic.

Using the PG system, performance is included in the binder specification and environmental and traffic conditions representative of those encountered by binders in HMA are addressed to ensure that the most appropriate binder is selected for its intended use. A similar specification system for binders used in surface treatments does not exist. The current specification for these materials in service relies on viscosity and penetration measurements at standard temperatures and does not completely account for aging. The current specification must be updated to address the shortcomings of empirical tests, the determination of physical properties over a limited temperature range, which does not account for appropriate environmental conditions in service, and the lack of complete consideration for aging during construction and in service. Physical properties directly related to the performance of surface treatments must also be included in an improved specification. These include properties such as viscosity, strain tolerance, creep compliance and stiffness, low-temperature performance, and aging susceptibility, which influence sprayability, aggregate loss, bleeding, and cracking (Bahia et al. 2010). Researchers recommended that surface treatment binders be fluid enough to allow uniform application at the temperature of spraying, to enable quick bonding with aggregate and the underlying substrate, and to resist turning brittle and fracturing under loads at cold temperatures; viscous enough to prevent aggregate loss under traffic load, and to prevent distortion under hot weather; and resistant to the effects of sunlight, air, and moisture damage (Epps et al. 1981).

Unfortunately, the PG system for HMA developed during SHRP and now implemented in Item 300 of the TxDOT specifications is not directly applicable to surface treatment binders due to differences in distress types, environmental conditions during production and in service, and construction methods and their effect on the performance of the binders. Through TxDOT Project 0-1710 and, more recently, in NCHRP Project 14-17, an SPG binder specification for surface treatment binders in service was developed and validated with field performance monitoring. Based on field validation, given proper construction and design, the estimated SPG grades and the field performance of surface treatment binders are well correlated (Walubita et al. 2004). The SPG system is an extension of the concept behind the SHRP PG classification system and utilizes the same laboratory testing equipment. However, as the criteria specified in the SPG system are primarily aimed at preventing aggregate loss and bleeding; the tests, thresholds, and

parameters are different from those in the PG specification. This study aims to further revise and improve the SPG specification by adding additional performance parameters and revising and developing thresholds based on field performance under various climatic and traffic conditions.

## **RESEARCH OBJECTIVE**

This study pursues the following objectives to revise and validate the SPG specification:

- Evaluate methods for the recovery of emulsion residue from emulsified asphalt binders used in surface treatments.
- Develop a testing protocol that enables exclusive use of the dynamic shear rheometer (DSR).
- Test, characterize, and grade emulsion residue and hot-applied asphalt cements for performance in surface treatments.
- Recommend a revised performance-based specification for asphalt binders used in surface treatments.

Recommendations based on this study will be made to TxDOT toward the implementation of the SPG system for selecting asphalt binders for surface treatments.

## **REPORT OUTLINE**

This report is organized into five chapters. Chapter 1 provides background information on the need for the SPG specification, the research objectives, and report contents. Chapter 2 is a literature review that examines previously developed SPG systems and summarizes the major research findings related to the characterization of asphalt binders used in surface treatments. The available test methods used to evaluate the susceptibility of surface treatment binders to the most common distresses—aggregate loss and bleeding—are explored. Chapter 3 describes the experimental design, including the methodology and materials used. The results of laboratory evaluation and field monitoring are presented and analyzed in Chapter 4. Lastly, Chapter 5 summarizes the conclusions and recommendations developed based on completion of all the tasks in this study.



## **CHAPTER 2: LITERATURE REVIEW**

This section presents a comprehensive review of information on the various methods for characterizing the properties of surface treatment binders, including relevant national and international research on emulsion residue recovery, the development of the SPG specification, exclusive use of the DSR for rheological testing of binders, binder-aggregate compatibility in terms of adhesion, and aging.

### **EMULSION RESIDUE RECOVERY METHODS**

The laboratory tests for characterizing the performance of surface treatment asphalt binders are typically performed using the binder residue and not the emulsion itself. In order to characterize the material accurately, it is important that the residue obtained in the laboratory is representative of the emulsion residue used in the field. The ideal emulsion residue recovery method should yield a sufficient amount of residue for testing, eliminate the most moisture, be suitable for recovery of residue at lower temperatures to preserve the microscopic structure of the binder, and not be excessively time consuming. A recent Federal Lands Highway draft specification for polymer-modified emulsions discusses various methods for the recovery of emulsion residues (King et al. 2010b). This study reiterates the finding that methods involving recovery at high temperatures result in changes in the morphology of the emulsion and do not allow for accurate prediction of the in-service performance of the binders (Takamura 2000). The extremely high temperatures utilized in some methods are not representative of the temperatures experienced at any stage in the life cycle of emulsion residues in the field.

Kucharek (2010) compared several distillation methods, including classical distillation, vacuum distillation, moisture balance analyzer, and Karl Fischer titration, with newly developed evaporative techniques. The study revealed that recovery through evaporation ages emulsion residues more than distillation, especially in the case of unmodified emulsion; evaporation was found to produce residues with higher complex shear moduli values. Moreover, compared to evaporation, distillation produces residue with properties closer to those of the base binder used to produce the emulsion. In a 2008 study, existing evaporative and distillation techniques for residue recovery were compared with the new moisture analyzer balance (MAB) procedure

(Salomon et al. 2008). At only 20 minutes, the MAB procedure is faster than the other techniques, in addition to being automated and more accurate. It has also been found to recover the same amount of binder as evaporation. However, preliminary rheological testing on the residue recovered using each method revealed that, except in the case of modified cationic rapid-setting type emulsions, the MAB procedure causes more aging than evaporation or distillation. The researchers attributed this to the high surface area of the samples in MAB relative to their volume, which may cause more oxidation. Therefore, it has been suggested that recovery using the MAB be performed at a lower temperature or in the absence of air.

Walubita et al. (2005a) studied five methods of emulsion residue recovery: hot oven, rotavap, hot plate, stirred can, and distillation. Based on the extent of moisture removed, the extent of asphalt oxidation observed by means of Fourier transform infrared spectroscopy (FTIR), and the quantity of residue obtained, the research team concluded that the stirred can method is best suited for emulsion residue recovery.

A recently standardized low-temperature emulsion recovery method called the force draft oven method is believed to reflect the temperature conditions during the setting of emulsions more closely. The hot-oven and stirred can methods were compared with the force draft oven method, in order to investigate the effect of each recovery method on the chemical and physical properties of binders (Mitchell et al. 2010). The force draft oven method was found (using size exclusion chromatography) to produce residue with a small detectable amount of moisture. The force draft oven method produced residue that was statistically different from the residue obtained from the other two methods in terms of carbonyl area and low shear rate viscosity. Another study revealed that the force draft oven method does not lead to the degradation of the binder morphology during recovery (Gueit et al. 2007). The emulsion residue and the base asphalts showed different performance in elastic recovery and penetration tests, suggesting the possibility of aging during residue recovery or emulsification (Gueit et al. 2007; Hoyt et al. 2010).

Researchers are increasingly adopting the force draft oven method, owing to the ease with which the emulsion residue can be removed from molds, the close agreement of laboratory and field conditions, and acceptable reliability. The proposed standard for low-temperature evaporative residue recovery specifies two methods (AASHTO 2011)—the force draft oven

method (AASHTO PP 72-11 Procedure A) and the Texas oven method (AASHTO PP 72-11 Procedure B). The Texas oven method enables faster recovery (6 h) of emulsion residue than the forced draft oven method (48 h) (Kadrmaz 2010). Recent research indicates that 48 h of curing is essential in the forced draft oven method (AASHTO PP 72-11 Procedure A) for the full development of rheological properties in the recovered residue, especially in the case of modified binders (Hanz et al. 2010; Kadrmaz 2006; Lewandowski 2010). Researchers found that as the time of curing increases, a considerable component of the change in the rheology of the residue occurs because of oxidative aging. Moreover, the researchers suggest that the properties of the recovered residue from this procedure are more comparable to those of short-term aged binders rather than unaged binders. Thus, the residue is akin to rolling thin-film oven-aged material rather than unaged material. However, recovery or aging using the RTFOT is not applicable to surface treatment binders owing to the high temperatures involved, which are not representative of field conditions.

(Kadrmaz 2006) compared a distillation recovery method performed at 177°C with the forced draft oven method for latex- and polymer-modified emulsions. The 177°C distillation method is a modification of the method specified in ASTM D6997, with a 20-min hold at 177°C. The evaporation method was found to produce residue that had undergone less polymer degradation than that obtained from the distillation method. However, the distillation procedure gives DSR results that are closely comparable to those of the original base binder. In addition, Procedure A was found to produce a stiffer residue than Procedure B. Both methods were determined to be repeatable (Lewandowski 2010).

As part of the study described in this report, the effectiveness of these two low-temperature emulsion residue recovery methods in generating emulsion residue suitable for testing under a revised SPG specification was evaluated.

## **SURFACE PERFORMANCE GRADING SPECIFICATION**

The SPG specification for surface treatment binders in service was developed and initially field validated under TxDOT Project 0-1710 *Superpave Binder Tests for Surface Treatment Binders* (Barcena et al. 2002; Epps Martin et al. 2001; Walubita et al. 2004; Walubita and Epps Martin 2005b; Walubita et al. 2005a). Twenty-one commonly used TxDOT surface

treatment binders, including nine grades of hot-applied asphalt cements, were tested in the development of this specification. For each emulsion, researchers evaluated five emulsion residue recovery methods (hot oven, rotavap, hot plate, distillation, and stirred can). The tests used in the specification were conducted using standard PG testing equipment; and the analyses were performance based and consistent with surface treatment mix design, construction, behavior, in-service performance, and associated distresses. The researchers identified the most appropriate emulsion residue recovery process and performed standard and modified PG binder testing. This led to the development of the SPG specification, including the associated grade selection process.

The testing methodology used for developing the SPG specification was adapted from the standard PG binder testing process. Unlike the standard PG system, the high and low pavement temperatures were calculated at the surface to reflect the critical conditions for surface treatment binder performance. Narrower temperature increments of 3°C were utilized. Binder SPG properties were determined for unaged and PAV-aged material to account for the critical first year of surface treatment binder performance. Rotational viscometer tests were conducted at several temperatures to determine the spraying temperatures for hot-applied asphalt cements. DSR testing was performed only on unaged binders to reflect the critical conditions for newly laid surface treatments at high pavement temperatures. Finally, for low-temperature testing after PAV aging, the binder stiffness was measured at the short loading time of 8 s using the bending beam rheometer (BBR) equipment to simulate critical traffic loading conditions. The actual test temperature was used to determine the low-temperature SPG grade.

To develop the SPG specification, the measured binder properties were analyzed in conjunction with field performance ratings and the corresponding surface pavement temperatures were calculated using SHRP temperature models and the LTPPBIND database (LTPPBIND Version 3.0/3.1). Project information from 45 randomly selected HSs from the 2001 and 2002 TxDOT district surface treatment programs provided the basis for validation. Data were collected for factors that affected surface treatment performance including binders (types and associated suppliers), aggregates (types, gradations, and coating), environmental conditions, and traffic. The surface condition index (SCI) criterion was used for the performance evaluation of the HSs for one year after their construction, and a minimum acceptable SCI threshold of 70

percent was selected for rating the HSs. The predominant surface treatment distresses—aggregate loss and bleeding—associated with inappropriate material selection were monitored on each HS. Most of the materials used in these surface treatments were sampled onsite for laboratory testing and SPG grading. The stirred can method was used for recovering emulsion residue, as it was found to yield better results than the hot oven, rotavap, hot plate, and distillation processes, in terms of residue quantity, minimization of asphalt oxidation, maximization of water removal, and optimization of the recovery process time. Based on FTIR spectroscopy analysis, PAV aging was found to simulate one year of environmental exposure for surface treatments (Walubita et al. 2005a).

There was a good correlation between the SPG grade and observed performance for 78 percent of the HSs. The discrepancies between laboratory and field performance results were attributed to the SPG limits and grading criteria; material variability; and design, construction, quality control, and traffic factors. Based on the initial field validation, the spraying viscosity-temperature limit was increased to 205°C from 180°C to include some additional modified binders. The  $G^*/\sin \delta$  high-temperature threshold value was decreased to 0.65 kPa to include binders with values insignificantly below 0.75 kPa demonstrating adequate field performance. Last, an increased temperature grade increment of 6°C was adopted for the lower temperature limit to ensure a consistent change in reliability at both high and low design temperatures. Eight standardized binder SPG grades were established for Texas conditions at 98 percent reliability.

Table 1 shows the SPG specification proposed as part of TxDOT Project 0-1710. The researchers recommended that further validation, possibly with controlled test sections or pilot implementation projects, be performed to address some of the deficiencies and failures associated with the proposed SPG specification. The possibilities of directly incorporating traffic and loading conditions into the binder SPG grade selection process was also suggested. Last, the researchers recommended that performance monitoring be carried out for more than one year to capture the full effect of traffic, environmental conditions, and the aging of the binder.

**Table 1. The Original Proposed SPG Specification (Walubita et al. 2004; Walubita et al. 2005a).**

Only three binder grades are shown, but the grades are unlimited and can be extended in both high and low temperature directions using 3° or 6°C increments, respectively.	Performance Grade											
	SPG 58				SPG 61				SPG 64			
	-10	-16	-22	-28	-10	-16	-22	-28	-10	-16	-22	-28
Average 7-day Maximum Surface Pavement Design Temperature, °C	<58				<61				<64			
Minimum Surface Pavement Design Temperature, °C	>-10	>-16	>-22	>-28	>-10	>-16	>-22	>-28	>-10	>-16	>-22	>-28
Original Binder												
Viscosity ASTM D 4402 Maximum: 0.15 Pa.s; Minimum: 0.10 Pa.s Test Temperature, °C	≤205				≤205				≤205			
Dynamic Shear, AASHTO T315/ASTM D7175 , Minimum: 0.65 kPa Test Temperature @10 rad/s, °C	58				61				64			
Pressure Aging Vessel (PAV) Residue (AASHTO PP1)												
PAV Aging Temperature, °C	90				100				100			
Creep Stiffness, AASHTO T 313/ASTM D6648 S, Maximum: 500 MPa m-value, Minimum: 0.240 Test Temperature @ 8s, °C	-10	-16	-22	-28	-10	-16	-22	-28	-10	-16	-22	-28

The SPG specification developed in TxDOT Project 0-1710 was further developed and field validated as part of NCHRP Project 14-17 *Manual for Emulsion-Based Chip Seals for Pavement Preservation* (Hoyt et al. 2010; Shuler et al. 2011). In addition, one new emulsion residue recovery method, namely, the force draft oven method was compared with the stirred can and hot oven methods to specify a standardized recovery method for use with the SPG specification. In this project, eight emulsions and five base binders were characterized using both the standard PG system (AI 2003) and the original SPG system (Barcena et al. 2002; Epps Martin et al. 2001; Walubita and Epps Martin 2005b; Walubita et al. 2005a) and some additional DSR and chemical tests. Notably, strain sweep testing was investigated in this project as a possible addition to the SPG system for evaluating strain tolerance and resistance to aggregate

loss of surface treatments with emulsion residues during curing and at early ages. Strain sweeps and their correlation with the sweep test, ASTM D-7000 (ASTM 2009a), had been investigated elsewhere (Kucharek 2007) for evaluating the potential of emulsions to resist aggregate loss during curing immediately after surface treatment construction.

At high temperatures, the base binders in every case exhibited lower test parameters ( $G^*/\sin \delta$ ) than did the recovered residues. This was possibly due to the stiffening and aging of the residues during either the emulsification process or the emulsion residue recovery process. The BBR test results indicated that the base binders and the recovered emulsion residues had similar low-temperature properties. This could be due to deterioration of the polymer additive structure over time and with aging (Woo et al. 2006). All of the materials passed the PG ( $G^*\sin \delta$ ) criterion at the corresponding specified intermediate temperatures. In general, the PG grades were consistent for the base binder and the residues from both stirred can and hot oven recovery methods, as were the SPG grades.

Chromatograms obtained from gel permeation chromatography (GPC) for all of the emulsion residues revealed that both the stirred can and hot oven recovery processes completely removed water from the emulsions, while the force draft oven method resulted in residue with a small detectable amount of moisture. The carbonyl areas calculated from FTIR spectra for the five laboratory emulsions indicated that the recovered binders were all slightly more oxidized than the base binders were. This oxidation could have occurred during emulsification or during the emulsion residue recovery process. The oxidative effects of the different recovery methods were found to be similar. When comparing the DSR data by recovery method, the analysis results statistically grouped the recovery methods of stirred can and hot oven together, and the base binder (no recovery) was grouped separately for the emulsions with base binders available. Both recovered residues were stiffer, with larger values of  $\log(G^*/\sin \delta)$ , than the base binders, but not stiff enough to change the high-temperature PG grade. With smaller temperature increments, the high-temperature SPG grade did change to a larger value for four of the emulsions. The recurring result from all of the analyses of the BBR measurements was that the recovery method (with base binders included as no recovery) did not practically affect the response variables S or m-value for any of the recovered residues. This result seemed to indicate

that, after PAV aging and consequent oxidation, the polymers and additives no longer had an effect on the stiffness properties.

Based on these results, a modified SPG emulsion residue specification was developed (Hoyt et al. 2010). The strain sweep thresholds were selected to reflect the significantly different performance of two of the emulsions tested. Based on the recovery methods evaluated in their project, the researchers recommended the stirred can emulsion residue recovery method for use with this proposed specification. They also recommended that strain sweeps be performed with the DSR on curing and unaged emulsion residues to evaluate strain resistance and stiffness development. These tests could be used to predict when emulsion-based surface treatments will develop enough stiffness to be opened to traffic. Strain sweeps could also be used to assess a material's resistance to aggregate loss, both in newly constructed surface treatments and after the critical first seasons of weather and aging. However, the appropriate test parameters and the performance criteria should be refined further.

Researchers recommended that further field validation of the SPG specification thresholds, shown in Table 2, in regions other than Texas is needed before the specification for SPG can be approved and used at a national level. Moreover, evaluation of the available emulsion residue recovery methods was suggested to determine which of these most closely simulates emulsion residue in the field and to address possible destruction or change in any polymer networks in many commonly used modified emulsions during recovery. The possibility of replacing low-temperature testing using the BBR with an alternative test which measures  $G^*$  at low temperatures directly was also recognized as a recommended improvement.



**Table 2. Modified SPG Specification (Hoyt et al. 2010).**

Only three SPG grades are shown, but the grades are unlimited and can be extended in both directions of the temperature spectrum using 3° and 6°C increments for the high temperature and low temperature grades, respectively.	Performance Grade											
	SPG 64				SPG 67				SPG 70			
	-12	-18	-24	-30	-12	-18	-24	-30	-12	-18	-24	-30
Average 7-day Maximum Surface Pavement Design Temperature, °C	<64				<67				<70			
Minimum Surface Pavement Design Temperature, °C	>-12	>-18	>-24	>-30	>-12	>-18	>-24	>-30	>-12	>-18	>-24	>-30
Original Binder												
Dynamic Shear, AASHTO T 315/ASTM D7175 , Minimum: 0.65 kPa Test Temperature @10 rad/s, °C	64				67				70			
Shear Strain Sweep % strain @ 0.8G <sub>i</sub> <sup>*</sup> , Minimum: 25 Test Temperature @10 rad/s linear loading from 1-50% strain, 1 sec delay time with measurement of 20-30 increments, °C	25				25				25			
Pressure Aging Vessel (PAV) Residue (AASHTO PP1)												
PAV Aging Temperature, °C	100				100				100			
Creep Stiffness, AASHTO T 313/ASTM D6648 S, Maximum: 500 MPa m-value, Minimum: 0.240 Test Temperature @ 8s, °C	-12	-18	-24	-30	-12	-18	-24	-30	-12	-18	-24	-30
Shear Strain Sweep G <sub>i</sub> <sup>*</sup> , Maximum: 2.5 MPa Test Temperature @10 rad/s linear loading at 1% strain and 1 sec delay time, °C	25				25				25			

**EXCLUSIVE USE OF DSR FOR RHEOLOGICAL TESTING**

The SPG specification, as in the PG specification, utilizes rheological tests for the characterization of material performance. In the PG and SPG specifications, the DSR and the BBR are used for evaluating the high-, intermediate-, and low-temperature behavior of aged and unaged hot-applied asphalt cement. The performance-related properties measured in these tests are used to ensure that the binder is stiff and elastic enough to resist permanent deformation due to traffic loading in the initial stages of its life, and to ensure that the binder is not too brittle at intermediate and low temperatures. In addition to these standard tests, DSR strain sweeps can be

used to evaluate strain tolerance and resistance to aggregate loss during curing of emulsions and at early ages for surface treatments with both hot-applied asphalt cements and emulsion residues. The multiple stress creep recovery (MSCR) test performed with the DSR can be used to study the creep and recovery behavior of modified binders and to evaluate resistance to rutting and bleeding. Applying the principle of time-temperature superposition, the frequency sweep curves obtained using the DSR at intermediate temperatures can be utilized to obtain the properties of binders at low temperatures (Marasteanu and Clyne 2006). These tests can be applied to both hot-applied asphalt cements and emulsion residues. Thus, a system to characterize surface treatment binders entirely using the DSR may be developed.

Based on discussions at the Emulsion Task Force meetings of the Federal Highway Administration (FHWA) Pavement Preservation Expert Task Group, the possibility of the exclusive use of the DSR to characterize surface treatment binders was first explored as part of the Asphalt Research Consortium in conjunction with the Federal Lands Study Using Polymer-Modified Asphalt Emulsions in Surface Treatments, both sponsored by FHWA (Hanz and Bahia 2010; Johnston and King 2009). The University of Wisconsin tested a base asphalt cement at four different aging conditions (unaged and aged using the RTFO, PAV, and two times PAV [2PAV]) in the standard BBR at  $-12^{\circ}\text{C}$  and in the DSR at  $10^{\circ}\text{C}$  in frequency sweeps at 10 and 20 Hz to match the frequency predicted for equivalent creep stiffness in bending and dynamic shear stiffness from the SHRP project (Anderson et al. 1994). Additionally, Paving, Roofing, and Industrial (PRI) Asphalt Technologies, Inc. tested four corresponding emulsion residues recovered by the force draft oven method in the standard BBR at  $-12^{\circ}\text{C}$  and in the DSR at  $10^{\circ}\text{C}$  in a frequency sweep at 10 Hz. These emulsions included two latex modified materials (CRS-2LM, RALUMAC LMCQS-1h), a CRS-2, and a PASS emulsion. The complex modulus ( $G^*$ ) and phase angle ( $\delta$ ) at these frequencies were compared to the stiffness and m-value after 60 s of loading ( $S(60)$  and  $m(60)$ ). The appropriate frequency for testing that enables the comparison of the DSR parameters with the BBR parameters was determined using Equation 1 (Anderson et al. 1994; Hanz and Bahia 2010):

$$T_d = \left[ \frac{1}{273 + T_s} - \frac{2.303 \times R \times \log(t_s \times \omega)}{250,000} \right]^{-1} - 273 \quad \text{Equation 1}$$

where:

$T_d$  = test temperature for dynamic testing at frequency  $\omega$ , °C.

$T_s$  = specified temperature for creep testing, °C.

$R$  = ideal gas constant, 8.31 J/°K-mol.

$T_s$  = specified creep loading time, s.

$\omega$  = dynamic testing frequency, rad/s.

Estimates of  $S(60)$  and  $m(60)$ , obtained from Equations 2 and 3, were compared to actual BBR measurements (Anderson et al. 1994):

$$S(t) \approx \frac{3G^*(\omega)}{[1 + 0.2 \sin(2\delta)]} \text{ as } t \rightarrow \frac{1}{\omega} \quad \text{Equation 2}$$

$$m = \frac{d(\log G^*)}{d(\log \omega)} \quad \text{Equation 3}$$

where:

$S(t)$  = creep stiffness at time,  $t$ , Pa.

$m$  = slope of  $G^*$  vs. frequency plot at a given frequency.

$G^*(\omega)$  = complex modulus at frequency  $\omega$ , Pa.

$\delta$  = phase angle at frequency  $\omega$ , Pa.

Strong correlations were found in the comparison of measured BBR low-temperature stiffness parameters ( $S$  and  $m$ -value) and those estimated from measured DSR parameters at the specified temperature and frequency.

Additionally, the Western Research Institute (WRI) is conducting research evaluating the possible exclusive use of the DSR for characterizing surface treatment binders. Their work is focused on directly measuring low-temperature properties in the DSR using smaller 4-mm plates (WRI 2009). With this geometry, a smaller 25 mg sample can be tested in a temperature range from  $-40^\circ$  to  $60^\circ\text{C}$ . The DSR method does not require the samples to be heated to a high temperature such as  $135^\circ\text{C}$  for molding. Researchers proposed that the shear stress relaxation

modulus obtained from a step strain test using the DSR is similar to the BBR parameter (creep stiffness) as a measure of the stiffness of the asphalt tested (Sui et al. 2011). The stress relaxation modulus was interconverted by using the generalized Maxwell model from DSR dynamic frequency sweep data. A strong linear relationship was observed between the flexural creep stress data from BBR testing and the shear stress relaxation data from the DSR testing of 14 validation site binders, one validation site core binder, and one Material Reference Library binder. Correlation was also found between the respective apparent relaxation rates. The results indicate that the use of 4-mm parallel plates is reliable, fast, and simple, and allows for the analysis of the low-temperature properties of emulsion residues (Sui et al. 2010). This work should provide further evidence that time-temperature superposition holds across the entire spectrum of conditions of interest. It validates the estimation of low-temperature properties from DSR intermediate temperature properties based on the University of Wisconsin study (Hanz and Bahia 2010).

The DSR has also recently been utilized for evaluating binder-aggregate compatibility. Kanitpong and Bahia (2007) observed that the separation of the binder from the aggregate surface can occur either because of cohesive failure within the binder or because of the adhesive failure of the bond between aggregate and binder. The type of failure that occurs can be ascertained by examining the failure surface or binder remnant on the substrate after testing using the pneumatic adhesion tensile testing instrument (PATTI) as described subsequently. Bikerman (1947) theorized that, for liquid adhesives, cohesive failure is far more likely than adhesive failure unless the bond between the adhesive and the solid surface is very weak. Bikerman discussed the evaluation of tackiness, the resistance offered by liquid adhesive joining two solid surfaces to normal tensile force, using the following equation:

$$Ft = \frac{3\eta a^2}{4} \left( \frac{1}{h_1^2} - \frac{1}{h_2^2} \right) \quad \text{Equation 4}$$

where:

$F$  = the stress applied.

$t$  = the duration of its action.

$\eta$  = the viscosity of the liquid adhesive.

$a$  = the radius of the specimen.

$h_1$  = the initial thickness of the adhesive layer.

$h_2$  = the thickness after time  $t$ .

This equation quantifies the viscous resistance of the thin film of adhesive moving in the slit between the two solid plates it joins, at a rate determined by the rate of separation of the plates (Cho et al. 2005). Researchers at the University of Wisconsin-Madison (Kanitpong and Bahia 2007) extended this theory to develop a method to measure the thin film tackiness of asphalt using the DSR. Kanitpong and Bahia confirmed that failure is indeed more common within the binder layer than between the binder layer and aggregate using their Tensile Strength Ratio Test. This justifies the use of the DSR to test binders for cohesive strength in the absence of aggregates. The tack test was found to be very repeatable for testing modified and unmodified binders. Tackiness was found to decrease with increasing temperature. Furthermore, the tack factor of polymer-modified binders was observed to be considerably higher than that of the original binder. However, the addition of anti-stripping agents did not improve tackiness. Because of this, the research team concluded that the improvement in bond strength of binders containing these additives, as observed during the bitumen bond strength (BBS) tests described subsequently, was mainly due to adhesion and not cohesive properties. The tack test was found to have good repeatability, and its results were well correlated with tensile strength results obtained using the AASHTO T 283 method for HMA (Zofka et al. 2005).

Recent DSR results from the University of Wisconsin include a recommendation of the MSCR test at high temperatures to evaluate resistance to bleeding. The MSCR can be used to characterize elastic recovery (recoverable strain) and  $J_{nr}$  (non-recoverable creep compliance) of polymer-modified binders more accurately than the standard DSR test. The lower the  $J_{nr}$  value for a residue, the greater is its resistance to bleeding. It has been proposed that MSCR results be used to eliminate the practice of grade bumping in the PG system based on DSR results to account for slow speed loading and high traffic volumes on flexible pavements. Kadrmas (2009) has suggested that the MSCR test can be modified for use with emulsions by testing residue not subjected to RTFO aging. Kadrmas proposed different  $J_{nr}$  levels corresponding to different traffic loading ( $J_{nr} \leq 4 \text{ kPa}^{-1}$  for standard traffic;  $J_{nr} \leq 2 \text{ kPa}^{-1}$  for heavy traffic;  $J_{nr} \leq 1 \text{ kPa}^{-1}$  for very heavy traffic).

Moreover, in the last decade, the elastic recovery test has been used in conjunction with the tests included in the PG specification to characterize modified binders. The elastic recovery test has been found to be useful in determining the presence of modifiers in the binder and binder quality. However, the standard methods for the measurement of elastic recovery in binders are time consuming and prone to user errors (Clopotel et al. 2011). Researchers studied the relationship between percent recovery from MSCR testing and elastic recovery measured using the standard ductilometer and found considerable correlation between the MSCR results obtained at PG temperatures and ductilometer elastic recovery results at 25°C (Christensen 2008). Clopotel et al. (2011) developed a simple method for measuring the elastic recovery of binders using the DSR. Using 8-mm parallel plates in the DSR, samples aged in the RTFOT were first subjected to a constant strain for 2 min and then to constant shear stress for 1 h or 30 min. The test was performed at 25°C and the experimental conditions were defined to match those of the standard elastic recovery test. The results from the DSR/MSCR were well correlated with the ductilometer results. The researchers attempted to correlate the elastic recovery measurements from the DSR with binder rutting resistance results obtained from the MSCR test and various binder fatigue resistance results. The elastic recovery values obtained from the MSCR test changed logically with some of the important binder properties. However, the DSR elastic recovery results were not recommended as a good replacement to any of the standard binder performance properties they were compared with, owing to large variability in results. The DSR/MSCR test can be used to replace the standard method of measuring elastic recovery in binders and can be used to complement other PG properties aimed at controlling binder performance.

