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SAINT LAWRENCE SEAWAY
NAVIGATION-AID SYSTEM STUDY
Volume I - Text and Appendixes A and D

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SEPTEMBER 1978
FINAL REPORT

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Office of Comprehensive Planning
Washington DC 20591

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16. Abstract <p>The requirements for a navigation guidance system which will effect an increase in the ship processing capacity of the Saint Lawrence Seaway (Lake Ontario to Montreal, Quebec) are developed. The requirements include a specification of system positioning accuracy and the type and frequency of information which must be displayed to the master of each ship in the Seaway. A detailed development of the logic used to compute Seaway capacity as a function of the guidance system positioning accuracy is presented. A computer program is given which follows this logic and is used to compute Seaway capacity as a function of poitioning accuracy for two classes of ships. Various sensitivity analyses are presented. It is shown that the capacity of the Seaway could be increased by up to 30 percent through the use of a navigation guidance system. Volume II, 129 pages, contains Appendix B. Volume III, 108 pages, contains Appendix C.</p>					
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PREFACE

St. Lawrence Seaway is an inland waterway which carries ocean-going and lake-bound vessels from Montreal, Quebec to Lake Ontario. At the onset of winter as the ice begins to form, the floating aids to navigation are moved and conventional navigation comes to a halt until the advent of the annual spring thaw. With the possibility of ice breaking in the channels and ice removal at the locks becoming a reality, it is advantageous to provide an electronic navigation system for year round Seaway transits.

The first step in the implementation of an electronic navigation system is the establishment of the system's requirements. This report develops and defines this information.

METRIC CONVERSION FACTORS

Approximate Conversions to Metric Measures

Symbol	When You Know	Multiply by	To Find	Symbol
LENGTH				
in	inches	2.5	centimeters	cm
ft	feet	30	centimeters	cm
yd	yards	0.9	meters	m
m	miles	1.6	kilometers	km
AREA				
in ²	square inches	6.5	square centimeters	cm ²
ft ²	square feet	0.09	square meters	m ²
yd ²	square yards	0.8	square meters	m ²
mi ²	square miles	2.6	square kilometers	km ²
	acres	0.4	hectares	ha
MASS (weight)				
oz	ounces	28	grams	g
lb	pounds	0.45	kilograms	kg
	short tons (2000 lb)	0.9	tonnes	t
VOLUME				
tblsp	tablespoons	5	milliliters	ml
Tbsp	tablespoons	15	milliliters	ml
fl oz	fluid ounces	30	milliliters	ml
c	cup	0.24	liters	l
pt	pint	0.47	liters	l
qt	quart	0.95	liters	l
gal	gallon	3.8	liters	l
ft ³	cubic feet	0.03	cubic meters	m ³
yd ³	cubic yards	0.76	cubic meters	m ³
TEMPERATURE (exact)				
°F	Fahrenheit temperature	5/9 (after subtracting 32)	Celsius temperature	°C

Approximate Conversions from Metric Measures

When You Know	Multiply by	To Find	Symbol	
LENGTH				
millimeters	0.04	inches	in	
centimeters	0.4	inches	in	
meters	3.3	feet	ft	
meters	1.1	yards	yd	
kilometers	0.6	miles	mi	
AREA				
square centimeters	0.16	square inches	in ²	
square meters	1.2	square yards	yd ²	
square kilometers	0.4	square miles	mi ²	
hectares (10,000 m ²)	2.5	acres	ac	
MASS (weight)				
grams	0.005	ounces	oz	
kilograms	2.2	pounds	lb	
tonnes (1000 kg)	1.1	short tons	st	
VOLUME				
milliliters	0.05	fluid ounces	fl oz	
liters	2.1	pints	pt	
liters	1.06	quarts	qt	
liters	0.26	gallons	gal	
cubic meters	35	cubic feet	ft ³	
cubic meters	1.3	cubic yards	yd ³	
TEMPERATURE (exact)				
°C	Celsius temperature	9/5 (then add 32)	Fahrenheit temperature	°F

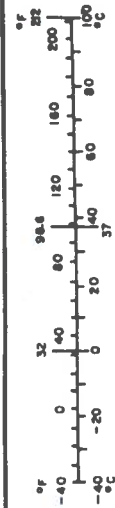
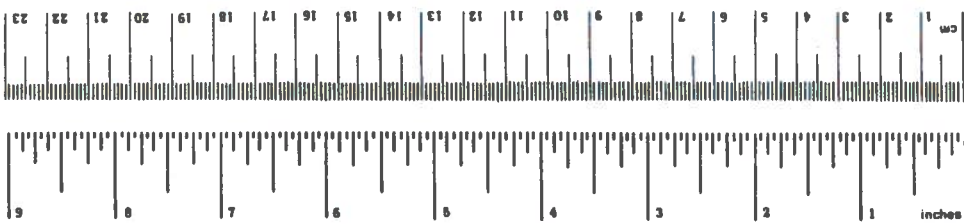


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	x_0	Position of ship along channel centerline
	\dot{x}_0	Forward velocity of ship
<u>Numb</u>	\dot{x}_0 initial	Initial equilibrium value of X_0
3.6	\ddot{x}_0	Forward acceleration of ship
	Y	Side force
3.7	Y_{wind}	Side force due to wind
3.8	y_0	Lateral distance from channel centerline to c.g. of ship
3.9	$(y_0)_{CG}$	Maximum excursion of ship's center of gravity from desired course
	\dot{y}_0	Lateral velocity of ship
3.10	$y_{ocurrent}$	Cross-current velocity
	\ddot{y}_0	Lateral acceleration of ship
3.11	Δ	Ship displacement
	δ	Angular displacement of rudder
A-1	ϵ	Navigation position accuracy
2	ϕ	Yaw angle
3	ϕ_{ss}	Yaw angle from steady state equations of motion
	$\dot{\phi}$	Yaw angle velocity of ship
4	$\ddot{\phi}$	Yaw angular acceleration of ship
5		
6	<u>Subscripts</u>	

u	indicates partial derivative with respect to u					
\dot{u}	"	"	"	"	"	" \dot{u}
v	"	"	"	"	"	" v
\dot{v}	"	"	"	"	"	" \dot{v}
y_0	"	"	"	"	"	" y_0
δ	"	"	"	"	"	" δ
ϕ	"	"	"	"	"	" ϕ
$\dot{\phi}$	"	"	"	"	"	" $\dot{\phi}$
$\ddot{\phi}$	"	"	"	"	"	" $\ddot{\phi}$

1. INTRODUCTION

1.1 Background and Purpose of Study

The Saint Lawrence Seaway Development Corporation (SLSDC) has endeavored over the years since the Saint Lawrence Seaway* opened to increase the ship processing ability of the Seaway. In 1975 the SLSDC published a system plan for all-year navigation (SPAN) [1]** in which specific concepts were developed which would remove the present impediments to year-round navigation. In this study it was specifically noted that the present practice of removing floating, lighted aids to navigation during the winter months (usually from 15 December through 1 April) significantly decreases the ability of the Seaway to process ships. Furthermore, the study pointed out that periods of low visibility further decreased the ability of the Seaway to process ships.

The SPAN study investigated several alternatives for removing this impediment to navigation including the installation of fixed, lighted aids and the installation of a precise radio-location system for ship navigation. The higher costs associated with the installation of fixed, lighted aids to navigation made the precise radio-location system appear more attractive. The SLSDC therefore decided that a more detailed study of this type of navigational aid system was warranted and that investigation is the subject of this report.

The purpose of this study was therefore established as being to develop and define navigational guidance system performance requirements which will effect improvements in the Capacity of the Seaway. By "navigational guidance system performance requirements" is meant the type, accuracy and frequency of information which must be made available to the master of a ship in order to facilitate safe passage of the ship through the Seaway. By "Capacity" is meant the number of ships which could be processed through the Seaway in a given period of time assuming that ships are always available for processing at both Montreal and Lake Ontario. The SPAN study was restricted to an investigation of navigational impediments during the extended season time period. As this did not address possible loss of Capacity during the normal season, the time period for this study was taken as one complete calendar year.

1.2 Basic Concepts and Study Approach

To present the methodology used to achieve the purpose of this study, it is first necessary to introduce several basic concepts used in modeling the Seaway. As mentioned above, the term "Capacity" as used in this report means the number of ships which could be processed through the Seaway in a given time period assuming ships are available for processing at both ends of the Seaway. If this assumption is met, then the term Capacity connotes an achievable figure because traffic into the Seaway

*The Saint Lawrence Seaway refers to that section of the Saint Lawrence River between Montreal, Quebec and Lake Ontario.

**Numbers in brackets refer to references at end of report.

is regulated by Traffic Controllers. Of course, if the ship arrival rate at either end of the Seaway were to exceed the average processing rate of the Seaway during the time period under study, then queues would build and add to the transit delays associated with the Seaway. Inside the Seaway, however, transit times would remain near normal due to the regulation by the Traffic Controllers. It should also be noted that the term Capacity is not related to the present number of ship transits through the Seaway. Of course, one would expect the present number of ship transits to be less than or equal to the Capacity.

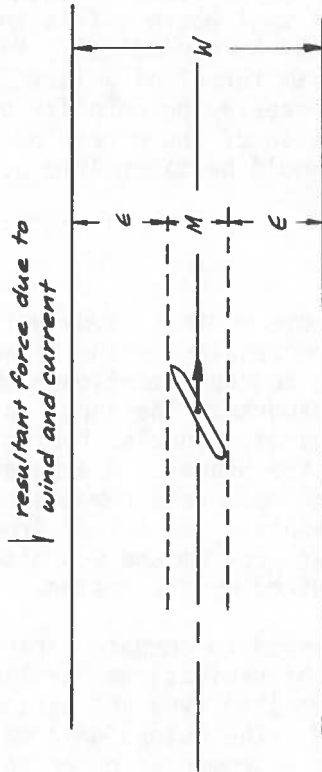
The detailed study of the processing of ships in the Seaway conducted in the SPAN study revealed that the Capacity in a small time increment (Δt) can be determined by the Capacity of the slowest lock in the Seaway, provided ships can move through every reach of the Seaway during this time increment. This is so because the rate of movement of ships through non-lock reaches of the Seaway can always be made greater than the rate of movement through locks. However, it may not always be possible for a ship to transit a non-lock reach because of fog, high winds, ice conditions, etc. When this occurs during the time interval, Δt , the Capacity of the Seaway is zero because no ships can be made available to a lock for lockage during this time interval.

The problem of computing Capacity of the Seaway thus becomes a problem of first determining if ships can proceed through every reach of the Seaway in the time interval, Δt . If not, the capacity is zero for this time interval. If so, then the problem becomes one of determining the capacity of the slowest lock in the system during the time interval. The time interval chosen for this study was three (3) hours which corresponded to the time interval between actual historic weather observations used in the study.

Determining When Non-Lock Reaches Constrain Capacity

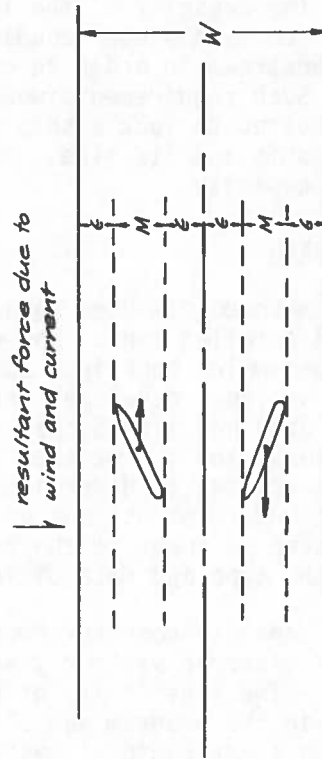
To determine if a ship can proceed through a given reach, we first ask if the master can visually observe enough navigational aids to fix his position and maneuver his ship. If the answer is no, and he has no substitute guidance system, then the Seaway Capacity is zero for this time interval. If the answer is yes or if he has a substitute guidance system, we must then ask if the master can proceed safely through the reach considering the visibility, winds and currents in this reach. To determine this, we must take into consideration: (1) the maneuvering room requirements of the ship in the face of the winds and currents in the reach; (2) the accuracy with which the ships position in the channel is known; and (3) the width of the channel. Figure 1.1 shows this pictorially. For instance, when there is one way traffic, we first determine the maneuvering room requirements of the ship, M , in the face of the winds and currents. If the position of the ship in the channel is known to an accuracy of $\pm \epsilon$, then we see that the channel width, W , must be greater than or equal to $M + 2\epsilon$ for safe passage.

CASE I - ONE WAY TRAFFIC



Safe passage possible if:
 $W \geq M + 2E$

CASE II - TWO WAY TRAFFIC



Safe passage possible if:
 $W \geq 2M + 4E$

Figure 1.1. Method for Determining if Traffic Can Proceed Safely Through a Reach

To determine if the master can visually observe enough navigational aids to fix his position and maneuver his ship, we need to know the visibility in the reach, whether it is daytime or nighttime, the distances to navigational aids and which aids are lighted. All of this information varies with time of year. To determine if the master can proceed safely through the reach, we need to know the positioning accuracy in the reach, the width of the reach and the maneuvering room requirements. The positioning accuracy is assumed and the width is given. The maneuvering room requirements depends on: (1) the winds and currents; (2) the channel width and depth; (3) the speed of the ship; (4) the type of ship and its loading condition; and (5) the direction of travel in the Seaway. The winds are obviously time dependent and the speed of the ship can be affected by visibility which is also time dependent. Thus, the maneuvering room requirement varies with time of year also.

Determining Capacity when Non-Lock Reaches are not Constraining

The capacity of a lock in the time interval Δt is determined by dividing the time interval by the interval of time between successive lockages of ships. For example, if a lock is processing ships both up and downbound, then the capacity of the lock is $\Delta t/t_l$ ships where t_l is the average time required to lock one ship. If a lock is processing ships in only one direction, the lock must continually "turn back" after each ship lockage. Under these conditions, the capacity of the lock is $\Delta t/(t_l + t_{tb})$ where t_{tb} is the turn back time. Under certain winter conditions, a lock may be periodically forced to lock ice downstream in order to clear the upstream throat of a lock for the next ship. Such requirements would obviously decrease the capacity of the lock. The time required to lock a ship is also a function of the direction of movement of the ship and its size. Both of these should be taken into account in determining capacity.

Study Approach

The methodology used to achieve the purpose of this study was as follows. A detailed logic flow chart of ship processing in the Seaway was developed which took into account the basic concepts mentioned above. This logic was then developed into a computer program. The input data requirements were obtained from Seaway navigational charts, manuals, hydraulic investigations, and the weather stations along the Seaway. A separate computer program was written to determine the maneuvering room requirements of the study ships under the actions of winds and currents. The output from this program became an input to the capacity computer program and was also used to determine the type and rate of information required by the master.

The capacity computer program was then used to compute capacities for each of the historic weather years for a range of navigational system accuracies. The sensitivity of these results to ship type and certain assumptions made in the program was also investigated. The output data was then analyzed and a navigational system accuracy was recommended based on this analysis.

1.3 Summary of the Work

The Seaway was divided into 103 reaches. Six of these reaches were the locks and the remainder the non-lock reaches. The number of reaches selected was based on the desire that each non-lock reach contain no course changes. Data on each reach consisting of channel bearing, channel width, speed limit, current, and location was assembled and tabulated. The minimum visibility to fix position visually in each reach using three navigational aids was also measured and tabulated under conditions of upbound/downbound, day/night, and extended/normal seasons. The visibility and wind for each non-lock reach and for each time increment was assembled from historic weather records for five years. These years were identical to the ones used in the SPAN study.

The ship maneuvering room requirements for each non-lock reach was computed by a ship maneuvering simulator developed specifically for this study. This simulator was developed by writing the equations of motion for a ship moving in the horizontal plane. Three groups of forces were considered in developing this simulator. The first group consisted of the hydrodynamic forces caused by motion of the ship through unconfined water and the hydrodynamic forces caused by the presence of banks and the channel bottom. The second group consisted of the control forces caused by movement of the rudder and increase or decrease in thrust of the propellers. The third group consisted of the forces caused by the winds and currents in a reach. The first group of forces vary for each study ship and for each reach. The second group depends upon the control law employed by the master; that is, the relationship between ordered rudder and propeller commands and observation of such variables as heading, rate of change of heading, position off track and rate of change of off track position. A separate study was made to devise a control law which would be representative of a prudent ship's master. The third group of forces vary from reach to reach and with time.

The above information was incorporated into a computer program for computing Seaway Capacity for each time interval associated with a new historic weather observation. Due to the importance of sunrise and sunset in determining when traffic will move in non-lock reaches during the extended season, a day was actually divided into 10 time intervals such that sunrise and sunset would fall at the beginning or end of a time interval. The computation of capacity for each of these time intervals followed the logic briefly explained in Section 1.2 and described in detail in Section 2.4.

The primary results obtained from the Capacity Computer Program are shown on the following page (Figure 1.2 repeated in section 3). This figure shows the percent increase in Seaway Capacity during all-year navigation of the Seaway due to utilizing an electronic navigational guidance system as a function of the positioning accuracy of the guidance system. These results indicate that no one particular value of positioning accuracy is obviously best for this application. It is recommended in Section 3.1 that the best accuracy to be chosen based on a

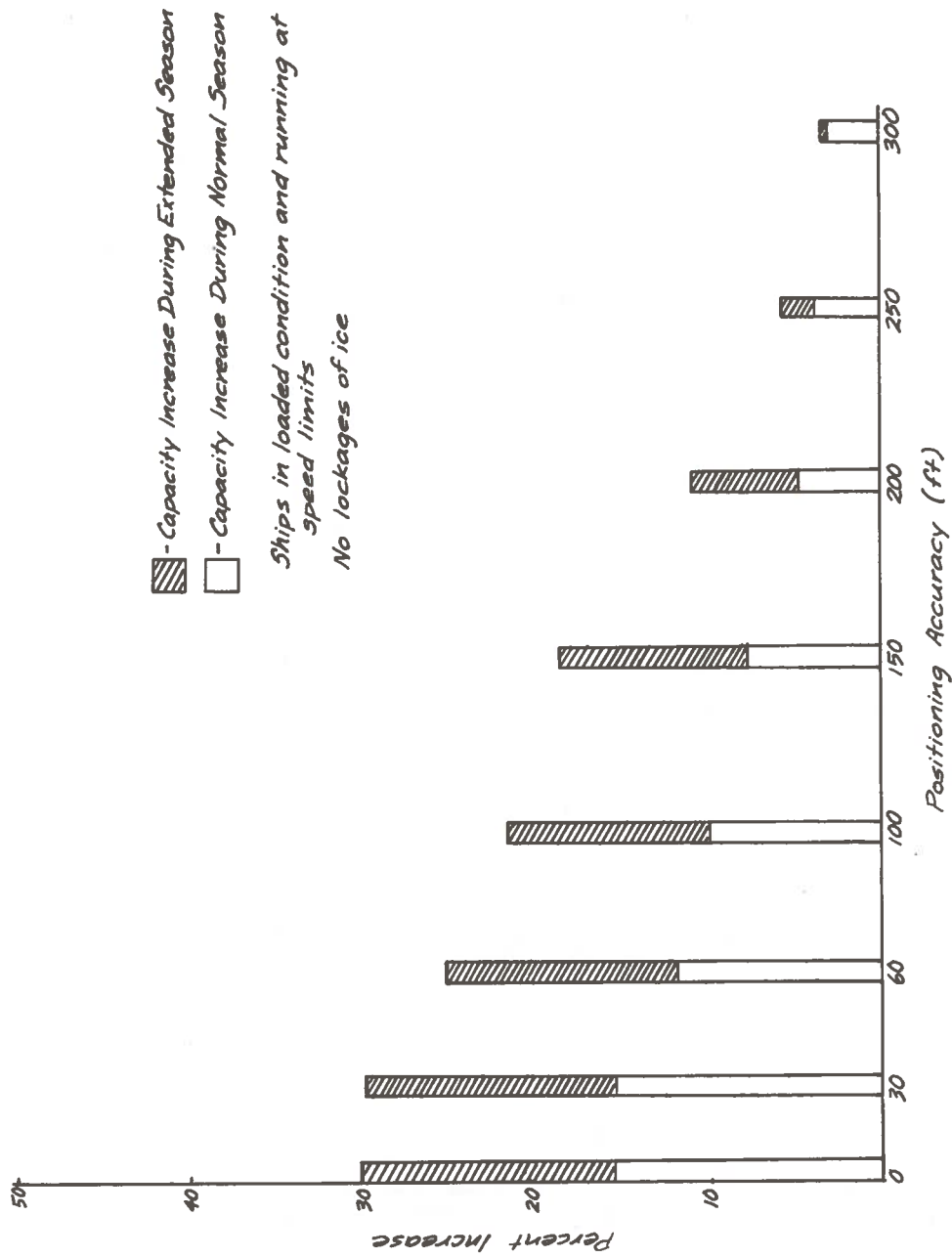


Figure 1.2. Percentage Increase in Seaway Capacity for Salty Class Ships
 with Various Positioning Accuracies of the Navigational Guidance System
 Based on All-year Navigation of the Seaway

cost/benefit analysis in which the costs associated with each system required to produce the indicated positioning accuracy be compared with the benefits to be derived from the increase in capacity associated with the positioning accuracy. The conduct of such a cost/benefit analysis was beyond the scope of this study and therefore another method was used to select the best positioning accuracy. This method involved an analysis of the number of constraining reaches associated with each positioning accuracy and an assessment of improving upon positioning accuracy by locating guidance system equipment near the reaches where accuracy is most critical. A positioning accuracy of ± 100 feet was selected using this method.

The ship maneuvering simulator was used to determine the type and frequency of information required by the master to safely guide his ship through the Seaway. This was accomplished in two steps. In the first step the control law for the rudder was developed. This work indicated that stable control over the ship can be effected by observing both heading and heading rate but not by observing only one of these variables. The introduction of off track position into the control law was found to have a destabilizing effect on control and therefore must be offset by observing rate of change of off track position or increasing the gain on both heading variables. It was thus concluded from this first step that by observing heading, heading rate, off track position, and rate of change of off track position, satisfactory control of the ship could be achieved. In the second step, the required frequency of this information was analyzed. The type of display envisioned for this application would display three consecutive images of the ship's heading and off track position. Thus, mental computations of the control variables by the master could be made at a rate of 3 times the data update rate. It was concluded that an update rate of 5 seconds would be sufficient from a control point of view and reasonably achievable with present day electronic systems.

The data obtained from this study on type, accuracy and frequency of information required by a ship master was written into a navigation guidance system performance specification. This specification is given in Appendix A to this report.

2. SEAWAY CAPACITY MODEL

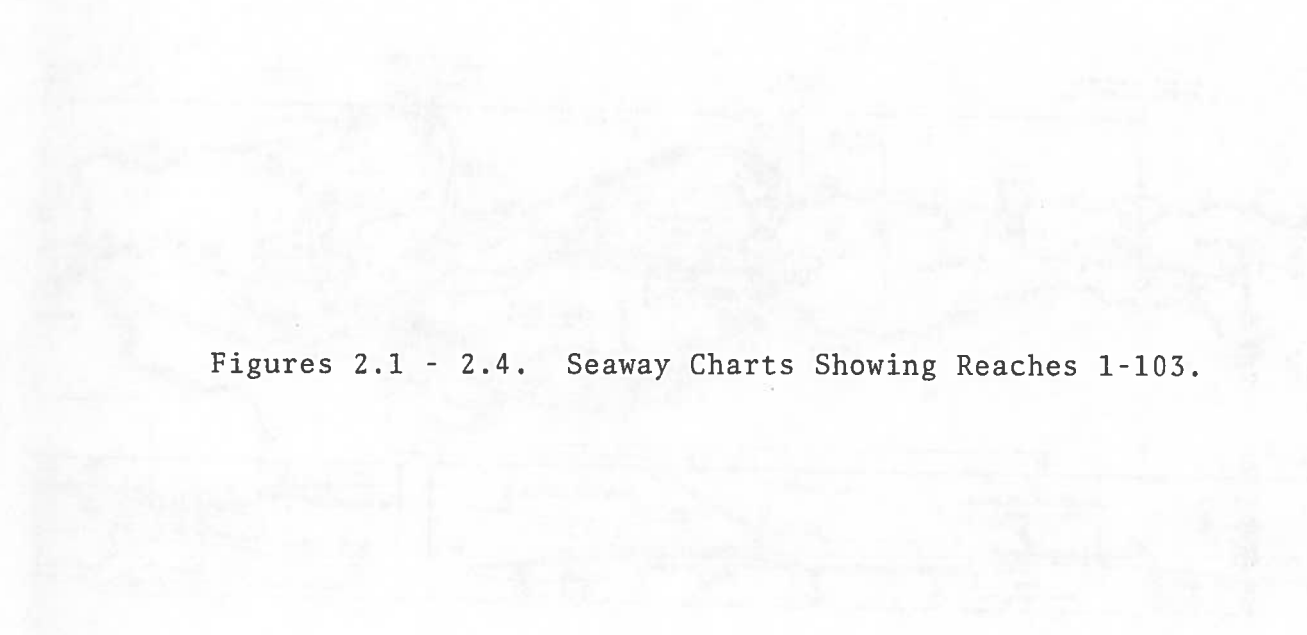
2.1 Methods Used to Describe Seaway Geometry

The Seaway was divided into 103 reaches. Six of these reaches were lock reaches one of which contained both the Melocheville and Beauharnois Locks. Of the remaining 97 reaches, 5 were used to describe bridges which did not constrain traffic due to their widths but did provide additional navigational aids. The remaining reaches represented straight-line course segments which provided for easier handling of maneuvering room calculations caused by winds and currents. All of these reaches are shown in Figures 2.1, 2.2, 2.3 and 2.4, and Table 2.1 provides navigational information for each of these reaches.

Table 2.2 lists twenty-two parameters used by the Capacity Computer Program to describe each reach. The first element indicates the type of reach as follows:

<u>Reach Type</u>	<u>Meaning</u>
0	Indicates that the reach is a lock.
1	Indicates that two-way traffic is allowed in the reach and that the maneuverability width is determined using the dynamic equations of motion for a ship following a course initially along the quarter channel.
2	Indicates that two-way traffic is allowed in the reach and that maneuverability width is determined using the steady state (static) equations of motion for a ship following a course along the quarter channel.
3	Indicates that only one-way traffic is allowed in the reach and that the maneuverability width is determined using the dynamic equations of motion for a ship following a course initially along the channel centerline.
4	Indicates that only one-way traffic is allowed in the reach and that the maneuverability width is determined using the static equations of motion for a ship following a course along the channel centerline.
5	Indicates a non-constraining reach. No capacity analysis of this type of reach is required. A reach type of 5 is used only to indicate the existence of a bridge.

(Text Continued on Page 20)



Figures 2.1 - 2.4. Seaway Charts Showing Reaches 1-103.

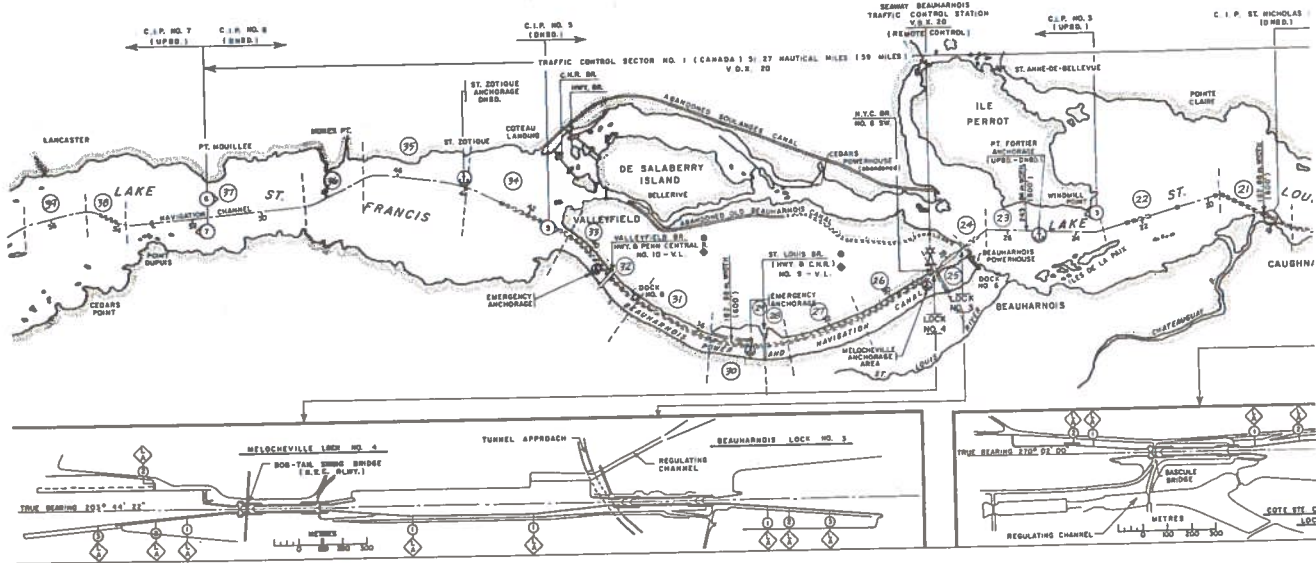


FIGURE 2.1. SEAWAY CHART SHOWING REACHES 1-39

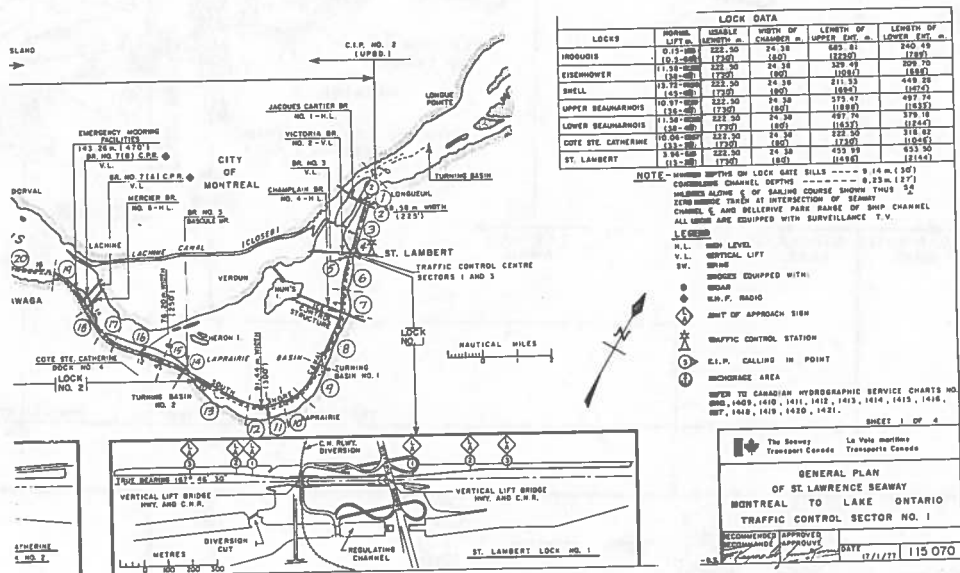


FIGURE 2.1. (CONTINUED)

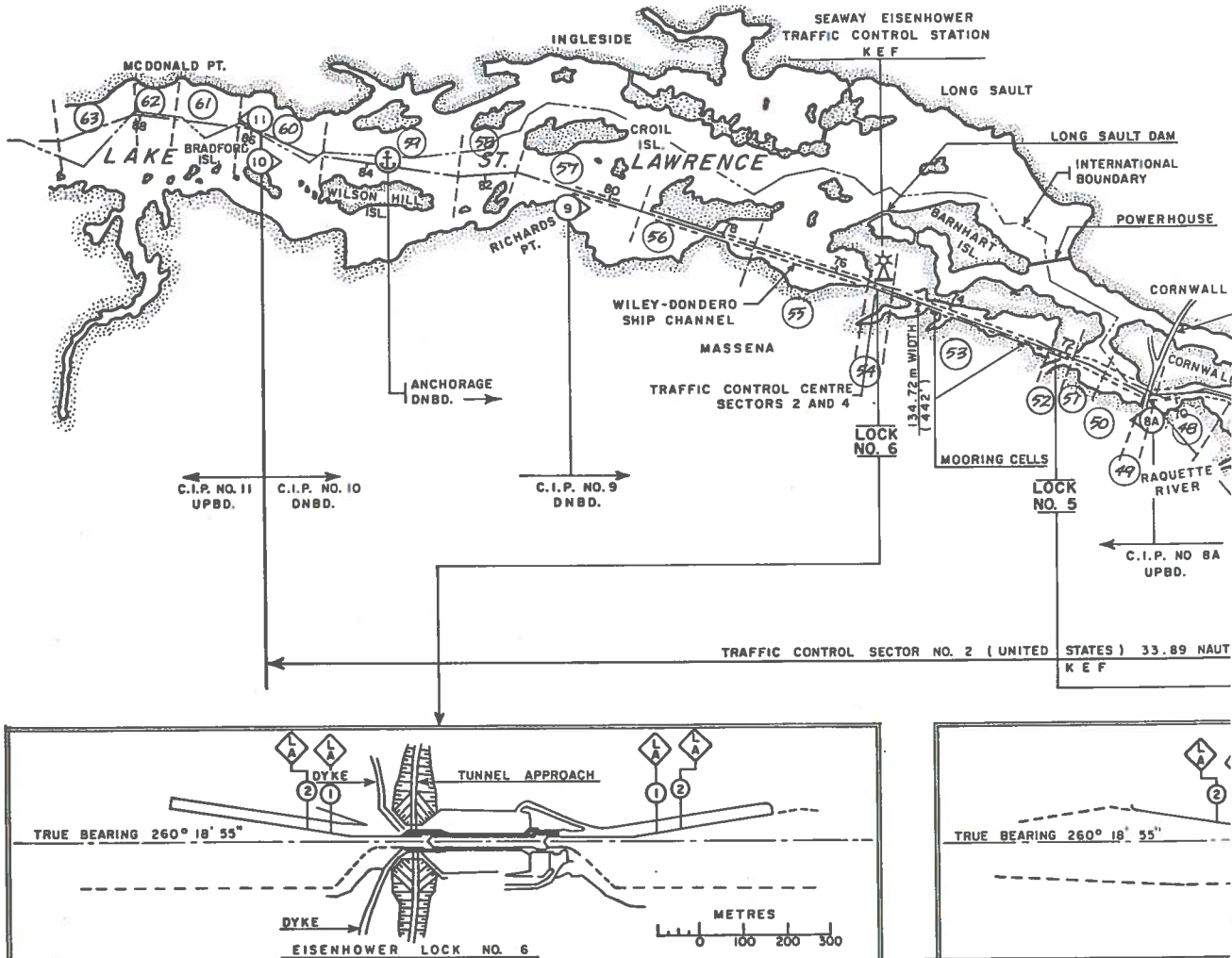


FIGURE 2.2. SEAWAY CHART SHOWING REACHES 40-63

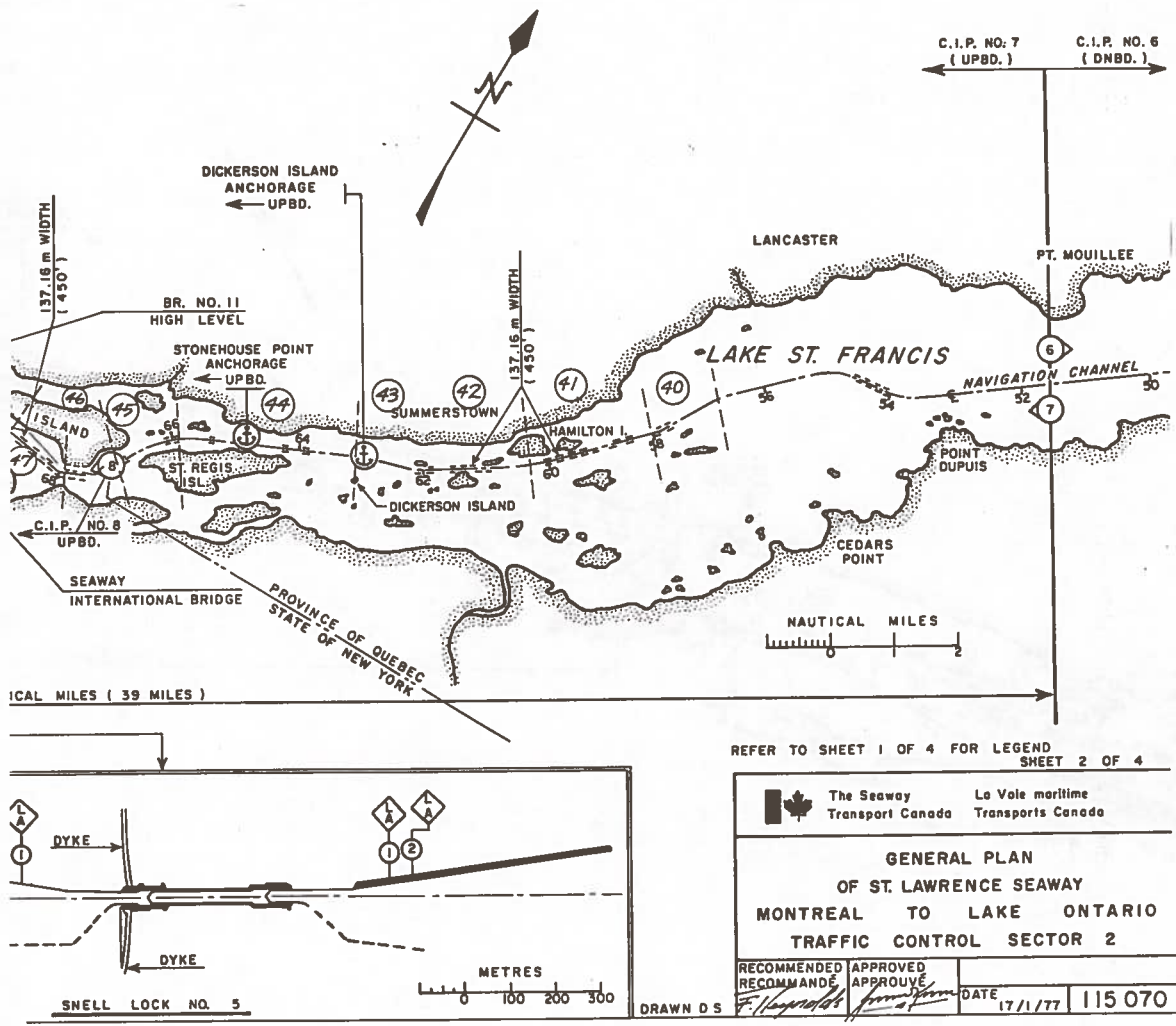


FIGURE 2.2. (CONTINUED)