## **BINDER-AGGREGATE COMPATIBILITY/ADHESION**

Aggregate loss is among the most common problems associated with surface treatments (Shuler 1990). The ability of asphalt binder to properly coat and bind with aggregate plays a major role in the performance of surface treatments. Aggregates and binders bond through mechanical, chemical, electrostatic, and adhesive mechanisms. Aggregate properties such as porosity, surface texture, mineralogy, and surface chemistry as well as binder characteristics such as chemical composition, surface tension, and viscosity at the time of application influence

the effectiveness of the binder–aggregate bond (Smith 1994). Short-term aggregate loss can be the result of insufficient binder quantity or low binder and substrate temperatures at the time of construction. Conversely, long-term aggregate loss is related to decreased adhesion between the binder and the aggregate or reduced cohesion within the binder over time. The loss in adhesion and cohesion is, in turn, associated with oxidative hardening and resultant brittleness in the binder, reduced binder resilience, and stripping. Aggregate retention may therefore be improved by using binders with higher failure strain or by using anti-oxidative additives or polymer modifiers. For emulsions, the type of emulsion (cationic/anionic) and the associated setting processes affect the bonding.

ASTM D 244 specifies one of the many methods for verifying the compatibility of binder and aggregate (ASTM 2009b). In this method, the ability of emulsified asphalt to continue coating the aggregate during a 5-min mixing cycle is observed, and the resistance offered by the coating to wash-off is determined. This method is qualitative as it involves the visual inspection of the aggregate sample for coating.

Another method studied by (Kanitpong and Bahia 2007) measures the pull-off tensile strength or the BBS of binders with and without anti-stripping additives using the PATTI. This method is a modification of the method specified in ASTM D 4541 (ASTM 2009c), which describes the evaluation of the pull-off strength of a coating system from metal substrates. The PATTI was originally used by Youtcheff and Aurilio in 1997 with a ceramic pullout stub held on a glass plate to evaluate the moisture susceptibility of asphalt binders. Kanitpong and Bahia modified the stubs to better control the film thickness and specified a conditioning temperature of 25°C. More changes were made to the testing conditions and equipment—in particular, the design of the pull-out stub to develop the BBS test as it is currently performed (Meng et al. 2010).

Hanz et al. (2008) modified the BBS test—that had previously been utilized for testing binder-aggregate interaction in hot-applied asphalts—for application in emulsion testing. To determine adhesive strength, emulsion is applied to a pull stub placed on the aggregate surface. Then, using air pressure in the PATTI, a consistent tensile force is applied to separate the binder and the aggregate surface. The researchers calculated the pull-off tensile strength of the binder by measuring the pressure at which the pull stub debonds from the aggregate surface (Santagata

et al. 2009). The failure surface is examined for signs of adhesive failure as opposed to cohesive failure, which occurs entirely within the binder layer.

Researchers from the University of Wisconsin (Bahia et al. 2009) conducted the BBS test to determine the factors that affect the pull-off tensile strength. The researchers studied the effects of two different curing temperatures, three different aggregate types, and two emulsion types on the bond strength. They found that curing temperatures had no effect on the development of bond strength between the binder and aggregate. Granite and sandstone were found to develop a stronger bond with the binder than dolomite. In addition, polymer-modified cationic rapid-setting type emulsion always underperformed in comparison to unmodified binder. At a 90 percent confidence level, the curing conditions and the aggregate type were found to be statistically significant in the development of BBS, while the surface roughness of the aggregate was found to be statistically insignificant. In addition, the BBS determined was compared with the performance of the binder-aggregate combination in the sweep test (ASTM 2011). For both limestone and granite aggregate, aggregate loss was found to decrease with increasing pull-off tensile strength. In a related study, researchers concluded that the BBS test is both repeatable and reproducible and can effectively measure the effects of moisture on asphalt-aggregate bond strength (Moraes et al. 2011).

The BBS test was applied to emulsion residues by adjusting the thickness of the pull stub and measuring bond strength for different curing times and aggregate substrates. As expected, samples with a longer curing time (24 h) exhibited increased tensile strength as compared to samples with a shorter curing time (2 h); however, both curing times were found to be insufficient for the emulsion to attain the maximum possible adhesive strength. Moreover, emulsions cured on granite substrates were found to have achieved higher adhesive strength than those cured on limestone substrates. In addition, the presence of water in the emulsion residue was found to retard adhesive properties at both curing times. In another study, the BBS test revealed that the addition of wax-based warm mix additive reduces the dry cohesive strength of asphalt binders (Wasiuddin et al. 2011).

Researchers compared the results of the BBS test with DSR strain sweep results to verify correlation between bond strength and the  $G^*/\sin \delta$  DSR parameter and with sweep test results that measure aggregate loss (ASTM 2011; Miller et al. 2010). DSR strain sweep results were



found to be effective for validating BBS results. Comparison with sweep test results indicated that curing temperature, curing relative humidity, aggregate type, and curing time are the major factors affecting adhesion for various binder-aggregate combinations tested using the PATTI. The pull-off strength results were dependent on other test parameters such as binder type and loading rate. The researchers also proposed a preliminary BBS specification limit of 100 psi. Based on these and other results, the BBS test appears to be a simple, effective, and repeatable technique for measuring the adhesion between emulsions and aggregate (Copeland 2007).

Banerjee et al. (2010) designed a different aggregate pull-out test to examine binder-aggregate bond strength. In this test, aggregate shaped into half-inch diameter cores is embedded in emulsion poured onto a metal plate and contained by a Nitrile Buna Rubber O-ring (internal diameter 4 in and thickness 3/32 in). The test was performed using four types of aggregates, with three different aggregate placement delay times (5, 10, and 15 min), various temperatures (32°F, 70°F, and 140°F), and times (15, 60, 120 min, and 24 h) to pull out. The bond strength is estimated using the measured force and the cross-sectional area of the cylindrical aggregate specimen. The researchers found that the bond strength is highest at moderate temperatures during pullout and with lower aggregate placement delay time. For a given aggregate placement delay time, bond strength increased as the time to pull out or the time available for curing increased. Moreover, a lower aggregate placement delay time resulted in higher binder-aggregate bond strength. This test highlights the importance of the curing time as a factor affecting the final strength of the surface treatment and may be useful for measuring binder-aggregate adhesion just after construction.

Surface energy has long been considered an important parameter toward understanding adhesion in HMA (Ensley et al. 1984). The energy released during the interaction of aggregate with binders can be measured using a sensitive microcalorimeter. Previous research has indicated an extended release of energy after initial binder-aggregate contact (Hefer and Little 2005), that can be attributed to bond formation and propagation. Researchers suggested that the initial peak in surface energy reflects the adsorption of an initial layer of binder molecules onto the aggregate surface. Contact angle techniques, vapor sorption techniques, force microscopy, and microcalorimetry are among the popular methods used to quantify binder-aggregate bond strength (Hefer and Little 2005). Contact angle techniques have been found to be the most simple

of these techniques; in contrast, vapor sorption, which may be the best approach for determining surface energy, is time consuming. Inverse gas chromatography, which is similar to dynamic vapor sorption, has been identified as a strong candidate for the characterization of surface energies at different temperatures.

## **AGING**

The use of high temperatures in the laboratory aging methods applied to HMA and surface treatment binders may be problematic when testing binders containing latex additions or polymers (Kadrmaz 2007). The method specified in the standard EN 14895 has been recommended by Gueit et al. (2007) to simulate medium-term aging—that is, to simulate the conditions 6 to 12 months after construction— in emulsions. In this method, a thin film of residue is maintained for 24 h at ambient temperature, an additional 24 h at 50°C, and finally 24 h at 85°C. Gueit et al. (2007) also simulated several years of aging by PAV aging the binder for 65 h at 85°C. This method was effective in retaining the polymer components of modified binders, as detected using UV microscopy and infrared absorption spectroscopy. However, the elastic recovery and cohesion of the polymers were found to deteriorate during PAV aging, which does not correspond to the field behavior of emulsions. These changes will be considered in this project for emulsion residues.

An alternative method of aging, not proposed for use in this project, is using ultraviolet (UV) irradiation. Huang et al. studied the response of asphalt, divided into Corbett fractions, to UV aging (Huang et al. 1995). FTIR revealed that all the fractions had undergone oxidation—the phenomenon associated with aging and deterioration in binder properties. This finding is highly pertinent to emulsions and other surface treatment binders that are regularly exposed to the UV light in sunlight. The researchers found that exposure to UV light results in extensive deterioration in the low-temperature performance of binders, while the high-temperature performance is almost unchanged (Li et al. 2008). On the other hand, a 1996 TTI study (Button 1996) investigated the effects of surface seals on the oxidative hardening of underlying HMA layers and revealed that UV light penetrates asphalt binders only a few microns and, therefore, does not contribute materially to the hardening of the uppermost layer of asphalt concrete.

The existing methods for simulating aging in binders function on the assumption that aging occurs in response to exposure to very high temperatures and to oxygen at the time of production, during construction, and over the long-term. Given that UV aging is more likely in thinner bituminous layers such as those formed by the application of emulsions, it might be necessary to consider the effect of photo aging and thermal aging to characterize binders. Several researchers (Durrieu et al. 2007; Mouillet et al. 2008; Wu et al. 2010) have incorporated UV irradiation into the laboratory aging process for binders by using a UV oven. Typically, samples are first RTFOT-aged, before being subjected to UV aging and aging in the PAV. Then, to isolate the effect of UV radiation on the binder, identical samples are aged using only RTFO and PAV. FTIR spectra are then utilized to study oxidative aging due to exposure to UV light. The extent of aging due to photo oxidation has been found to be significant, resulting in a more viscous residue than in the case of only thermal aging. Aging due to photo oxidation also increased with the intensity of the UV light (Wu et al. 2010). Notably, 10 h of exposure to UV radiation has been found to cause oxidation equivalent to that after RTFOT and PAV aging or that reached after one year of service in the field.

## **SUMMARY**

This literature review described several methods for the evaluation and characterization of surface treatment binders. Previous studies have identified aggregate loss and bleeding as the most commonly observed distresses in surface treatments (Epps Martin et al. 2001; Walubita et al. 2004). These distresses could be the result of improper construction, design, or materials. The aim of developing an SPG specification is to specify standard test methods for the evaluation and characterization of the surface treatment binders in service that include both hot-applied asphalt cements and emulsion residues. Based on the information from the literature review, two warm oven residue recovery methods were identified for evaluation as part of this study. Moreover, the PAV method, which is the laboratory method included in the PG specification for simulating long-term aging, was selected for use in the SPG specification.

The rheological properties of the binders that are related to the primary distresses observed in the surface treatments were evaluated through a combination of existing SPG tests and additional tests using the DSR. The stiffness of the binder at the high- and low-temperature

limits of performance were measured using the DSR test and the BBR test, respectively. A minimum value is specified for  $G^*/\sin \delta$  to ensure a binder that is stiff enough at high temperatures in order to resist deformation and bleeding. A maximum value and minimum value are prescribed for the BBR S and m-value, respectively, to ensure that the binder is not too stiff at the low temperature limit, causing fracture and aggregate loss. The DSR strain sweep test is included in the SPG specification to characterize the non-linear viscoelastic behavior of the binder, which could be related to aggregate loss due to the loss of strength at a critical strain level (reduction in  $G^*$  with increasing strain). The DSR MSCR test was identified as a useful method for characterizing the binder properties of recoverable strain and creep compliance that are related to bleeding. In addition, the DSR frequency sweep test was selected for the measurement of  $G^*$  and  $\delta$  at an intermediate temperature, in order to predict the low-temperature rheological binder properties (S and m-value) that are normally obtained using the BBR test. This DSR method was evaluated as a replacement for the traditional BBR test for the characterization of the binder properties associated with brittleness and aggregate loss at low temperatures.

Using a combination of methods proposed in the literature to quantify the rheological and chemical properties of surface treatment binders, as summarized in Table 3, this study aimed to develop a comprehensive SPG specification.

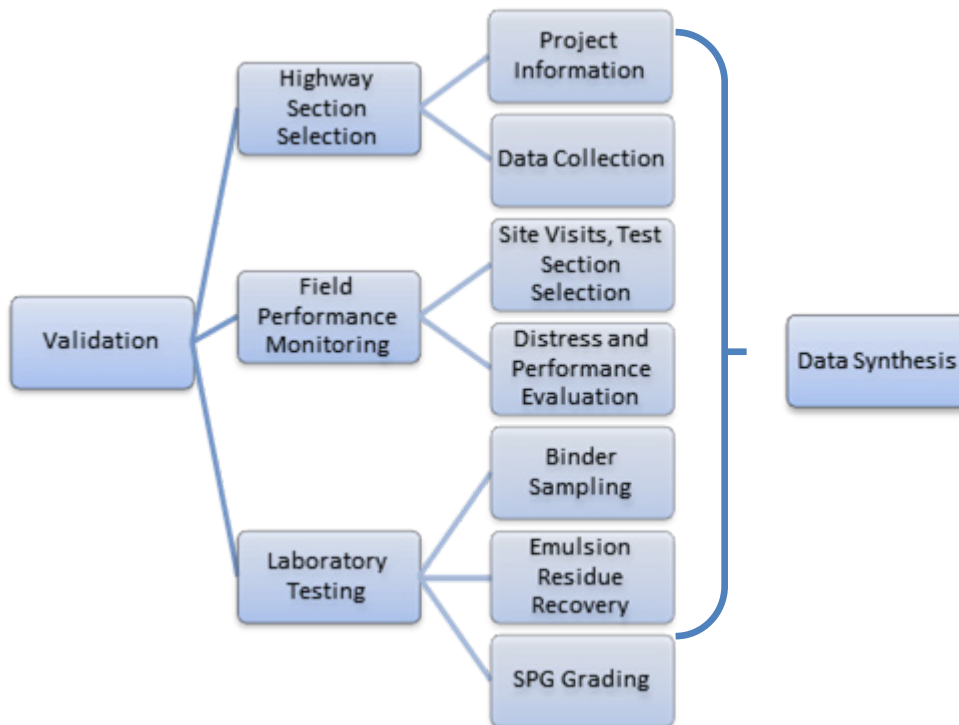
**Table 3. Characterization of Surface Treatment Binders.**

Property		Test	Conditions	Parameter
Emulsion Residue Recovery and Evaluation	Residue Recovery	<i>Forced Draft Oven</i>	60 g; 24 h at 25°C and 24 h at 60°C	Amount Residue Recovered
		<i>Texas Oven</i>	0.015"; 6 h at 60°C	
	Water Removal and Oxidation of Recovered Residue	<i>GPC</i>		Peak at a time of 35 to 37.5 min in chromatogram
		<i>FTIR</i>		Carbonyl area
Aging Simulation	PAV aging for 20 h at 2.1 MPa pressure and 90°C temperature ≈ 1 summer + 1 winter in field (Walubita et al. 2005a)			
Aggregate Loss	High-Temperature Stiffness	<i>DSR</i>	High temp; 10 rad/s for unaged binders	$G^*/\sin \delta$
	Strain Tolerance	<i>Shear Strain Sweep</i>	25°C; 10 rad/s linear loading from 1-50% strain, 1 sec time delay & 20-30 increments for unaged binders	Percent strain at 0.8G*
	Strain Tolerance with Age	<i>Shear Strain Sweep</i>	25°C; 10 rad/s linear loading, 1% strain, 1 sec time delay for PAV-aged binders	$G_i^*$
	Low-temperature Stiffness	<i>BBR</i>	Low temp; 8s for PAV-aged binders	S and m-values
	Replacement for BBR Test	<i>DSR Frequency Sweep</i>	6°C, 10°C, 15°C; 0.1-20 Hz; 1% strain, 10 s time delay for PAV-aged binders	$G^*$ and $\delta$
	Binder-Aggregate Compatibility	<i>BBS Test</i>	25°C; 0.4 ± 0.05 g	Maximum pullout tension; mode of failure
Bleeding	High-Temperature Stiffness	<i>DSR</i>	High temp; 10 rad/s for unaged binders	$G^*/\sin \delta$
	Elasticity	<i>MSCR</i>	High temp High temp at 3.2 kPa for unaged binders	$J_{nr}$ , $J_{nr}$ ratio % recoverable strain



## CHAPTER 3: EXPERIMENTAL DESIGN

The revision and validation of the SPG specification involved the following main tasks—HS selection, field performance monitoring, laboratory testing, and data synthesis. The work plan shown in Figure 1 illustrates the order and components of these tasks. The first task of highway section selection involved the identification of sections with surface treatments placed in 2011 as well as the selection of sections placed in 2002 during TxDOT Project 0-1710 for performance monitoring. Each of these tasks is discussed in more detail in this chapter. Field performance monitoring involved the inspection of the selected HSs for visible surface distresses and pavement performance evaluation. The extensive laboratory-testing program carried out as part of this study involved the evaluation of emulsion recovery methods, exploration of the exclusive use of the DSR to characterize surface treatment binder performance, and other chemical and rheological tests recommended for inclusion in a revised SPG specification.



**Figure 1. Methodology.**

## **HIGHWAY SECTION SELECTION**

The highway section selection task was composed of two parts. The first involved the identification of 16 sections for performance monitoring from the 45 field sections studied in TxDOT Project 0-1710. In the second part of this task, researchers selected 30 field sections with commonly used TxDOT surface treatment binders for the extensive laboratory testing to be performed later in the study. The TxDOT Pavement Management Information System (PMIS) database was reviewed for each of the 45 previous TxDOT 0-1710 sections to aid in determining whether work has been performed on the section. If no treatments have been placed since the original treatment, these sections were chosen for re-inspection using the visual survey method developed in TxDOT Project 0-1710.

Performance monitoring was completed for 16 field sections from TxDOT Project 0-1710 at the beginning of the study in spring 2011. Those sections that received additional surface treatments or were overlaid since construction were eliminated from the study. The sections from TxDOT Project 0-1710 that were included in this study are listed in Table 4.

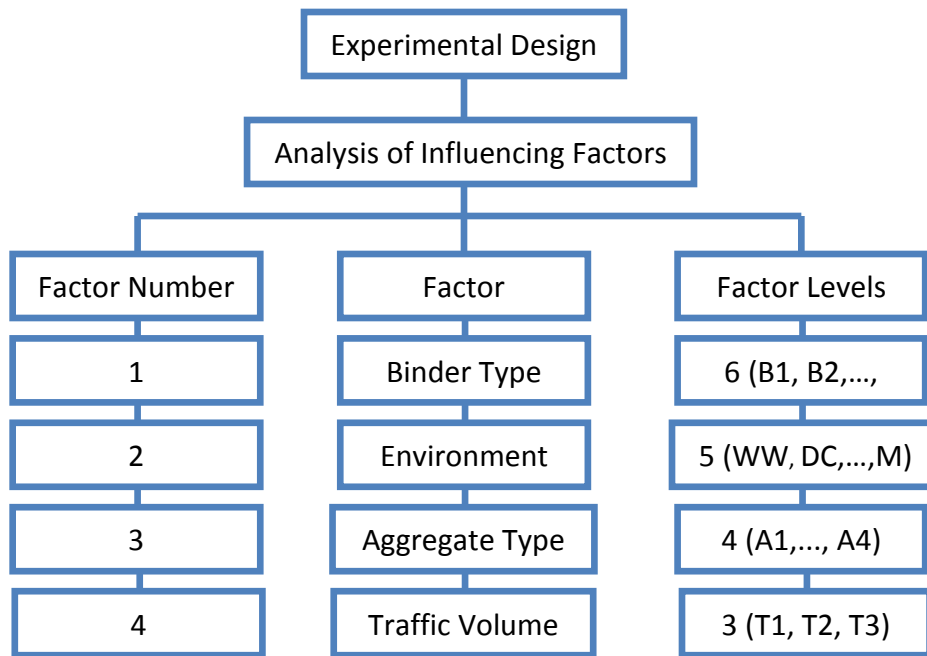


**Table 4. Previous TxDOT 0-1710 Field Sections Selected for Evaluation.**

0-1710 ID	Highway	Length (mi)	Location (Temp °C)	District	County	Binder Type	Aggregate		Traffic		Date of Construction
							Type	Gradation	ADT	Speed	
HS 3	FM 1617	1.5	E (66-16)	Lufkin	Trinity	AC15-5TR	Lightweight	Gr 4	<3000	50	9/10/2001
HS 9	FM 318	2	E (65-12)	Yoakum	Lavaca	CRS-2P	Limestone	Gr 4	450	50	4/17/2002
HS 10	US 83	17.73	E (66-08)	Pharr	Zapata	AC15-5TR	Gravel	Gr 4	4800	>50	4/15/2002
HS 11	US 281(A)	2.96	E (66-08)	Pharr	Brooks	AC15-5TR	Limestone	Gr 4	9800	>50	4/15/2002
HS 12	US 281(B)	8	E (66-08)	Pharr	Brooks	AC15-5TR	Limestone	Gr 4	10,100	>50	4/15/2002
HS 13	FM 2926	11	W (67-20)	Abilene	Callahan	AC15-5TR	Limestone	Gr 3	<3000	50	5/22/2002
HS 14	SH 29	9.67	W (66-16)	Austin	Burnet	AC15-5TR	Sandstone	Gr 4	5000	>50	5/16/2002
HS 18	FM 3405	7.75	W (66-16)	Austin	Williamson	AC15-5TR	Sandstone	Gr 4	<3000	>50	5/20/2002
HS 19	SH 72	12.47	E (65-11)	Corpus	Karnes	AC-15P	Limestone	Gr 4	1900	>50	4/29/2002
HS 21	FM 627	7.57	E (65-11)	Corpus	Karnes	AC-15P	Limestone	Gr 3	130	>50	4/12/2002
HS 23	FM 1351	10.6	E (65-11)	Corpus	Goliad	AC-15P	Limestone	Gr 3	30	<50	4/17/2002
HS 24	US 385	23.6	W (67-18)	El Paso	Brewster	PG 76-16	Limestone	Gr 3	331	>50	4/23/2002
HS 28	FM 192	25.2	W (66-18)	El Paso	Hudspeth	PG 76-16	Limestone	Gr 3	213	>50	7/1/2002
HS 38	FM 212	8.3	W (65-23)	Lubbock	Lynn	AC10-2% Latex	Gravel	Gr 4	260	70	7/17/2002
HS 40	SH 152	6.4	W (65-26)	Amarillo	Gray	AC15-5TR	Limestone	Gr 4	<3000	70	6/10/2002
HS 44	SH 302(B)	18	W (68-18)	Odessa	Winkler	AC5-2% Latex	Limestone	Gr 3	2000	70	8/14/2002

In addition to the previously identified field sections still available from TxDOT Project 0-1710, new field sections to be constructed in 2011 were also identified and selected. These sections were chosen on the basis of proposed surface treatment plans, submitted by TxDOT districts, in areas where the district was willing to participate in establishing a new field section to be monitored by this study. The selected HSs are located in 5 of the 25 Texas districts and covered a range of materials, environmental, and traffic conditions so that SPG specification proposed as part of this study is valid for the entire array of Texas conditions. A total of 30 new sections were established during the study; all of these sections received single surface treatments, and five different types of binders were used in these treatments. The factors considered in selecting these sections were the binder or modifier type, aggregate type, treatment type, and Texas environmental zone. Each selected section was evaluated in terms of the SCI defined in TxDOT Project 0-1710. For each highway section, the researchers also collected information on the traffic level, binder application rate, aggregate gradation and application rate, existing pavement surface, weather during construction, age, and extreme surface pavement temperatures used to select appropriate SPG grade. Some of the factors (binder type and aggregate type) have been evaluated in TxDOT Project 0-1710 using most of the same proposed field evaluation tools and laboratory evaluation tests. The performance monitoring data collection was carried out three times on each of the new field sections: at or soon after construction, one summer and winter after construction, and one year after construction.

The binder type is considered the most significant factor influencing surface treatment performance in relation to the SPG specification, followed by the environment, aggregate type, and traffic. For each factor, the following number and names of levels are shown in Figure 2: five binder types (B1 to B5), five environmental conditions (WW, DW, DC, WC, and M), eight aggregate types (A1 to A7), and three traffic volume categories (T1, T2, and T3). Each factor and the associated levels are discussed subsequently.



**Figure 2. Experimental Design.**

These factors and the field evaluation tools used in this study are discussed in more detail subsequently.

### **Binder Types**

Binder type was the primary factor in both the development and initial validation process of the SPG specification. The experimental design samples the two most commonly used emulsions and four most commonly used hot-applied asphalt cements (Table 5) utilized by TxDOT based on the 2009 TxDOT statistics and the feedback received from the districts. The two emulsions and three hot-applied asphalt cements represent 80 percent or more of the materials used by TxDOT by material type. Two suppliers for CRS-2, AC15P, and AC10, and three suppliers for CRS-2P and AC20-5TR were proposed to capture between 61 and 94 percent of surface treatment applications consisting of each material type.

**Table 5. Binder Types.**

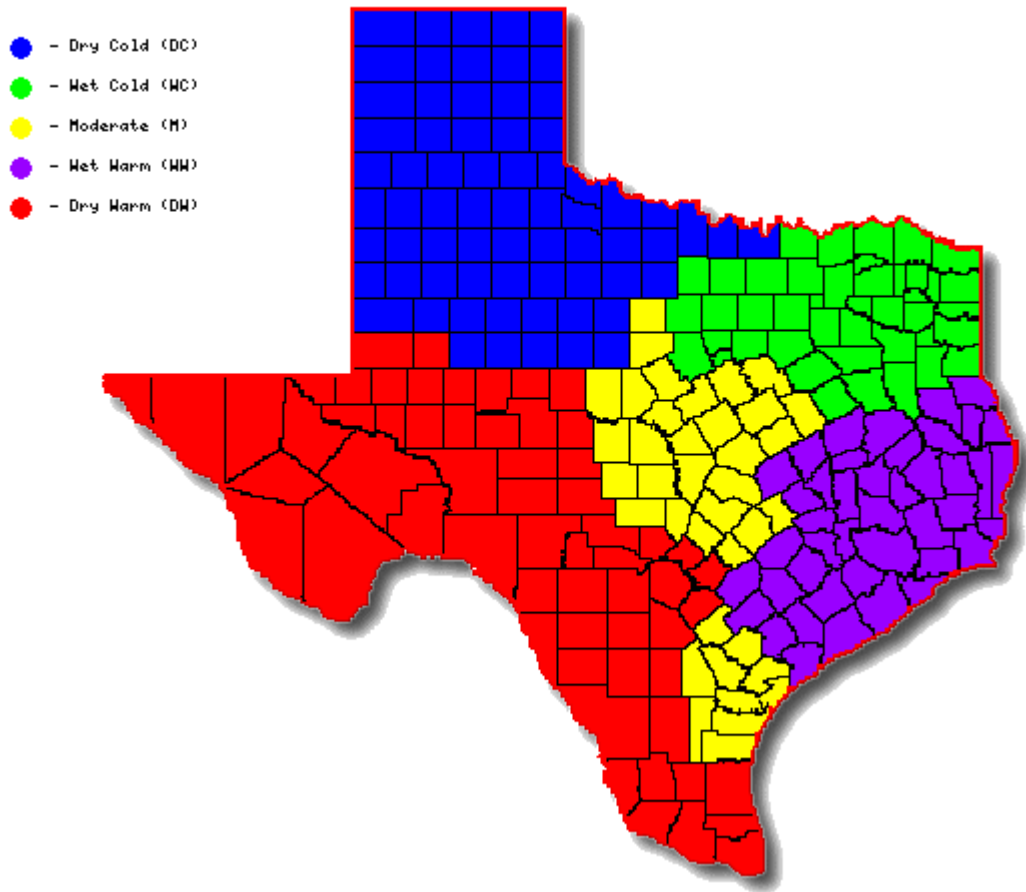
#	Designation	Binder	Brief Description
1	B1	CRS-2	Cationic, rapid setting, high viscosity emulsion
2	B2	CRS-2P	Cationic, rapid setting, high viscosity emulsion modified with a polymer
3	B3	AC10	Asphalt cement with minimum 1000 poises viscosity at 60°C
4	B4	AC15P	Asphalt cement with minimum 1500 poises viscosity at 60°C, modified with a polymer
5	B5	AC20-5TR	Asphalt cement with minimum 2000 poises viscosity at 60°C, maximum 300MPa S and minimum 0.3 m-value at -18°C after RTFOT and PAV; modified with 5% tire rubber

**Environmental Conditions**

The Texas environment was categorized into five climatic zones—Wet Warm (WW), Dry Cold (DC), Wet Cold (WC), Dry Warm (DW), and Moderate (M)—as shown in Figure 3. Each TxDOT district was differentiated by pavement surface temperatures at 50 and 98 percent reliability in TxDOT Project 0-1710. For SPG validation for each HS, only the average extreme surface pavement temperatures at 98 percent reliability (based on air temperatures from the closest weather station and LTPPBIND pavement temperature models) were utilized to determine the expected environmental demand at 98% reliability ( $T_{HIGH}-T_{LOW}$ ) and select the appropriate SPG grade for adequate performance (LTPPBIND Version 3.0/3.1). Table 6 shows the average SPG grades that correspond to the five climatic zones with the TxDOT districts for each zone provided. Only those binder-aggregate combinations typically used by TxDOT in surface treatments in these five environmental zones were considered for this study.