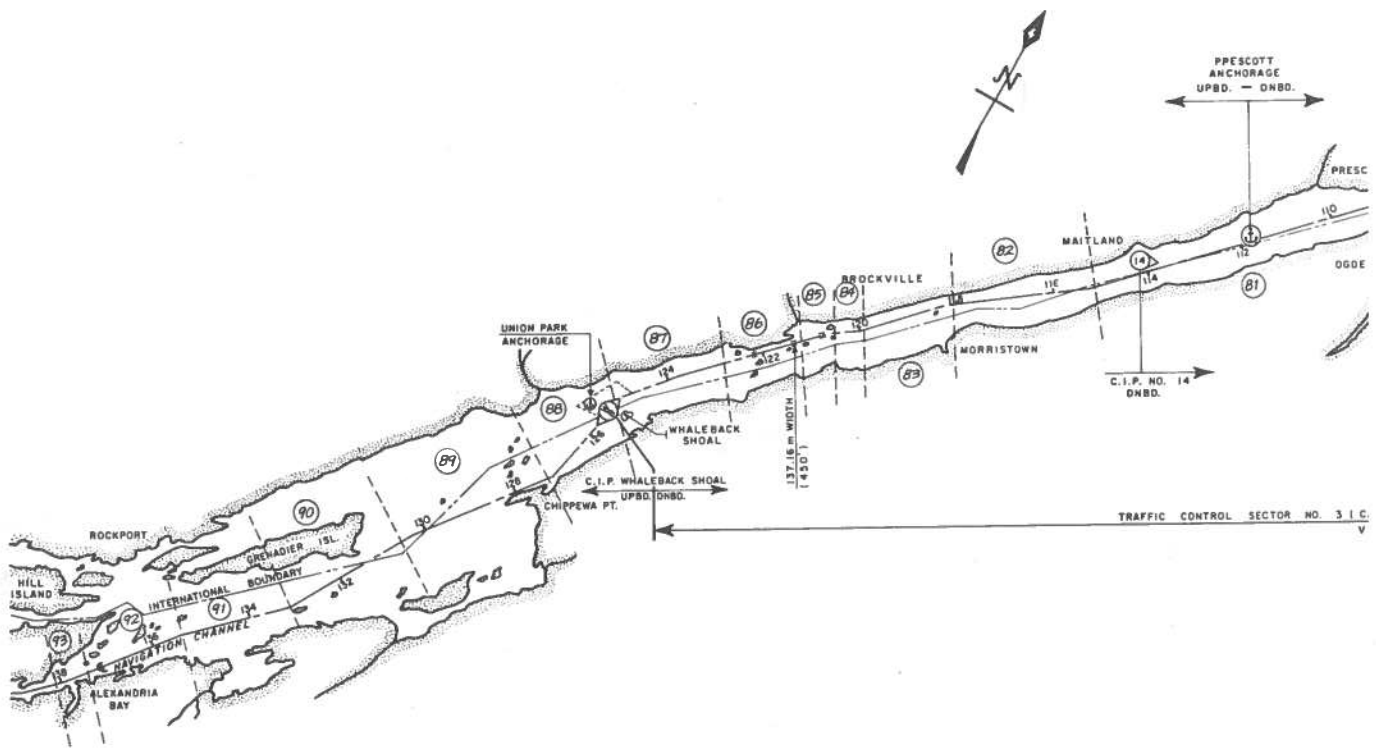


FIGURE 2.3. SEAWAY CHART SHOWING REACHES 64-93

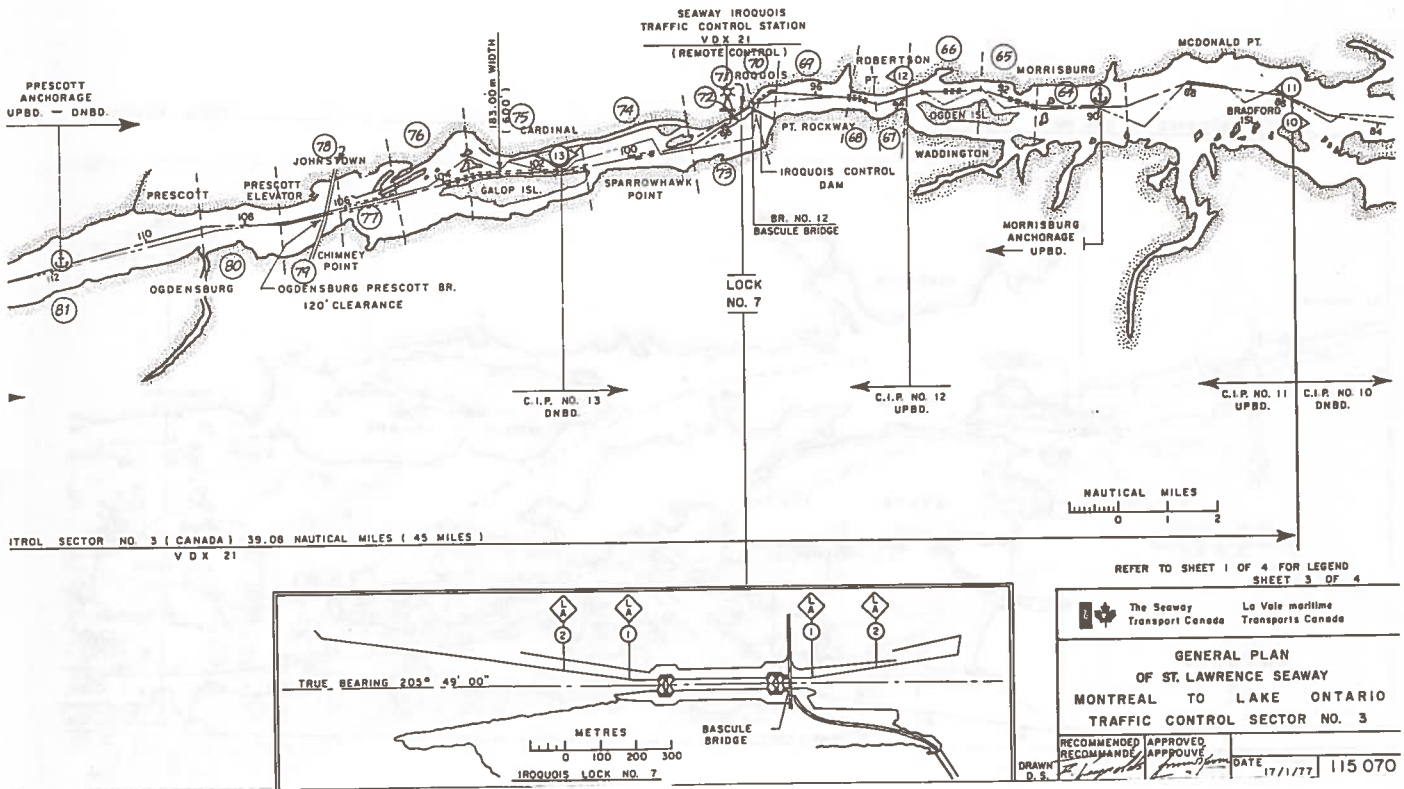


FIGURE 2.3. (CONTINUED)

TABLE 2.1 DESCRIPTION OF SEAWAY REACHES

Reach Description	Reach Number	Upbound Channel Bearing (°T)	Minimum Channel Width (ft)	Minimum Channel Depth (ft)	Beginning Channel Mileage*	Ending Channel Mileage*	Upbound Speed Limit (mph)	Down-Bound Speed Limit (mph)	Channel Current (fps)	Channel Current Direction* (°T)
Montreal Harbor	1	167	200	35	0	1.3	7	7	.5	
Cartier Bridge	2	167	200	27	1.3	1.3	7	7	.5	
South Shore Canal	3	167	225	27	1.3	3	7	7	.5	
St. Lambert Lock	4	167	80	27	3	3.25	7	7	.5	
Vertical Lift Bridge	5	167	80	27	3.25	3.25	7	7	.5	
South Shore Canal	6	165	280	27	3.25	4	7	7	.5	
	7	157	280	27	4	5.25	7	7	.5	
	8	177	280	27	5.25	7.85	7	7	.5	
	9	199	280	27	7.85	8.5	7	7	.5	
	10	221	280	27	8.5	9.25	7	7	.5	
	11	241	280	27	9.25	10	7	7	.5	
	12	260	280	27	10	10.5	7	7	.5	
	13	269	280	27	10.5	11.75	7	7	.5	
St. Catherine Lock	14	269	80	27	11.75	12	7	7	.5	

*STATUTE MILES

** A BLANK INDICATES NO CROSS CURRENTS IN THAT REACH

TABLE 2.1 DESCRIPTION OF SEAWAY REACHES (CON'T)

Reach Description	Reach Number	Upbound Channel Bearing (°T)	Minimum Channel Width (ft)	Minimum Channel Depth (ft)	Beginning Channel Mileage*	Ending Channel Mileage*	Upbound Speed Limit (mph)	Down-Bound Speed (mph)	Channel Current (fps)	Channel Current Direction** (°T)
South Shore Canal										
	15	269	250	27	12	13.25	7	7	.5	
	16	255	250	27	13.25	14.75	7	7	.5	
	17	277	250	27	14.75	15.75	7	7	.5	
	18	303	250	27	15.75	17.37	7	7	.5	
	19	278	225	27	17.37	18	7	7	.5	
South Shore Canal										
	20	249	250	27	18	20	7	7	.5	
Entrance to Lake St. Louis										
	21	266	500	28.5	20	22.3	12	12	3.2	053
	22	223	650	28.5	22.3	27	18	18	2	
	23	241.3	750	32	27	30	18	18	2	
	24	218	1400	42	30	31.5	18	18	1.5	030
Beauharnois and Melocheville Locks										
	25	209.3	80	27	31.5	32.5	0	0	0	
Beauharnois Canal										
	26	209.3	590	27	32.5	36	10	12	3.1	
	27	226	590	27	36.0	38.5	10	12	3.1	
	28	237	590	27	38.5	39.0	10	12	3.1	
St. Louis Bridge										
	29	237	180	27	38.5	38.5	10	12	3.1	
	30	248.3	590	27	39.0	40.5	10	12	3.1	
	31	270	590	27	40.5	44.5	10	12	3.1	

TABLE 2.1 DESCRIPTION OF SEAWAY REACHES (CON'T)

Reach Description	Reach Number	Upbound Channel Bearing (°T)	Minimum Channel Width (ft)	Minimum Channel Depth (ft)	Beginning Channel Mileage*	Ending Channel Mileage*	Upbound Speed Limit (mph)	Down-Bound Speed (mph)	Channel Current (fps)	Channel Current Direction** (°T)
Valley Field Bridge										
	32	287	180	27	44.5	44.5	10	12	3.1	
	33	287	590	27	44.5	47	10	12	3.1	
	34	263	500	28	47.0	51.4	18	18	2.4	062
Lake St. Francis										
	35	242.4	1160	28	51.4	54.2	18	18	2.4	
	36	209	1160	40	54.2	56.4	18	18	2.4	058
	37	234	1160	40	56.4	63	18	18	2.35	
	38	266	480	35	63	64.2	18	18	2.35	
Lancaster Bar										
	39	228.2	450	28.5	64.2	66.4	18	18	2.35	
	40	207.2	450	28.5	66.4	67.8	18	18	2.92	042
	41	225.55	450	28.5	67.8	70.3	10	12	2.92	
	42	239.15	460	28.5	70.3	72.5	10	12	2.80	
	43	262.5	480	35.7	72.5	73.6	10	12	2.80	
Glengary Anchorage										
	44	241.1	650	28.5	73.6	77.1	10	12	2.80	088
Cornwall and St. Regis Island										
	45	209	460	29.0	77.1	78.0	10	12	2.80	
Turn Cornwall Island										
	46	236	700	29	78.0	79	10	12	2.80	048
	47	278	460	29	79	80	10	12	5.06	
Turn Seaway International Bridge [Polly's Gut]										
	48	234	460	29	80	82	10	12	5.06	
	49	267	600	31	82	82	10	12	5.06	
	50	267	700	32	82	83	10	12	6.75	088
	51	267	700	29	83	84	10	12	10.1	103

TABLE 2.1 DESCRIPTION OF SEAWAY REACHES (CON'T)

Reach Description	Reach Number	Upbound Channel Bearing (°T)	Minimum Channel Width (ft)	Minimum Channel Depth (ft)	Beginning Channel Mileage*	Ending Channel Mileage*	Upbound Speed Limit (mph)	Down-Bound Speed (mph)	Channel Current (fps)	Channel Current Direction** (°T)
Snell Lock	52	272	80	27	84	84	0	0	0	
	53	261	442	27	84	87.5	7	7	0	
Eisenhower Lock	54	260	80	27	87.5	87.5	0	0	0	
	55	257	442	27	87.5	90.5	13	13	2	
Wiley-Dondero Channel	56	257	442	27	90.5	92.5	13	13	2.7	045
	57	257	442	29	92.5	95.0	13	13	1.76	125
Cat Island Shcal	58	233	610	46	95	96	15	15	1.4	
Morrisburg Section	59	248	730	37	96	99	15	15	1.4	
	60	262.15	730	44	99	100	15	15	1.4	
	61	233	730	48	100	101	15	15	1.4	
	62	255	730	37	101	102	15	15	1.7	
	63	220	730	36	102	104	15	15	1.7	
	64	237	600	29	104	106	13	13	3.16	
	65	255.25	630	27	106	108	13	13	3.44	
	66	237	610	29	108	109	13	13	3.72	
	67	227	600	56	109	110	15	15	3.40	
	68	248	600	48	110	110.75	15	15	3.50	
	69	242.5	580	29	110.75	112	15	15	3.50	032
70	206.15	400	29	112	112.5	15	15	3.50	032	
Iroquois Lock	71	209	80	27	112.5	112.75	0	0	0	
Rolling Lift Bridge	72	209	80	27	112.5	112.75	0	0	0	047
	73	209	840	29	112.75	114	15	15	4.5	
	74	227.2	500	29	114	117.5	15	15	4.75	
	75	237	400	29	117.5	119.5	15	15	4.88	
	76	221.45	400	29	119.5	121	15	15	3.88	
	77	238.35	450	29.5	121	123	15	15	4.22	

TABLE 2.1 DESCRIPTION OF SEAWAY REACHES (CON'T)

Reach Description	Reach Number	Upbound Channel Bearing (°T)	Minimum Channel Width (ft)	Minimum Channel Depth (ft)	Beginning Channel Mileage*	Ending Channel Mileage*	Upbound Speed Limit (mph)	Down-Bound Speed (mph)	Channel Current (fps)	Channel Current Direction** (°T)
Ogdensburg-Prescott Bridge										
	78	238.35	550	29.5	123	123	15	15	1.90	
	79	220	630	36	123	124.75	15	15	1.90	
	80	238	730	45	124.75	126.5	15	15	1.90	
	81	223	730	34	126.5	133.5	15	15	1.1	
	82	231	730	52	133.5	136.5	15	15	1.1	
McNair Island	83	220	730	57	136.5	138.25	15	15	1.4	
Skeleton Island	84	232	400	43	138.25	139	15	15	1.4	
Smith Island	85	236	300	29	139	140	15	15	4.9	
Brockville Narrows										
	86	222	300	29	140	142	15	15	4.9	
	87	217	550	38	142	144	15	15	1.4	
Whaleback Shoals										
	88	194	610	29	144	146.5	15	15	0.8	045
	89	218	610	29	146.5	151	13	13	0.8	032
	90	209	610	29	151	154	13	13	0.8	032
	91	225	600	39	154	157	13	13	0.8	
American Narrows										
	92	218	600	29	157	159	10	12	0.8	
	93	214	450	70	159	160	10	12	0.8	
	94	219	450	70	160	162	10	12	3.3	
	95	235	450	100	162	164.5	10	12	3.3	

TABLE 2.1 DESCRIPTION OF SEAWAY REACHES (CON'D)

Reach Description	Reach Number	Upbound Channel Bearing (°T)	Minimum Channel Width (ft)	Minimum Channel Depth (ft)	Beginning Channel Mileage*	Ending Channel Mileage*	Upbound Speed Limit (mph)	Down-Bound Speed (mph)	Channel Current (fps)	Channel Current Direction** (°T)
Thousand Islands Bridge										
	96	235	450	100	164.5	164.5	10	12	3.3	
	97	231	450	100	164.5	167	10	12	3.3	
	98	239	610	29	167	169.5	10	12	0.9	
	99	228	610	29	169.5	170.5	10	12	0.9	
	100	246	730	50	170.5	178	15	15	0.9	
	101	263	730	48	178	182	15	15	0.4	
	102	193.2	730	29	182	186	15	15	0.4	
Tibbetts Point										
	103	234	730	84	186	190	15	15	0.4	

TABLE 2.2 SEAWAY REACH PARAMETERS

Reach (I,N)	I = Reach Number (I = 1 to 103)
<u>N</u>	<u>Element Description</u>
1	Reach Type: 0 - lock 1 - two-way dynamic reach 2 - two-way static reach 3 - one-way dynamic reach 4 - one-way static reach 5 - non-constraining bridge
2	Upbound course, °T
3	Minimum width, ft
4	Minimum depth, ft
5	Beginning reach mileage, statute miles
6	Ending reach mileage, statute miles
7	Upbound speed limit, mph
8	Downbound speed limit, mph
9	Current speed, fps
10	Current direction, °T
11	Minimum visibility required to fix position visually from three navigational aids during the normal season, while upbound in daylight (statute miles)
12	Same as 11 - only downbound in daylight
13	Same as 11 - only during extended season, while upbound in daylight
14	Same as 11 - only during extended season, while downbound in daylight
15	Same as 11 - only during normal season, while upbound at night
16	Same as 11 - only during normal season, while downbound at night
17	Same as 11 - only during extended season, while upbound at night
18	Same as 11 - only during extended season, while downbound at night
19	Electronic navigation accuracy of reach, ft
20	Daytime visual navigation accuracy of reach, ft
21	Night-time visual navigation accuracy, ft
22	Indicator noting whether reach could have ice problems (no river steam when ice is present) during extended season operations

0 - No
1 - Yes

The reach parameters--upbound course direction, minimum channel width, minimum channel depth, upbound speed limit, downbound speed limit, current speed, and current direction (reach elements 2, 3, 4, 7, 8, 9 and 10 respectively)--are used in the static and dynamic equations of motion to determine the ship maneuverability width and the ship rudder angle required to achieve that width. Reach element 22, equal to 1, indicates that ice forms in the reach during the winter. This sets the maneuverability width equal to one-half the ship's beam and also indicates that no river steam exists when ice is present.

The course direction, channel dimensions, and ship speed limits were obtained from Charts 1409-1421 of the Canadian Hydrographic Office. References [1 and 2] provided information on the magnitude of reach currents and their direction. Currents are based on a flow rate of 310,000 cfs [2]. Ice formation data was obtained from the SPAN report [1].

In order for a ship to navigate visually, it must be capable of fixing its position using a sufficient number of navigation aids; i.e., lighted floating buoys, range lights, fixed lights, conspicuous land marks, etc. For this study, a sufficient number of navigation aids means that a ship must be able to fix its position using three navigational aids.

Analysis of the Seaway navigation aids indicated that the minimum visibility required to fix position visually at any point along a reach is a function of the time of the day, the direction of the ship, and the time of the year. Thus, eight categories of minimum visibilities required to fix position visually were identified as:

1. Normal season, day, upbound
2. Normal season, day, downbound
3. Extended season, day, upbound
4. Extended season, day, downbound
5. Normal season, night, upbound
6. Normal season, night, downbound
7. Extended season, night, upbound
8. Extended season, night, downbound

The criteria for determining these distances were:

1. The navigation-aid distances determined must not be strictly distances between 3 nav-aids but rather reflect the minimum visibility required to fix position visually anywhere along a given course. Thus, with a visibility

equal to this distance, a pilot must be able to constantly fix his position anywhere along the course.

2. Whenever a course change occurs, the visibility required to fix position to change course must also be taken into account.

3. All navigation aids used to fix position must be forward of the beam.

Only lighted aids are used at night. During the normal season, the floating lighted aids, fixed lights, and range lights are the primary aids. Conspicuous land marks are secondary. During the extended season, fixed aids and range lights are the primary aids, and conspicuous land marks are secondary.

The required visibilities were determined by overlaying a transparent scaled template on the Seaway charts and determining the minimum distance that would include three navigational aids. The template was divided into distance increments consistent with the visibility increments used by weather stations. The template is shown in Figure 2.5.

A few reaches in the Seaway have very wide and deep channels with few lighted aids (Ogdensburg-Brockville). Navigation for those reaches would not be a problem if both shorelines could be seen during the day or night. This criteria was used to determine nav-aid distances for those reaches.

The required visibility for a lock or a bridge was set equal to zero because this distance was accounted for in the reach preceding the lock or bridge. Lock lights and bridge lights were used to determine the required visibility in the reach preceding a lock or bridge. This meant that if a pilot can see the lock (or bridge) light, his ship could proceed visually, both through the preceding reach and through the lock (or bridge).

Table 2.3 contains the required visibilities for reaches 20-103. The illuminated dike along the South Shore Canal (reaches 1-19) was used to determine the required visibilities for reaches 1-19. Tables 2.4 and 2.5 indicate the particular aids used in determining the required visibilities for each reach of the Seaway, excluding the South Shore Canal.

Reach element 19 in Table 2.2 is the navigation system electronic accuracy. For this study, the effect of system accuracies of 0', 30', 60', 100', 150', 200', and 300' on the yearly Seaway capacity was determined.

The reach elements 20 and 21 (day and night visual accuracy) were estimated to be ± 15 feet; i.e., if visual navigation was possible, then the ship's position in the channel was assumed known to within ± 15 feet.

(Text Continued on Page 33)

Figure 2.5. Template Used to Determine Distance to Fix Position Visually Using Three Navigational Aids (Numbers Denote Distances in Statute Miles)

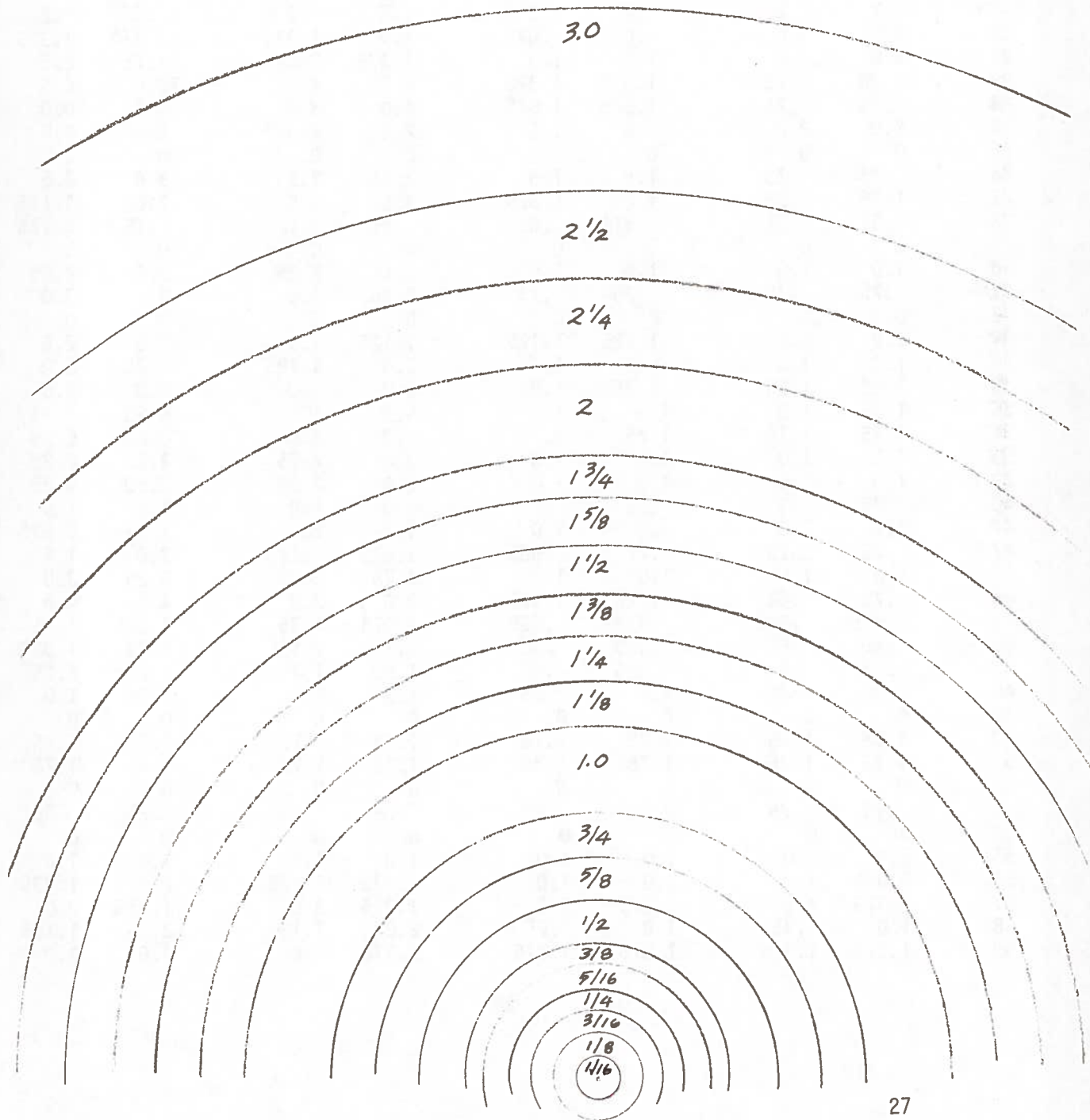


TABLE 2.3 MINIMUM VISIBILITY REQUIRED TO FIX POSITION VISUALLY
USING THREE NAVIGATION AIDS (IN STATUTE MILES)

Reach Number	NORMAL SEASON				EXTENDED SEASON			
	DAYTIME		NIGHT		DAYTIME		NIGHT	
	Upbound	Downbound	Upbound	Downbound	Upbound	Downbound	Upbound	Downbound
1-19	.2	.2	.2	.2	.2	.2	.2	.2
20	1.0	1.0	1.0	.625	1.5	1.375	1.375	1.375
21	1.0	1.0	1.0	1.0	1.375	2.0	1.75	2.5
22	.75	.75	1.375	1.375	4.25	4.0	10.0	6.5
23	.75	.75	1.375	1.375	4.0	3.25	5.5	10.0
24	2.0	2.0	2.0	1.5	2.0	2.0	2.5	2.5
25	0	0	0	0	0	0	0	0
26	.75	.75	1.5	1.5	2.25	2.5	3.0	2.5
27	1.25	1.25	1.25	1.375	3.0	4.0	3.0	4.125
28	.313	.313	.313	.625	.75	3.125	.75	3.125
29	0	0	0	0	0	0	0	0
30	1.0	1.0	1.0	1.0	3.0	2.25	3.0	2.25
31	.75	.75	.75	.75	1.75	3.0	2.5	3.0
32	0	0	0	0	0	0	0	0
33	1.0	1.	1.125	1.125	1.125	1.5	2.5	2.5
34	1.0	1.0	1.0	1.0	3.0	4.125	6.25	6.0
35	1.25	1.25	1.25	1.25	4.0	5.0	4.0	7.5
36	1.25	1.0	1.0	1.0	4.0	1.5	4.25	1.75
37	1.75	1.75	1.75	1.75	4.0	3.0	6.25	6.25
38	1.0	1.0	1.0	1.0	3.0	2.25	2.25	2.25
39	1.0	1.0	1.0	1.0	2.0	2.25	2.50	4.25
40	.75	.5	.75	.5	1.0	1.0	1.0	1.0
41	1.0	1.0	1.0	1.0	1.75	2.5	1.75	2.625
42	.75	.625	.75	.625	1.625	1.125	2.0	1.5
43	1.0	1.0	1.0	1.0	3.75	2.25	5.25	3.0
44	.75	.625	1.125	1.375	3.0	3.0	4.0	4.5
45	.625	.625	.625	.625	1.375	1.25	1.375	1.75
46	.50	.50	.500	.50	.75	1.125	2.25	1.375
47	.625	.5	.625	.50	1.50	1.75	1.75	2.25
48	1.0	.75	1.0	.75	1.0	1.0	.75	1.0
49	0	0	0	0	0	0	0	0
50	1.75	1.75	1.75	1.75	1.75	1.75	1.75	1.75
51	1.75	1.75	1.75	1.75	1.75	1.75	1.75	1.75
52	0	0	0	0	0	0	0	0
53	.75	.75	.75	.75	.75	.75	.75	.75
54	0	0	0	0	0	0	0	0
55	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0
56	1.0	1.0	1.0	1.0	1.375	1.375	2.0	1.375
57	1.375	1.5	1.375	1.5	1.375	3.0	1.375	3.0
58	1.0	.75	1.0	.75	2.25	1.125	2.25	1.125
59	1.375	1.375	1.375	1.375	3.375	3.0	4.0	3.0

TABLE 2.3 MINIMUM VISIBILITY REQUIRED TO FIX POSITION VISUALLY
USING THREE NAVIGATION AIDS (IN STATUTE MILES) (CONT'D)

Reach Number	NORMAL SEASON				EXTENDED SEASON			
	DAYTIME		NIGHT		DAYTIME		NIGHT	
	Upbound	Downbound	Upbound	Downbound	Upbound	Downbound	Upbound	Downbound
60	.75	1.0	1.0	1.0	2.0	1.375	2.0	1.375
61	1.25	1.0	1.25	1.0	1.25	1.125	1.25	1.125
62	1.125	1.0	1.125	1.0	1.125	1.0	1.0	1.0
63	1.0	1.0	1.0	1.0	1.0	1.25	1.0	1.25
64	.625	.5	.625	.50	1.25	1.75	2.0	2.25
65	.625	.625	.625	1.0	1.0	1.0	1.0	1.125
66	.625	1.0	.625	1.0	3.75	1.5	4.0	1.375
67	1.0	.75	1.0	.75	3.0	2.0	3.375	2.0
68	.75	.625	.75	.625	2.5	3.0	2.5	3.0
69	1.25	1.0	1.25	1.0	1.625	2.25	1.625	4.0
70	.5	.625	.50	.625	.75	.75	.75	.75
71	0	0	0	0	0	0	0	0
72	0	0	0	0	0	0	0	0
73	1.25	.75	1.25	.75	1.375	1.25	1.75	1.25
74	1.25	1.125	1.25	1.125	1.75	1.75	2.5	3.75
75	1.625	.75	1.25	1.0	1.625	1.25	2.0	1.25
76	.75	.625	.75	.625	1.125	1.375	1.25	1.375
77	.625	.625	.625	.625	1.125	1.5	1.125	1.5
78	0	0	0	0	0	0	0	0
79	1.0	1.0	1.0	1.0	1.75	1.75	1.75	1.75
80	1.0	1.125	1.0	1.125	1.125	1.375	1.75	2.50
81	2.0	3.0	2.0	3.0	2.0	3.0	2.0	3.0
82	1.75	1.5	1.75	1.5	1.75	1.50	1.75	1.5
83	1.25	1.25	2.0	2.0	1.25	1.375	2.0	2.0
84	.75	.75	1.0	.75	1.625	1.75	1.625	2.0
85	.625	.50	.625	.50	.625	.625	.625	.625
86	.75	1.0	.75	1.0	1.125	1.0	1.125	1.0
87	1.0	1.0	1.125	1.0	2.0	1.5	2.0	2.0
88	1.5	1.5	1.5	1.5	3.0	3.0	3.25	3.0
89	1.375	1.375	1.375	1.375	3.0	2.25	4.25	3.25
90	1.75	1.75	1.75	2.0	3.5	2.0	5.0	4.5
91	1.5	1.5	1.5	1.5	2.25	2.25	2.25	2.75
92	1.25	1.125	.5	1.125	2.0	1.25	2.0	1.25
93	.75	.75	.75	.75	1.0	.75	1.0	.75
94	.75	1.5	.75	1.5	.75	1.5	.75	1.5
95	1.375	1.0	1.375	1.0	1.75	1.375	1.75	1.375
96	0	0	0	0	0	0	0	0
97	1.0	1.0	1.0	1.0	1.125	2.0	1.125	2.0
98	1.75	1.75	1.75	1.75	3.375	3.25	3.375	3.25
99	1.25	1.25	1.25	1.25	1.375	1.375	2.0	3.75
100	3.0	2.5	3.0	2.50	5.75	3.75	5.75	3.75
101	2.5	3.0	2.5	3.0	3.5	6.5	3.5	6.5
102	1.375	1.375	1.375	1.375	2.5	2.5	3.5	4.25
103	1.375	1.75	1.375	1.75	2.0	3.0	2.0	3.0

TABLE 2.4 IDENTIFICATION OF NAVIGATION AIDS USED TO DETERMINE MINIMUM VISIBILITY REQUIRED TO FIX POSITION VISUALLY FOR EACH REACH OF THE SEAWAY, NORMAL SEASON

Reach No.	Daylight		Night	
	Upbound Traffic	Downbound Traffic	Upbound Traffic	Downbound Traffic
1-19	Illuminated Dike 1 A 2 A Pt. Johnson Lt.	Illuminated Dike 1 A 2 A Pt. Johnson Lt.	Illuminated Dike Pt. Johnson Lt.	Illuminated Dike 1 A Dike Light 1/2A
20	10A RB 13A 22A 25A 24A 39A 42A 41A Pt. Lock Flame Fortier Light	10A RB 13A 22A 25A 24A 39A 42A 41A Pt. Lock Flame Fortier Light	10A RB 13A 22A 25A 24A 39A 42A 41A Pt. Lock Flame Fortier Light	13A 9A 10A 39A 33A 34A 45A 39A 40A Anchor Flashing Buoy
21				
22				
23				
24				
25	Beauharnois - Melocheville Locks 8 B 10B F34'	8 B 10B F34'	8 B 12B F34'	12B 8 B Quick Fl Anchor Lt.
26				
27	14B 16B 17B 19B 22B FL	14B 16B 17B 19B 22B FL	14B 16B 17B 19B 22B FL	16B 13B Bridge 19B 20B Light
28				
29	St. Louis Bridge 26B L33' 29B 34B 35B 36B	26B L33' 29B 34B 35B 36B	26B L33' 29B 34B 35B 36B	29B 26B L33' 34B 35B 36B
30				
31				
32	Valleyfield Bridge 50B 52B 53B	50B 52B 53B	50B 52B 53B	50B 52B 53B
33				
34	27F 28F 29F 40F 5FL 6FL F73 FY34 46F 59F 63F 64F 72F 75F 76F 82F 84F	27F 28F 29F 40F 5FL 6FL 50F 73F FY57 59F 63F 64F 72F 75F 76F 84F F72 Floating Buoy	27F 28F 29F 40F 5FL 6FL F73 FY34 46F 50F 63F 64F 72F 75F 76F 82 F72 84	27F 28F 20F 40F 5FL 6FL 50F 73F FY57 59F 63F 64F 72F 75F 76F F72 82
35				
36				
37				
38				
39				

TABLE 2.4 IDENTIFICATION OF NAVIGATION AIDS USED TO DETERMINE MINIMUM VISIBILITY REQUIRED TO FIX POSITION VISUALLY FOR EACH REACH OF THE SEAWAY, NORMAL SEASON (CON'T)

Reach No.	Daylight		Night	
	Upbound Traffic	Downbound Traffic	Upbound Traffic	Downbound Traffic
40	F58	F55	F58	F55
41	96F	99F	96F	99F
42	110F	115F	110F	115F
43	120F	FLW	120F	FLW
44	2 B	133F	133F	134
45	142F	140F	142F	140F
46	Quick	Flash-	Quick	Flash-
	F1 Red	ing G5	F1 Red	ing G5
47	FR3	FRWG 54	FR8	FRWG 54
48	FLG11	FLG15	FLG11	FLG15
49	Seaway	International Bridge	FLG17	Bridge
50	FLG17	FLR16	FLG17	Bridge
	FLR16	FLG17	FLR16	Lights
51	FLR16	FLG17	FLR16	FLR18
52	Snell Lock		28	30
53	28	30	28	30
54	Eisenhower Lock		40	42
55	40	42	40	42
56	48	50	48	50
57	55	FG58	55	FG58
58	57	60	57	60
59	Monu-	FL15	Monu-	FL15
	ment		ment	
60	68	71	68	71
61	72	74	72	74
62	74	77	74	77
			Quick	Flash-
			Flash-	ing
			ing	50
			50	48
			49	54
			55	FG28
			FLG	60
			FR	60
			71	68
			73	72
			75	FY33
			70	74
			FY56	74
			74	74
			75	77
			71	68
			73	72
			75	FY33
			70	74
			FY56	74
			74	74
			75	77
			71	68
			73	72
			75	FY33
			70	74
			FY56	74
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			FY56	74
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			73	72
			75	FY33
			70	74
			FY56	74
			74	74
			75	77
			71	68
			73	72
			75	FY33
			70	74

TABLE 2.5 IDENTIFICATION OF NAVIGATION AIDS USED TO DETERMINE MINIMUM VISIBILITY REQUIRED TO FIX POSITION VISUALLY* FOR EACH REACH OF THE SEAWAY, EXTENDED SEASON

Reach No.	Daylight		Night	
	Upbound Traffic	Downbound Traffic	Upbound Traffic	Downbound Traffic
1-19				
20	Illuminated Dike Pt. Johnson FLG27	Illuminated Dike Pt. Johnson FLG27	Illuminated Dike Pt. Johnson FLG27	Illuminated Dike Pt. Johnson FLG27
21	Croix Cross Pt. Johnson FG53	Croix Cross Pt. Johnson FG53	Lock Light L22	Johnson Pt. FG75
22	Moulin Pt. Fortier Lock Light	Moulin Pt. Fortier L22	Flame Dike Light	Johnson Pt. FG75
23	Moulin Pt. Fortier Lock Light	Moulin Pt. Fortier L22	Flame Dike Light	Johnson Pt. FG75
24	Beauharnois - Melocheville Locks	Beauharnois - Melocheville Locks	Flame Dike Light	Flame Dike Light L22
25	Quick Fl. Anchor Lt. FY59	Quick Fl. Anchor Lt. EINT 33	Quick Fl. Anchor Lt. L33	Quick Fl. Anchor Lt. F34
26	Bridge Light	Bridge Light	Bridge Light	Bridge Light
27	St. Louis Bridge	St. Louis Bridge	Bridge Light	Bridge Light
28	St. Louis Bridge	St. Louis Bridge	Bridge Light	Bridge Light
29	St. Louis Bridge	St. Louis Bridge	Bridge Light	Bridge Light
30	St. Louis Bridge	St. Louis Bridge	Bridge Light	Bridge Light
31	St. Louis Bridge	St. Louis Bridge	Bridge Light	Bridge Light
32	Valleyfield Bridge	Valleyfield Bridge	Bridge Light	Bridge Light
33	Valleyfield Bridge	Valleyfield Bridge	Bridge Light	Bridge Light
34	Valleyfield Bridge	Valleyfield Bridge	Bridge Light	Bridge Light
35	Valleyfield Bridge	Valleyfield Bridge	Bridge Light	Bridge Light
36	Valleyfield Bridge	Valleyfield Bridge	Bridge Light	Bridge Light
37	Valleyfield Bridge	Valleyfield Bridge	Bridge Light	Bridge Light

TABLE 2.5 IDENTIFICATION OF NAVIGATION AIDS USED TO DETERMINE MINIMUM VISIBILITY REQUIRED TO FIX POSITION VISUALLY FOR EACH REACH OF THE SEAWAY, EXTENDED SEASON (CON'D)

Reach No.	Daylight		Night	
	Upbound Traffic	Downbound Traffic	Upbound Traffic	Downbound Traffic
64	Spire 96	Tower F38	Spire F33	Tower FR
65	Tower F44	Tower 107	F38 96	F53 107
66	Tower F44	Tower 107	96 F53	107 F53
67	Tower F44	Tower 107	96 F53	107 F53
68	Tower 107	F44	Tower 011	F33 107
69			Terminal	
70	Spire F33	F432	F33	FR32
71	Iroquois Lock			
72	Rolling Lift Bridge			
73	FR	FG	114	Radio Tower 115
74	Radio Tower FG60	Water Tower 123	Radio Tower 115	Radio Tower 115
75	FG60	Old Light House	FG60	Old Light House FG48
76	123	Old Lt. House	123	QFR46
77	F70	FG FLR	FG	EINT 70
78	Ogdensburg Bridge	Prescott Bridge		
79	F92	QF	F92	Bridge Light FL92
80	Radio Tower	Chimney	QF	Radio Tower
81	Storage Tank	Pr. Chimney	Storage Tank	Pr. Chimney
82	Chimney	Shore-line	Shore-line	Shore-line
83	137	Shimney	137	FR
84	FR	141	FR	FR

2.2 Methods Used to Describe Meteorological Conditions

Winds and visibility affect the speed and maneuvering room requirements of ships in the Seaway. Five years of actual meteorological data consisting of three hourly observations of visibility, wind speed and direction, and dry bulb temperature were obtained from weather stations located near the Seaway at Montreal, Massena, and Watertown. The five years selected coincided with the years used in the SPAN study because this data had already been assembled for the extended season period and therefore only data for the normal season had to be obtained. The SPAN study selected these five years based on the degree of winter severity as determined by the cumulative freezing degree days. For this study, however, the five years were considered to be random samples of weather from the set of all available weather data.