**Table 6. Required SPG Grade at 98 Percent Reliability in Texas Climatic Zones.**

Zone TxDOT Districts	Description	Required SPG Grade (98% Reliability)
Dry Cold - ABL, AMA, CHS, LBB, WFS	Dry with freeze-thaw cycles	SPG 70-24, SPG 67-30
Dry Warm – ELP, LRD, ODA, PHR, SAT, SJT	Dry with no freeze-thaw cycles	SPG 70-18
Moderate – AUS, BWD, CRP, WAC	Moderate	SPG 67-24
Wet Warm – BMT, BRY, HOU, LFK, YKM	Wet with no freeze-thaw cycles	SPG 67-18
Wet Cold – ATL, DAL, FTW, PAR, TYL	Wet with freeze-thaw cycles	SPG 67-24



**Figure 3. Climatic Zones in Texas.**

**Aggregates**

Four commonly used aggregate types by geological classification as described in Table 7—Gravel, Lightweight, Limestone, and Sandstone (designated as A1 to A4, respectively)—were taken into account in the study.

**Table 7. Aggregate Types.**

#	Designation	Aggregate
1	A1	Gravel
2	A2	Lightweight aggregate
3	A3	Limestone
4	A4	Sandstone

## Traffic Volume

The traffic parameter considered in the experimental design was volume in terms of the annual average daily traffic (AADT). This is consistent with the TxDOT surface treatment design procedure in terms of the binder and aggregate application rates. AADT was categorized into three groups, high (T1), medium (T2), and low (T3). The threshold values for each group are shown in Table 8.

**Table 8. Traffic Levels.**

Traffic Group	Thresholds
T1	AADT > 5000
T2	$1000 \leq \text{AADT} \leq 5000$
T3	AADT < 1000

Table 9 shows the HSs selected for monitoring with the corresponding project identification, county, highway, location (beginning and end Texas reference markers), section length, asphalt type, aggregate geologic type, and traffic level (traffic group denoted by shading). Five districts, one in each one of the environmental zones, were selected: Atlanta (ATL), Brownwood (BWD), Childress (CHS), Lufkin (LFK), and San Antonio (SAT). With the exception of Childress, at least four sections were selected within each district, two with high traffic level, one with medium traffic level, and one with low traffic level. All sections in Childress corresponded to a low traffic level. Selections were made taking into account the reported condition of the existing pavement, trying to avoid as much as possible sections with excessive patching.

## FIELD PERFORMANCE MONITORING

Field sections selected from previous TxDOT Project 0-1710 and new field sections were surveyed using a visual survey technique, described subsequently, for monitoring the performance of surface treatments. Examples of a field performance monitoring survey sheet (Figure 4) and a distress evaluation sheet (Figure 8) are provided subsequently in this section. The methodology used in this study is derived from techniques developed in TxDOT Project 0-1710 (Walubita and Epps Martin 2005b; Walubita et al. 2005a).

A visual survey is relatively easy and distinctively evaluates distresses directly related to surface binder properties to meet the objectives of this study. With visual examination, three

performance-rating parameters (aggregate loss, bleeding, and overall) are provided and the distress failure mode can be defined easily. During these visual surveys, field measurements of distresses were recorded in square feet (ft<sup>2</sup>) of affected surface area, consistent with the Strategic Highway Research Program (SHRP) distress identification manual (Miller and Bellinger 2003) and the techniques developed in TxDOT Project 0-1710 (Federal Highway Administration 2003; Walubita et al. 2005a).

Results from the visual survey were utilized to determine the SCI consistent with TxDOT Project 0-1710. This section provides additional detail on the definition of subsections, distresses to be examined, calculation of SCI for each field section, and SCI thresholds utilized in TxDOT Project 0-1710.

**Table 9. Selected Sections.**

Zone	District	County	Hwy	HS ID	Begin RM	End RM	Distance (mi)	Asphalt	Aggregate	AAADT 2011
Wet-Cold	Atlanta	CAMP	FM 2455	A-1	686+0.030	690+0.076	3.8	AC 20-5TR	Sandstone	410
			FM 2254	A-2	696+1.500	700+0.337	2.8	AC 20-5TR	Sandstone	440
			SH 11	A-3	724+0.000	730+0.000	4	AC 20-5TR	Sandstone	2,867
Wet-Cold	Atlanta	HARRISON	FM 968	A-4	710+0.000	712+0.787	2.9	AC 20-5TR	Sandstone	2,000
			US 59	A-5	316+1.130	318+1.798	2.5	AC 20-5TR	Sandstone	7,550
			US 271	A-6	274+1.500	280+0.430	4.9	AC 20-5TR	Sandstone	7,440
Moderate	Brownwood	STEPHENS	FM3418	B-1	272-0.019	276+0.158	4.1	CRS-2	Limestone	270
			FM0590	B-2	348+0.000	354+0.714	6.7	CRS-2	Limestone	327
			US0377	B-3	438+0.310	444+0.091	5.8	CRS-2P	Limestone	2,014
			SH0016	B-4	350+1.894	354+1.5	3.6	AC20-5TR	Limestone	2,850
			SH0016	B-5	354+1.5	356+1.123	1.6	AC20-5TR	Limestone	5,700
			US0067	B-6	580+1.223	586+0.000	4.8	AC20-5TR	Limestone	5,663
Dry-Cold	Childress	COLLINGSWORTH	FM 1035	C-1	127	130	2.1	AC10	Gravel	715
			FM 2279	C-2	224	232	6.4	AC10	Gravel	160
			FM 2299	C-3	394	398	4.2	AC10	Gravel	70
Wet-Warm	Lufkin	SABINE	FM 1	L-1	460+0.001	462+0.000	2	CRS-2P	Lightweight	600
			SH 87	L-3	318+0.311	322+1.329	15.1	AC20-5TR	Lightweight	2,582
			SH 21	L-4	784+1.500	788+0.227	2.7	AC20-5TR	Limestone	4,400
			SH 19	L-6	414+1.876	420+0.000	3.3	AC20-5TR	Lightweight	5,475
			FM 901	P-1	192	194+1.5	3.5	CRS-2P	Limestone	250
			FM 3281	P-2	672	676+0.5	4.5	CRS-2P	Limestone	310
Wet-Cold	Paris	GRAYSON	SH 91	P-3	194	196+1.0	3	AC 20-5TR	Limestone	3,900
			BU 69-D	P-4	236	238+0.5	2.5	AC 20-5TR	Limestone	2,260
			FM 1417	P-5	212	214	1.9	AC 20-5TR	Limestone	7,100
Dry-Warm	San Antonio	GRAYSON	SS 503	P-6	593	600	2	AC 20-5TR	Limestone	5,881
			FM 2676	S-2	460+0.000	466+0.000	5.6	AC15P	Limestone Rock Asphalt	597
			LP0181	S-3	518-0.158	520+1.698	3.7	AC15P	Limestone Rock Asphalt	2,514
			FM0725	S-4	488+1.906	496+1.076	7.3	AC15P	Limestone Rock Asphalt	2,993
			FM0078	S-5	514+0.045	524+0.363	10.3	AC15P	Limestone Rock Asphalt	5,571
			US0090	S-6	502-1.416	514+1.477	14.9	AC15P	Limestone Rock Asphalt	7,183



**FIELD INFORMATION COLLECTION SHEET**

**Project 417102/3**  
**Superpave Binder Testing for Surface Treatment Binders**

<b>BINDER SAMPLE DETAILS</b>		District/County: <i>LUFKIN, Trinity</i>	
SAMPLE LABEL:	<i>417102-02 (HS2)</i>	Sample Date:	<i>09/11/2001</i>
Size/Weight of Sample:	<i>1530 g</i>	Sample Status:	<i>Received (09/12/2001)</i>
<b>HIGHWAY DETAILS</b>			
Name of Highway/Road:	<i>US 287</i>	Length of Section (km):	<i>8.75</i>
Location:	<i>Groveton - From Victoria Street to Polk County line</i>	Area/Section/ km Post:	<i>8.75 miles eastwards</i>
Direction:	<i>Both lanes (eastbound and westbound)</i>	Traffic Level:	<i>Low</i>
<b>CONTACT DETAILS</b>			
Name of Firm:		<i>Tx:DOT - Lufkin District Office</i>	
Contact Person:	<i>WD (Maintenance Manager)</i>	Tel:	<i>936-635 3372</i>
		Email:	<i>jd@dot.state.tx.us</i>
<b>MATERIALS AND PAVEMENT DETAILS</b>			
<b>Item</b>	<b>Description</b>		
Seal Type (Single, Double or Triple)	<i>Single Seal</i>		
Binder	- Type:	<i>AC15 - 5TR</i>	Typical Design Application Rate (gal/sy): <i>0.38</i>
	- Application Rate (gal/sy):	<i>0.42 (in wheel path) ~0.45-0.46 (in middle)</i>	Binder Application Temperature (°C): <i>177</i>
	- Breaking Time (min)	<i>N/A</i>	Pavement Temperature @ Time of Construction (°C): <i>27</i>
	- Source/Supplier:	<i>BS1</i>	
Aggregate	- Type:	<i>Lightweight precoated with Koch CSS-1h</i>	
	- Size & Shape:	<i>Angular</i>	
	- Gradation:	<i>Grade 3</i>	
	- Application Rate (cy/sy):	<i>1/98</i>	Typical Design Application Rate (cy/sy): <i>1/100</i>
	- Source/Supplier:	<i>AS1</i>	
Existing Pavement Structure/Condition	- Surface/Thickness (inches):	<i>Limestone chip seal with hot-mix patches</i>	
	- Base/Subbase/Subgrade:	<i>Relatively in good condition except slick areas in wheel paths</i>	
Date of Construction:	<i>09/11/2001 (09:00AM - 04:00PM)</i>	Traffic Control: <i>Pilot car and flag men</i>	
Rolling Compaction:	<i>5-6 pneumatic-tired rollers</i>		
Traffic Level (ADT):	<i>2750 (low volume, &lt; 3000)</i>	Traffic Speed (mph):	<i>70</i>
<b>WEATHER DURING CONSTRUCTION</b>			
Weather: (Clear, Sunny, Cloudy, Rainy, Windy, Hazy, etc)	<i>Sunny</i>	Relative Humidity (%):	<i>46.70</i>
Temperature (°C)	- Highest:	<i>28.90</i>	Wind Direction and Speed (mph): <i>NNE 8.00</i>
	- Average:	<i>28.30</i>	
	- Lowest:	<i>27.20</i>	
Rainfall/Snowfall (mm):	<i>0.06</i>	Special Conditions/Comments:	
		<i>1) Sample provided by Milton Liu from same tank as shipped to site.</i> <i>2) Same sample/binder provided for FM1617</i> <i>3) Binder received on 09/12/01</i>	

**Figure 4. Example Field Information Collection Sheet**

## **Test Section Selection**

Consistent with the previous TxDOT Project 0-1710, a test section was defined as a representative subsection of a field section with an area of approximately 5000 to 7000 ft<sup>2</sup> for which performance monitoring was conducted. Characteristics of a test section are as follows:

- Each test section was 500 ft long and 10 to 14 ft wide (equivalent highway lane width).
- Two to four test sections were established, depending on the length of the surface treatment project. Overall performance of the field section was taken as the average of the performance of the individual test sections.
- Multiple test sections were used for each field section to avoid the possibility of overrating or underrating performance due to the absence or presence of localized distresses or geometric features such as turns or changes in surface elevation.
- Data were collected from the outside lane only. This practice also increases safety. The survey was conducted from the shoulder or edge of the pavement. This was done to make traffic control easier.
- Intersections, junctions at access roads, grades, and curves were avoided to minimize the effects of extremely slow and turning traffic, which could exaggerate distress, and for safety reasons.
- Test sections were marked using existing reference points or objects such as road mile marker signs. New test sections were marked using reference spikes (cotton gin spindle) driven into the pavement at the start and stop of the field section, along with spray-painted markings. Global positioning system (GPS) coordinates and Texas Reference Markers (TRM) were also gathered and tabulated for each field section.

## **Distresses**

Each test section was monitored for aggregate loss, bleeding, and cracking.

### *Aggregate Loss*

Aggregate loss or raveling is the principal distress associated with surface treatments and controlled by the SPG specification system. This distress results as aggregates are dislodged from the surface of the pavement downward.

The aggregate loss, in terms of square feet of affected surface area at each severity level, was recorded on a field performance monitoring survey sheet as shown in the example in Figure 5. Low, moderate, and high severity levels were identified, consistent with the SHRP distress identification manual as shown in Table 10.

**Table 10. Severity Levels for Aggregate Loss.**

#	Level	Description
1	Low	The aggregate has begun to ravel off but has not significantly progressed. Evidence of loss of some fine aggregate.
2	Moderate	Surface texture becoming rough and pitted; loose particles generally exist; loss of fine and some coarse aggregates.
3	High	Surface texture very rough and pitted; loss of coarse aggregates.

**COMPLETED FIELD PERFORMANCE MONITORING SURVEY**

**VISUAL DISTRESS SURVEY SHEET**

Hwy Section: <u>HS P3</u>	Time: <u>1.00PM</u>	Inspection No. <u>3</u>
Date: <u>9/5/2002</u>	Weather: <u>Sunny</u>	
Test Section No. <u>1</u>	Start: <u>196 K6</u>	End: <u>196 K6 + 500 miles</u>

Comment: Aggregate embedment = approximately 65% in wheel path, and about 30 to 40 % between wheel path

Comment: Evidence of aggregate loss. Some transverse cracks from underlying structure. Generally - inadequate performance (aggregate loss)

Surveyed by: Tom Freeman

**Example of Distress Observations:**

Consider for example, the following field survey observations on a particular highway section:

Aggregate Loss

Area coverage on 4 test sections:	<b>20%, 5%, 10%, and 3%</b>
Mean area coverage on 4 test sections:	<b>9.5%</b>
SCI score for distress area coverage (DAC):	<b>72%</b>
Severity levels for 4 test sections:	<b>Low to moderate, low to moderate, low, &amp; low</b>
Percent severity on each test section is thus:	<b>10% 10%, 5%, &amp; 5%</b>
Mean percent severity:	<b>7.5%</b>
SCI score for degree of severity of aggregate loss (DSD):	<b>80%</b>

Cracking: Transverse cracking observed on some parts of the highway section

Bleeding

Area coverage on 4 test sections:	<b>15%, 5%, 10%, &amp; 10%</b>
Mean area coverage on 4 test sections:	<b>10%</b>
SCI score for distress area coverage (DAC):	<b>70%</b>
Severity levels for 4 test sections:	<b>High, low, moderate to high, &amp; moderate to high</b>
Percent severity on each test section is thus:	<b>95%, 5%, 50%, &amp; 50%</b>
Mean percent severity:	<b>50%</b>
SCI score for degree of severity of bleeding (DSD):	<b>300%</b>

Aggregate Embedment: **60-90 % in wheel path**  
**30-50 % between wheel path**

**Figure 5. Example Field Performance Monitoring Survey Sheet.**

*Bleeding*

Bleeding occurs as a shiny, black, or glasslike reflective surface caused by liquid binder migrating to the pavement surface, often in the wheelpaths. It can also be defined as a film of excess bituminous binder occurring on the pavement surface. The result can be a dangerous, slippery pavement due to decreased frictional characteristics between the tire and pavement

surface. Often, bleeding occurs at high pavement temperatures due to high binder content (associated with design and construction), low binder viscosity, use of very small aggregates and excessive embedment, inadequate and/or loss of aggregates, excessive compaction during construction, and high traffic.

Like aggregate loss, bleeding was defined and recorded in square feet of affected surface area at each of three severity levels (low, moderate, and high), consistent with the SHRP distress identification manual. The severity levels are described in Table 11.

**Table 11. Severity Levels for Bleeding.**

#	Level	Description
1	Low	An area of pavement surface discolored (black) relative to the remainder of the pavement.
2	Moderate	Distinctive black appearance and loss of surface texture due to free excess binder.
3	High	Wet-black shiny appearance on the pavement surface due to excess binder; excess binder may obscure aggregates; tire marks may be evident in warm weather.

*Cracking – Transverse and Longitudinal*

Transverse (perpendicular to the pavement centerline) and longitudinal (parallel to the pavement centerline) cracks are not the primary focus in this study, but these distresses were recorded and reported in the analysis.

**Performance Evaluation and Rating Criteria**

The SCI criterion used in TxDOT Project 0-1710 for performance evaluation and rating of the sections were used in this study. The actual rating is based on calculated SCI scores, which range from 0.0 percent (very poor performance) to 100 percent (perfect performance). For each distress, the SCI score was calculated as an equal weighted function of the distress area coverage (DAC) and the degree of severity of distress (DSD), expressed as a percentage. This is illustrated in Equation 5.

$$SCI_{\text{Distress}} = 0.5(P_{\text{DAC}} + P_{\text{DSD}}) \quad \text{Equation 5}$$

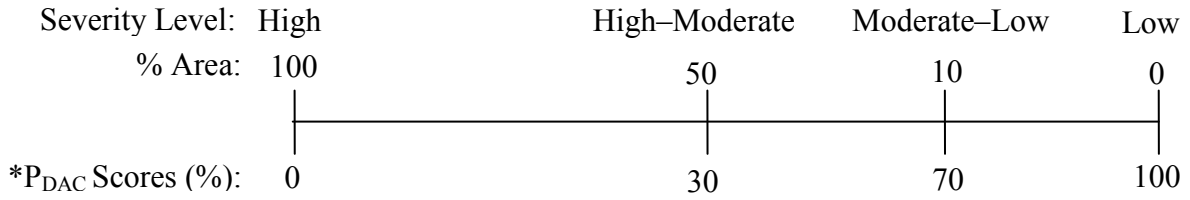
where:

$SCI_{\text{Distress}}$  = SCI score as a percentage for a given distress.

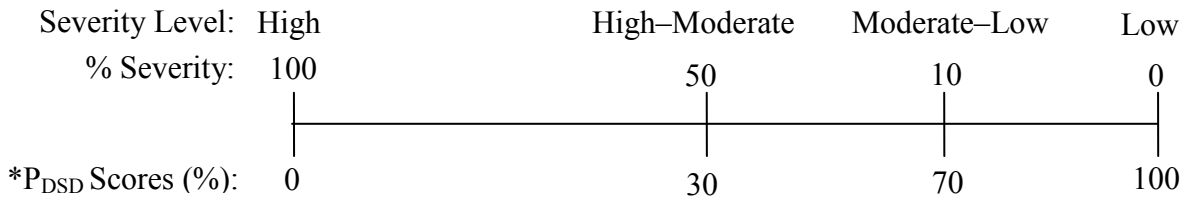
$P_{\text{DAC}}$  = distress area coverage as a percentage.

$P_{DSD}$  = degree of severity of a distress in percentage.

The SCI scores for  $P_{DAC}$  and  $P_{DSD}$  were determined as shown in Figure 6 and Figure 7; a completed distress evaluation sheet is shown in Figure 8.



**Figure 6. SCI Distress Evaluation and Scores – Distress Area Coverage (DAC).**



**Figure 7. SCI Distress Evaluation and Scores – Degree of Severity of Distress (DSD).**

## DISTRESS EVALUATION SHEET

Highway/Road: HS P3 Inspection No: 2  
 Location: Paris Date of Inspection: 3/5/2012  
 Test Section No: 1, 2, 3, & 4 Time of Inspection: 1.00 PM  
 Weather at Time of Inspection: Sunny Season: Spring

Date of Construction: <u>6/14/2011</u>		Season at Time of Construction: <u>Fall</u>			
No	Distress	Weight Calculations		SCI	Performance Rating/Comments
1	<b>AGGREGATE LOSS</b>	Weighted sum (a+b)	Total Weight (0.80)	<b>49%</b>	Inadequate, $SCI_{AL} < 75 \pm 5\%$
	Subdivision				
	(a) Area Coverage (DAC)	(a) Weight [0.5]	<b><math>SCI_{AL} = 62\%</math></b>		
	% area 100 50 <u>37.5</u> 10 0				
	SCI points 0 30 <u>43</u> 70 100	21.5			
(b) Severity Level (DSD)	(b) Weight [0.5]	49.2			
% severity 100 50 10 <u>7.5</u> 0					
SCI points 0 30 70 <u>80</u> 100	40				
2	<b>BLEEDING</b>	Weighted sum (a+b)	Total Weight (0.20)	<b>20%</b>	Adequate, $SCI_{BL} > 75 \pm 5\%$
	Subdivision				
	(a) Area Coverage (DAC)	(a) Weight [0.5]	<b><math>SCI_{BL} = 100\%</math></b>		
	% area 100 50 10 <u>0</u>				
	SCI points 0 30 70 <u>100</u>	50			
(b) Severity Level (DSD)	(b) Weight [0.5]	20			
% severity 100 50 10 <u>0</u>					
SCI points 0 30 70 <u>100</u>	50				
3	<b>LONGITUDINAL CRACKING</b>	Weighted sum (a+b)	Total Weight (0.00)	<b>0%</b>	N/A
	Subdivision				
	(a) Area Coverage (DAC)	(a) Weight [0.5]	<b><math>SCI_{LCr} = 70\%</math></b>		
	% area 100 50 <u>10</u> 0				
	SCI points 0 30 <u>70</u> 100	35			
(b) Severity Level (DSD)	(b) Weight [0.5]	0			
% severity 100 50 <u>10</u> 0					
SCI points 0 30 <u>70</u> 100	35				
4	<b>TRANSVERSE CRACKING</b>	Weighted sum (a+b)	Total Weight (0.00)	<b>0%</b>	N/A
	Subdivision				
	(a) Area Coverage (DAC)	(a) Weight [0.5]	<b><math>SCI_{TCr} = 50\%</math></b>		
	% area 100 50 <u>10</u> 0				
	SCI points 0 30 <u>70</u> 100	35			
(b) Severity Level (DSD)	(b) Weight [0.5]	0			
% severity 100 <u>50</u> 10 0					
SCI points 0 <u>30</u> 70 100	15				
Overall Surface Condition Index ( $SCI_{Overall}$ )				<b>69%</b>	Inadequate Performance, $SCI_{Overall} < 75 \pm 5\%$

**Figure 8. Example Distress Evaluation Sheet (Walubita and Epps Martin 2005b).**

### Overall Field Section SCI Scores

For each field section, each distress was evaluated, analyzed, and reported separately, and then combined to get an overall field section SCI score and performance rating. This is illustrated in Equation 6 and Equation 7.

$$SCI = [\alpha_{AL} \times SCI_{AL}] + [\alpha_{BL} \times SCI_{BL}] + \dots + [\alpha_{Distress} \times SCI_{Distress}] \quad \text{Equation 6}$$

and

$$\alpha_{AL} + \alpha_{BL} + \dots + \alpha_{Distress} = 1.00 \quad \text{Equation 7}$$

where:

SCI = overall field section SCI score as a percentage.

SCI<sub>AL</sub> = SCI score for aggregate loss as a percentage.

SCI<sub>BL</sub> = SCI score for bleeding as a percentage.

SCI<sub>Distress</sub> = SCI score for other distresses as a percentage.

α<sub>AL</sub> = distress weighting factor for aggregate loss (~0.80).

α<sub>BL</sub> = distress weighting factor for bleeding (~0.20).

α<sub>Distress</sub> = distress weighting factors for other distresses.

#### *Distress Weighting Factors and Threshold Values*

The overall field section SCI score is the summation of the individual weighted distress SCI scores and should add up to 100 percent if performance is adequate with no distress. The weighted distress scores and SCI threshold values are summarized in Table 12 and Table 14, respectively. The distress weighting factors (α<sub>i</sub>) of 0.80 for aggregate loss and 0.20 for bleeding were arbitrarily assigned based on the degree of significance of the distress in relation to surface treatment performance, the binder properties, and the SPG specification. Since only aggregate loss and bleeding were evaluated, weighting factors for other distresses such as cracking were zero (i.e., α<sub>Cr</sub> ≅ α<sub>Distress</sub> = 0.00).

**Table 12. Weighted SCI Scores by Distress Type.**

Distress	Weighting Factor (α <sub>i</sub> )	Weighted Distress SCI Score (%) for Overall Field Section Performance
Aggregate Loss (SCI <sub>AL</sub> )	0.80	0.80 × (SCI <sub>AL</sub> )
Bleeding (SCI <sub>BL</sub> )	0.20	0.20 × (SCI <sub>BL</sub> )
Cracking (SCI <sub>Cr</sub> )	0.00	0.00 × (SCI <sub>Cr</sub> )
Other Distresses (SCI <sub>Distress</sub> )	0.00	0.00 × (SCI <sub>Distress</sub> )
Total (assuming perfect performance)	1.00	100.00



**Table 13. SCI Threshold Values and Overall Performance Rating Criteria.**

SCI Threshold Value (Barcena et al. 2002; Epps Martin et al. 2001; Roque et al. 1991; Shuler 1990)	Performance Rating	SPG Validation
$SCI \geq 70\%$	Good	<i>SCI <math>\geq 70\%</math> = Pass (Adequate Performance)</i>
$55\% \leq SCI < 70\%$	Fair	
$SCI < 55\%$	Poor	<i>SCI &lt; 70% = Fail (Inadequate Performance)</i>

During performance monitoring, surface treatment condition was recorded electronically using a digital camera.

### **LABORATORY TESTING**

The primary objectives of this study are to revise the SPG specification by considering hot-applied asphalt cements and emulsions TxDOT commonly used and evaluating two emulsion residue recovery methods, exploring the exclusive use of the DSR for determining performance-related properties, and further field validating binder properties that control surface treatment performance in service. In order to meet these objectives, an array of emulsion residue recovery, chemical tests, rheological tests, and SPG grading were performed on samples of binders used during the application of surface treatments for the selected HSs. The binders were sampled onsite during construction. Table 14 shows the details of the laboratory evaluation carried out as part of this study. Those tests that appear in italics were also performed as part of TxDOT Project 0-1710 and NCHRP Project 14-17 using similar testing conditions.

**Table 14. Test Plan.**

Test		Conditions	Result Recorded
Residue Recovery	Forced Draft Oven	60 g; 24 h at 25°C and 24 h at 60°C	Amount Residue Recovered
	Texas Oven	0.015"; 6 h at 60°C	
Water Removal and Oxidation	GPC		Peak at a time of 35 to 37.5 min in chromatogram
	FTIR		Carbonyl area
	ASTM D95		% solids
DSR High Temp	Dynamic Shear	High temp; 10 rad/s	$G^*/\sin \delta$
	MSCR	High temp High temp at 3.2 kPa	$J_{nr}$ , $J_{nr}$ ratio % recoverable strain
	Shear Strain Sweep	25°C; 10 rad/s linear loading from 1-50% strain, 1 sec time delay & 20-30 increments	Percent strain at 0.8G*
BBS	Binder–Aggregate Compatibility	25°C; 0.4 ± 0.05 g	Maximum pullout tension; mode of failure
PAV @ 100°C			
DSR	Shear Strain Sweep	25°C; 10 rad/s linear loading, 1% strain, 1 sec time delay	$G_i^*$
	MSCR	High temp at 3.2 kPa	Recoverable strain ratio
	Frequency Sweep	5°C, 10°C, 15°C; 0.1-20 Hz; 1% strain, 10 s time delay	
BBR	Low-temp creep stiffness	Low temp; 8s	S and m-values

### Residue Recovery Methods

Two emulsion residue recovery methods were used in this study to extract the water from the emulsions and to supply de-watered emulsion residue for material properties testing. The residue recovery methods employed were (a) Force Draft Oven and (b) Texas Oven methods.

The Force Draft Oven method follows Procedure A in AASHTO PP 72-11. The emulsion was poured into a 9-in by 9-in silicone mold and spread evenly with a spatula to give a spread

rate of 1.5 to 2.0 kg/m<sup>2</sup> of emulsion. The silicone mat was then placed into a 25°C forced draft oven. After 24 h, the silicone mat was transferred to a 60°C forced draft oven for another 24 h. Then, the mat was allowed to cool for 1 h at room temperature prior to emulsion residue removal. The recovered emulsion residue was then removed from the mat using a plastic utensil and kneaded into the appropriate sample size for chemical or rheological testing. This procedure does not involve any stirring or agitation of the emulsion residue during recovery, and the total recovery time is approximately 48 hours.

The Texas Oven method follows Procedure B in AASHTO PP 72-11. The emulsion was poured onto a silicone mat and in one continuous motion spread evenly with a wet film applicator to obtain a wet film thickness of 0.381 mm. The silicone mat was then placed in a 60°C forced draft oven for 6 h. The mat was allowed to cool for 15 minutes at room temperature prior to emulsion residue removal. The recovered emulsion residue was removed from the mat by peeling using a uniform rolling motion with a metal rod. The recovered residue was then shaped appropriately for chemical or rheological testing. This procedure also does not employ any stirring or agitation of the emulsion residue during recovery, and the total recovery time is approximately 6 h.

## **Aging**

All tests used for the determination of the ageing effects and water removal efficiency of the residue recovery methods were performed by the researchers at the Artie McFerrin Department of Chemical Engineering. GPC was performed on each recovered residue to assess the completeness of water removal by the emulsion residue recovery process. GPC is a size exclusion chromatography (SEC) method of molecular analysis. The presence or absence of a peak on the GPC chromatogram indicates the presence or absence of water in the residue, respectively. The method is very sensitive to the presence of water, as are the rheological properties of the emulsion residues.