Data from the Canadian weather station was available on magnetic tape in US TDF 1440 format from the Atmospheric Environment Division of Environment Canada. Data from the two American stations was obtained from the National Climatic Center, Environmental Data Service, National Oceanic and Atmospheric Administration of the U.S. Department of Commerce, in Asheville, North Carolina. The data for the Massena station was available on magnetic tape; however, only one year of data for the Watertown station was on magnetic tape, the remainder was keypunched from photocopies of the hand-written original observations.

Five complete years of weather data were stored on magnetic tapes. The five years used were those years that contain the five winter seasons analyzed in the SPAN study [1]. These are shown in Table 2.6.

The Seaway was divided into three meteorological sectors as follows:

SECTOR 1 includes Reaches 1 to 41
SECTOR 2 includes Reaches 42 to 79
SECTOR 3 includes Reaches 80 to 103

Each reach within a given sector is subjected to the same meteorological conditions; that is, the visibility, wind speed, and wind direction at Reaches 1 through 41 are the same. This procedure allowed the simulation of a weather front moving through the Seaway.

The visibility due to river steam was calculated for each meteorological sector of the Seaway. The visibility due to river steam was calculated using the following equation, [1].

$$\text{Visibility}_{\text{river steam}} = (9 \text{ mi}) \left\{ e^{-0.0876 (\Delta T)} + (1 - e^{-0.0876 (\Delta T)} \tanh(.38 V_w)) \right\}$$

where

$$\Delta T = T_{\text{water}} - T_{\text{air}} \text{ (}^\circ\text{F)}$$

$$V_w = \text{wind speed (mph)}$$

TABLE 2.6 FIVE YEARS OF HISTORIC WEATHER DATA USED IN STUDY

YEAR NUMBER	YEAR
1	Oct. 1952 - Sept. 1953
2	Oct. 1965 - Sept. 1966
3	Oct. 1967 - Sept. 1968
4	Oct. 1968 - Sept. 1969
5	Oct. 1969 - Sept. 1970

Water temperature data for the five years of interest was incomplete, and records of water temperature for 1952-1953 could not be found. Therefore, water temperature data from 1965-1966 was used for river steam calculations for the year 1952-1953. Data was available for one location along the Seaway-Ogdensburg, New York [3]. This location corresponded to meteorological Sector 2 of the Seaway. Water temperatures for Sectors 1 and 3 were estimated in the following manner.

River steam occurs when the air temperature drops below the water temperature. Figure 2.6 [4] shows that this phenomenon occurs mid-August to late March for Montreal. During this period, the figure also shows that the maximum winter water temperature difference between Kingston and Montreal is approximately 6°F. The temperature at Sectors 1 and 3 were then estimated, with interpolation based on Seaway miles, using the following relationships:

$$\begin{aligned} \text{SECTOR 1: } T_{\text{Montreal}} &= (T_{\text{Ogdensburg}} - 2), \quad \text{if } (T_{\text{Ogdensburg}} - 2) > 32 \\ &= 32, \quad \text{if } (T_{\text{Ogdensburg}} - 2) \leq 32 \end{aligned}$$

$$\text{SECTOR 3: } T_{\text{Kingston}} = T_{\text{Ogdensburg}} + 1$$

Six events occur on the Seaway during the winter which were significant for this study:

1. The floating lighted-navigation aids are pulled
2. Ice forms on the Seaway
3. Ice lockages begin
4. Ice lockages end
5. Ice disappears from the Seaway
6. The floating lighted aids are reinstalled

Table 2.7 lists the days after October 1 that these events occur for the five years of interest. Event 1 has an effect on visual navigation in that once the floating, lighted aids are pulled, the minimum required visibility increases significantly. Event 2, the formation of ice on the Seaway, is used to indicate that river steam will not occur when ice is present. Event 3, ice lockages begin, decreases capacity because of increase lockage time.*

$$t_{\text{Lock}} = t_{\text{Extended Season}} + t_{\text{Ice Lockage}}$$

Events 4, 5, and 6 signal the end of the winter season.

*This study assumes that the Seaway is operating at a level of improvement that allows ships to proceed throughout the winter; that is, ice conditions do not stop ships.

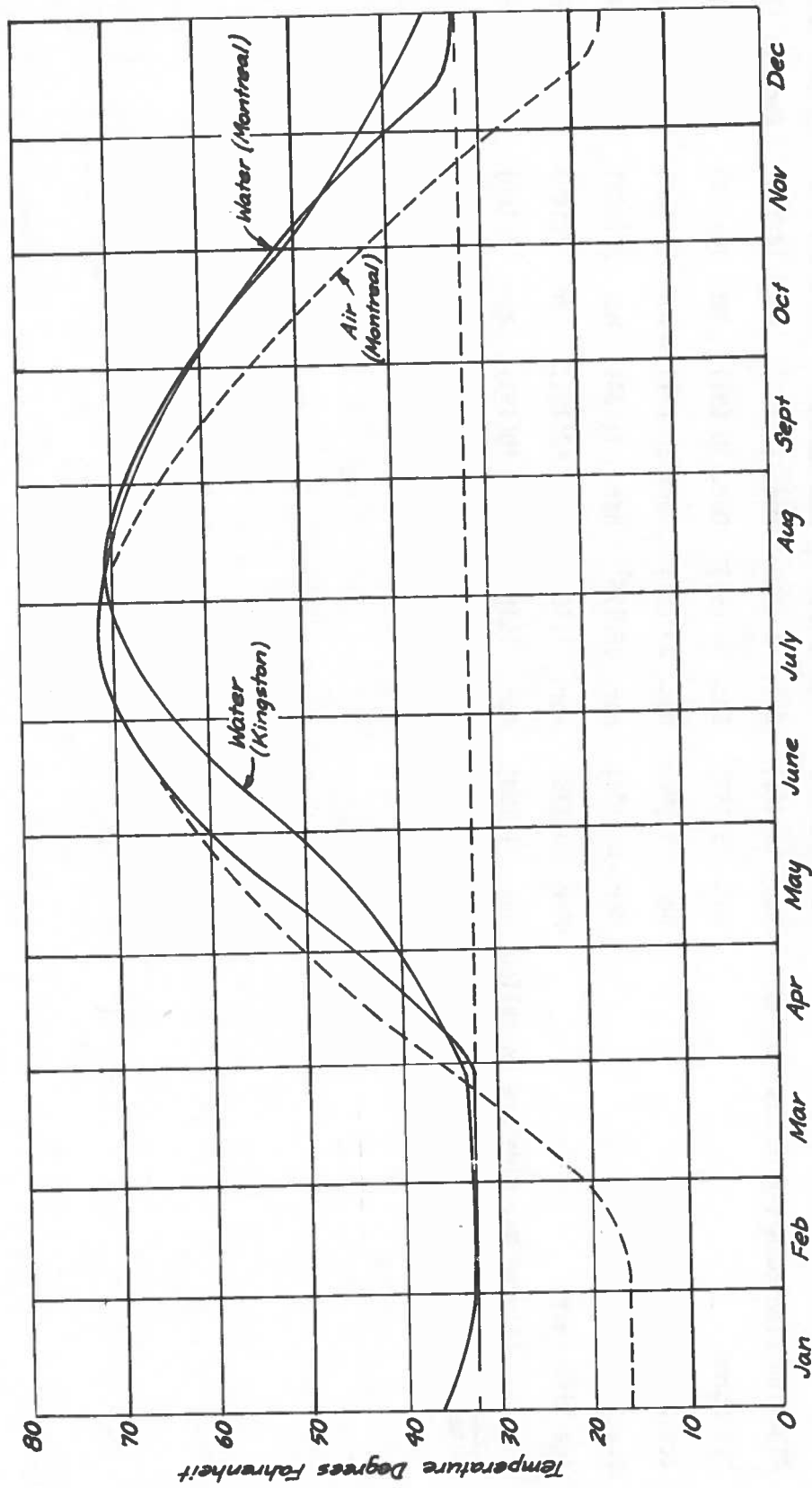


Figure 2.6. Air and Water Temperatures for Montreal, and Water Temperatures for Kingston 1963 - 1964 - 1965 Averages

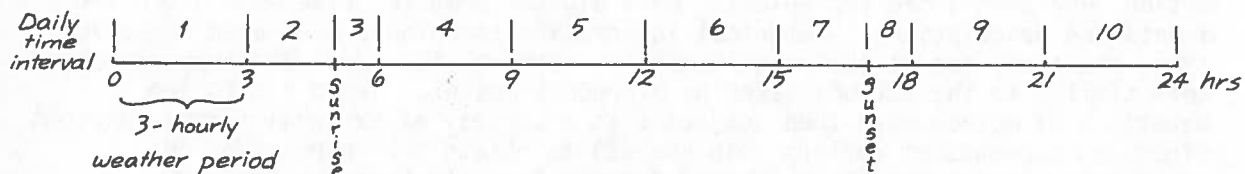
TABLE 2.7 DATES OF SIGNIFICANT EVENTS FOR EACH HISTORIC YEAR

	YEAR - 1	2	3	4	5
	<u>1952-1953</u>	<u>1965-1966</u>	<u>1967-1968</u>	<u>1968-1969</u>	<u>1969-1970</u>
Floating Lighted Nav-Aids are Pulled	Dec. 15 (76)	Dec. 3 (63)	Dec. 16 (77)	Dec. 14 (75)	Dec. 15 (76)
Ice Forms	Jan. 5 (97)	Dec. 30 (91)	Dec. 30 (91)	Dec. 14 (75)	Dec. 22 (83)
Ice Lockages Begin	Jan. 5 (97)	Dec. 30 (91)	Dec. 30 (91)	Dec. 21 (82)	Dec. 22 (83)
Ice Lockages End	Mar. 25(176)	Mar. 25(176)	Apr. 1(184)	Mar. 31(182)	Mar. 25(176)
Ice Disappears	Mar. 25(176)	Apr. 1(183)	Apr. 10(191)	Apr. 7(189)	Apr. 1(183)
Floating Lighted Nav-Aids are Installed	Apr. 1(183)	Apr. 1(183)	Apr. 10(191)	Apr. 7(189)	Apr. 1(183)

Note: Numbers in parenthesis denote number of days after October 1 that event occurs.

The Seaway year was divided into two periods, normal season and extended season. The normal and extended seasons are defined to be those periods between April 1 (0000 hours) and December 15 (2400 hours), and December 16 (0000 hours) and March 31 (2400 hours), respectively.

As listed in Section 2.1, the daylight and nighttime required visibilities are different. Therefore, each day had to be divided into periods of day and night. The time period of daylight was assumed to begin at sunrise and end at sunset. However, the beginning of 3-hourly weather periods, in general, do not coincide with either sunrise or sunset. Therefore, the two weather periods in which sunrise and sunset occur were divided into a period of day and one of night, resulting in a 24-hour day being divided into 10 daily time intervals as shown below:



Daily time periods 2 and 3 were analyzed using the weather period 2 data and time periods 7 and 8 were analyzed using the weather period 7 data.

2.3 Determination of Ship Maneuvering Requirements

The importance of having a methodology whereby the maneuvering room requirements for the study ships would be a function of the weather conditions and reach parameters was briefly discussed in Section 1.1. Without such a functional relationship, it would have been impossible to determine if a given reach constrained the capacity at any given time.

The approach used to develop a functional relationship between maneuvering room requirements and the weather conditions and reach parameters consisted of developing equations of motion to describe the movement of a ship in the horizontal plane when subjected to external disturbance forces (winds, currents, bank suction, etc.) and control forces (rudder and propeller). This development followed the method given in Reference 5. The equations of motion were programmed for solution on a digital computer (see Appendix C for a detailed description). Numerical integration techniques were used to solve these equations due to their nonlinearity. Control laws were developed which were similar to the actions taken by a prudent master. These controlled equations of motion were then subjected to a variety of external forces (various winds and currents at various ship speeds) to obtain the information on maneuvering room requirements needed for the Capacity Computer Program.

Two classes of ships were investigated in this study. These were referred to as a "LAKER" and a "SALTY." Typical principal particulars of these two ship types are given in Table 2.8.

The equations of motion which describe the uncontrolled movement of these ships in the horizontal planes are:

$$(m - Y_{\dot{v}}) \ddot{y}_o = Y_{\text{wind}} + Y_{\delta} \delta + Y_{y_o} y_o + (\dot{y}_o - \dot{y}_{o\text{current}}) Y_v \quad (1)$$

$$- Y_v (\dot{x}_o - \dot{x}_{o\text{current}}) \phi + (Y_{\dot{\phi}} - Y_{\dot{v}} x_o) \dot{\phi} + (Y_{\ddot{\phi}} - m x_G) \ddot{\phi}$$

$$(I_z - N_{\dot{\phi}}) \ddot{\phi} = N_{\text{wind}} + N_{\delta} \delta + N_{y_o} y_o + N_v (\dot{y}_o - \dot{y}_{o\text{current}}) + (N_{\dot{v}} - m x_G) \ddot{y}_o \quad (2)$$

$$+ N_v (\dot{x}_o - \dot{x}_{o\text{current}}) \phi + (N_{\dot{\phi}} - N_{\dot{v}} x_o) \dot{\phi}$$

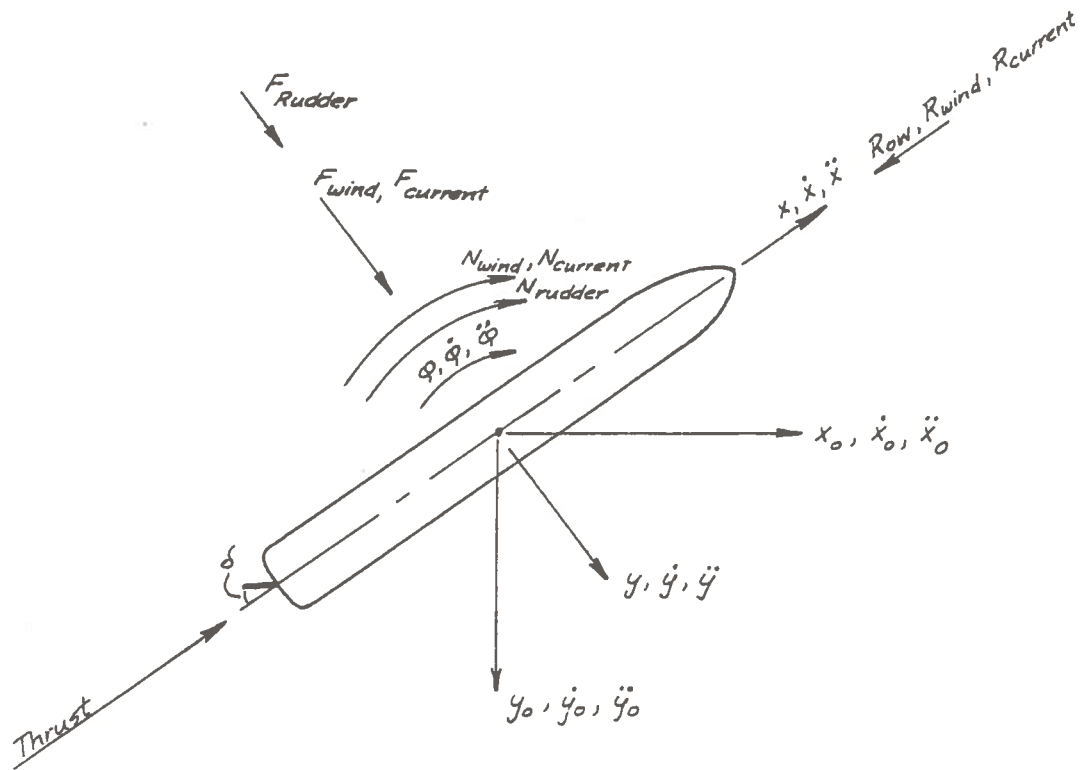
$$(m - X_{\dot{u}}) \ddot{x}_o = X_{\text{wind}} + X_{\delta} \delta + X_{y_o} y_o + (\dot{x}_o + \dot{x}_{\text{initial}}) X_u \quad (3)$$

Definitions of the coordinate system and motion variables are given in Figure 2.7. The symbols $Y_{\dot{v}}$, $N_{\dot{\phi}}$, etc., refer to linearized hydrodynamic force coefficients. They are functions of hull form, channel geometry and ship speed. Reference 5 was used as the source of information to determine these coefficients.

TABLE 2.8 PRINCIPAL SHIP PARTICULARS

	<u>Quebecois (LAKER)</u>	<u>Partignies (SALTY)</u>
Length, overall, ft	730.0	600.0
Beam, ft	75.0	75.0
Seaway draft, ft	25.5	25.5
Displacement, F.W., tons	32,526	22,932

Fig. 2.7. Ship Coordinate System, Motion Variables and Forces



A controlled set of motion equations can be obtained by adding to the above equations, an equation which describes the rudder angle as a function of certain motion variables normally observed and used by the master to control his ship. In this study, the following controller equation was employed:

$$\delta = a(y_o - y_{\text{course}}) + b\dot{y}_o + c(\phi - \phi_{\text{desired}}) + d\dot{\phi} \quad \text{for } \delta \leq 35^\circ \quad (4)$$

That is, the rudder command was made equal to the sum of four terms, which were proportional to off track position, cross track velocity, heading, and rate of change of heading respectively. The constants of proportionality in this equation were determined by conducting an analysis of the roots of a linearized version of equations (1) through (4) as a function of various values of the constants (Root-Locus method). Stable controllers which gave response times typical of a prudent master's actions were selected and used in the maneuvering width computations.

For computing the maneuvering widths, the non-lock reaches were first divided into two groups depending upon the nature of the currents and winds expected in the reaches. In reaches where the currents were steady over the length of the reach and the winds were not variable due to changes in terrain contours, a steady state solution to the equations of motion was used. This allowed the direct computation of yaw angle for these "STATIC" reaches using the steady state equations in the Capacity Computer Program. The maneuvering width was then computed using:

$$M = 1/2(L\sin\phi_{ss} + B\cos\phi_{ss}) \quad (5)$$

where

L = ship's length

B = ship's beam

ϕ_{ss} = steady state yaw calculated from the steady state equations using the winds, currents, and channel geometry for the reach being studied.

In reaches where the currents were not steady over the length of the reach, channel width varied, or winds could possibly vary due to changes in terrain contours, detailed solutions to the controlled differential equations of motion were obtained. Eleven of these "DYNAMIC" reaches were initially selected for study. After several initial runs, this list was narrowed to three reaches: (1) Reach No. 44 (along St. Regis Island); (2) Reach No. 50 (along Polly's Gut); and (3) Reach No. 56 (Copeland Cut). Numerous runs were then made for each of these reaches using various ship speeds, wind velocities and ship direction of motion. From each of these runs, the maximum excursion of the ship's center of gravity from the desired course, $(y_o)_{CG}$, and the yaw angle, ϕ , at that point was obtained. The maneuvering width was then computed using:

$$M = (y_o)_{CG} + 1/2(L\sin\phi + B\cos\phi) \quad (6)$$

A table of these maneuvering widths as a function of wind, ship speed, and ship direction was then prepared and used in a table "look-up" fashion in the Capacity Computer Program. Tables 2.9, 2.10, and 2.11 show the results for each of the three DYNAMIC reaches.

It can be seen from the above discussion that the ship's speed through a particular reach must be known before the maneuvering width for that reach can be determined. The ship's speed is assumed to be equal to the speed limit in the reach unless it is reduced by consideration of visibility in the reach. Rule 15 of the "Rules of the Road, Great Lakes" [6] states "Every vessel shall, in thick weather, by reason of fog, mist, falling snow, heavy rainstorms, or other causes, go at *moderate* speed." The term "moderate speed" has been interpreted by the courts [7] to mean that a ship must proceed at a speed which will allow it to stop at a distance equal to one-half the visibility.