FTIR spectroscopy was performed on the emulsion residues to assess the extent of any oxidation that occurred during the emulsion residue recovery processes. Differences in the carbonyl area for the same emulsion residue but recovered by different methods is used to indicate differences in oxidation by the different emulsion residue recovery methods (Epps et al. 2001; Prapaitrakul et al. 2010). Further, this carbonyl area can be compared to that of the base

binder, if available, to determine if the emulsifying process and emulsion residue recovery method cause oxidation. As an example of FT-IR analyses, Prapaitrakul et al. (2010) found that the Force Draft Oven method, which exposes the binder residue to atmospheric air during the recovery process, produced emulsion residue with statistically higher viscosity and carbonyl area values than the original base binders, suggesting some oxidative hardening by the Force Draft Oven method. This oxidation could have occurred during emulsification or during the emulsion residue recovery process. The hot oven and stirred can methods, which use a nitrogen environment for the recovery, do not appear to produce a statistically significant increase in oxidative hardening.

### **Exclusive Use of DSR for Characterizing Surface Treatment Binders**

In the existing SPG specification, the BBR test is the only rheological test not performed using the DSR. As part of this study, an alternative to the BBR test was sought for characterizing the low-temperature properties of surface treatment binders. The possibility of predicting the BBR test parameters—creep stiffness and m-value—from parameters measured using the DSR frequency sweep test was explored.

The criteria for the low-temperature properties of the binders included in the SPG specification were developed to ensure the selection of binders resistant to aggregate loss at low temperatures. The SPG specification prescribes a modified BBR test, wherein the flexural creep stiffness (S) and the log stiffness-log time slope (m-value) are measured at the low-temperature limit and a loading duration of 8 s for PAV-aged binders. The BBR test requires about 15.5 g of material in the form of a beam specimen that is 5-in long by 0.5-in wide by 0.25-in thick. The test was repeated at 3°C decrements until the lowest temperature is reached at which the creep stiffness (S) value is more than 500 MPa and the m-value was at least 0.24, as per the existing SPG guidelines for laboratory failure at low temperatures (AI 2003; Epps Martin et al. 2001). The binder samples tested using the BBR were PAV aged for 20 h at 2.1 MPa pressure and 100°C temperature (AI 2003). The low-temperature limit of the SPG grade was obtained from the BBR results and represents the 1-day minimum pavement surface design temperature.

The frequency sweep test in the DSR was performed to obtain the complex modulus and phase angle values from which the BBR parameters, S and m-value, were predicted. Subsequently, the predicted and measured values of S and m-value were compared to ascertain

the fit of the prediction model. Frequency sweeps were performed on PAV-aged binder samples with 8 mm plates and a 2 mm gap in the DSR at frequencies ranging from 1 to 150 rad/s (~0.15 to 23.9 Hz) and intermediate temperatures of 15°C, 10°C, and 6°C. (The lowest stable temperature that could be obtained on the DSR machine used in this study was 6°C.) The frequency sweep test requires about one-fifth the amount of material required in the BBR test. The appropriate frequency for testing that enables the comparison of the DSR parameters with the BBR parameters was determined using Equation 1 (Anderson et al. 1994; Hanz and Bahia 2010). Estimates of  $S$  and  $m$  at 8 s and 60 s loading times, obtained from the complex modulus  $G^*$  and phase angle  $\delta$  using Equations 2 and 3, were compared to actual BBR measurements (Anderson et al. 1994). The development of these relationships is expected to eliminate the need for BBR testing in future specifications for surface treatment binders.

### **Existing SPG Tests**

The binder characterization tests specified in the modified SPG system, shown in Table 2, were carried out using the same equipment and criteria. For each test, three replicate specimens were tested.

#### *Basic DSR Test*

A Malvern/Bohlin DSR-II with 25 mm plates and 1 mm gap was used for high-temperature binder testing and SPG grading. In this test, the complex shear modulus  $G^*$  and phase angle  $\delta$  of unaged emulsion residue and base binders are measured at temperature grade increments of 3°C to obtain the highest temperature at which  $G^*/\sin \delta$  is at least 0.65. These high-temperature properties are important to ensure aggregate retention and to prevent bleeding in surface treatment binders at high temperatures. DSR testing provides the upper limit of the binder grade; this high-temperature limit represents the average 7-day maximum pavement surface design temperature.

#### *Strain Sweep*

DSR strain sweep testing at an intermediate temperature of 25°C was performed to assess the strain susceptibility and resistance to aggregate loss of both unaged and PAV-aged emulsion residues. In the strain sweep test, the material response to increasing deformation amplitude is monitored at a constant frequency and temperature. Strain sweep testing was used in this study to

evaluate the resilience and strain tolerance of emulsion residues or their ability to retain aggregate and resist aggregate loss in surface treatments. Strain sweep testing was conducted on the standard DSR with 8 mm plates and a 2 mm gap. The test was performed at a temperature of 25°C on the basis of typical surface treatment construction temperatures and previous research (Hanz and Bahia 2010; Hoyt et al. 2010; Kucharek 2007). A thermal equilibrium time of 10 minutes was allowed after mounting the sample and before testing began. In the standard immersion cell that is part of the DSR, the sample and both upper and lower plates are immersed in the temperature-controlling fluid; this enables close temperature control, with temperature gradients of <math><0.1^{\circ}\text{C}</math> through the sample. The loading frequency used in the test was 10 rad/s (1.59 Hz) as specified by the Superpave system. Twenty measurements were recorded at various strain levels ranging from 1 to 50 percent. This range was selected to capture the full range of strain levels that most binders tested in this study can resist. A delay time of 1 s was applied after the application of each strain level, but before the measurements were recorded, to allow the sample to attain equilibrium at the strain level. In cases where the DSR was incapable of reaching a 50 percent strain level (due to insufficient torque when testing stiffer materials), all measurements after the maximum stress was reached were recorded at or very near that maximum stress point.

## **New Rheological Tests**

### *MSCR Test*

In this study, the MSCR test was used to characterize the resistance of the emulsion residues and hot-applied binders to bleeding. This test simulates loading caused by the repeated passage of traffic over a spot on the pavement. The test was performed on unaged material to determine the elastic response of the binders under shear creep and recovery at two stress levels. The test temperature was the upper temperature of the binder grade as determined through high-temperature testing using the DSR. The MSCR test was performed on the same equipment (a Malvern/Bohlin DSR-II) and using the same configuration and sample size (with 25 mm plates and 1 mm gap) as in the high-temperature DSR test. The samples were loaded at constant stress for 1 s then allowed to recover for 9 s. Ten creep and recovery cycles were run at a creep stress of 100 Pa followed by 10 at a creep stress of 3200 Pa. The strain accumulated at the end of the creep and recovery portions was recorded and used to estimate the average percent recovery and

the non-recoverable creep compliance ( $J_{nr}$ ) of the binder.  $J_{nr}$  is the ratio of the maximum accumulated strain at the end of the test to the maximum stress level applied to the binder. The MSCR test was utilized to identify the elastic response of the binders and the change in the elastic response at the two stress levels. The percent recovery of binders determined in this test is dependent on the extent of modification of the binder and can be used to determine if modified binders offer a better elastomeric response.  $J_{nr}$  might be an indicator of the binder's resistance to bleeding under repeated loading.

Percent recovery,  $\varepsilon_r(100, N)$  for  $N = 1$  to 10 is obtained from Equation 8:

$$\varepsilon_r(100, N) = \frac{\varepsilon_{10} - \varepsilon_1}{\varepsilon_1} \times 100 \quad \text{Equation 8}$$

where  $\varepsilon_{10}$  is the adjusted strain value at the end of recovery portion of each cycle and  $\varepsilon_1$  is the adjusted strain value at the end of creep portion of each cycle.

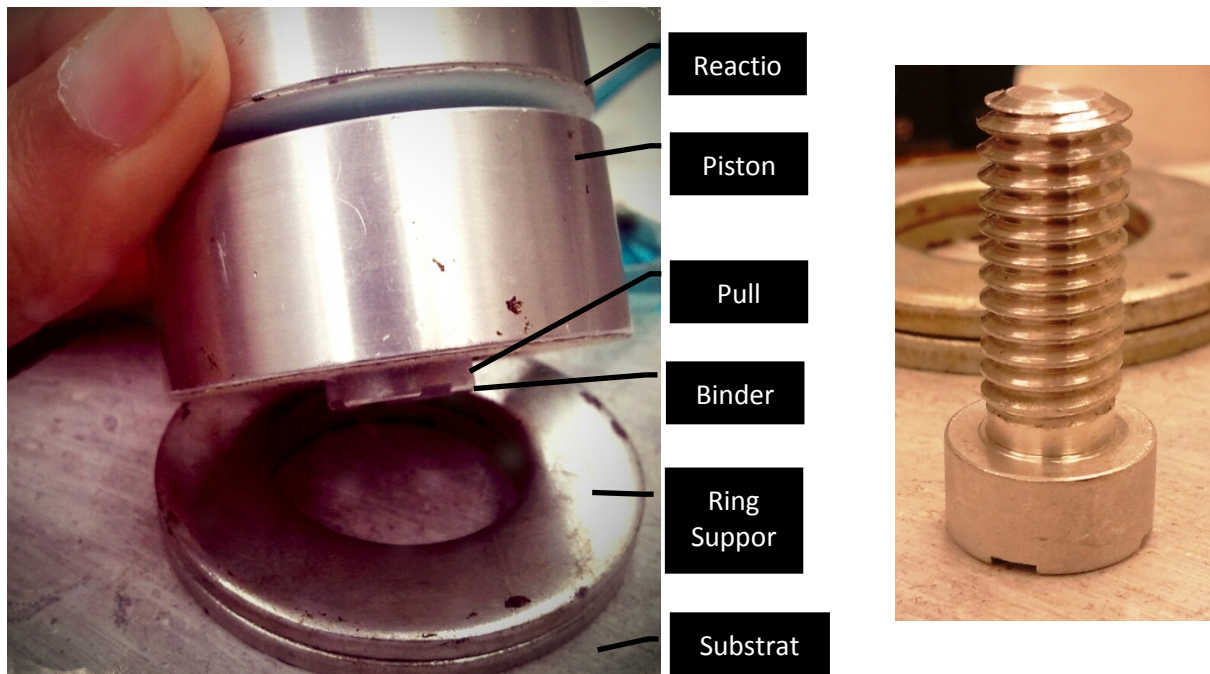
The non-recoverable compliance  $J_{nr}(\sigma, N)$  for  $N = 1$  to 10 is obtained from Equation 9:

$$J_{nr}(\sigma, N) = \frac{\varepsilon_{10}}{\sigma} \quad \text{Equation 9}$$

where  $\varepsilon_{10}$  is the adjusted strain value at the end of recovery portion of each cycle and  $\sigma$  is the applied stress.

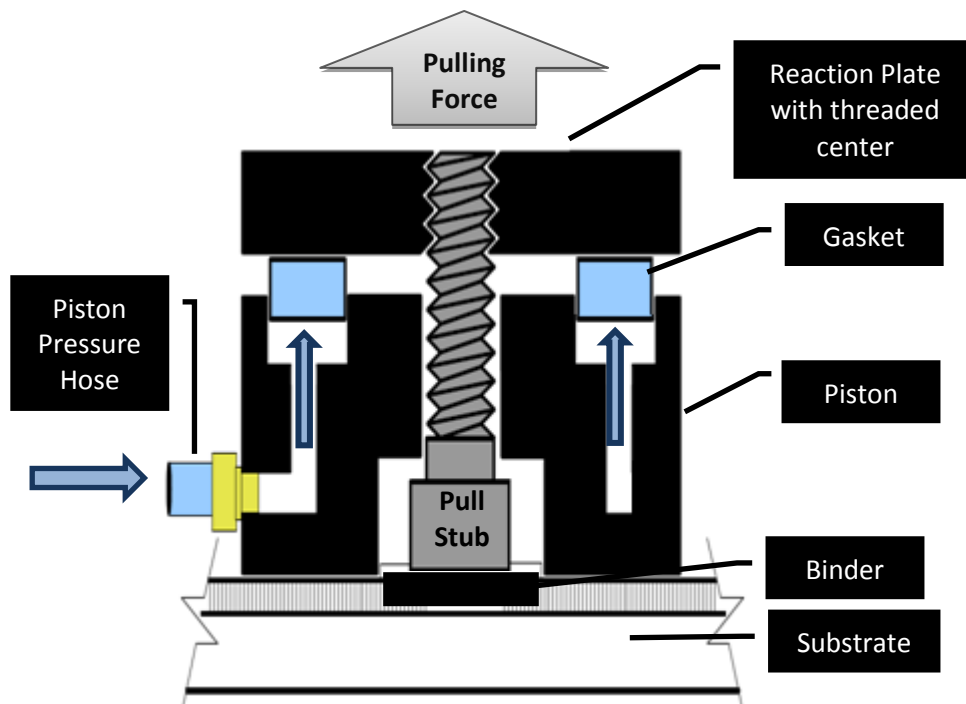
### **Adhesion Testing**

The following approach was applied to the testing of the bond strength between asphalt binder and aggregate using the PATTI. The test method involves using a large flat aggregate substrate and a thin asphalt coating. Large limestone boulders were cut into flat slabs with adequate surface area to accommodate the binder samples and PATTI piston set up. First, it was ensured that all the pull stub surfaces were clean and rough enough to promote bonding between the binder and the stub. Both the aggregate and pull stub surfaces were cleaned with acetone before the test. Asphalt samples were applied hot to preheated pull stubs, which were then applied immediately to the aggregate substrate without any torsion to ensure proper bonding between the substrate and the binder. Custom-made PATTI pull stubs with legs were utilized to control the thickness of the applied asphalt coating to less than 1 mm and to ensure uniform application pressure, as shown in Figure 10. The pull stubs were left attached to the aggregate substrate for 1 h to ensure that the setup reaches a uniform temperature, i.e., room temperature.



**Figure 9. PATTI Pull Stub Setup.**

The samples prepared using this method were tested using the PATTI F-1 piston at room temperature. The mechanism of the PATTI test is shown in Figure 10.



**Figure 10. PATTI Pull Stub Mechanism.**



The burst pressure (BP) at the specified load rate necessary for the failure of the bond between the asphalt binder and the aggregate at room temperature is measured. The pull-off tensile strength of the specimen is determined using Equation 10:

$$POTS = \frac{(BP \times A_g) - C}{A_{ps}} \quad \text{Equation 10}$$

where:

$A_g$  = contact area of the PATTI gasket with the PATTI reaction plate (sq in).

$C$  = piston constant (lb).

$A_{ps}$  = area of the pull-stub (sq in).

$BP$  = burst pressure (psig).

The mode of failure (adhesion or cohesion) was noted.

## **SUMMARY**

This chapter defined the methods and factors used to select the HSs and the procedure for calculating the SCI scores during field performance monitoring of these HSs. The wide variety of laboratory test methods employed to recover, evaluate, and characterize the binders used on each HS were also described. The field performance monitoring and laboratory results obtained using these methods are detailed and analyzed in Chapter 4.



## **CHAPTER 4: RESULTS AND ANALYSIS**

The results of the laboratory testing and field performance monitoring activities conducted in this study are discussed in this chapter. The laboratory and SCI field performance results are summarized in Table 15 with additional detail provided in Appendix A. Digital images of the selected HSs and the distresses observed in the field have been used to illustrate the discussion.

### **LABORATORY TEST RESULTS**

Four types of laboratory tests (the basic DSR, strain sweep, frequency sweep, and BBR tests) were performed on the emulsion residues and hot-applied binders collected from the highway sections (HSs) in this study. Of these, three tests (the basic DSR, strain sweep, and BBR tests) were used to grade the binders tested according to the existing SPG specification. The detailed results of all the tests performed in this study are presented in this section.

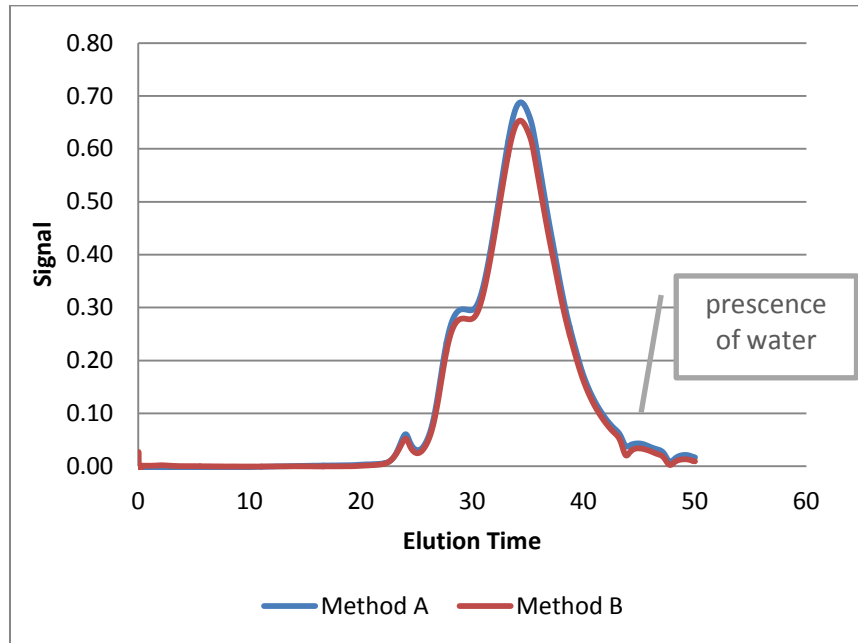
#### **Residue Recovery**

Two residue recovery methods were employed to obtain emulsion residues in this study. These two methods were evaluated in terms of water removal efficiency and oxidative aging using the GPC and the FTIR. Appendix A shows the results of the evaluation. The GPC chromatograms from the residues, shown in Figure 11, obtained from both recovery methods indicated the presence of some water in the recovered emulsion residues, indicating that water had not been completely removed from the emulsions during the recovery procedures. The carbonyl areas calculated from FT-IR spectra for the emulsions indicated that the residues recovered from Procedure A were more oxidized than residues obtained from Procedure B (Figure 12).

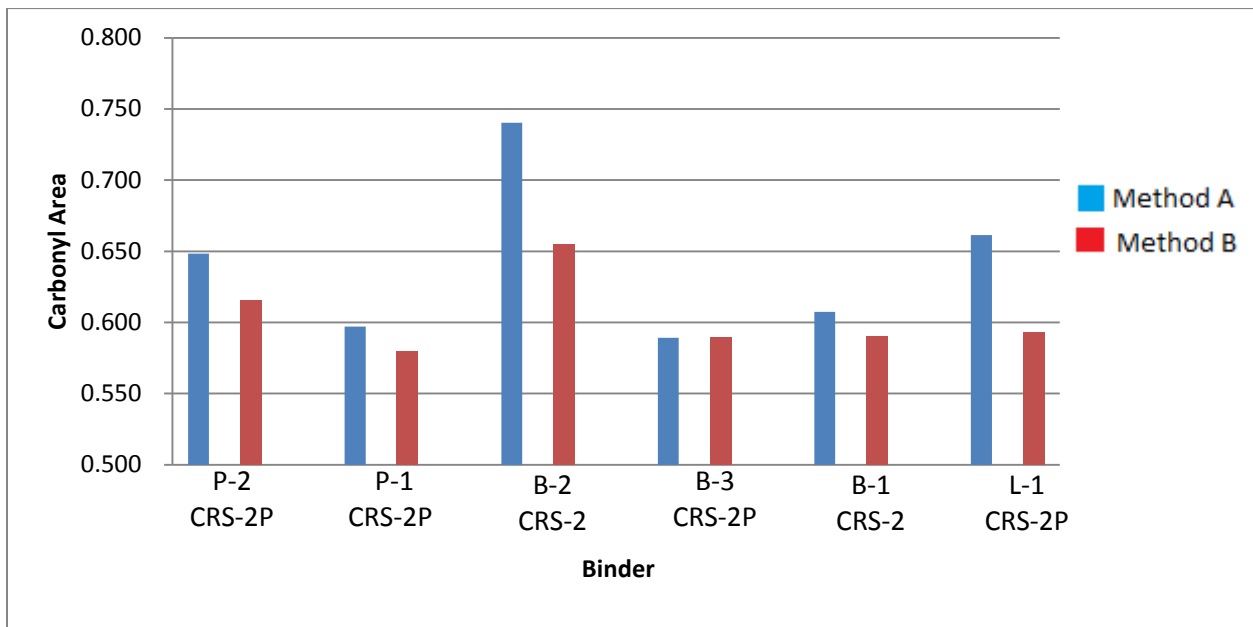
**Table 15. Laboratory versus Field Results.**

HS ID	Binder Type SPG Grade (°C)	Environmental Demand (T <sub>HIGH</sub> -T <sub>LOW</sub> ) (Required SPG Grade) (°C)	G*/sin δ @ T <sub>HIGH</sub> (kPa)	S @ T <sub>LOW</sub> (MPa)	m-value @ T <sub>LOW</sub>	%γ at 0.80G <sub>i</sub> * @ 25°C	Performance 1 Year after Construction			Correlation with G*/sin δ, S, m-value (without m-value & with %γ at 0.80G <sub>i</sub> *)
							SCI <sub>AL</sub>	SCI <sub>BL</sub>	SCI	
a-1	AC20-5TR 70-13	66-18 (67-19)	1.04	326	0.21	31	64	90	69	Yes (No)
a-2	AC20-5TR 67-16	66-15 (67-16)	0.80	101	0.26	26	98	51	88	Yes
a-3	AC20-5TR 70-16	66-15 (67-16)	0.92	248	0.27	30	69	81	72	Yes
a-4	AC20-5TR 73-16	65-14 (67-16)	1.46	160	0.30	27	88	69	84	Yes
a-5	AC20-5TR 70-16	65-16 (67-16)	1.30	240	0.26	29	93	38	82	Yes
a-6	AC20-5TR 67-16	66-18 (67-19)	0.84	483	0.22	25	71	93	76	No (Yes)
b-1	CRS-2 64-10	67-20 (70-22)	0.47	563	0.20	14	57	65	58	Yes
b-2	CRS-2 67-13	67-18 (70-19)	0.68	583	0.21	Invalid	47	100	58	Yes
b-3	CRS-2P 70-10	67-18 (70-19)	0.90	316	0.22	16	65	87	70	No
b-4	AC20-5TR 76-16	66-18 (67-19)	1.26	544	0.23	36	71	97	77	No
b-5	AC20-5TR 76-16	66-18 (67-19)	1.17	487	0.23	32	71	74	72	No (Yes)
b-6	AC20-5TR 76-16*	67-18 70-19	1.64	378	0.25	Invalid	72	75	72	Yes
c-1	AC10 64-16	67-20 (70-22)	0.47	474	0.19	15	66	100	73	No
c-2	AC10 64-19	69-22 (70-22)	0.39	490	0.23	12	58	60	58	Yes
c-3	AC10 64-19	69-24 (67-25)	0.45	602	0.23	15	60	98	67	Yes
l-1	CRS-2P 76-19	66-16 (67-16)	1.55	192	0.27	13	64	99	71	Yes
l-3	AC20-5TR 73-16	66-16 (67-16)	1.91	266	0.28	Invalid	65	97	71	Yes
l-4	AC20-5TR 73-16	65-16 (67-19)	1.35	286	0.26	25	100	62	92	Yes
l-6	AC20-5TR 70-19	65-15 (67-16)	0.98	148	0.30	33	86	82	85	Yes
p-1	CRS-2P 76-16	66-18 (67-19)	1.51	269	0.23	13	69	88	73	No
p-2	CRS-2P 70-16*	65-18 (67-19)	1.23	273	0.24	15	88	99	90	Yes
p-3	AC20-5TR 79-16*	66-18 (67-19)	2.42	335	0.24	26	60	99	68	No
p-4	AC20-5TR 70-19	65-19 (67-19)	1.11	282	0.25	19	96	75	92	Yes
p-5	AC20-5TR 67-19	66-18 (67-19)	0.88	305	0.24	17	71	83	73	Yes
p-6	AC20-5TR 70-16	66-18 (67-19)	0.93	320	0.23	21	61	100	69	Yes
s-2	AC15P 73-22	67-12 (70-13)	1.66	31	0.31	19	83	78	82	Yes
s-3	AC15P 73-13*	67-14 (70-16)	1.09	67	0.26	16	66	68	66	No (Yes)
s-4	AC15P 70-19	65-14 (67-16)	1.18	63	0.28	27	65	64	65	No
s-5	AC15P 73-19	65-14 (67-16)	0.96	343	0.25	25	72	49	67	No
s-6	AC15P 70-19	67-13 (70-13)	1.27	61	0.33	19	80	89	82	Yes

\*Pass<sub>LAB</sub> @ actual T<sub>LOW</sub> (Fail<sub>LAB</sub> only due to SPG grade increments)

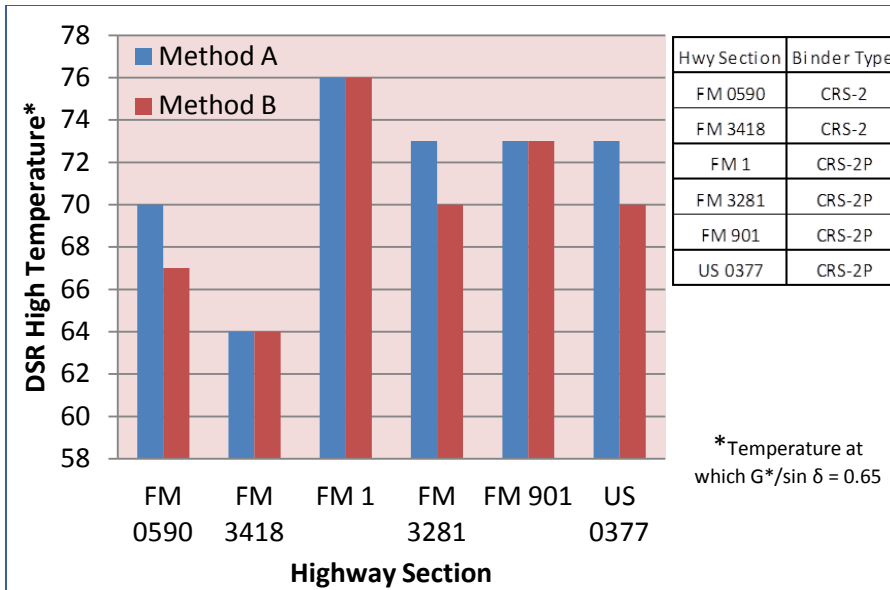


**Figure 11. GPC Results for Binder Residues (HS B-3).**



**Figure 12. Carbonyl Area Comparisons for Recovery Methods.**

The binder residue from Procedure A appeared visibly stiffer than that from Procedure B. Moreover, Procedure A sometimes resulted in residue that retained more stiffness at higher temperature than residue from Procedure B, as shown in Figure 13.

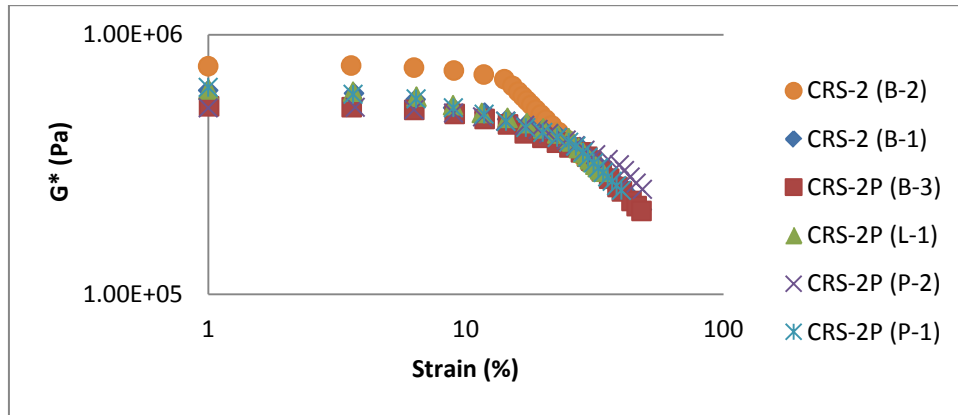


**Figure 13. DSR High Temperature Comparison for Procedure A and Procedure B Residues.**

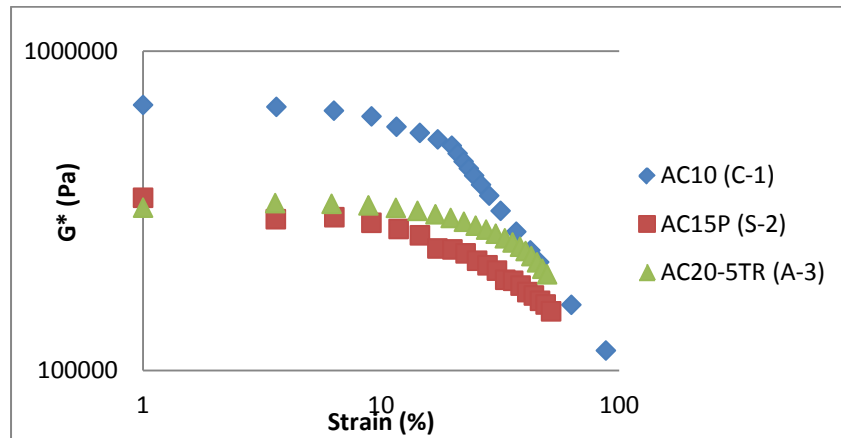
The strain tolerance (from strain sweep test) and non-recoverable creep compliance (from MSCR test) values for residues obtained from the two methods were found to be statistically similar. Based on these results, residue from Procedure B was concluded to be closer to the residue obtained in the field. Procedure B (6 h) is shorter than Procedure A (48 h) and may be more practical for recovering large quantities of emulsion residue. The SPG grading results reported for all the emulsions in this study are based on the results obtained for residues from Procedure B.