Stopping distance was calculated using the following equation: [5]

$$S = \frac{106.2V_o^2}{(T_1/\Delta)} - \frac{34.4V_o^2(R_o/\Delta)}{(T_1/\Delta)^2} + 16.9V_o \quad (7)$$

where

S = stopping distance, ft

Δ = ship displacement

R_o = ship resistance at V_o

T_1 = astern thrust

V_o = ship speed, in knots, when the stopping maneuver begins

The stopping distance S for a number of ship speeds V_o were calculated and a relationship of the form

$$V_o = K_1 S^{K_2} \quad (8)$$

was developed which would allow direct computation of the maximum allowable speed given the desired stopping distance. The desired stopping distance under low visibility must be one half the visibility; therefore

$$V_o = K_1 \left(\frac{\text{VISIBILITY}}{2} \right)^{K_2} \quad (9)$$

TABLE 2.9 DYNAMIC MANEUVERING RESULTS FOR SEAWAY REACH 44 (ALONG ST. REGIS ISLAND) FOR A SALTY AND A LAKER

Wind Direction (°T)	Wind Speed (mph)	Ship Speed (mph)	Salty		Laker	
			Upbound M (ft)	Downbound M (ft)	Upbound M (ft)	Downbound M (ft)
331.17	0	3	819.10	1,331.40	819.78	1,334.21
		6	464.05	627.47	464.32	635.03
		9	316.57	394.74	317.64	399.18
		12	249.49	285.74	250.19	288.67
	15	3	831.82	1,379.06	834.29	1,408.58
		6	466.97	641.85	467.24	651.12
		9	317.59	397.89	318.39	402.76
		12	249.93	286.79	250.48	289.93
	30	3	865.13	1,138.14	903.10	1,200.84
		6	475.98	690.23	478.32	706.74
		9	321.99	412.91	322.26	419.77
		12	252.46	293.18	252.57	297.34
	45	3	30,457.00	3,080.05	∞	∞
		6	490.90	1,220.61	496.77	1,229.00
		9	329.67	443.07	331.79	454.86
		12	257.08	304.82	258.32	310.80
151.17	15	3	824.00	1,981.75	825.70	∞
		6	462.98	606.08	464.08	611.40
		9	316.82	387.89	318.28	391.44
		12	248.29	282.67	249.21	285.20
	30	3	5,500.70	3,217.50	∞	∞
		6	475.64	1,397.76	479.10	1,400.01
		9	322.55	369.35	325.19	370.41
		12	250.60	273.96	252.23	275.27
	45	3	35,760.30	∞	∞	∞
		6	751.47	3,207.50	771.30	3,223.50
		9	332.01	795.46	336.63	811.39
		12	256.09	271.24	258.90	273.25

TABLE 2.10 DYNAMIC MANEUVERING RESULTS FOR SEAWAY REACH 50 (POLLY'S GUT) FOR A SALTY AND A LAKER

Wind Direction (°T)	Wind Speed (mph)	Ship Speed (mph)	Salty		Laker	
			Upbound <i>M</i> (ft)	Downbound <i>M</i> (ft)	Upbound <i>M</i> (ft)	Downbound <i>M</i> (ft)
357	0	3	452.21	617.72	454.22	627.56
		6	317.73	350.86	321.32	355.44
		9	232.04	248.84	235.63	251.35
		12	183.27	192.98	185.58	194.72
	15	3	463.83	1,070.65	471.26	1,087.10
		6	321.31	402.14	325.46	408.29
		9	233.49	262.64	237.03	265.88
		12	183.87	198.16	187.41	200.11
	30	3	489.12	5,512.92	499.20	5,525.00
		6	331.76	600.08	338.25	612.71
		9	238.74	315.52	242.91	321.02
		12	287.06	219.95	189.90	223.08
45	3	3,277.64	17,079.67	3,295.14	17,200.00	
	6	351.35	3,227.82	374.06	3,300.00	
	9	248.37	412.55	253.62	421.95	
	12	192.77	258.67	196.34	263.70	
177	15	3	453.26	255.47	459.08	259.99
		6	315.40	294.71	318.56	296.87
		9	230.34	232.41	233.49	234.37
		12	182.21	186.38	184.39	187.81
	30	3	443.64	5,686.79	446.96	5,700.00
		6	306.95	180.07	308.92	184.36
		9	224.92	187.38	226.11	188.28
		12	178.89	163.91	180.59	164.10
	45	3	14,804.23	17,260.94	14,850.00	17,300.00
		6	297.82	3,974.76	298.80	4,000.00
		9	218.33	142.35	218.62	146.77
		12	173.45	143.92	174.37	148.34

TABLE 2.11 DYNAMIC MANEUVERING RESULTS FOR SEAWAY REACH 56
(COPELAND CUT) FOR A SALTY AND A LAKER

Wind Direction (°T)	Wind Speed (mph)	Ship Speed (mph)	Salty		Laker	
			Upbound <i>M</i> (ft)	Downbound <i>M</i> (ft)	Upbound <i>M</i> (ft)	Downbound <i>M</i> (ft)
347	0	3	225.87	225.62	228.05	228.24
		6	225.26	226.44	230.59	232.55
		9	201.67	211.74	252.49	217.85
		12	144.71	147.28	213.19	153.75
		15	117.00	118.59	126.44	122.49
	15	3	225.27	225.30	228.24	249.83
		6	226.26	226.36	230.39	230.12
		9	197.75	204.92	252.56	214.73
		12	142.64	144.83	203.20	150.89
		15	115.20	117.46	115.99	121.63
	30	3	225.47	267.29	261.52	265.00
		6	225.27	225.07	226.76	237.71
		9	189.57	186.78	249.73	193.62
		12	137.04	137.31	172.26	141.94
		15	111.83	113.56	112.12	116.98
	45	3	225.03	227.33	260.91	235.00
		6	226.88	226.09	243.29	258.12
		9	214.39	225.78	253.84	229.97
		12	129.02	126.09	131.41	128.50
		15	106.75	107.35	107.34	109.50
167	15	3	225.71	225.46	231.67	242.50
		6	229.17	225.36	235.26	231.33
		9	210.16	215.88	293.90	227.39
		12	190.00	148.88	234.29	155.68
		15	179.02	119.34	187.18	123.89
	30	3	227.38	267.29	232.08	270.00
		6	230.04	225.45	238.75	236.84
		9	217.17	225.04	314.14	237.34
		12	205.00	156.54	252.93	164.73
		15	193.61	123.07	203.77	128.36
	45	3	229.67	300.76	264.99	350.00
		6	228.91	225.66	246.68	253.01
		9	228.71	225.34	245.72	237.94
		12	224.00	172.16	264.91	182.86
		15	220.34	130.41	233.99	137.04

2.4 Logic for Flow of Traffic and Capacity Computation

The capacity of the Seaway for a time interval Δt is determined by the slowest lock provided ships can transit every reach of the Seaway. Capacity of that time period is zero if ship traffic is stopped. Traffic is stopped when: (1) a pilot of a ship, not equipped with an electronic navigation system, cannot fix his position visually; or (2) the ship maneuvering requirements exceed the channel width. When traffic is not stopped, ships may be processed through a lock in both directions (two-way traffic) or in only one direction (one-way traffic). This means that for a given time interval Δt , one of three values of Seaway capacity can be achieved:

1. CAPACITY = $\Delta t/t_\ell$ (two-way traffic)
2. CAPACITY = $\Delta t/(t_\ell + t_{tb})$ (one-way traffic)
3. CAPACITY = 0 (traffic is stopped)

The logic of program AWCAP determines, for a given time interval, if a lock can process ships both upbound and downbound (two-way traffic), in one direction only (one-way traffic), or not at all (traffic is stopped).

The ship positioning accuracy, ϵ , is linked to the Seaway capacity in the following manner. The positioning accuracy ϵ , the ship maneuvering width M , and the channel width W ; determine which type of traffic exists and then the capacity achieved during time interval Δt ; that is, if $2M + 4\epsilon \leq W$ (refer to Figure 1.1) then two-way traffic exists and the capacity is equal to $\Delta t/t_\ell$; if $M + 2\epsilon \leq W$ then one-way traffic exists with the capacity equal to $\Delta t/(t_\ell + t_{tb})$; if $M + 2\epsilon > W$ then traffic stops and the capacity is equal to zero. A discussion of the logic of program AWCAP using the flow chart presented in Figure 2.8 follows:

1.* After reading the control and input cards, the first computation that occurs is the determination of the maximum distance throughout the Seaway which includes three navigational aids to fix position visually. This computation is done by subroutine MAXDIS for the normal season day, normal season night, extended season day, and extended season night. These results are used later to determine if an overall Seaway check can be implemented; that is, if low winds and high visibility levels exist throughout the Seaway for a given time interval then the capacity can be determined without analyzing each Seaway reach.

* Numbers correspond to numbers in boxes in Figure 2.8.

2. Daily water temperatures are read for the entire year for meteorological Sector 2. Water temperatures for Sectors 1 and 3 are calculated later in the program.

3. Eight 3-hourly observations of the weather for the three meteorological sectors are read for each day.

4. Time of sunrise and time of sunset are calculated using subroutine SUNRS.

5. The day is then divided into periods of daylight and nighttime. The 3-hour weather periods in which sunrise and sunset occur are divided into periods of day and night of less than 3 hours. The day and night distinctions are necessary because the distance-to-navigation aids are a function of day and night.

6. Water temperatures for Sectors 1 and 3 are calculated and riversteam visibility is computed for the three Seaway sectors.

7. For each sector, the prevailing visibility level is the minimum of the riversteam visibility and the observed visibility.

8. Beam winds are computed for the South Shore and Beauharnois canals. Earlier calculations indicated that a beam wind of 29 mph or greater will stop traffic at the bridges in the Beauharnois canal. Beam winds of 17.5 mph or greater in the St. Lambert Lock portion (reaches 6-13) and 13.5 or greater in the St. Catherine Lock portion (reaches 15-20) of the South Shore canal will cause traffic to be one-way.

9. Events occur during the winter months which affect capacity. These events are the following:

- 1) The floating lighted-navigation aids are pulled.
- 2) Ice forms in the river.
- 3) Ice lockages occur at Seaway locks.

The program logic determines if any of these events have occurred for the day being analyzed.

10. If the visibility throughout the entire Seaway is greater than the maximum distance-to-navigation aids throughout the entire Seaway, then the overall Seaway check can be made; if not, a reach-by-reach check must be made to determine the constraining reach and capacity.

11. As part of the overall Seaway check, the prevailing beam winds in the Beauharnois and South Shore canals are calculated to determine if traffic is stopped, two-way, or one-way. After an overall Seaway check is made, the variable NTIME is incremented by 1 to begin capacity analysis for the next time period.

12. The reach-by-reach analysis of the Seaway begins by identifying the reach as either a lock, a non-constraining bridge, or a non-lock reach. If the reach is a lock, the variable SUM is set to zero and, since the capacity of a lock is already known, no further analysis is required of this reach. The variable NREACH is incremented by 1 to begin identification of the next reach. For a non-constraining bridge, no analysis is required and the variable NREACH is incremented by 1 to begin identification of the next reach.

13. If a reach is a non-lock reach, the sector in which this reach is located is determined and the prevailing visibility, wind speed, and wind direction are computed.

14. A check is again made to determine the following:

- 1) Upbound and downbound distance-to-navigation aids for the reach.
- 2) Has ice formed in this reach?
- 3) Do ice lockages occur?

15. Next, a check is made to determine if the ship is equipped with an electronic navigation system. If not, the traffic can be stopped due to low visibility or insufficient maneuvering clearance. If the ship possesses an electronic system, traffic may be stopped because of insufficient maneuvering clearance only.

16. Consider a ship without an electronic navigation system. Checks are made to determine if the ship can proceed visually upbound or downbound. If it cannot proceed visually in either direction, the capacity for this reach is equal to zero. No additional reaches need to be analyzed since a capacity of zero is the minimum value for any time period. If the ship can proceed in both directions, or in just one direction, the reach maneuvering requirements are calculated to determine capacity.

17. Consider a ship with an electronic navigation system. If the visibility is greater than the nav-aid distance, the ship can proceed using the visual system accuracy; if not, it then proceeds, implementing the electronic accuracy.

18. The ship speed is calculated based on the visibility law discussed in Section 2.3. If this visibility speed exceeds the upbound or downbound speed limits, the ship speed is then set equal to these speed limits; otherwise, the upbound and downbound speeds are set equal to this calculated ship speed. The visibility law, when implemented, indicates that a ship must stop if the visibility is zero.

19. An event check and a reach check are made to determine if ice has formed and if the reach is part of the South Shore Canal. If both checks are positive, the maneuvering requirements for this reach are based on the one-way traffic mode. If the reach is not part of the South Shore Canal, a check is made to determine if the reach is one-way due to Seaway regulations. After the above checks have been made, the reach maneuvering requirements and reach capacity can be calculated.

20. If the traffic pattern becomes one-way, an additional check is made. The time, SUM, to transit sequential one-way reaches is calculated and compared to the lockage time for two-way traffic. If SUM is greater than the two-way lockage time, the capacity decreases to the one-way traffic capacity.

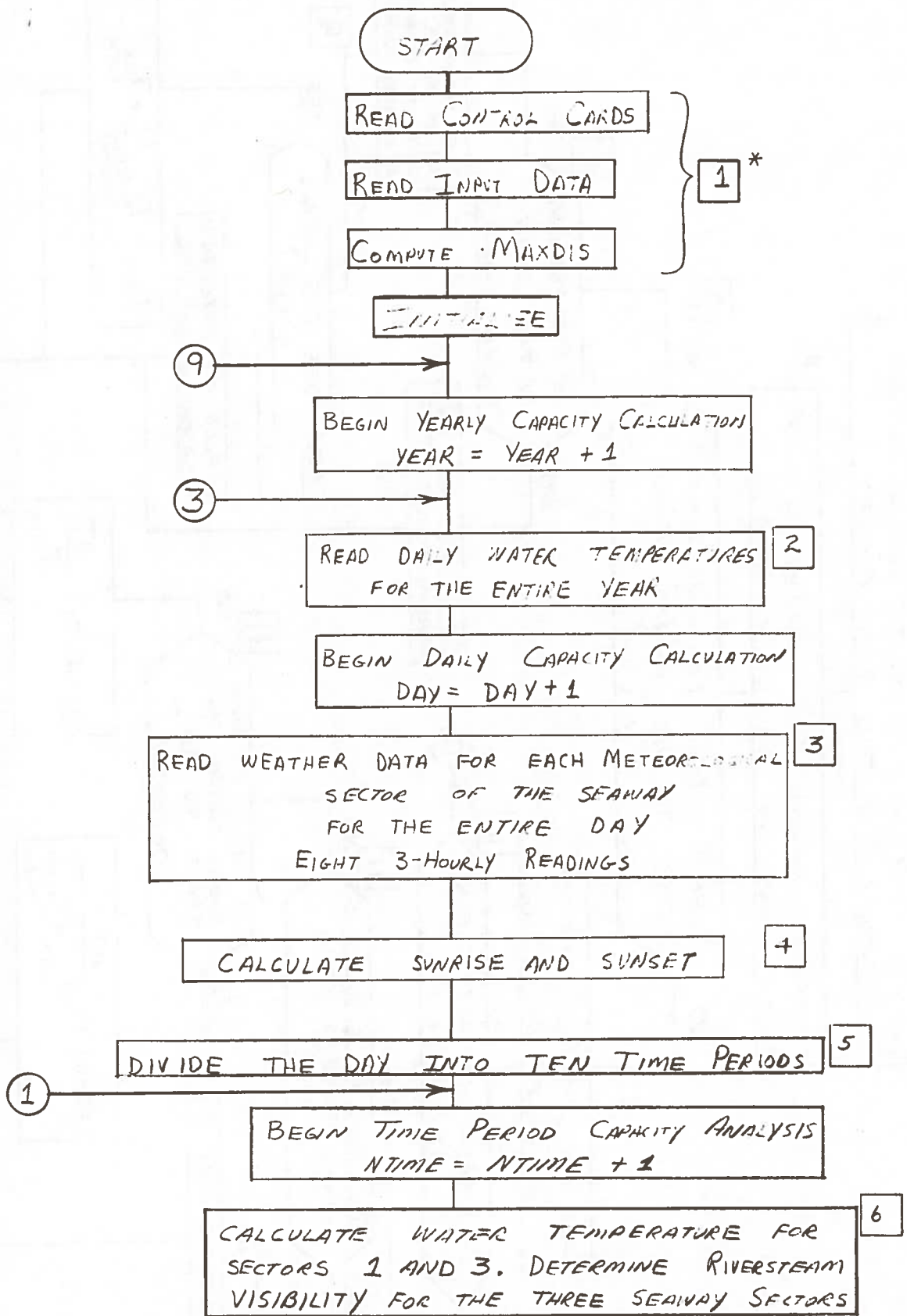
21. For the reach-by-reach analysis, the capacity for a given daily time period is the minimum of all the reach capacity results.

22. After all of the reaches have been analyzed, or when the capacity drops to zero, NTIME is incremented by 1 to begin capacity analysis of the next time period.

23. Capacity calculations continue until all the time periods in a day, and all the days in a year have been analyzed.

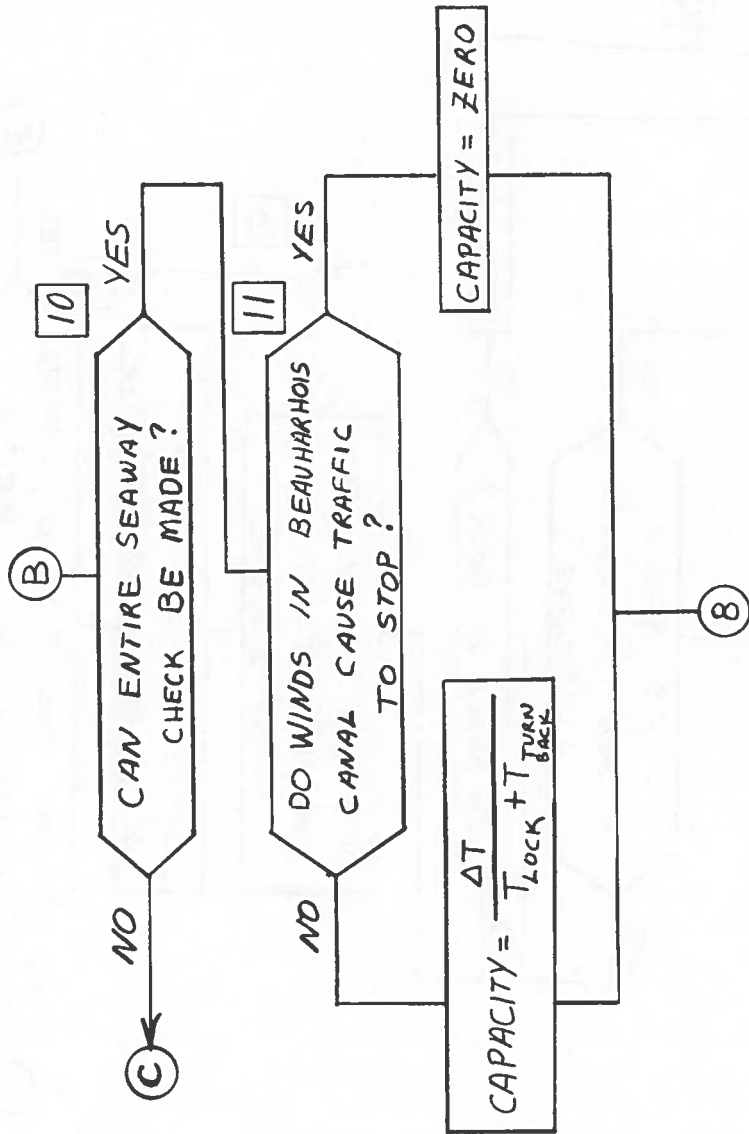
This concludes Section 2 of the report. In the next Section we will present and discuss the results obtained from the models developed in this Section.