### Strain Sweep Test Results

The strain sweep test, which was part of the modified SPG specification (Hoyt et al. 2010), was conducted on unaged and aged binder residues and hot-applied binders in this study. The binder properties associated with aggregate retention (resistance to aggregate loss or raveling) can be quantified in terms of the percentage drop in modulus or strength with increasing strain at a constant temperature and frequency. As can be seen in Figure 14, the modulus remains constant as strain increases until at some critical strain level it drops significantly. The complex modulus  $G^*$  is constant in the linear region; a 10 percent drop in  $G^*$  indicates that the material has begun to behave non-linearly and is accumulating strain. Researchers also found that a 50 percent reduction in  $G^*$  is akin to failure (Hanz et al. 2009).



**Figure 14. Strain Sweep for Unaged Emulsion Residues.**

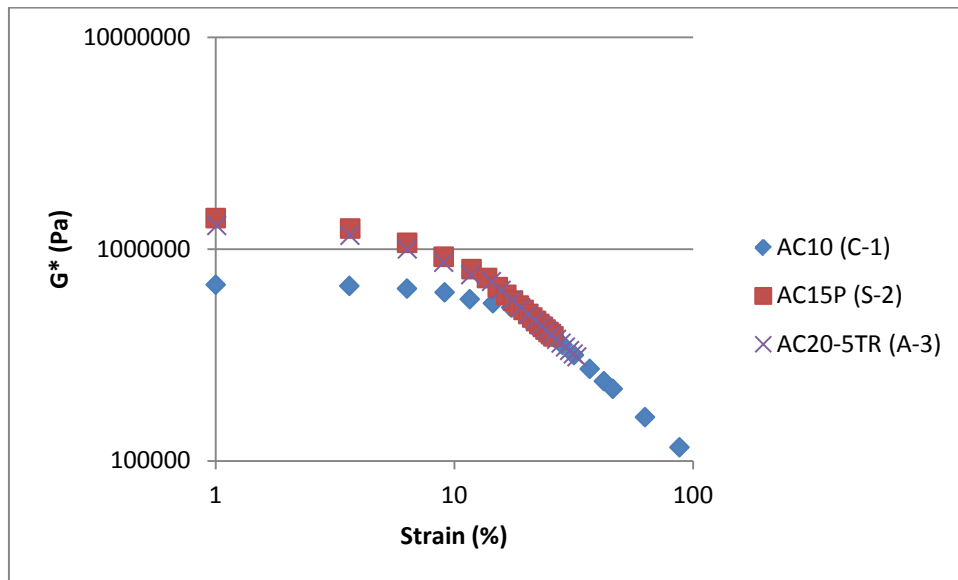


**Figure 15. Strain Sweep for Unaged Hot-Applied Binders.**

As can be seen in Figure 14 and Figure 15, modified binders were found to have better strain tolerance as indicated by higher (significant differences at a level of significance of 0.05 in a two-tailed t test) strain at failure (50 percent reduction in  $G_i^*$ ) than unmodified binders.

This test was used to assess whether the binder develops adequate strain tolerance and stiffness to prevent the bond between the aggregate and the binder from failing. The modified SPG recommends a minimum percent strain of 25 at  $0.8G_i^*$  for unaged binders and a maximum  $G_i^*$  of 2.5 MPa for PAV-aged binders (Table 2). Of the 30 unaged binders tested, 13 binders fail the minimum strain criterion (i.e., have percent strain less than 25 percent). These 13 binders can be expected to have low strain tolerance and insufficient resistance to aggregate loss in the field according to the modified SPG specification. Three binders had invalid strain sweep results (i.e., the maximum DSR stress was reached before the modulus  $G_i^*$  decreased by 20 percent), but

these binders may be assumed to have adequate resistance to aggregate loss that could not be captured due to equipment limitations. For all 30 binders, either the maximum DSR stress was reached or the test itself ended (entire strain range was completed) before a 50 percent decrease in  $G_i^*$  was observed. Moreover, none of the PAV-aged binder samples fail the criterion prescribed for aged binders in the modified SPG specification (maximum  $G_i^*_{aged}$  of 2.5 MPa). The PAV-aged binders lose their ability to resist the strain sooner than unaged binders, as shown in Figure 16. This is expected as unaged binders with lower stiffness would be more capable of resisting shear loads at high strains than PAV-aged binders.



**Figure 16. Strain Sweep for Aged Hot-Applied Binders.**

The pooled standard deviation (PSD) for the critical percent strain parameter obtained from testing three replicates for each binder was 4.07 percent. The results of the strain sweep test are summarized in Table 15 for unaged binders with additional data for aged binders in Appendix A. The strain sweep criteria in the modified SPG specification were based on a limited dataset. Based on the field performance of the binders tested in this study, these strain sweep limits were revised as discussed subsequently to better reflect the correlation between laboratory and field results.

### **BBS Test Results**

The procedure for determining the pull-off strength of a coating using the Pneumatic Adhesion Tensile Testing Instrument (PATTI) is described under Method D of ASTM



D4541 (ASTM 2009d). This test method was modified in order to measure the adhesiveness of asphalt binder to bulk aggregate. In preliminary tests conducted using the PATTI, it was observed that a strong bond developed between the asphalt binder and the metal pull stubs of the PATTI, with bond failure never occurring in the binder-metal interface. Thus, the epoxy layer, required by the standard method for adhering the coating (asphalt binder, in this case) being tested to the metal stubs, was eliminated in the modified adhesion test. Modified pull stubs with ‘legs,’ as shown in Figure 9, were utilized to reproducibly define the area of the asphalt binder being tested.

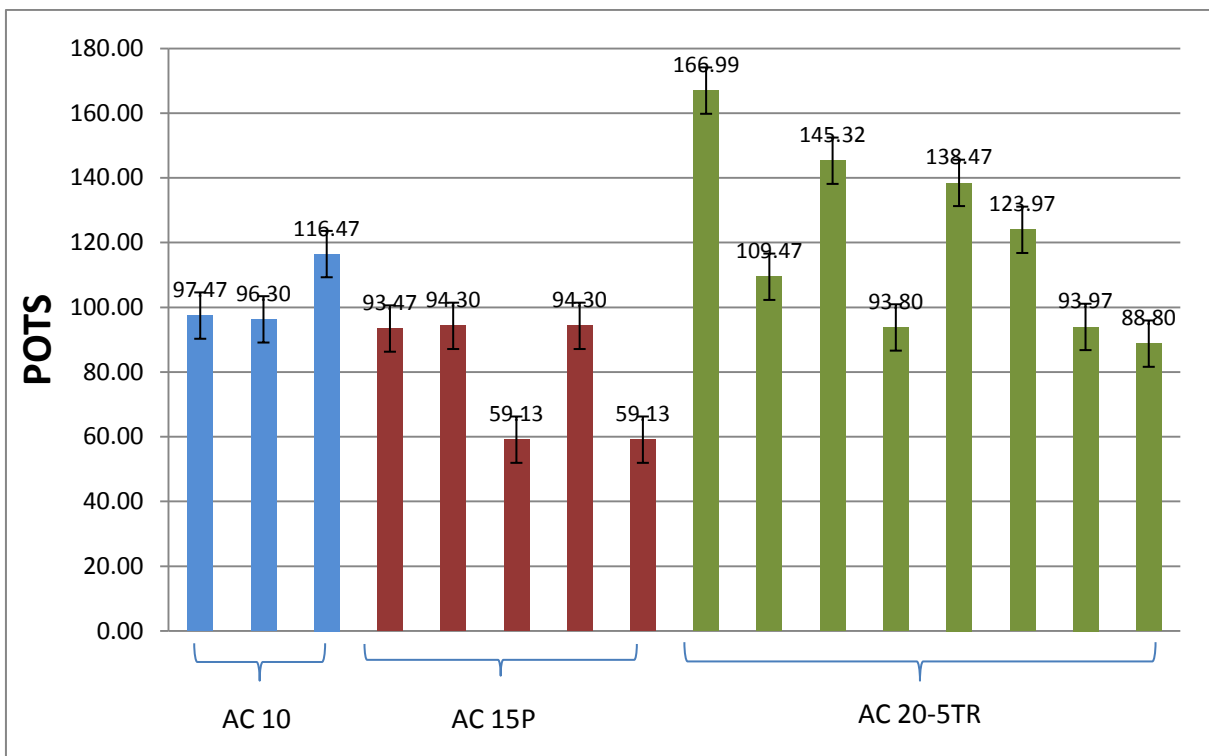
The repeatability of the PATTI test for asphalt binders was determined using the standard PATTI Quantum Gold equipment with the F-1 size piston, the aforementioned modified pull stubs, limestone substrate, and three test replicates of three asphalt binder specimens of 0.5 in diameter tested by one operator. The results are presented in Table 16. According to ASTM D4541, two test results obtained within one laboratory are to be considered not equivalent if they differ by more than the “r” value for that material and test method; “r” is the interval representing the critical difference between two test results for the same material, obtained by the same operator using the same equipment on the same day in the same laboratory. The repeatability limits specified in the standard indicate that, for Testing Method D, results with r value varying by more than 14.8 percent from the mean are not equivalent and do not meet the repeatability criteria.

**Table 16. Repeatability of PATTI Test (One Laboratory, Three Replicates, and Three Samples).**

SH No.	Binder Type	Replicate	Tensile Strength of Coating (psi)	Average	Std Deviation	r	% of average
US 271	AC 20-5TR	1	159.971	157.48	10.48	20.51	13.02
		2	145.981				
		3	166.486				
SH 11	AC 20-5TR	1	232.498	245.85	11.73	22.03	8.96
		2	250.508				
		3	254.532				
US 90	AC 15P	1	192.993	178.65	12.67	24.01	13.44
		2	173.988				
		3	168.982				

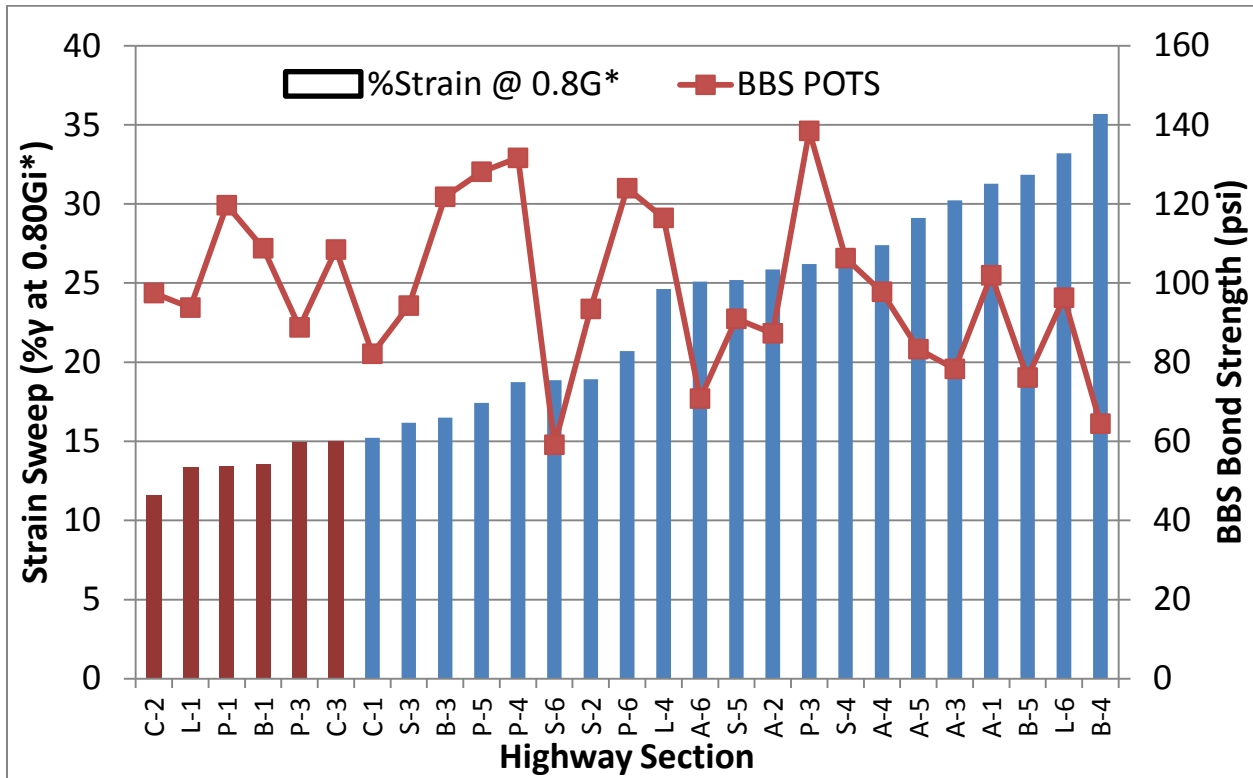
The intralaboratory results shown in Table 16 for three different samples all fall within the specified maximum repeatability limit of 14.8 percent. The variability in the results is most likely because of the differences in the rate of pressure increase. The PATTI equipment allows for the control of pressure rate through a rate valve that is to be opened or closed manually during the test; this does not afford fine control over the pressure rate. However, as the test was conducted at a rate of pressure increase of less than 100 psi/s and under 100 s as specified in the standard, and additionally, because the results obtained from the three specimens met the repeatability criteria, the BBS test for testing the adhesion of asphalt binders to aggregate substrate was considered repeatable. The between laboratory reproducibility of the test was not determined as part of this study.

As can be seen in Figure 19, no clear trend could be observed in the pull-off tensile strength (POTS) for unmodified and modified hot-applied binders. A wide variation was recorded in the POTS for AC 20-5TR binders. This could be a result of variability in sampled material as well as the rate of pressure increase. Similar results were obtained for the emulsion residue samples.



**Figure 17. BBS Results for Hot-Applied Binders.**

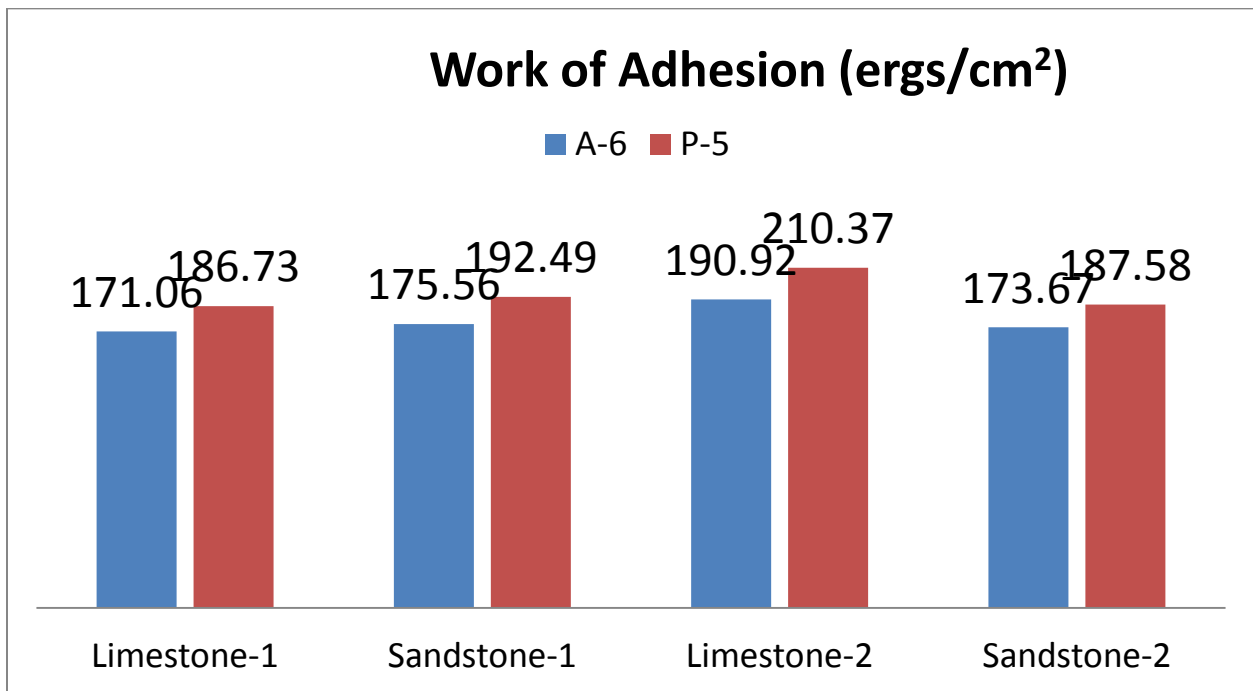
The comparison between the strain sweep results and the BBS results for all the samples tested in this study showed the lack of correlation between the test results (Figure 18). Both tests were evaluated as candidates for measuring binder properties associated with aggregate retention in surface treatment binders.



**Figure 18. POTs vs. Critical Strain for Hot-Applied Binders.**

Only one type of bulk substrate was used to measure the POTs values for all the binders. Given the inconsistent results when comparing the strain sweep and BBS (POTs) results, a third methodology for assessing aggregate loss was explored for HSs A-6 and P-5. HS A-6 utilized an AC 20-5TR binder with sandstone aggregate and exhibited an overall SCI score of 76 and a SCI<sub>AL</sub> score of 71 one year after construction. HS P-5 utilized the same binder (AC 20-5TR) with a limestone aggregate and exhibited an overall SCI score of 73 and an equivalent SCI<sub>AL</sub> of 71 one year after construction. The third method for assessing aggregate loss calculated the work of adhesion from surface energy components of both the binder and aggregate, and the results are shown in Figure 19 for all combinations of these two binders and two aggregates. For the actual binder-aggregate combination used in each HS, the work of adhesion for HS A-6 (sandstone) is less than the work of adhesion for HS P-5 (limestone) indicating that the adhesive bond between

the two component materials is stronger for HS P-5. These surface energy results agree with the other methodology that considers both the binder and the aggregate (BBS), but both of the binder-aggregate methods do not agree with the binder-only property (strain sweep) considered for inclusion in the SPG specification. The comparison of the three methods motivates further work in the area of binder-aggregate adhesion for surface treatments as part of additional specifications and guidelines to be used in conjunction with the SPG specification for surface treatment binders.

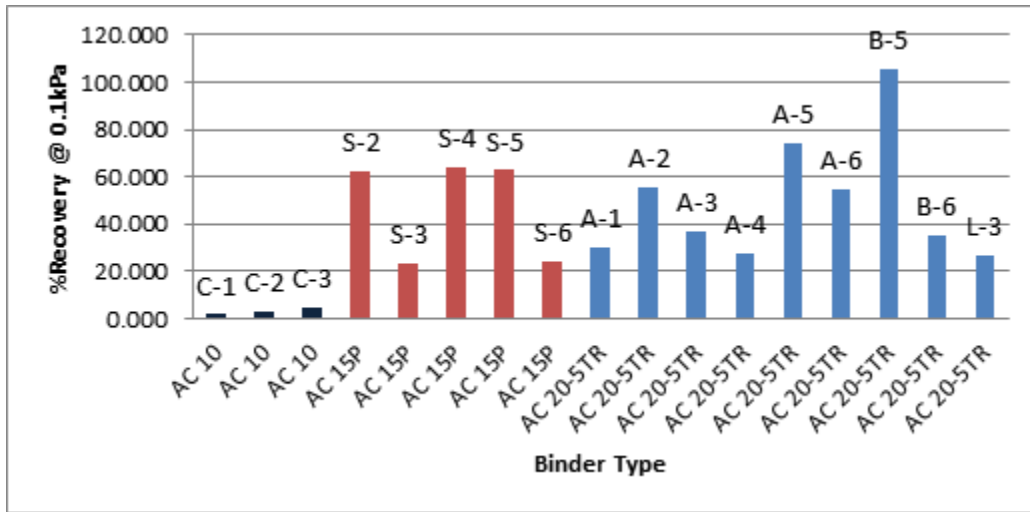


**Figure 19. Surface Energy Results for two AC 20-5TR Binders.**

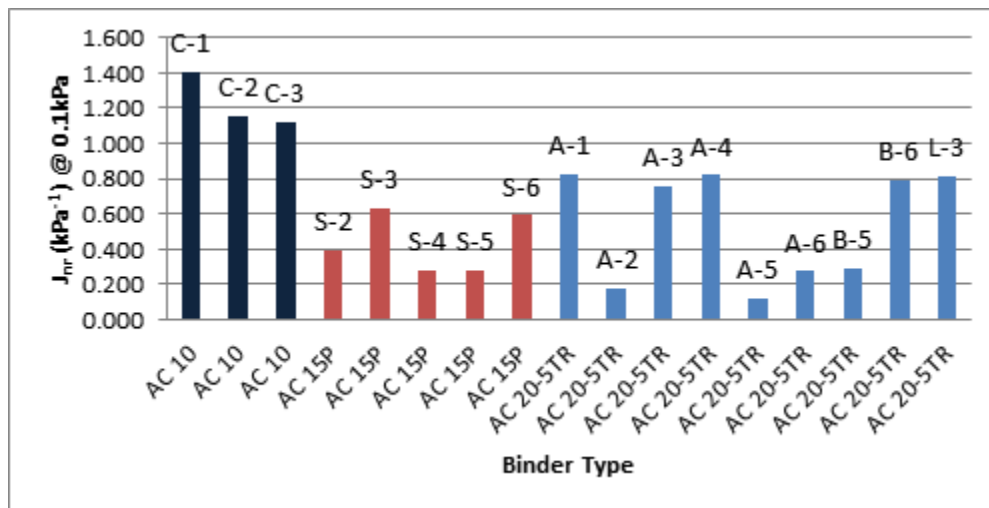
### MSCR Test Results

The MSCR test specified in AASHTO TP70 was conducted on unaged binders and emulsion residues to identify the elastic response and the change in the elastic response at two stress levels, 100 Pa and 3200 Pa (AASHTO 2010). The parameters measured in the MSCR tests—the non-recoverable creep compliance ( $J_{nr}$ ; the residual strain in the specimen after a creep and recovery cycle, relative to the amount of stress applied) and the percent recovery (the extent to which the sample returns to its previous shape after being repeatedly stressed and relaxed)—may indicate the binders’ resistance to flow and bleeding. Detailed MSCR results are shown in Appendix A.

There were no significant differences (using a two-tailed  $t$ -test at the 0.05 level of significance) between the  $J_{nr}$  and the percent recovery for residues obtained by the two recovery methods. As shown in Figure 20, the percent recovery exhibited by the modified binders was significantly greater than that of the unmodified binders at the test temperatures. Additionally, the non-recoverable creep compliance for all the modified binders was lower than that of the unmodified binders (Figure 21). The differences between the  $J_{nr}$  and percent recovery values for modified and unmodified binders were found to be statistically significant ( $p < 0.05$ ).



**Figure 20. Percent Recovery for Hot-Applied Binders.**

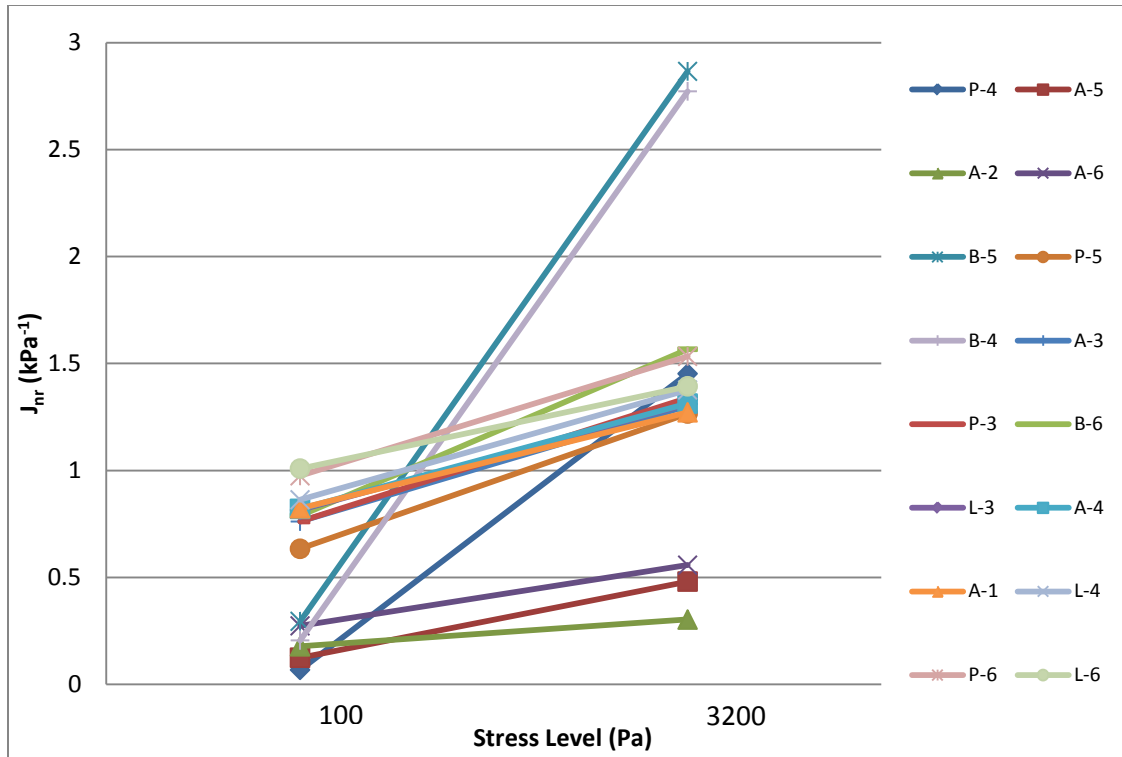


**Figure 21.  $J_{nr}$  at 0.1 kPa for Hot-Applied Binders.**

Some of the unmodified AC10 binders have  $J_{nr}$  values that are two to five times larger than those of the modified AC15P and AC20-5TR binders. It has been suggested that the

doubling of the  $J_{nr}$  value is equivalent to softening by one binder grade (King et al. 2010a; King et al. 2010b). This implies that these unmodified binders would receive binder grades much lower than the modified binders. AC10 binders were indeed graded lower (up to three grades lower) than the AC15P and AC20-5TR binders based on the DSR high temperature criteria. However, by the same rule, the AC20-5TR binder from HS B-5 should be at least one binder grade lower than the AC20-5TR binder from HS B-6 and other AC20-5TR binders. The grading results reveal that this is not true and shows a lack of correlation between the DSR high and MSCR results.

While the  $J_{nr}$  values for all binders increase with an increase in the stress level, the performance of samples belonging to the same binder type at the two stress levels was found to be inconsistent, as can be seen in Figure 22. For example, the AC20-5TR binders from HS B-4 and B-5 have among the lowest  $J_{nr}$  values ( $0.21 \text{ kPa}^{-1}$  and  $0.29 \text{ kPa}^{-1}$ , respectively) at 0.1 kPa but exhibit very high  $J_{nr}$  values ( $2.77 \text{ kPa}^{-1}$  and  $0.29 \text{ kPa}^{-1}$ , respectively) at the 3.2 kPa stress level. This is also reflected in the recovery values recorded for these binders, which were very high at the lower stress level and at less than 5 percent at the higher stress level. This has been explained by the disentanglement of polymer chains in modified binders in previous studies (D'Angelo 2010). For some binders, the increase in stress level caused the percent recovery values to reduce to values less than zero indicating lack of elasticity at high stress levels. This phenomenon was observed mostly among the unmodified CRS-2 and AC10 binders, but was also seen in one CRS-2P binder (HS B-3). Most of the unmodified binders had varying percent recovery values at 3.2 kPa ranging from 0.23 percent to around 50 percent. This difference in performance can be attributed to the superior polymer networks in the modified binders. Therefore, the percent recovery parameter can be used to identify the presence of elastomers.



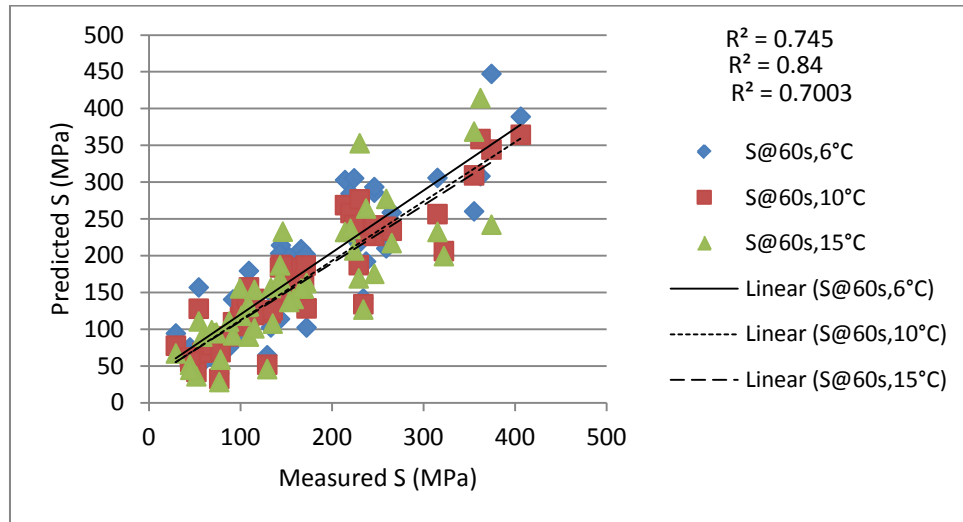
**Figure 22. J<sub>nr</sub> at 0.1 and 3.2 kPa for AC20-5TR Binders.**

Since the percent recovery reflects the elastic response of the materials, the MSCR results indicate that binders classified as AC15P, AC 20-TR, and CRS-2P among those tested will exhibit the best elastic response. All the materials tested in this project can be expected to perform well in terms of bleeding based on the limits for J<sub>nr</sub> (maximum value of 4 for standard traffic loads) proposed in newly developed HMA binder grading protocols (D'Angelo 2010). These limits, however, need to be revised through field validation to be suitable for surface treatment binders. The PSD for J<sub>nr</sub> at the 0.1 kPa and 3.2 kPa stress levels was 0.133 kPa<sup>-1</sup> and 0.134 kPa<sup>-1</sup>, respectively.

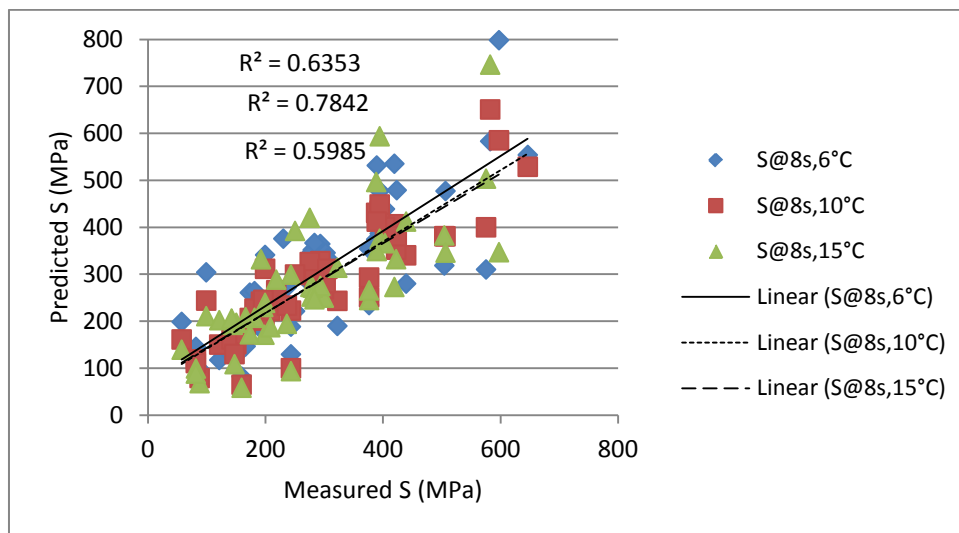
### Frequency Sweep Test Results

Frequency sweeps specified in AASHTO T315 (AASHTO 2008) were performed on PAV-aged binder samples in the DSR at frequencies ranging from about 0.01 Hz to 23.9 Hz and intermediate temperatures of 15°C, 10°C, and 6°C (The low-temperature capabilities of the DSR used did not allow reliable measurements below 6°C). The complex modulus G\* and phase angle δ obtained at these intermediate temperatures and frequencies were used to estimate the stiffness parameters (S and m-value) at -16°C and -19°C and 8 s and 60 s loading times using Equations

1, 2, and 3 proposed in SHRP Report A-369 (Anderson et al. 1994). These estimated S and m-values were compared with values obtained from BBR testing as shown in Figure 23–25 with detailed predicted and measured values provided in Appendix A.



**Figure 23. Comparison of Measured (BBR) S and Predicted (Frequency Sweep) S @ 60 s Loading Time.**

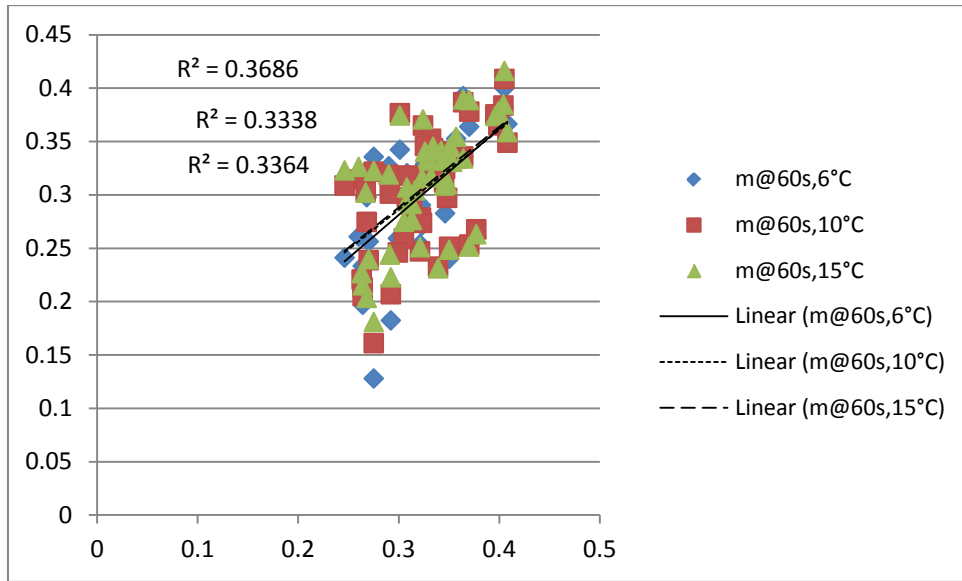


**Figure 24. Comparison of Measured (BBR) S and Predicted (Frequency Sweep) S @ 8 s Loading Time.**

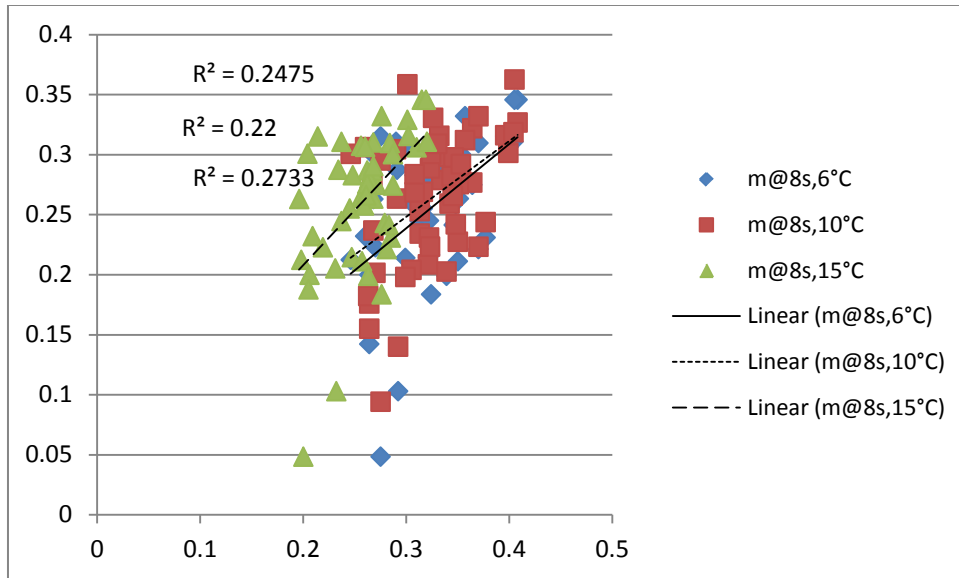
The DSR used in this study was capable of applying only a limited range of frequencies for which it is not possible to predict the BBR parameters directly at the low temperatures used in this study. However, it was possible to extrapolate the  $G^*$  and  $\delta$  values using the available DSR frequency sweep test results and, in turn, the BBR S and m-value in order to compare with



the measured BBR data. This method may not be suitable for accurately modeling BBR results for loading times of less than 60 s. This is evidenced in the poor correlation between the compared S values for 8 s loading time (Figure 24). The correlation between the predicted and measured m-values at both 8 s and 60 s loading times was much weaker than in the case of the creep stiffness values (Figure 25 and Figure 26). This could also be a result of the unreliability of the predictive equations at the very low BBR temperatures used in this study.



**Figure 25. Comparison of Measured (BBR) and Predicted (Frequency Sweep) m-values at 60 s Loading Time.**



**Figure 26. Comparison of Measured (BBR) and Predicted (Frequency Sweep) m-values at 8 s Loading Time.**

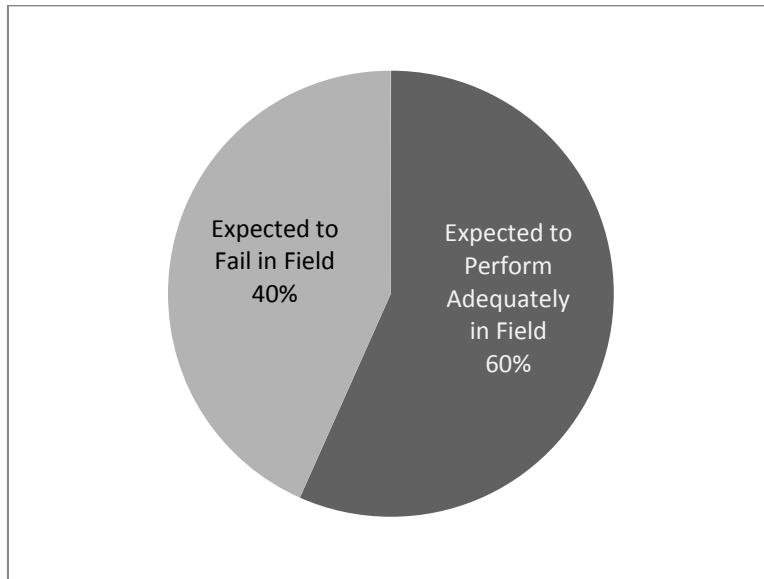
These results can be improved by conducting the BBR tests at a higher temperature (higher than  $-12^{\circ}\text{C}$ ) and the frequency sweep tests on a machine capable of temperatures lower than  $5^{\circ}\text{C}$ . However, the correlation between the predicted and measured S values is promising. With additional data, the frequency sweep test can be used to develop parameters to replace the S values obtained from the BBR for characterizing the low-temperature performance of binders.

### **Binder SPG Grading Results**

In the existing SPG specification, the  $G^*/\sin \delta$  threshold value at the higher temperature limit was set at 0.65 kPa based on validation of experimental results in previous studies. The threshold values for maximum creep stiffness, S, and minimum m-value measured in the BBR test were set at 500 MPa and 0.24, respectively. The SPG grade of each binder tested was determined on the basis of these criteria. In addition, for a binder to be considered as demonstrating adequate performance in the laboratory, the strain level at  $0.8G_i^*$  in the strain sweep test should be at least 25 percent according to the existing specification (Table 2).

Of the 30 HSs, 60 percent (18/30) of the binders tested meet the pavement surface temperature criteria (i.e., satisfy the expected environmental demand ( $T_{\text{HIGH}}-T_{\text{LOW}}$ ) at the HS at 98 percent reliability) and 40 percent (12/30) do not meet the criteria. These results are illustrated in Figure 27 and are summarized in Table 15. Binders that meet the temperature criteria are

expected to demonstrate adequate performance in the field, while those that fail are expected to exhibit inadequate performance. The SPG specification can be considered valid if this is true.



**Figure 27. SPG Test Results.**

All 12 of the binders that fail to meet the SPG temperature criteria fail at the lower temperature limit (as shown in Table 15 shaded and in *italics*). All of these binders failed to meet the BBR m-value limit at the low-temperature limit. Most of the sections with AC15P and AC20-5TR binders meet the SPG criteria. One of the two CRS-2 samples failed at both the high temperature limit and the low temperature limit, and all three AC10 samples also failed the SPG criteria at both temperature limits. Two CRS-2P samples and four AC20-5TR samples fail the SPG criteria at the low temperature limit. Of the 16 AC20-5TR samples tested, only four samples failed at the low temperature limit, as can be seen in Table 15 shaded and in *italics*. The temperature ranges shown in Table 15 include the required SPG grade (rounded in 3°C increments) and the environmental demand as the average extreme surface pavement temperature values obtained from the nearest weather station to a particular HS rather than the generalized average temperature ranges for the respective TxDOT districts (Table 9). These temperatures from the weather stations closest to the HSs selected in this project for SPG analysis are listed in Table 15 as environmental demand ( $T_{HIGH}-T_{LOW}$ ).

### *Effects of Binder Type on SPG Grading*

Generally, AC20-5TR materials, followed by CRS-2P and AC15P binders, exhibited superior SPG grades in terms of the DSR high temperatures at the prescribed SPG threshold values. The highest and lowest SPG grade temperatures measured for AC20-5TR were 79°C and -22°C, respectively. At the higher temperature limit, the lowest measured SPG grade temperature was 64°C (CRS-2 and AC10 binders). The highest temperature measured at the lower temperature limit was -10°C (CRS-2 and CRS-2P binders). Differences in SPG grades among different binder types alone does not indicate differences in field performance, which can be affected by many influencing factors that are beyond the scope of this study and the SPG specification that controls performance-related properties in service.

It is unclear why some modified binders (AC20-5TR and CRS-2P) exhibited inadequate performance in the laboratory, while other similar binders successfully met the SPG temperature criteria. All the modified binders that fail the SPG criteria fail at the lower temperature limit with the majority only failing the m-value criteria. Most of the modified binders that failed the SPG criteria in the laboratory were observed to demonstrate adequate overall field performance, as discussed later in this chapter. In five cases (for binders from HSs B-6, L-4, P-2, P-3, and S-3), failure to meet the SPG grade required for the specific environment is due to the temperature grade increment used to round temperatures in the SPG grade and not because of the insufficient performance of the binder itself.

Furthermore, binders classified as the same type based on the current specifications exhibited different grades and expected performance according to the SPG specification. This can be attributed to differences in production, additives, and modifiers used. A typical example is shown in Table 17 for AC20-5TR binders. Based on the principles of the SPG specification, it can be concluded that the binder from HS A-1 can be expected to withstand a narrower range of temperatures than the binder from HS L-3. However, other factors may affect the performance of these binders in the field.

**Table 17. Differences in SPG Grade for Same Binder Type.**

HS ID	Binder Type	SPG Grade
A-1	AC20-5TR	70-13
L-3	AC20-5TR	73-16

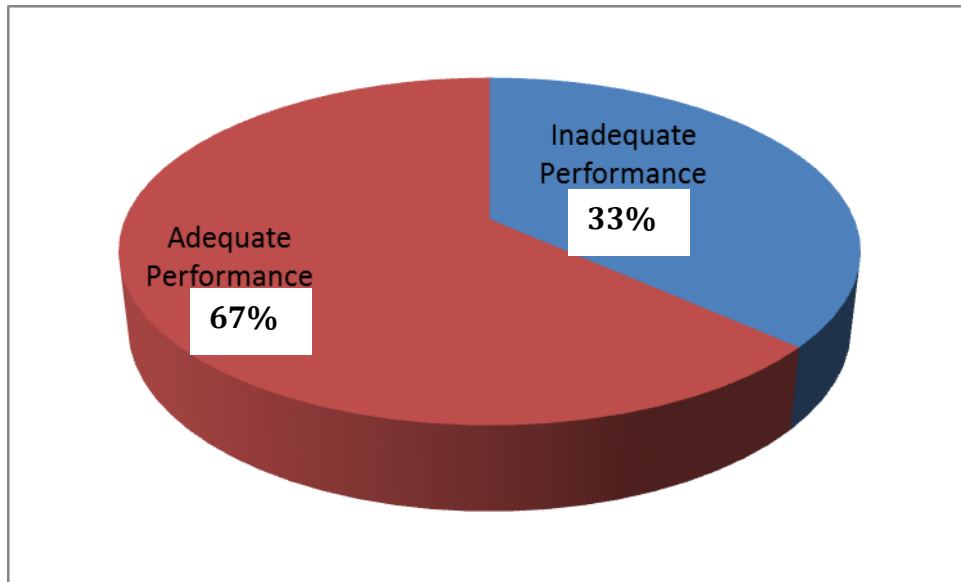
### *Environmental Temperatures and Binder Grade Increment*

As mentioned previously, some binders failed to meet the required SPG grade because of the 3°C grade increment. For instance, the AC20-5TR binder on HS B-6 has an SPG grade of SPG 76-16 and passed when tested at a  $T_{LOW}$  for the corresponding environment of  $-18^{\circ}C$  ( $S < 500$  MPa and  $m\text{-value} > 0.24$ ). However, it was not possible to grade this binder as SPG 76-18 because the 3°C temperature increment does not include this limit ( $-18^{\circ}C$ ) in the grading system. Therefore, the binder has to be graded as SPG 76-16, which would appear to be a failure based on the required SPG 70-19 grade which includes rounding the environmental demand ( $67\text{-}18^{\circ}C$ ), although the binder meets the environmental temperature demand. Thus the binder for HSs B-6, L-4, P-3, P-3, and S-3 are considered to pass the SPG specification.

### **FIELD PERFORMANCE MONITORING RESULTS**

Visual condition surveys were performed on 30 field sections at construction, one summer and one winter after construction, and one year after construction. At the second performance monitoring session one summer and one winter after construction, 87 percent (26 of 30) of the HSs exhibited adequate performance (with SCI equal to or greater than 70 percent) in terms of the combined weighted distresses of aggregate loss and bleeding. Thirteen percent (4 of 30) exhibited inadequate performance (SCI less than 70 percent).

By the time of the third performance monitoring session one year after construction in July 2012, more sections exhibited inadequate performance, with about 33 percent (10 of 30) sections failing in the field (Figure 28). Most of the sections constructed with unmodified binders (B-1, B-2, C-2, and C-3) as well as six sections with modified binders (A-1, P-3, P-6, S-3, S-4, and S-5) showed inadequate performance one year after construction.

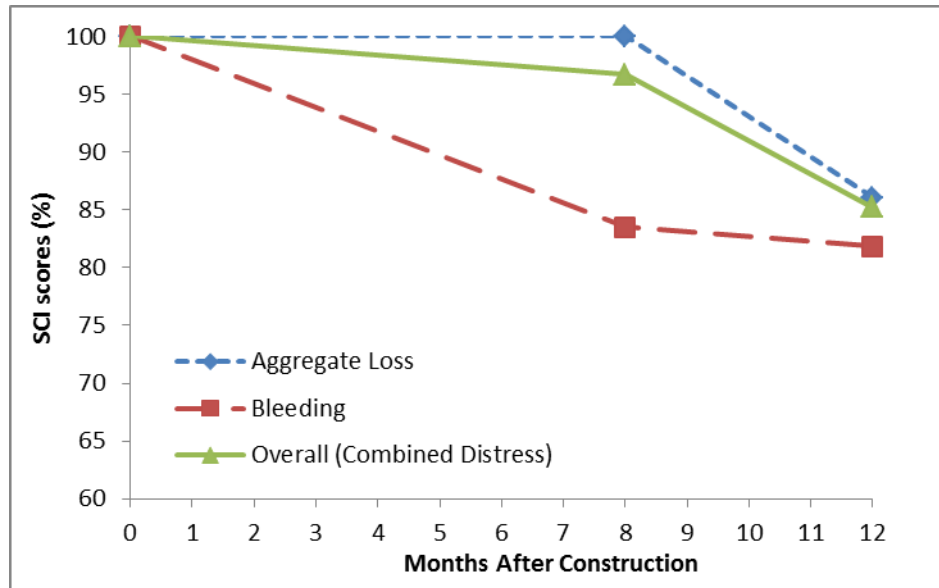


**Figure 28. Field Performance Monitoring Results One Year after Construction.**

This section presents some examples of adequate and inadequate performance in relation to the binders used. Other factors that may impact surface treatment performance are also discussed. These include environmental conditions, aggregates, traffic, and existing pavement conditions prior to the surface treatment. Factors such as design, construction, and quality control that may also affect the performance of surface treatments were beyond the scope of this study.

**Example of Adequate Performance, SCI = 70 Percent**

Almost all the binders included in this study exhibited adequate overall performance one summer and one winter after construction. One year after construction, approximately two-thirds of the HSs still exhibited adequate overall performance. An example of adequate field performance is shown in Figure 29 for HS L-6.



**Figure 29. Example of Adequate Performance—HS L-6.**

The performance of HS L-6 is adequate both in terms of the individual distresses and the overall combined distress with SCI scores greater than 70 percent. The SCI score for this section was 97 percent after the first summer and winter from construction and 85 percent after one year from construction. This is also reflected in the digital picture of the section in Figure 30. The materials used on this section were AC20-5TR and lightweight aggregate. This section is located in an environment of 65-15°C at 98 percent reliability with a required grade of SPG 67-16. The ADT was approximately 5475 veh/day on this section.

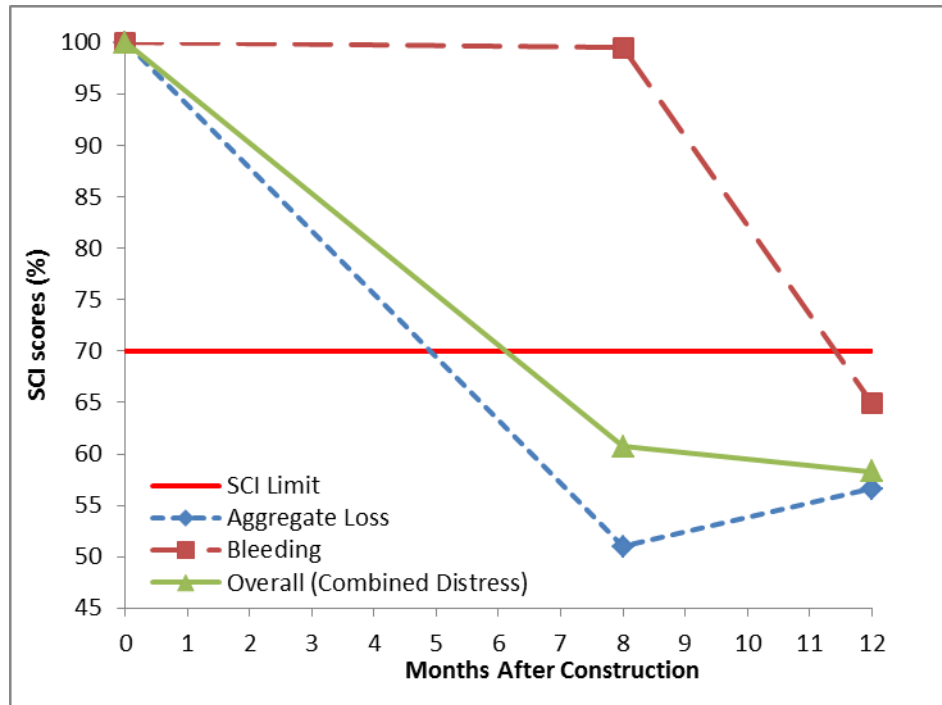


**Figure 30. Example of Adequate Performance—HS L-6.**

#### **Example of Inadequate Performance, SCI < 70 Percent**

Only four HSs demonstrated inadequate performance one summer and one winter after construction, but more sections with unmodified binders as well as some with modified binders demonstrated inadequate performance at the monitoring session one year after construction. Figure 31 and Figure 32 show an example of inadequate performance in terms of both aggregate loss ( $SCI_{AL} = 57$  percent) and bleeding ( $SCI_{BL} = 65$  percent) for HS B-1. This section received a surface treatment with CRS-2 binder and limestone aggregate. The ADT on this section was recorded at approximately 270. This section experiences temperatures in the range of 67-20°C at 98 percent reliability and requires a grade of SPG 70-22.





**Figure 31. Example of Inadequate Performance—HS B-1.**



**Figure 32. Example of Inadequate Performance—HS B-1.**

### Effects of Aggregates on Performance

Sections with limestone, gravel, and limestone rock asphalt aggregates appeared to exhibit better field performance than sections with lightweight and sandstone aggregates. Most of the sandstone, limestone, and lightweight aggregates were precoated. Precoated aggregates have been found to perform better than uncoated aggregates in the past and have been recommended for improving binder-aggregate adhesion. This trend was also observed in the case

of sections from TxDOT Project 1710; most of the sections that are performing adequately 10 years after construction in this study were constructed with pre-coated aggregates.

### **Effects of Traffic on Performance**

Sections with high traffic levels exhibited distresses in the form of bleeding as well as aggregate loss. The section with the highest volume of traffic in this study, HS A-5, exhibited severe bleeding. Aggregate embedment was also very high in the wheelpath for this section and for others with high traffic levels. HS A-5 received a surface treatment with AC 20-5TR binder and sandstone aggregate (Table 7). The ADT on the section was approximately 7550, and it experienced temperatures in the range of 65-16°C at 98 percent reliability and requires a grade of SPG 67-16. Its performance was inadequate in terms of bleeding with an  $SCI_{BL}$  score of 38 percent one year after construction. HS A-6, which faced similar traffic levels with an ADT of 7440, tentatively or marginally passed because of aggregate loss ( $SCI_{AL} = 71$  percent) one year after construction. This section was constructed with the same binder and aggregate type as HS A-5. The condition of HS A-6 one year after construction is shown in Figure 33.



**Figure 33. Field Performance under Heavy Traffic—HS A-6.**

### **Effects of Existing Pavement Condition on Performance**

One of the pre-existing conditions that affected the performance of the surface treatments was cracking in the underlying structure. For example, HSs P-3 and P-4 exhibited longitudinal and transverse cracks, as can be seen in Figure 34.



**Figure 34. Example of Longitudinal and Transverse Cracks on HS P-3.**

These sections also exhibited poor performance in terms of aggregate loss and bleeding one year after the application of the surface treatment: HS P-3 has an  $SCI_{AL}$  score of 60.1 percent and HS P-4 fails due to bleeding with an  $SCI_{BL}$  score of 75.5 percent.

### **Aggregate Embedment**

For the HSs surveyed in this study, aggregate embedment ranged between 20 and 95 percent in the wheelpath and 10 to 80 percent between the wheelpaths. High aggregate embedment was usually accompanied by bleeding. Aggregate embedment was often high on HSs with high traffic volumes.

### **THE SPG SPECIFICATION VERSUS FIELD PERFORMANCE**

For a laboratory result to be classified as  $Pass_{LAB}$ , the corresponding binder must meet the HS environmental demand at a reliability level of 98 percent (for example, HS L-1 has a binder graded as SPG 76-19, while the environmental demand of the section is 66-16°C with a required grade of SPG 67-16). In contrast, a laboratory result is classified as  $Fail_{LAB}$ , when the binder does not meet the environmental demand of the HS at the 98 percent reliability level (for example, HS A-1 has a binder graded as SPG 70-13, while the environmental demand of the section is 66-18°C with a required grade of SPG 67-19). If a binder is classified as  $Fail_{LAB}$ , it

may be unsuitable for use in the expected temperature conditions at the HS. Binders were initially classified as  $Pass_{LAB}$  or  $Fail_{LAB}$  on the basis of the key SPG parameters (Table 1)— $G^*/\sin \delta$ ,  $S$ , and  $m$ -value—relative to the corresponding environmental conditions at a 98 percent reliability level (Table 15).

Field performance results are classified as  $Pass_{FIELD}$  if the HS performs adequately with limited or no visual distresses represented by an SCI score equal to or greater than 70 percent. In contrast,  $Fail_{FIELD}$  indicates inadequate performance of the surface treatment and an SCI score of less than 70 percent. Field results were categorized using these criteria in terms of aggregate loss (indicated by an  $SCI_{AL}$  of less than 70 percent), bleeding (indicated by  $SCI_{BL}$  of less than 70 percent), or overall performance (indicated by SCI of less than 70 percent). Based on variability in field performance evaluation discussed subsequently, some HSs with SCI scores between 70 and 75 were tentatively classified as  $Pass_{FIELD}$  to indicate marginal performance (Table 15). These SCI thresholds were modified from those shown in Table 13 proposed for use in this study based on previous research.

Laboratory and field performance results were considered to be correlated when a  $Pass_{LAB}$  according to the SPG laboratory criteria matched a  $Pass_{FIELD}$  in terms of field performance, or when a  $Fail_{LAB}$  in the laboratory tests corresponded to a  $Fail_{FIELD}$  in the field observations. The results were considered to be not correlated when a  $Pass_{LAB}$  according to laboratory results matched a  $Fail_{FIELD}$  according to the field observations or a  $Fail_{LAB}$  according to the laboratory results matched a  $Pass_{FIELD}$  in the field. The most concerning results are those in which a binder is categorized under  $Pass_{LAB}$  in the laboratory but exhibits inadequate performance in the field and is classified as  $Fail_{FIELD}$ . A comparison of the SPG laboratory versus field performance results is presented in detail in Table 15, with those HSs classified tentatively or marginally as  $Pass_{FIELD}$  in the field and categorized as  $Pass_{LAB}$  in the laboratory also indicated as correlated.

For 60 percent (18 of 30) of the HSs, the SPG binder grade predictions based on the laboratory results were correlated with field performance one summer and one winter after construction. At the time of the third performance monitoring session one year after construction, 67 percent (20 of 30) of the field performance results were correlated with the laboratory results ( $Pass_{LAB}$  and  $Pass_{FIELD}$  or  $Fail_{LAB}$  and  $Fail_{FIELD}$ ). Six of these sections showed inadequate field

performance ( $\text{Fail}_{\text{LAB}}$  and  $\text{Fail}_{\text{FIELD}}$ ) while 14 exhibited adequate performance one year after construction ( $\text{Pass}_{\text{LAB}}$  and  $\text{Pass}_{\text{FIELD}}$ ).

About 40 percent (22 of 30) of the HSs also did not exhibit field performance one summer and one winter after construction that correlated with the laboratory predictions. One year after construction, this reduced to 33 percent (10 of 30) of HSs that did not correlate ( $\text{Pass}_{\text{LAB}}$  and  $\text{Fail}_{\text{FIELD}}$  or  $\text{Fail}_{\text{LAB}}$  and  $\text{Pass}_{\text{FIELD}}$ ). The SPG predicted adequate performance in the laboratory when the field performance was inadequate for only 13 percent (4 of 30) of the HSs ( $\text{Pass}_{\text{LAB}}$  and  $\text{Fail}_{\text{FIELD}}$ ). For 20 percent of the HSs (6 of 30), field performance was, in fact, adequate (SCI greater than 70 percent) when the laboratory results predicted otherwise ( $\text{Fail}_{\text{LAB}}$  and  $\text{Pass}_{\text{FIELD}}$ ).