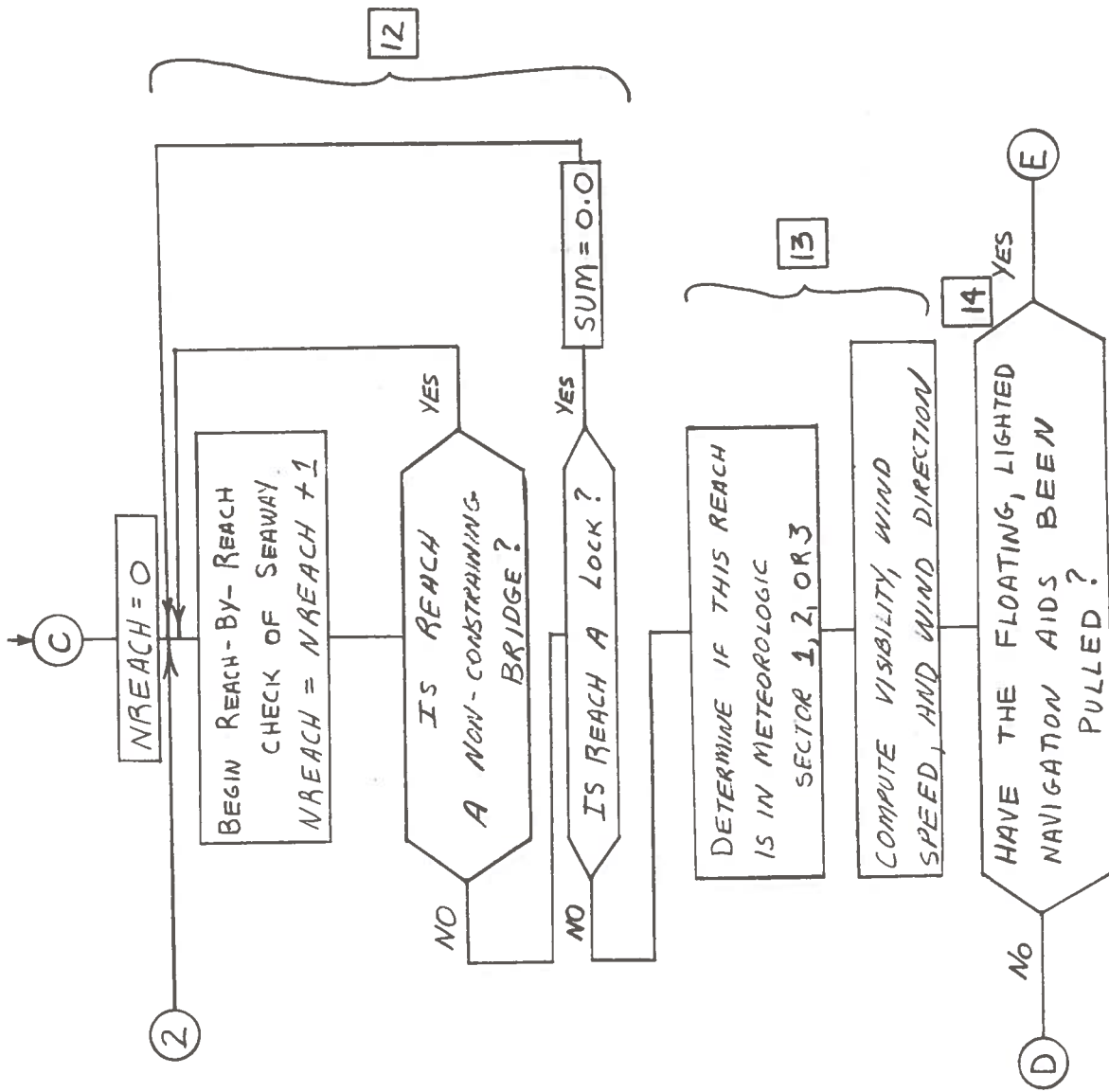
FIGURE 2.8 FLOW CHART OF AWCAP

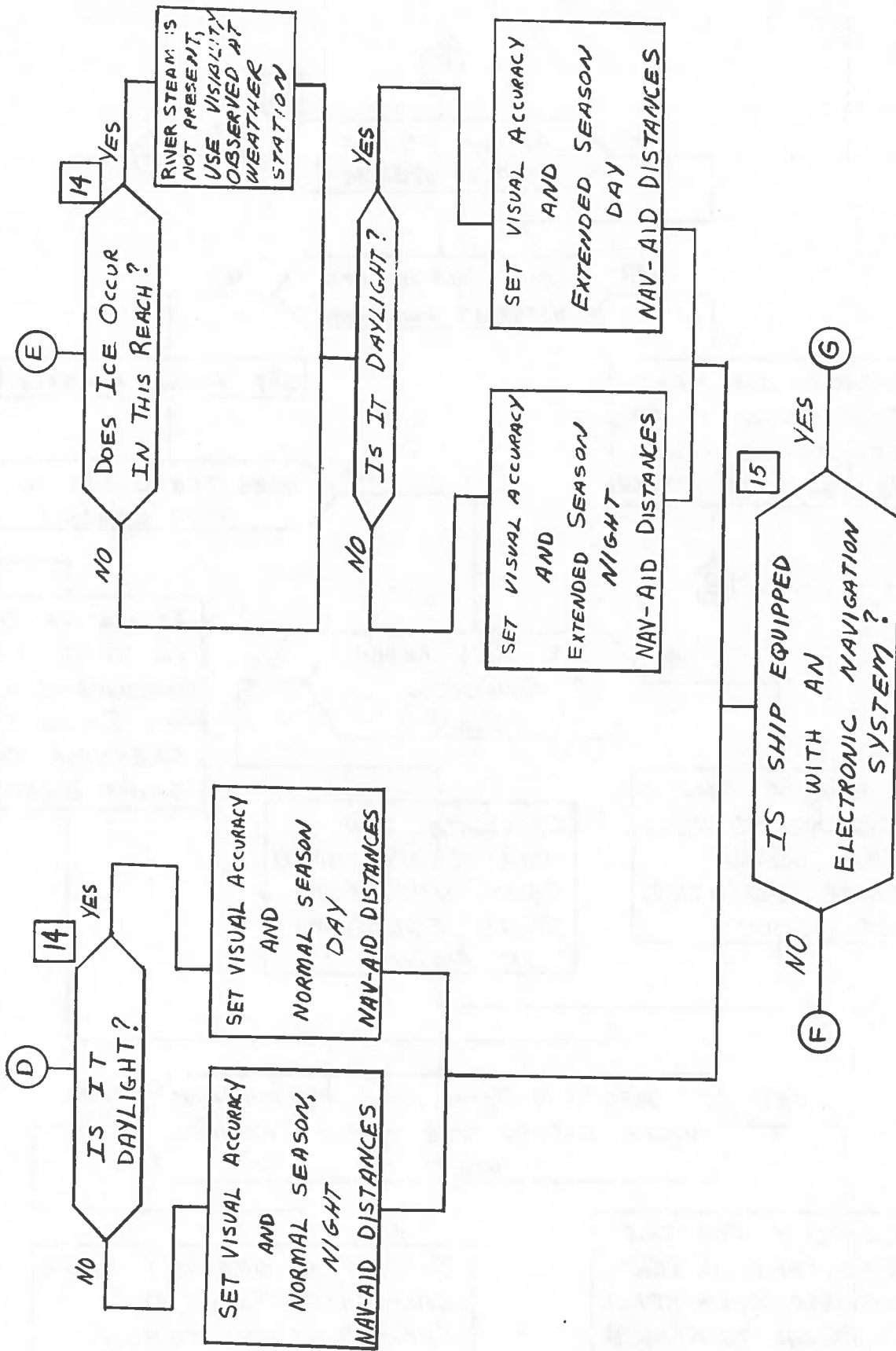


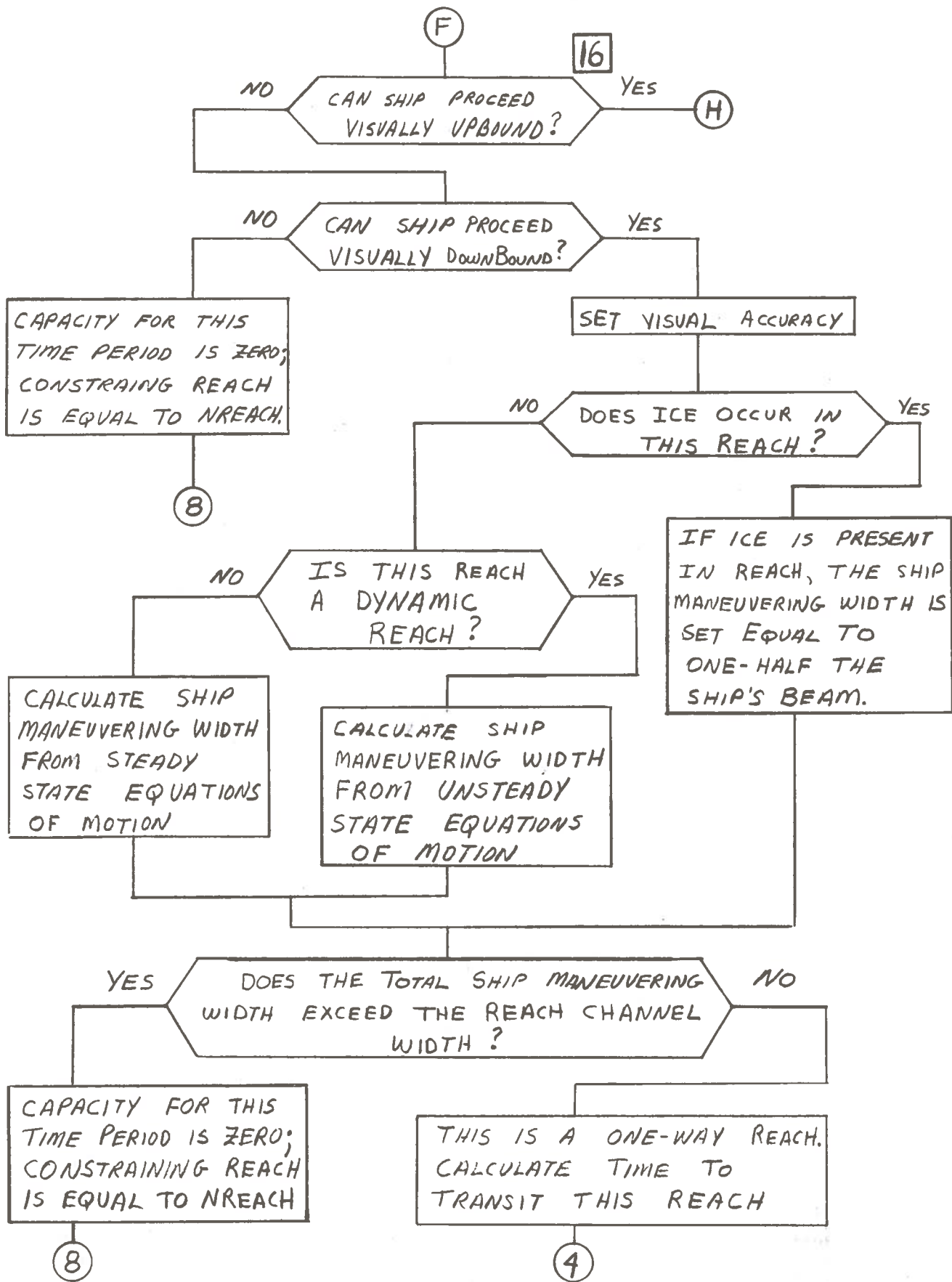
* Each number in squares refers to paragraph in Section 2.4

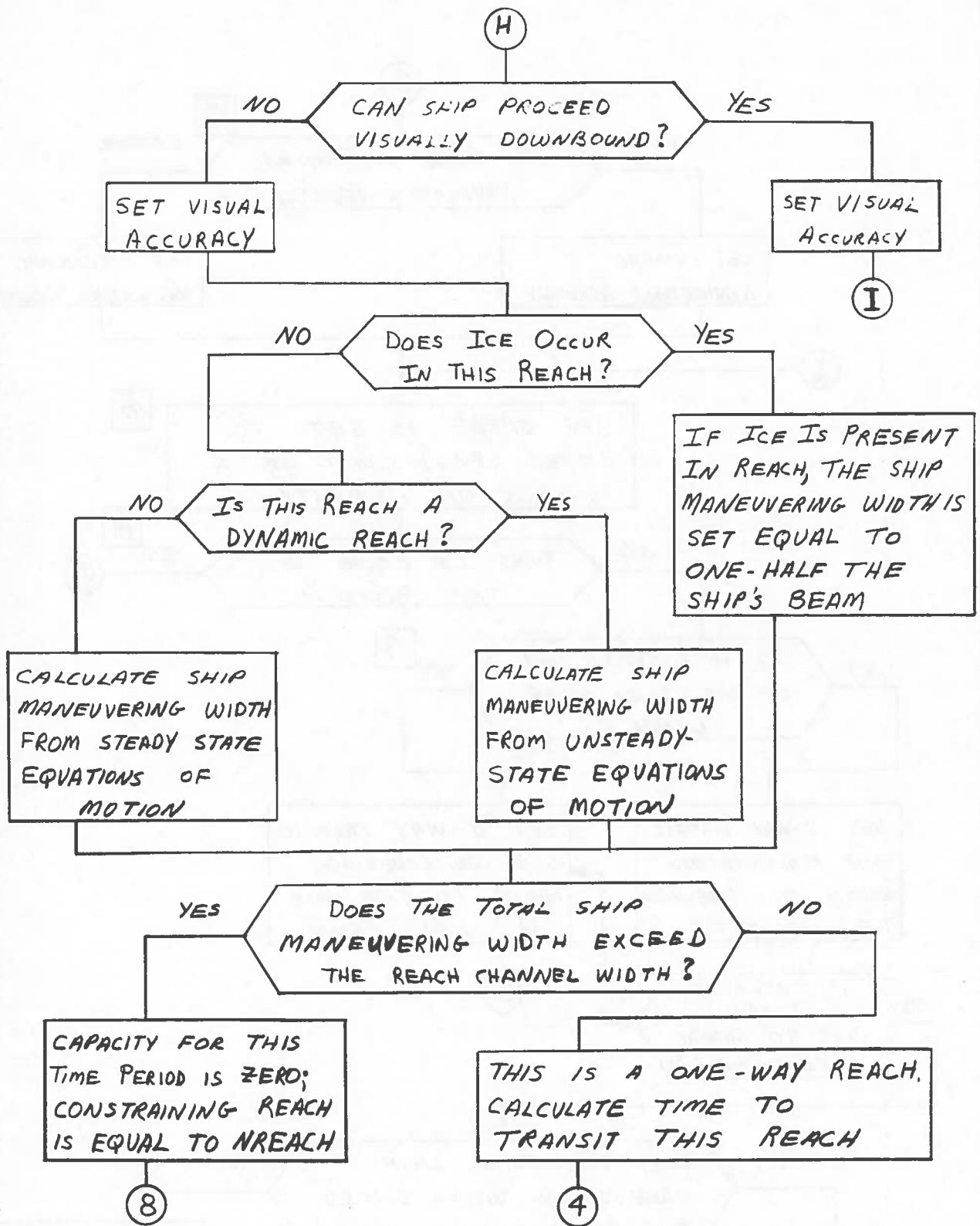
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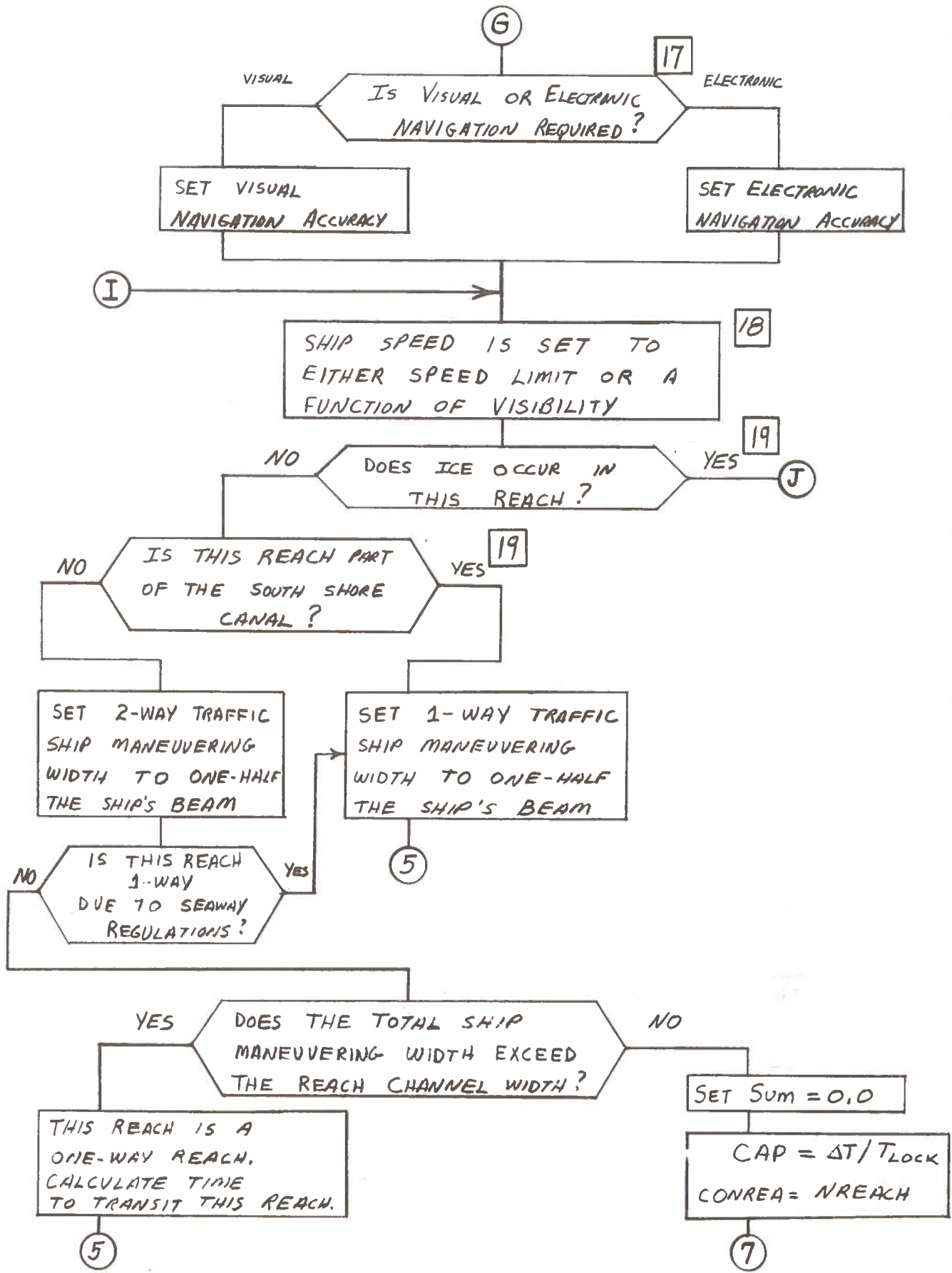