A comparative analysis of the laboratory and field performance results is presented in this section through examples and discussion.

#### **Good Correlation: $\text{Pass}_{\text{LAB}}$ – $\text{Pass}_{\text{FIELD}}$ (SCI $\geq$ 70 Percent)**

The SPG grade based on the laboratory results and the field performance results were both found to be adequate for HS L-6, as shown in Figure 29 and Figure 30. The binder grade obtained from the SPG laboratory tests was SPG 70-19, which satisfies the expected environmental demand (65-15°C) for the HS at 98 percent reliability and the required grade of SPG 67-16. This laboratory result predicts adequate performance in the field ( $\text{Pass}_{\text{LAB}}$ ) and is consistent with the observed adequate field performance ( $\text{Pass}_{\text{FIELD}}$ ). The HS received an overall SCI score of 85 percent one year after construction, which correlates with the SPG binder grade obtained from the laboratory results. Similar results were obtained for 13 other HSs one year after construction (Table 15).

HS L-6 received a surface treatment with AC20-5TR and lightweight aggregate. The ADT observed on this section was approximately 5475. The binder application rate was 0.34 gallons per square yard (gal/sy) sprayed at 340°F (171°C) consistent with TxDOT recommendations.

Although the overall SCI score and the SPG grading results are correlated for all of these sections included in this category, four sections (A-2, A-4, A-5, and L-4) demonstrate inadequate resistance to bleeding and three sections (A-3, L-1, L-3) demonstrate inadequate resistance to aggregate loss (Table 15).

### **Good Correlation: Fail<sub>LAB</sub> – Fail<sub>FIELD</sub> (SCI < 70 Percent)**

The SPG grade predictions matched the field performance for another set of field sections. Two HSs exhibited inadequate performance in the field one summer and one winter after construction (Fail<sub>FIELD</sub>) and had SPG laboratory results that predicted such performance (Fail<sub>LAB</sub>). At one year after construction, six HSs performed inadequately in the field (Fail<sub>FIELD</sub>) and had binders that performed inadequately in the laboratory (Fail<sub>LAB</sub>) (Table 15). Figure 31 shows the SCI scores for HS B-1, and Figure 32 shows an example of the distresses observed at the section.

HS B-1 received a surface treatment with CRS-2 binder and precoated limestone aggregate. The ADT observed on this section was approximately 270, and the binder application rate was 0.48 gal/sy. The CRS-2 binder had a laboratory binder grade of SPG 64-10, which fails to meet the expected temperature range of 67-20°C at 98 percent reliability and the required grade of SPG 70-22. This Fail<sub>LAB</sub> in terms of SPG specification corresponds to a Fail<sub>FIELD</sub> in terms of field performance, with the HS having an overall SCI score of 58 (less than 70). Aggregate loss was more predominant than bleeding on this section.

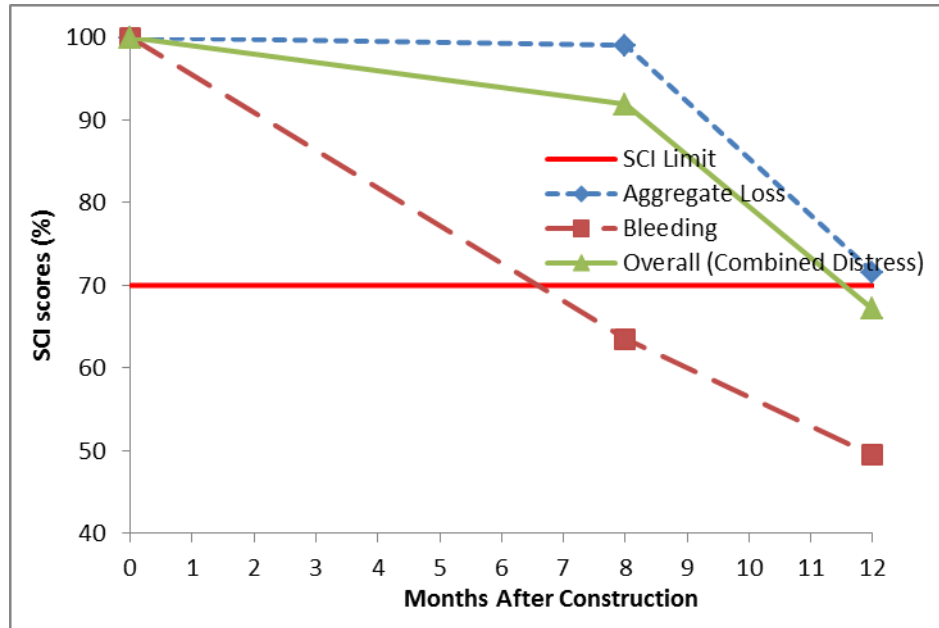
In addition to the properties of the binder, construction quality, material application rates, and quality control problems may have added to the inadequate performance of this field section.

### **No Correlation: Pass<sub>LAB</sub> – Fail<sub>FIELD</sub> (SCI < 70 Percent)**

Four HSs (P-3, S-3, S-4 and S-5) exhibited inadequate performance in the field (Fail<sub>FIELD</sub>) one year after construction but were constructed with binders that passed the SPG temperature specification (Pass<sub>LAB</sub>). HSs S-3, S-4, and HS S-5 received treatments with AC15P binders and limestone aggregate. HS P-3 received a treatment with AC20-5TR binder and limestone aggregate. These binders meet the expected environmental demand at the sections according to the SPG laboratory results, but the corresponding HSs perform poorly with SCI scores less than 70 percent. All of these sections fail or tentatively or marginally Pass<sub>FIELD</sub> because of both excessive aggregate loss and excessive bleeding except HS P-3 that failed only due to excessive aggregate loss.

An example of a Pass<sub>LAB</sub> in the SPG specification and Fail<sub>FIELD</sub> in the field (HS S-5) is shown in Figure 35 and Figure 36. The AC15P binder on this section had an SPG grade of SPG 73-19, which meets the environmental temperature demand of 65-14°C at 98 percent reliability

and the required grade of SPG 67-16. The section was constructed with limestone aggregates. The ADT on this section was approximately 5571. The binder application rate was approximately 0.3 gal/sy of prayed at 350°F (177°C).



**Figure 35. Inadequate Performance on HS S-5 (SCI = 67%).**

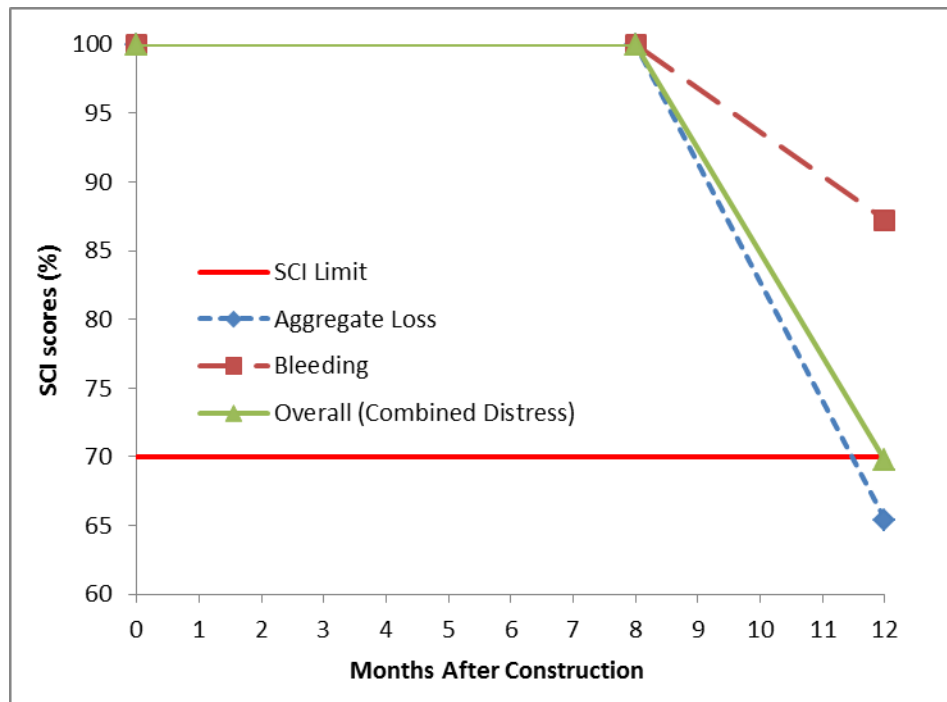


**Figure 36. Example of Inadequate Performance on HS S-5.**

The discrepancy in the SPG grading and field performance results for all of these HSs may be partially explained by the relatively high traffic volumes that could have caused performance problems in the field.

**No Correlation: Fail<sub>LAB</sub> – Pass<sub>FIELD</sub> (SCI ≥ 70 Percent)**

In this study, six HSs demonstrated adequate field performance (SCI ≥ 70 percent) one year after construction but received surface treatments with binders that performed inadequately in the laboratory tests. Of these six sections, three received treatments with AC 20-5TR binders. The other binders that failed according to the SPG temperature specifications but performed adequately in the field were CRS-2P and AC10 binders. For example, HS B-3 had an overall SCI score of 99 percent one summer and one winter after construction (Figure 37 and Figure 38) and 70 percent one year after construction, while the CRS-2P binder on this section has an SPG grade of SPG 70-10 in an expected environment of 67-18°C at 98 percent reliability with a required grade of SPG 70-19.



**Figure 37. Adequate Performance on HS B-3 (SCI = 70%).**





**Figure 38. Example of Adequate Performance on HS B-3.**

The aggregate on HS B-3 was limestone, and the ADT was approximately 2014. The possible cause of the lack of correlation between the laboratory and field observations could be the somewhat low traffic volume on this section. Low traffic levels ( $ADT < 3000$  veh/day) could also explain the discrepancy in results for three other sections (B-4, C-1, and P-1). The distresses expected on these sections owing to the binder properties may appear over time with increasing traffic and age, and for HS B-3 with a marginal  $Pass_{FIELD}$ , failure in the field is expected to be soon.

Additionally, for all of the sections in this category, although the overall SCI score was greater than 70 percent one year after construction, the SCI scores for individual distresses were less than or close to 70 percent. All of the HSs in this category have  $SCI_{AL}$  scores less than or close to 70 percent, and only HS B-5 has a  $SCI_{BL}$  scores close to 70 percent. However, because the overall SCI score is a weighted average of  $SCI_{AL}$  and  $SCI_{BL}$ , these sections receive adequate SCI scores and are classified as  $Pass_{FIELD}$ . HSs A-6 and B-5 experienced high traffic volumes. The AC20-5TR binders on these sections and HS B-4 failed the BBR test criteria, which could have caused aggregate loss problems at the low temperatures these pavements experienced. Similarly, HSs C-1 and P-1 were constructed with AC10 and CRS-2P binders, respectively, which also failed the SPG low-temperature criteria and have very low  $J_{nr}$  percent recovery values. Moreover, the AC10 binder on HS C-1 failed the SPG high-temperature criterion in

addition to the low-temperature criteria. These inferior binder properties observed in the laboratory could have caused the failure of these sections in terms of aggregate loss in the field. In addition, the high traffic volume on HSs A-6 and B-5 could have contributed to the distress observed on these sections.

Furthermore, inconsistency in the properties of the sampled AC20-5TR binders on HSs A-6, B-4, and B-5 may significantly contribute to the differences in performance in laboratory tests and the field.

## **DISCUSSION**

Given the random selection of the pavement sections based on construction schedules and the lack of control over construction practices and design modifications, these results are valid and can be used to improve the SPG specification. The discrepancies in laboratory and field results discussed in the previous section may possibly be addressed by adjusting the existing SPG specification and adding additional parameters. While the section-specific causes of these discrepancies have been discussed in the previous section, reasons for inconsistent field performance results are presented subsequently.

### **Material Variability and Testing Procedures**

In addition to the properties of the binders and the aggregates used in the surface treatments, variability, sampling, transportation, and storage of the materials as well as the test method employed could have created differences between observed performance in the laboratory and the field.

#### *Variability*

Some laboratory failures in terms of the SPG temperature criteria could have been because of the variability in the binders sampled. A wide variation was observed in the laboratory SPG grade of AC 20-TR binders from the same supplier for sections in the same district (Atlanta). The AC 20-5TR binders applied on HS A-1 and A-6 failed to meet the SPG low-temperature criteria, while other AC 20-5TR binders in the same environmental zone passed the SPG tests. However, all the sections except HS A-1 with these binders exhibited adequate performance in the field.

### *Time, Transportation, and Storage Effects*

While most of the binders were tested as soon as possible after sampling, the BBR test could not be performed on some samples until later in the study owing to technical problems with the testing equipment. This delay in testing could have contributed to inaccurate results. The materials could have been adversely affected by transportation and segregation during storage, despite the care taken to store the binder samples at cold temperatures.

### *Characterization of Aged Binder Properties*

In order to characterize the low-temperature properties of the emulsion residues and hot-applied binders tested in this study, the binders were aged in the PAV for 20 h at 100°C. This laboratory aging of the binders in the PAV is believed to simulate approximately one year of aging in the field for surface treatments (Epps Martin et al. 2001; Walubita and Epps Martin 2005b). However, further validation of this relationship might be required to ensure that aging is simulated accurately.

The possibility of replacing the low-temperature BBR test with the intermediate-temperature DSR frequency sweep test has been explored in this study. By grading the binders using the S and m-value predicted from the frequency sweep results, it was found that only 11 of the 30 binders failed to meet the SPG temperature criteria. Only two CRS-2P binders from HSs B-3 and P-1 failed to meet the low-temperature criteria when graded using measured BBR values but passed the criteria when graded using the predicted BBR values. In addition, one AC 20-5TR binder from HS B-6 passed the low-temperature criteria with measured values but failed using predicted values. These three sections exhibited marginally adequate field performance. It might be possible to obtain more values suitable for reliably predicting the BBR parameters at much lower temperatures with a more versatile DSR instrument. The relationship between frequency sweep results and BBR results should be investigated in future studies.

### **SPG Grading Temperature Increment**

Some binders failed to meet the SPG temperature criteria in the laboratory tests because of the 3°C grade increment (noted in Table 15). In the comparison of laboratory and field results, continuous grading as described in ASTM D7643(80) was utilized for a more robust analysis, but the problem of grade increments will persist regardless of the size of the temperature increment used and cannot be avoided without creating a large number of SPG grades. By

rounding up the required temperature values and rounding down the laboratory grade, the SPG specification introduces additional conservatism.

### **Field Performance Evaluation**

Three performance monitoring sessions were conducted as part of this study—at construction, one summer and one winter after construction, and one year after construction. These performance monitoring sessions are expected to capture the most critical time in the life of the surface treatment.

Despite its simplicity and clarity, the visual survey-based performance evaluation system used in this study is subjective. Particular care was taken to use the same evaluator for the two sets of inspections to improve the consistency of the results. Two to four test sections were monitored for each HS to obtain a more complete and accurate picture of the field performance. An analysis of variability one year after construction indicates a pooled standard deviation (PSD) for SCI of 8.7 with this same value decreasing to 7 if the two most variable and two least variable HSs are removed. Based on these results and engineering judgement, HSs with an SCI score between 70 and 75 were tentatively or marginally classified as  $Pass_{FIELD}$  in the field and correlation with a  $Pass_{LAB}$  in the laboratory was considered possible. In addition, digital images of the surveyed sections were collected at the time of the survey, allowing the verification of recorded distress levels. Therefore, it is expected that the performance evaluation method itself did not adversely affect the field performance results in this study.

### **Design and Construction Practices**

The SPG specification assumes that the material application rates and construction practices met TxDOT's design procedures and standard practices. Issues such as design, construction, and quality control are beyond the scope of this study, but they may have caused some sections to perform inadequately in the field. To achieve a long-lasting surface treatment, a specification like the SPG for binder selection to ensure adequate performance in service must be used in conjunction with other specifications, guidelines, and quality control/quality assurance procedures. Therefore, the application of the SPG specification does not necessarily ensure adequate performance of surface treatments, only that the binder selected will not contribute to inadequate performance.

## SPG Threshold Values

The temperature criteria included in the existing SPG specification include a minimum value of 0.65 kPa for the DSR parameter,  $G^*/\sin \delta$  at the high temperature limit, and a maximum value of 500 MPa and a minimum value of 0.24, respectively, for the BBR parameters, S and m-value, at the lower temperature limit. Figure 39 and Figure 40 show the key performance-related binder properties ( $G^*/\sin \delta$  from the DSR and S from the BBR) used in the SPG specification for the surface treatment binders from each HS and the corresponding SCI score coded with + for adequate performance ( $SCI > 75$ ), x for inadequate performance ( $SCI < 70$ ), and - for tentatively adequate or marginal performance ( $70 \leq SCI \leq 75$ ). In Figure 39 and Figure 40, SCI scores are shown above the symbol to indicate overall performance and AADT for 2011 is provided under the symbol. In addition, the strain sweep results ( $\% \gamma$  at  $0.8 G_i^*$  from the DSR) were similarly compared with the field performance results in Figure 41 to develop an improved limiting value for the percent strain parameter based on the larger dataset available in this study. These comparisons are summarized in Table 18 and discussed subsequently along with comparisons of other laboratory tests (m-value from the BBR and MSCR) and field results.

### $G^*/\sin \delta$

Most of the binders tested in this study had  $G^*/\sin \delta$  (pooled standard deviation (PSD) = 0.05 kPa) values greater than 0.65 kPa, as can be seen in Figure 39, along with the SCI and traffic volume. Those binders that fall below the DSR high temperature limit were expected to fail in the field. As can be seen in Figure 39, all the sections that are under the DSR high temperature limit received SCI scores of less than (shown with “x”) or near 70 percent (shown with “-“) one year after construction. Consideration was given to moving the threshold to 0.9 kPa, but this change would only improve the correlation by one HS. Thus, the existing threshold for this parameter was maintained with a tie to field performance for the majority of 30 HSs in this study and 45 HSs in TxDOT Project 0-1710.

Three HSs that did not correlate with  $Pass_{LAB}$  and  $Fail_{FIELD}$  actually failed some of the key SPG tests (excluding m-value) and/or the candidate strain sweep test. The other three HSs in this same category had high traffic levels. The only HS with  $Fail_{LAB}$  and  $Pass_{FIELD}$  had very low traffic and may fail in the near future with the cumulative effects of traffic and environmental loads.

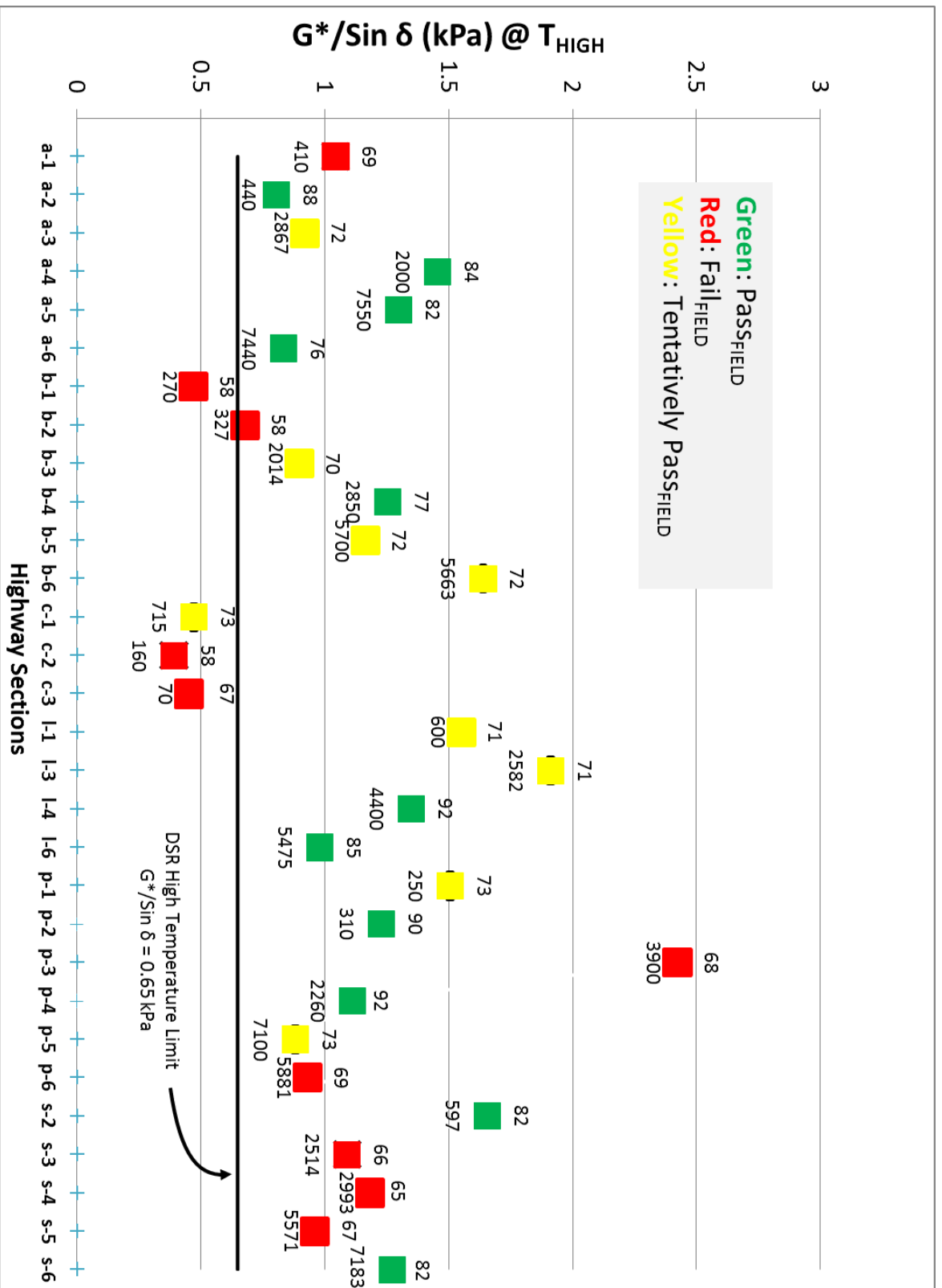


Figure 39.  $G^*/\sin \delta$  for All HSs.

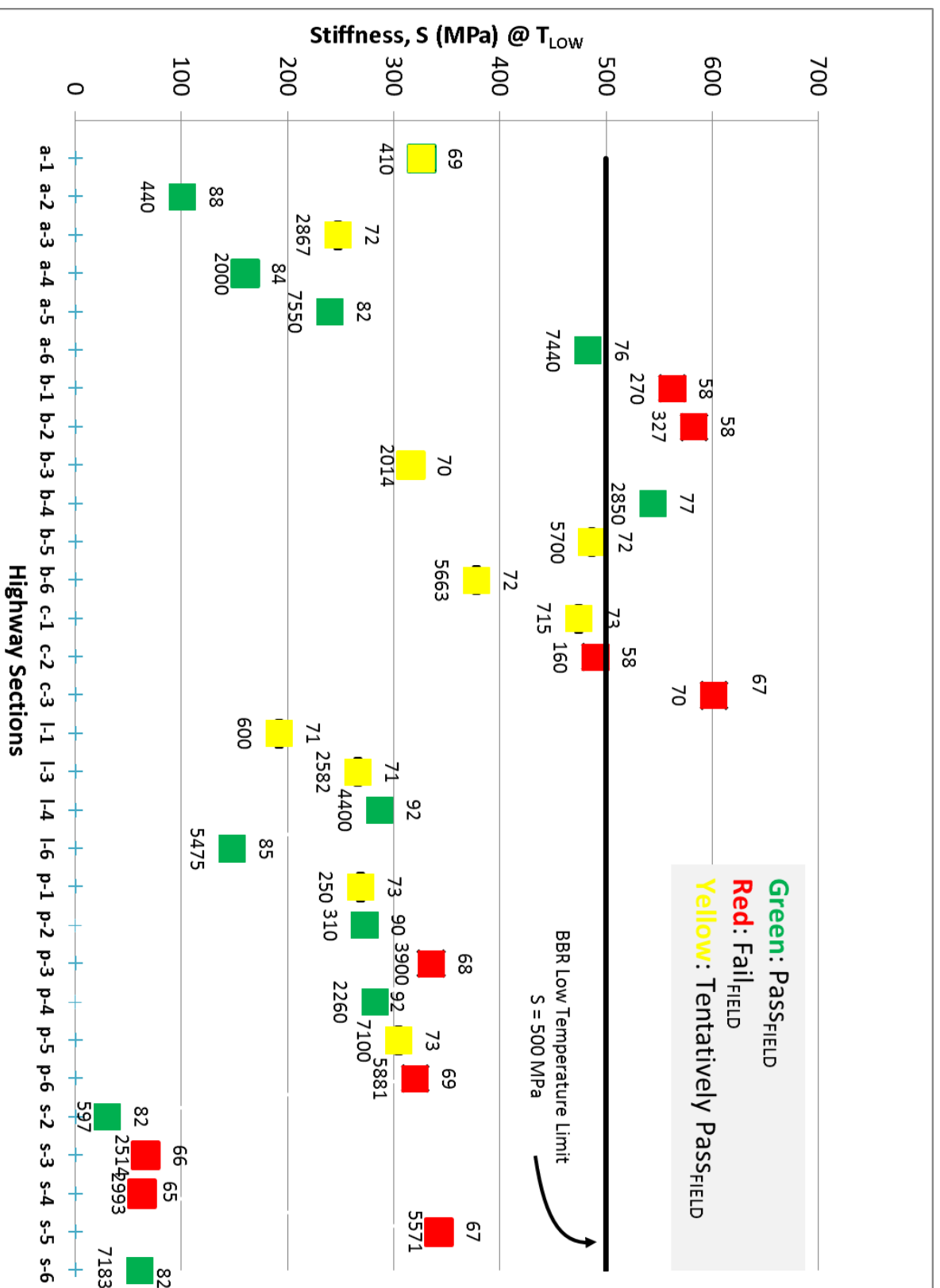


Figure 40. Creep Stiffness for All HSSs.

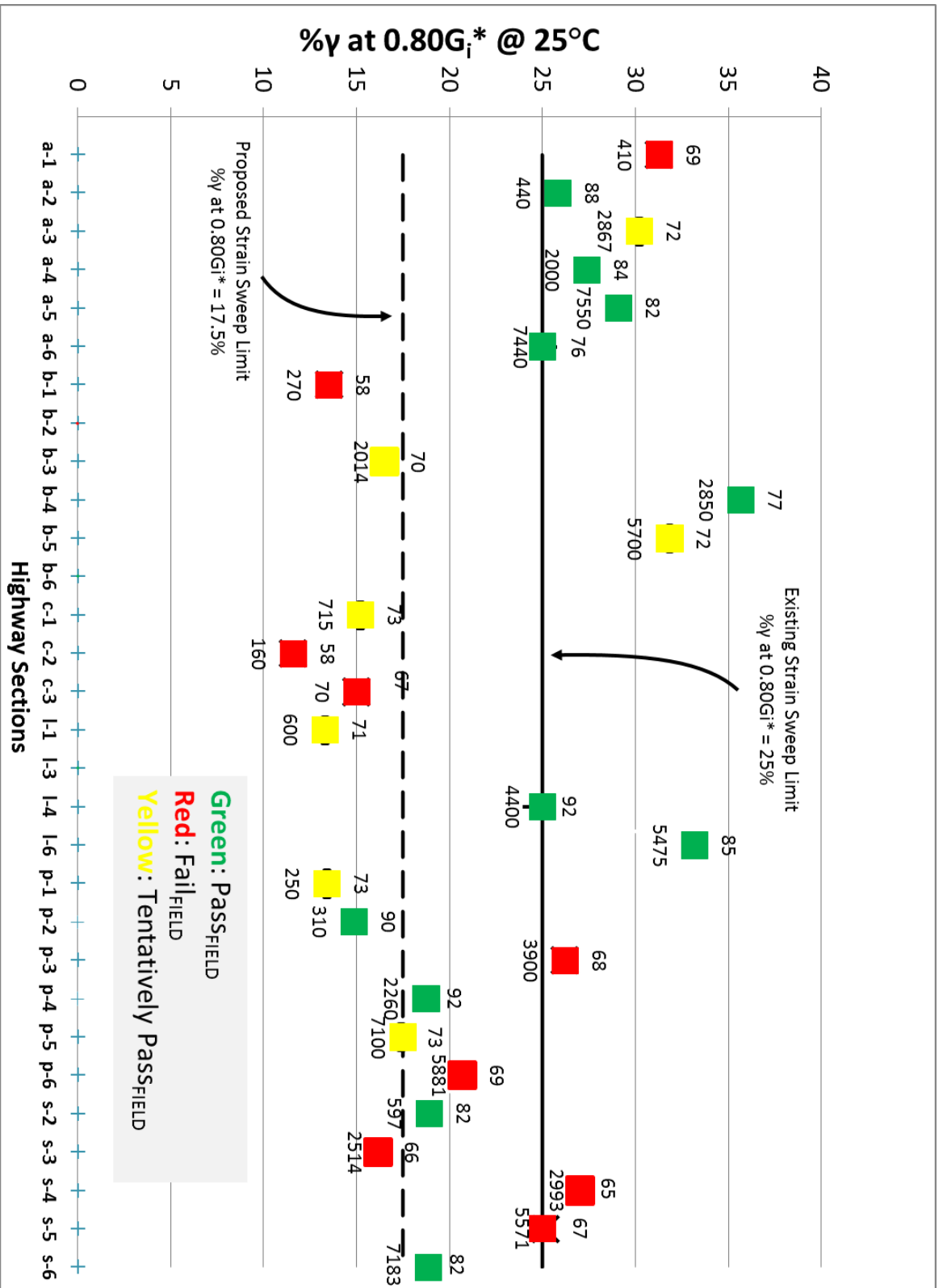


Figure 41. Strain Sweep Results for All HSS.



**Table 18. Correlation between Laboratory and Field Performance Results.**

Parameter	Existing SPG Limit	Laboratory <sup>1</sup> vs. Field <sup>2</sup> Results	Comments on Uncorrelated HS	Revised Laboratory vs. Field
DSR $G^*/\sin \delta$ $T_{HIGH}$	Min 0.65 kPa	Correlated Pass <sub>LAB</sub> -Pass <sub>FIELD</sub> : 18 Fail <sub>LAB</sub> -Fail <sub>FIELD</sub> : 4	Pass <sub>LAB</sub> -Fail <sub>FIELD</sub> b-2 fails with inadequate S & m-value a-1 fails with inadequate m-value p-3, s-4, & s-5 have high traffic p-6 fails with inadequate strain tolerance & m-value s-3 fails with inadequate strain tolerance	NA
		Uncorrelated Pass <sub>LAB</sub> -Fail <sub>FIELD</sub> : 7 Fail <sub>LAB</sub> -Pass <sub>FIELD</sub> : 1	Fail <sub>LAB</sub> -Pass <sub>FIELD</sub> c-1 has very low traffic	
BBR S @ 8 s, $T_{LOW}$	Max 500 MPa	Correlated Pass <sub>LAB</sub> -Pass <sub>FIELD</sub> : 19 Fail <sub>LAB</sub> -Fail <sub>FIELD</sub> : 3	Pass <sub>LAB</sub> -Fail <sub>FIELD</sub> a-1 fails with inadequate m-value c-2 fails due to inadequate $G^*/\sin \delta$ , strain tolerance, & m-value s-3 fails due to inadequate strain tolerance p-3, s-4, & s-5 have high traffic p-6 fails with inadequate strain tolerance & m-value	NA
		Uncorrelated Pass <sub>LAB</sub> -Fail <sub>FIELD</sub> : 7 Fail <sub>LAB</sub> -Pass <sub>FIELD</sub> : 1	Fail <sub>LAB</sub> -Pass <sub>FIELD</sub> b-4 has very low traffic	
BBR m @ 8 s, $T_{LOW}$	Min 0.24	Correlated Pass <sub>LAB</sub> -Pass <sub>FIELD</sub> : 14 Fail <sub>LAB</sub> -Fail <sub>FIELD</sub> : 6	Pass <sub>LAB</sub> -Fail <sub>FIELD</sub> p-3, s-4, & s-5 have high traffic s-3 fails due to inadequate strain tolerance	NA
		Uncorrelated Pass <sub>LAB</sub> -Fail <sub>FIELD</sub> : 4 Fail <sub>LAB</sub> -Pass <sub>FIELD</sub> : 6	Fail <sub>LAB</sub> -Pass <sub>FIELD</sub> b-3, b-4, & c-1 have very low traffic p-1 fails due to inadequate strain tolerance a-6 and b-5 may be inconsistent materials	
Strain Sweep $\% \gamma$ @ 0.8G <sub>i</sub> 25°C	Min 25%	Correlated Pass <sub>LAB</sub> -Pass <sub>FIELD</sub> : 9 Fail <sub>LAB</sub> -Fail <sub>FIELD</sub> : 5	Pass <sub>LAB</sub> -Fail <sub>FIELD</sub> a-1 fails with inadequate m-value p-3, s-4, & s-5 have high traffic	Min 17.5% 63% Correlated 37% Uncorrelated
		Uncorrelated Pass <sub>LAB</sub> -Fail <sub>FIELD</sub> : 4 Fail <sub>LAB</sub> -Pass <sub>FIELD</sub> : 9	Fail <sub>LAB</sub> -Pass <sub>FIELD</sub> b-3, c-1, l-1, p-1, p-2, p-4, & s-2 have very low traffic p-4, p-5, s-2, and s-6 change to Correlated with revised threshold Invalid Lab Results on b-2, b-6, l-3	

<sup>1</sup>Pass<sub>LAB</sub>/Fail<sub>LAB</sub> = Binder met/failed to meet environmental temperature demand @ 98% reliability in the given location in terms of the SPG threshold values

<sup>2</sup>Pass<sub>FIELD</sub> = Adequate field performance of HS with overall SCI score  $\geq 70\%$ ; Fail<sub>FIELD</sub> = Inadequate field performance of HS with overall SCI score  $< 70\%$

### *Flexural Creep Stiffness and m-value*

Figure 40 and Figure 42 show plots of creep stiffness (S) and m-value, respectively, for all of the HSs in this study, along with the SCI and traffic volume. As shown in Figure 40 and Figure 42, most of the binders tested in this study have creep stiffness (S) values that are below the 500 MPa limit at the required low pavement temperature. As explained previously, the AC20-5TR binder on HS B-4 fails in the laboratory, but exhibits adequate performance in the field. This can be attributed to relatively low traffic volume on the section (ADT < 3000 veh/day). Figure 42 with the m-values indicates that a few sections, HSs A-6, B-3, B-4, B-5, C-1, and P-1, that exhibit adequate field performance fail to meet the existing m-value limit (minimum value of 0.24). However, most of these sections received SCI scores close to 70 indicating marginal performance and, with the accumulation of traffic and environmental loads, may be expected to exhibit inadequate field performance in the near future. Based on the comparison of field and laboratory results, the m-value limit was not revised. Consideration was given to moving the threshold for S to 300 MPa, but this change would only improve the correlation by one HS. Thus, the existing threshold for this parameter was maintained with a tie to field performance for the majority of 30 HSs in this study and 45 HSs in TxDOT Project 0-1710.

Three of the four HSs that did not correlate with  $Pass_{LAB}$  and  $Fail_{FIELD}$  actually failed some of the key SPG tests (excluding the m-value) and/or the candidate strain sweep test. The other three HSs in this same category had high traffic levels. The only HS with  $Fail_{LAB}$  and  $Pass_{FIELD}$  had very low traffic and may fail in the near future with the cumulative effects of traffic and environmental loads.

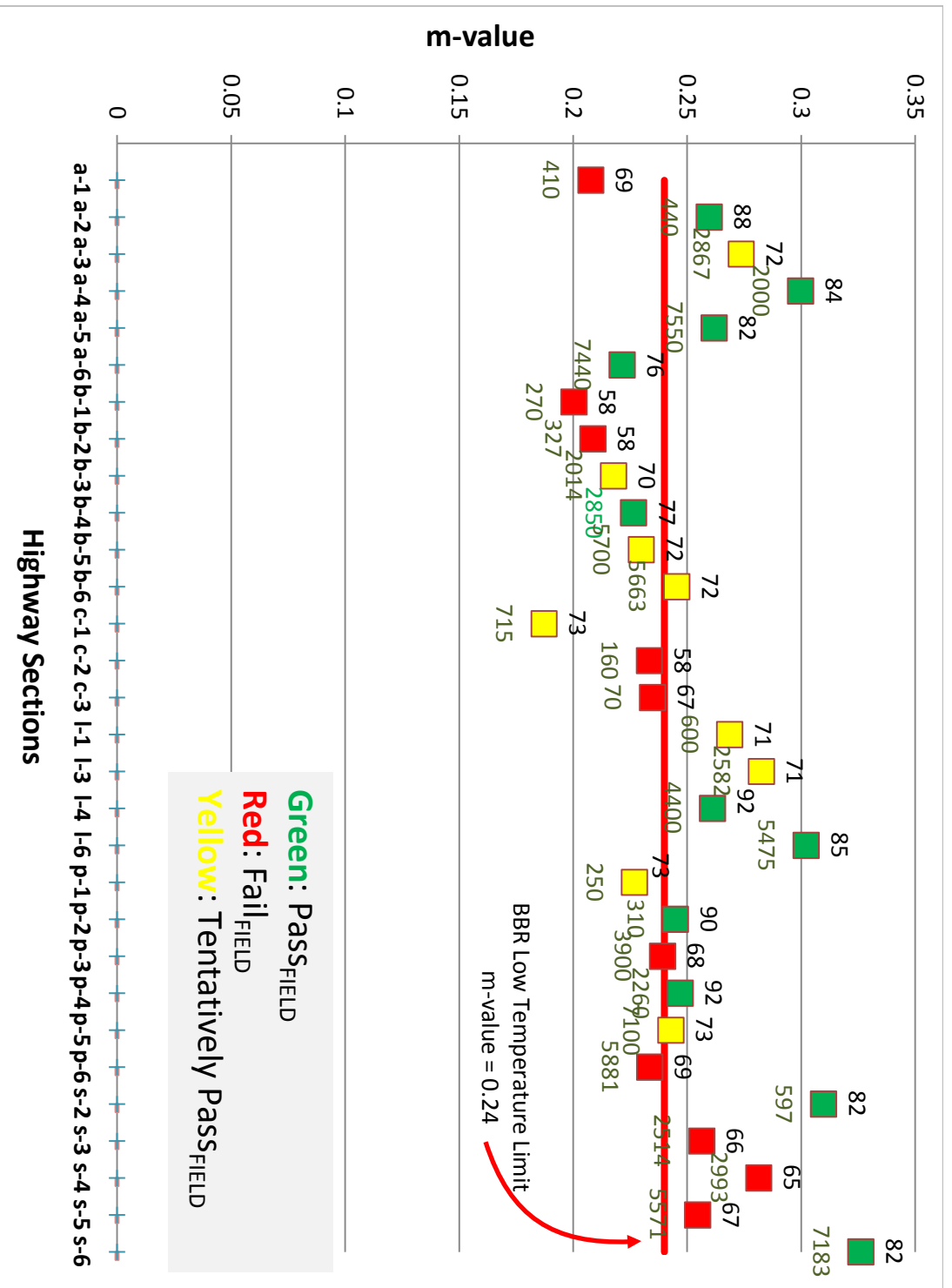


Figure 42. m-value for All HSS.

### *Percent Strain (Strain Sweep Parameter)*

As explained previously, the strain sweep parameter included in the modified SPG specification (Table 2) was validated based on a limited number of pavement sections. Figure 41 shows the percent strain values at  $0.8G_i^*$  along with the SCI values and traffic volumes. As shown in Figure 41 from the larger dataset available in this study, it appears that the limiting value (25 percent) for the minimum percent strain @  $0.8G_i^*$  does not successfully identify binders that may contribute to performance problems and appears to be too conservative. It is proposed that the limit for this parameter be revised to 17.5 percent to better relate laboratory failures to field performance failures. The AC15P and AC20-5TR binders from HSs P-4, P-5, S-2, and S-6 which received adequate SCI scores one year after construction, were considered to have adequate strain tolerance properties with the revised threshold. With this new limit, the correlation between the observed SCI scores one year after construction and the laboratory results increases from about 52 percent to about 63 percent.

After revising the threshold, nine sections still did not correlate. Four of these remaining HSs that did not correlate with  $PASS_{LAB}$  and  $Fail_{FIELD}$  had high traffic levels. The remaining five HSs that did not correlate with  $Fail_{LAB}$  and  $PASS_{FIELD}$  had very low traffic and may fail in the near future with the cumulative effects of traffic and environmental loads.

### *MSCR Parameters*

Figure 43 shows the values of  $J_{nr}$  at the 0.1 kPa stress level for all the sections surveyed along with the  $SCI_{BL}$  scores and traffic volume since the MSCR parameters may be indicative of resistance to bleeding. Currently, there does not exist a limiting value for this parameter for surface treatment binders. Based on the typical limits of  $J_{nr}$  for binders in HMA pavements (maximum values of 2 or 4 1/kPa for heavy or standard traffic, respectively), all the binders tested in this study should have adequate resistance to bleeding if the MSCR parameters are related to bleeding in surface treatments. However, Figure 43 shows that nine sections (A-2, A-4, A-5, B-1, C-2, L-4, S-3, S-4, and S-5) fail due to bleeding ( $SCI_{BL} < 70$  percent). As shown in Table 15 (SCI) and Figure 43 ( $SCI_{BL}$ ), four of these sections (A-2, A-4, A-5, and L-4) with AC20-5TR binders fail due to bleeding ( $SCI_{BL} < 70$  percent) even though their overall SCI scores are adequate. Additionally, HSs A-5, L-4, and S-5 (with a different modified binder that also fails due to bleeding with  $SCI_{BL} < 70$  percent) had high traffic volumes ( $>3000$  AADT). The

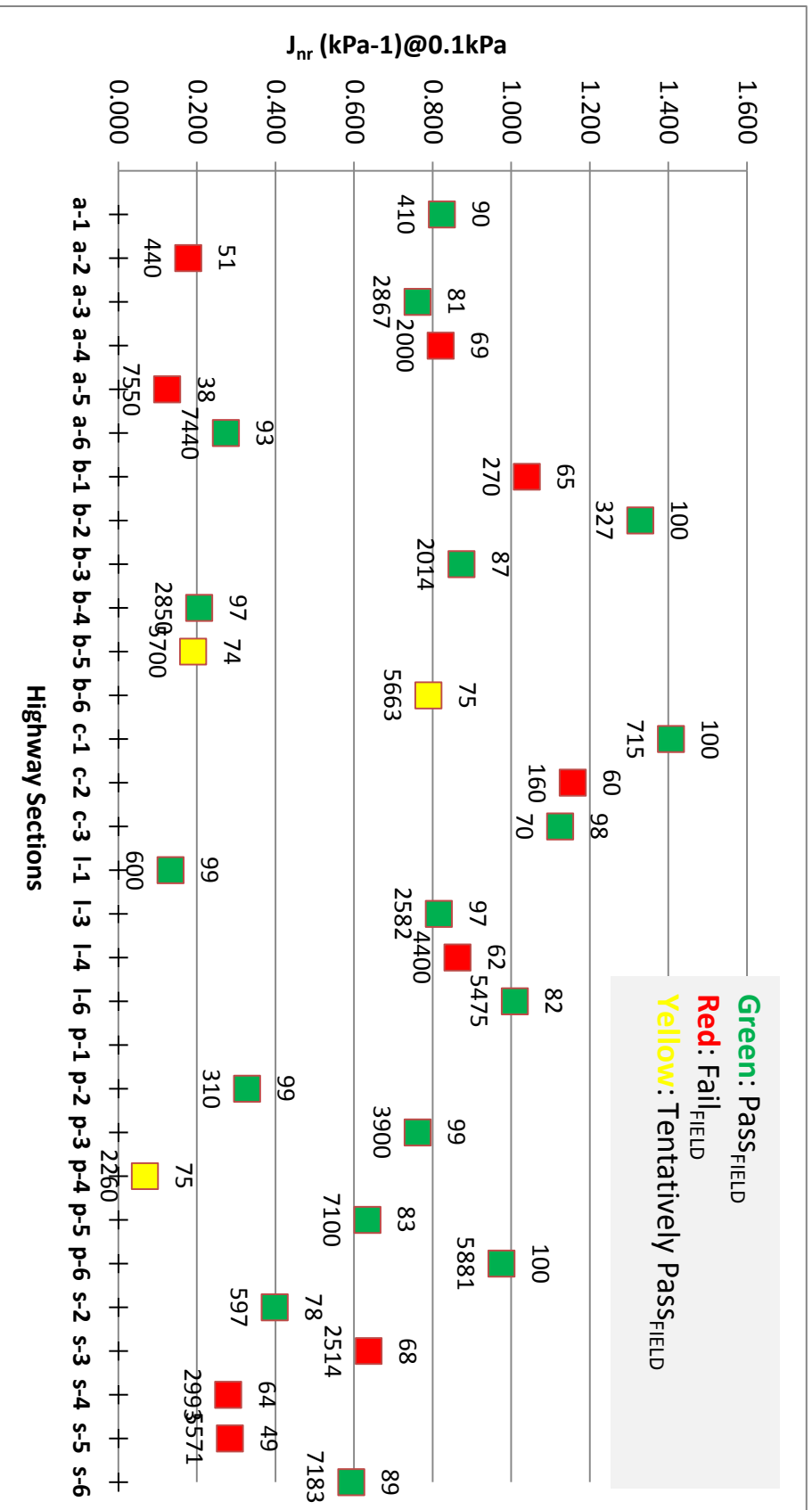


Figure 43.  $J_{nr}$  (kPa-1) @ 0.1kPa for All HSs with SCI<sub>BL</sub>.

AC20-5TR binders on the other two HSs with adequate overall SCI scores (A-2 and A-4) performed adequately in all of the laboratory tests. Further, two other unmodified binders from sections that fail due to bleeding ( $SCI_{BL} < 70$  percent) from HSs B-1 and C-2 provided inadequate performance at both the low temperature limit in the BBR test and the high temperature limit in the DSR test. Moreover, the remaining two modified binders from sections that fail due to bleeding ( $SCI_{BL} < 70$  percent) from HSs S-3 and S-4 also performed inadequately in terms of aggregate loss one year after construction (Table 15). Due to these discrepancies, it is challenging to set a limiting value for  $J_{nr}$  (and, similarly, percent recovery) given the lack of correlation between the measured MSCR parameters and the occurrence of bleeding in these sections. It is possible that  $J_{nr}$  and percent recovery are not suitable parameters for characterizing the behavior of surface treatment binders. More testing is required to examine the relationship between MSCR test results and field performance of surface treatments.

## **CHAPTER 5: CONCLUSIONS AND RECOMMENDATIONS**

Revision and further validation of the SPG specification with additional candidate tests was undertaken to develop a reliable performance-related specification for surface treatment binders in service.

Table 19 shows the revised SPG specification based on the results of this study. In general, the laboratory results identified modified binders as superior to unmodified binders. For about 67% (20/30) of the HSs, the SPG binder grade predictions based on the laboratory results and temperature criteria proposed in the existing SPG specification (Table 2) were correlated with field performance. The correlation between laboratory performance and field performance for each parameter in the SPG is shown in Table 18. Given the random selection of the HSs based on construction schedules and the lack of control over construction practices and design modifications, these correlation results were considered valid and could be used to improve the SPG specification. Consideration was given to moving the thresholds for  $G^*/\sin \delta$  (to 0.9 kPa) and S (to 300 MPa), but these changes would only improve the correlation by one HS. Thus, the existing thresholds for these parameters were maintained with a tie to field performance for the majority of 30 HSs in this study and 45 HSs in TxDOT Project 0-1710. Based on the comparison of the emulsion residue recovery methods evaluated, the Texas oven method (AASHTO PP 72-11 Procedure B) is recommended for use with this specification.

**Table 19. Revised SPG Specification.**

The SPG grades are examples, and they can be extended in both directions of the temperature spectrum using 3°C increments.	Performance Grade											
	SPG 64				SPG 67				SPG 70			
	-13	-16	-19	-22	-13	-16	-19	-22	-13	-16	-19	-22
Average 7-day Maximum Surface Pavement Design Temperature, °C	<64				<67				<70			
Minimum Surface Pavement Design Temperature, °C	>-13	>-16	>-19	>-22	>-13	>-16	>-19	>-22	>-13	>-16	>-19	>-22
Original Binder												
Dynamic Shear AASHTO T 315/ASTM D7175 $G^*/\sin \delta$ , Minimum: 0.65 kPa Test Temperature @10 rad/s, °C	64				67				70			
Dynamic Shear Strain Sweep AASHTO T 315/ASTM D7175 % strain @ 0.8 $G_i^*$ , Minimum: 17.5 Test Temperature @10 rad/s linear loading from 1-50% strain, 1 sec delay time with measurement of 20-30 increments, °C	25				25				25			
Pressure Aging Vessel (PAV) Residue (AASHTO R30)												
PAV Aging Temperature, °C	100				100				100			
Creep Stiffness AASHTO T 313/ASTM D6648 $S_i$ , Maximum: 500 MPa Test Temperature @ 8s, °C	-13	-16	-19	-22	-13	-16	-19	-22	-13	-16	-19	-22
Shear Strain Sweep $G_i^*$ , Maximum: 2.5 MPa Test Temperature @10 rad/s linear loading at 1% strain and 1 sec delay time, °C	25				25				25			

Further, many sections exhibited adequate field performance although their corresponding binders did not meet the recommended strain sweep criteria that was developed using a limited dataset in the existing SPG specification (Table 2). With the data available from more than 25 sections in this study, the SPG strain sweep limit was revised to 17.5% to reflect the strain tolerance of surface treatment binders in the field, as shown in Figure 41. The large number of laboratory failures for m-value at  $T_{LOW}$  somewhat affected the correlation between the laboratory and field results, and utilization of the m-value may not be warranted due its lack of a relationship with field performance of surface treatments and the difficulties associated with accurately predicting this parameter from DSR frequency sweep testing. Thus the m-value was



removed from the revised and recommended SPG specification shown in Table 19. Without considering m-value results but including the revision for the strain sweep threshold shown in Table 19, the correlation between the SPG results and the field performance results increased to approximately 73% (22/30 HSs).

The candidate MSCR test was not selected as an additional property and threshold for use in the SPG specification given the lack of correlation between the measured MSCR parameters and the occurrence of bleeding in the HSs monitored.

Close monitoring of the design and construction of surface treatments is important in the development of a material selection specification such as the SPG specification. It is suggested that the specification be utilized in conjunction with other established guidelines and other research findings to construct high quality surface treatments. While this study attempted to analyze the behavior of the most common types of surface treatment materials used in Texas, further testing is required to characterize the properties of other binder types not included in this study. It may also be prudent to conduct additional performance monitoring sessions to confirm whether sections with low traffic volumes deteriorate with the accumulation of traffic and environmental loads and aging of the binder. Lastly, in addition to the measurable properties of the binders; design, quality control, and construction techniques contribute significantly to the field performance of chip seals. Therefore, the application of the SPG specification does not necessarily ensure adequate performance of surface treatments, only that the binder selected will not contribute to inadequate performance.

## **RECOMMENDED FUTURE RESEARCH**

Based on the results of this study, the following is recommended for subsequent studies:

- Further validation through implementation of the SPG specification is recommended with additional sections from Texas and other regions covering a wider variety of materials.
- Further statistical analysis using classification and regression trees (CART) is recommended to validate thresholds suggested by the results of this study.
- Additional research is recommended to further explore a possible correlation of the surface binder MSCR parameters ( $J_{nr}$  and percent recovery) to field performance, particularly in the case of modified binders.

- The possibility of replacing the measured BBR stiffness (S) with values predicted from the DSR frequency sweep results should be explored. The equations used for the conversion of the DSR parameters into the BBR parameters should be modified to enable predictions at lower BBR test temperatures and loading times. More accurate results may be obtained by using a DSR instrument capable of maintaining test temperatures under 5°C.
- While the Texas oven method produces residue that is similar to that obtained in the field, further evaluation of the recovery method may be required in terms of variability with the material and size of the silicone mat and placement of the samples in the draft oven.
- Further work in the area of binder-aggregate adhesion should be completed based on the comparison of three different methods in this study. As the SPG specification only includes binder properties, any binder-aggregate adhesion specification or guideline would be used in conjunction with the SPG specification.

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## APPENDIX A: DETAILED BINDER TEST RESULTS

**Table A1. Strain Sweep Results.**

HS ID	Binder	Strain Sweep (% $\gamma$ at 0.80Gi*)	Aged Gi* (MPa, at 1% $\gamma$ )
A-1	AC 20-5TR	318	0.6
A-2	AC 20-5TR	26	0.6
A-3	AC 20-5TR	30	0.6
A-4	AC 20-5TR	27	0.3
A-5	AC 20-5TR	29	0.7
A-6	AC 20-5TR	25	1.2
B-1	CRS-2	14	1.6
B-2	CRS-2	Invalid	1.5
B-3	CRS-2P	16	0.8
B-4	AC 20-5TR	36	1.5
B-5	AC 20-5TR	32	1.3
B-6	AC 20-5TR	Invalid	1.1
C-1	AC 10	15	1.2
C-2	AC 10	12	1.6
C-3	AC 10	15	1.3
L-1	CRS-2P	13	1.2
L-3	AC 20-5TR	Invalid	0.7
L-4	AC 20-5TR	25	1.0
L-6	AC 20-5TR	33	0.7
P-1	CRS-2P	13	0.6
P-2	CRS-2P	15	0.9
P-3	AC 20-5TR	26	0.8
P-4	AC 20-5TR	19	0.8
P-5	AC 20-5TR	17	0.8
P-6	AC 20-5TR	21	1.0
S-2	AC 15P	19	0.6
S-3	AC 15P	16	0.4
S-4	AC 15P	27	0.4
S-5	AC 15P	25	0.6
S-6	AC 15P	19	0.5

**Table A2. MSCR Test Results.**

HS ID	Binder Type	$J_{nr}$ (kPa <sup>-1</sup> ) @0.1kPa	Percent Recovery (%) @0.1kPa	$J_{nr}$ (kPa <sup>-1</sup> ) @3.2kPa	Percent Recovery (%) @3.2kPa
A-1	AC 20-5TR	0.8	30.3	1.3	3.4
A-2	AC 20-5TR	0.2	55.7	0.3	23.1
A-3	AC 20-5TR	0.8	36.9	1.3	4.9
A-4	AC 20-5TR	0.8	27.6	1.3	4.2
A-5	AC 20-5TR	0.1	73.9	0.5	9.9
A-6	AC 20-5TR	0.3	54.7	0.6	15.8
B-1	CRS-2	1.0	6.0	1.3	-0.2
B-2	CRS-2	1.3	8.4	1.6	-0.4
B-3	CRS-2P	0.9	33.2	1.8	-0.1
B-4	AC20-5TR	0.2	111.4	2.8	0.6
B-5	AC 20-5TR	0.3	105.5	2.9	0.3
B-6	AC 20-5TR	0.8	35.5	1.6	2.7
C-1	AC 10	1.4	1.7	1.5	-0.6
C-2	AC 10	1.2	3.3	1.3	-0.3
C-3	AC 10	1.1	4.8	1.2	-0.3
L-1	CRS-2P	0.1	101.5	0.6	51.4
L-3	AC 20-5TR	0.8	26.5	1.3	3.1
L-4	AC 20-5TR	0.9	23.8	1.4	2.6
L-6	AC 20-5TR	1.0	14.1	1.4	1.3
P-1	CRS-2P	0.5	84.9	2.0	7.4
P-2	CRS-2P	0.3	74.8	0.9	27.5
P-3	AC 20-5TR	0.8	26.5	1.3	2.1
P-4	AC 20-5TR	0.1	111.3	1.5	6.6
P-5	AC 20-5TR	0.6	38.6	1.3	4.0
P-6	AC 20-5TR	1.0	22.7	1.5	1.6
S-2	AC 15P	0.4	62.5	1.1	6.8
S-3	AC 15P	0.6	23.4	1.1	2.5
S-4	AC 15P	0.3	63.9	0.6	21.6
S-5	AC 15P	0.3	62.8	0.6	21.2
S-6	AC 15P	0.6	24.2	0.9	5.7

**Table A3. Predicted and Measured BBR Results.**

HS ID	Expected T <sub>LOW</sub> @ 98% Reliability (°C)	Predicted S (MPa) @ Expected T <sub>LOW</sub>	Predicted m-value @ Expected T <sub>LOW</sub>	Fail/Pass
A-1	-18	260	0.23	Fail
A-2	-15	328	0.29	Pass
A-3	-15	153	0.33	Pass
A-4	-14	157	0.33	Pass
A-5	-16	302	0.27	Pass
A-6	-18	259	0.22	Fail
B-1	-20	362	0.20	Fail
B-2	-18	445	0.13	Fail
B-3	-18	364	0.32	Pass
B-4	-18	1853	0.20	Fail
B-5	-18	498	0.13	Fail
B-6	-18	449	0.23	Fail
C-1	-20	440	0.18	Fail
C-2	-22	588	0.19	Fail
C-3	-24	606	0.16	Fail
L-1	-16	258	0.29	Pass
L-3	-16	294	0.25	Pass
L-4	-16	414	0.27	Pass
L-6	-15	244	0.29	Pass
P-1	-18	366	0.25	Pass
P-2	-18	450	0.29	Pass
P-3	-18	307	0.31	Pass
P-4	-19	347	0.29	Pass
P-5	-18	388	0.26	Pass
P-6	-18	Invalid	Invalid	Fail
S-2	-12	76	0.28	Pass
S-3	-14	81	0.32	Pass
S-4	-14	99	0.32	Pass
S-5	-14	102	0.32	Pass
S-6	-13	94	0.33	Pass

**Table A4. FT-IR Results (Carbonyl Areas).**

Section	Recovery Method	Binder Type	Carbonyl Area			Average Carbonyl Area
			Test 1	Test 2	Test 3	
P-2	Procedure A	CRS-2P	0.62	0.67	0.65	0.65
	Procedure B	CRS-2P	0.61	0.61	0.63	0.62
P-1	Procedure A	CRS-2P	0.595	0.60	0.61	0.60
	Procedure B	CRS-2P	0.57	0.55	0.61	0.58
B-2	Procedure A	CRS-2	0.75	0.73	0.74	0.74
	Procedure B	CRS-2	0.66	0.66	0.64	0.66
B-3	Procedure A	CRS-2P	0.62	0.56	0.57	0.59
	Procedure B	CRS-2P	0.56	0.61	0.59	0.59
B-1	Procedure A	CRS-2	0.62	0.59	0.61	0.61
	Procedure B	CRS-2	0.60	0.59	0.58	0.59
L-1	Procedure A	CRS-2P	0.66	0.66	0.67	0.66
	Procedure B	CRS-2P	0.60	0.59	0.58	0.59