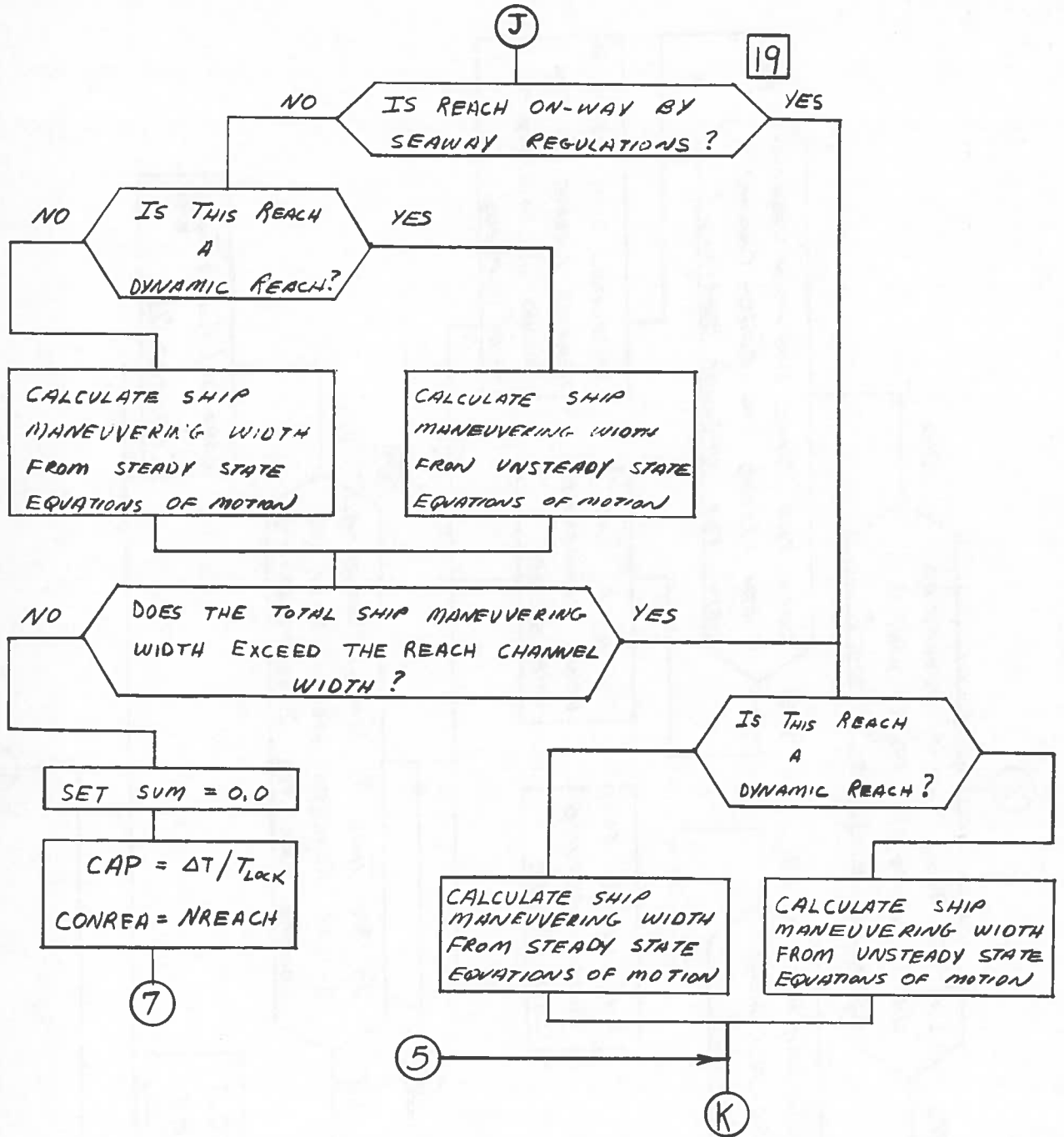


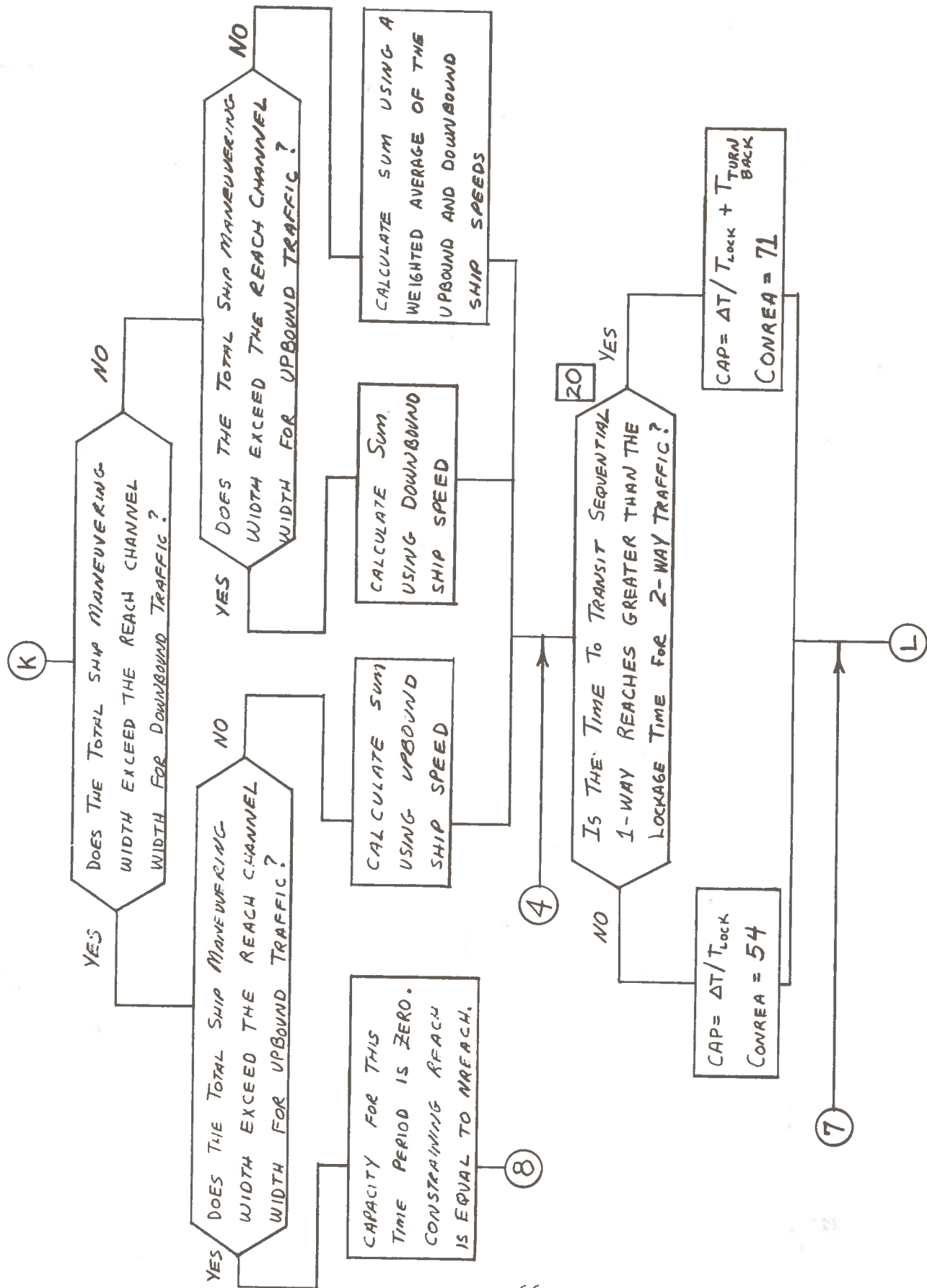


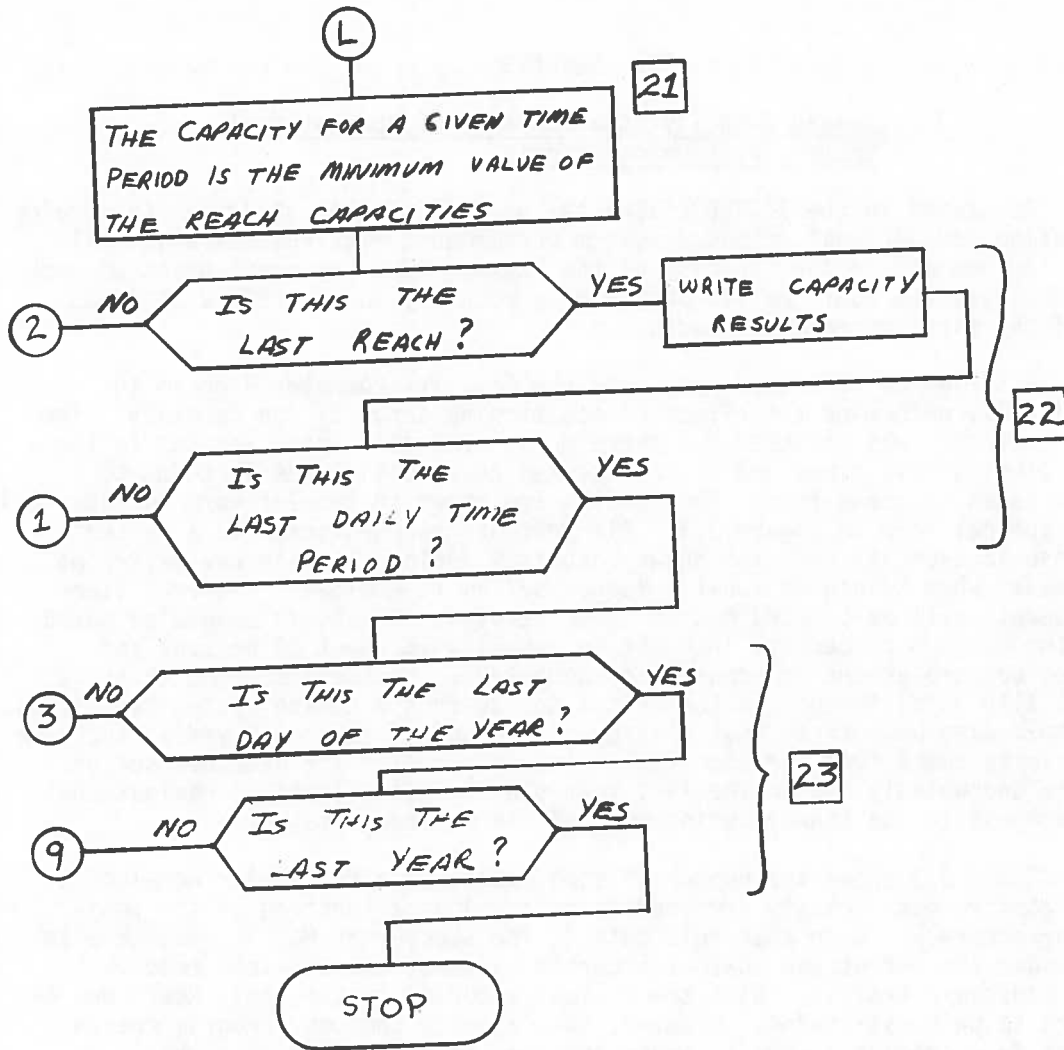












3. RESULTS

3.1 Seaway Capacity as a Function of Navigational System Positioning Accuracy

As stated in the INTRODUCTION, the purpose of this study was to develop and define navigational guidance system performance requirements which will allow improvement in the Capacity of the Seaway. One key requirement of such a guidance system would be its positioning accuracy and therefore this was one of the first parameters studied.

A series of runs were made with the Capacity Computer Program to specifically determine the effect of positioning accuracy on capacity. The SALTY type ship was selected for these runs. The ship speed was set to the speed limit at all times and it was assumed that no time was lost due to ice lockages in these runs. The results are shown in tabular form in Table 3.1 and graphical form in Figure 3.1*. All percentages used refer to a percent increase in capacity over the Seaway capacity during all-year navigation of the Seaway when no navigational guidance system is employed. Several items of interest will be pointed out in these results. First, it should be noted that the maximum percentage increase in capacity is about 30 percent and reduces to zero around an accuracy of 300+ feet. Notice also that there is essentially no difference in the 0-foot and 30-foot accurate system capacities. It should also be noticed that a large percentage of the total yearly increase in capacity comes from the significant increase during the extended season. This is undoubtedly due to the fact that the lighted, floating, navigational aids are not in the Seaway during most of the extended season.

Table 3.2 shows the number of time intervals a particular non-lock reach constrained capacity (reduced it to zero) as a function of the positioning accuracy. Note that this data is for study year No. 3. Notice also that under the 0-foot and 30-foot accurate systems, the non-lock reaches never constrain traffic. With the 60-foot accurate system, only Reach No. 44 appears to be constraining. However, the Capacity Computer Program checks reaches in ascending numerical order and for efficiency purposes checks no further once the capacity is determined to be zero. This means that if Reach No. 44 were changed to have an accuracy of 30-feet while all others remained at 60-feet, all we can be certain of is that Reach No. 44 will no longer constrain the 111 ships. We would not know until we made such a run if reaches further upstream would constrain these 111 ships during those time intervals when Reach 44 was constraining.

Figure 3.2 shows the effect on percent improvement in Seaway capacity of the ships' speeds varying with visibility as described in Section 2.3. The tabular results of these runs are given in Table 3.3 and the frequency analysis of the number of time intervals a non-lock reach constrained capacity is given in Table 3.4. The percent improvement in Seaway Capacity does not appear to be greatly affected by the requirement that the ships proceed at a speed which would allow them to stop within 1/2 the distance of visibility.

(Text Continued on Page 71)

* Figure 3.1 also appears in the text as Figure 1.2.

TABLE 3.1 SALTY SEAWAY CAPACITY AS A FUNCTION OF NAVIGATIONAL SYSTEM POSITIONING ACCURACY

Salty Class Ship Time lock = 0 V _{ship} = V _{speed limit}		C A P A C I T Y								
		NORMAL			EXTENDED			ALL YEAR		
Year	Condition	Yearly	4 yr avg	% Increase Over 4 yr avg	Yearly	4 yr avg	% Increase Over 4 yr avg	Yearly	4 yr avg	% Increase Over 4 yr avg
1	No electronic navigation aids	8131	8059	NA	1762	1636	NA	9893	9695	NA
2		8163						9886		
3		8227						9858		
4		7715						9143		
1	0'	9555	9564	18.7	3134	3017	84.4	12689	12581	29.8
2		9586			12605					
3		9557			12592					
4		9558			12439					
1	30'	9541	9557	18.6	3129	3016	84.4	12670	12573	29.7
2		9581			12601					
3		9550			12585					
4		9555			12435					
1	60'	9257	9202	14.2	2934	2912	78.0	12191	12114	25.0
2		9286			12181					
3		9188			12126					
4		9078			11958					
1	100'	9066	9025	12.0	2748	2752	68.2	11814	11777	21.5
2		9133			11900					
3		9072			11840					
4		8829			11553					
1	150'	8918	8813	9.4	2709	2672	63.3	11627	11485	18.5
2		8887			11529					
3		8875			11542					
4		8572			11242					

TABLE 3.1 SALTY SEAWAY CAPACITY AS A FUNCTION OF NAVIGATIONAL SYSTEM POSITIONING ACCURACY (Continued)

R U N		C A P A C I T Y											
		N O R M A L				E X T E N D E D				A L L Y E A R			
		Yearly	4 yr avg	% Increase Over 4 yr avg	Yearly	4 yr avg	% Increase Over 4 yr avg	Yearly	4 yr avg	% Increase Over 4 yr avg	Yearly	4 yr avg	% Increase Over 4 yr avg
Salty Class Ship													
Ice lock=0													
V _{ship} =speed limit													
Year	Condition												
1		8711		2470		5.8	1930		11181		10.7		
2	200'	8605	8524	2216	2205	34.8	1863		10821	10729			
3		8586		2131			1754		10717				
4		8194		2003			1609		10197				
1		8554		1771		4.7	10484		10484		5.4		
2	250'	8537	8434	1761	1789	9.4	10400		10400	10223			
3		8573		1656			10327		10327				
4		8072		1456			9681		9681				
1		8462		1771		3.3	10233		10233		3.0		
2	300'	8409	8325	1761	1661	1.5	10170		10170	9986			
3		8499		1656			10155		10155				
4		7930		1456			9386		9386				

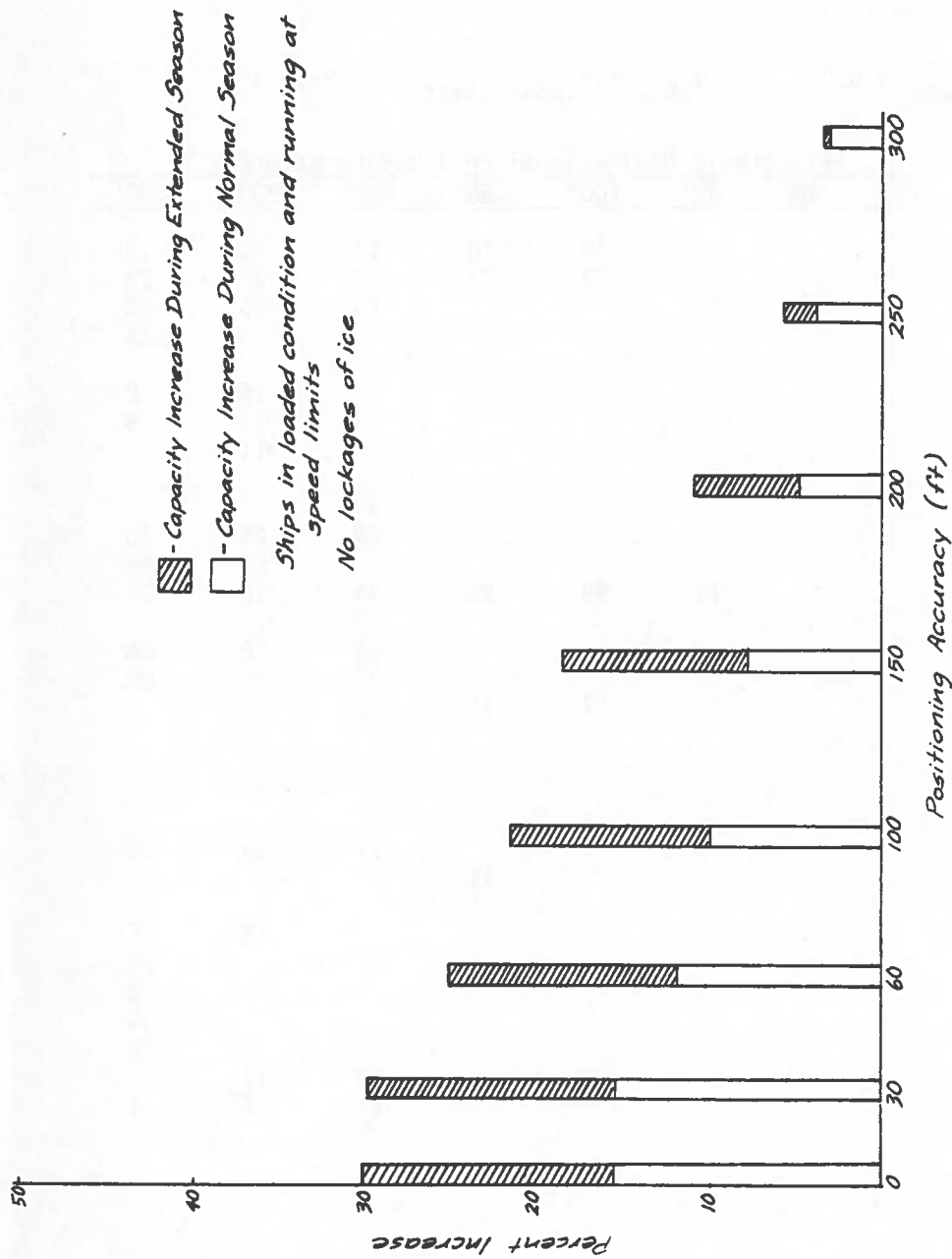


Figure 3.1. Percentage Increase in Seaway Capacity for Salty Class Ships
 with Various Positioning Accuracies of the Navigational Guidance System
 Based on All-year Navigation of the Seaway

TABLE 3.2 FREQUENCY ANALYSIS OF NUMBER OF TIME INTERVALS
A NON-LOCK REACH CONSTRAINED SALTY SEAWAY CAPACITY

Salty, Loaded Constraining Reaches	T _{ice lock} = 0.0 No Elec Nav-Aid	V _{ship} = V _{speed limit} Year 3 Electronic Navigational Positioning Accuracy							
		0'	30'	60'	100'	150'	200'	250'	300'
		1	14				14	14	14
20	77				77	77	77	77	77
21	25						10	25	25
22	251								251
24	57								
26								16	8
27								13	5
34								211	
37	6								
39							94		
42	41						59	44	43
43	59							68	65
44	19			111	99	99	44	10	19
47							6		
48	56						62	56	56
50	56						77	66	66
56					11	11			
73	4								
80	70								
81	49								
83	2								
84	3								
85							51	39	35
86							33		
87							24		
88	6							16	16
89	2								20
90	5								2
92									14
93									20
95							17	17	
100	24						21	5	1

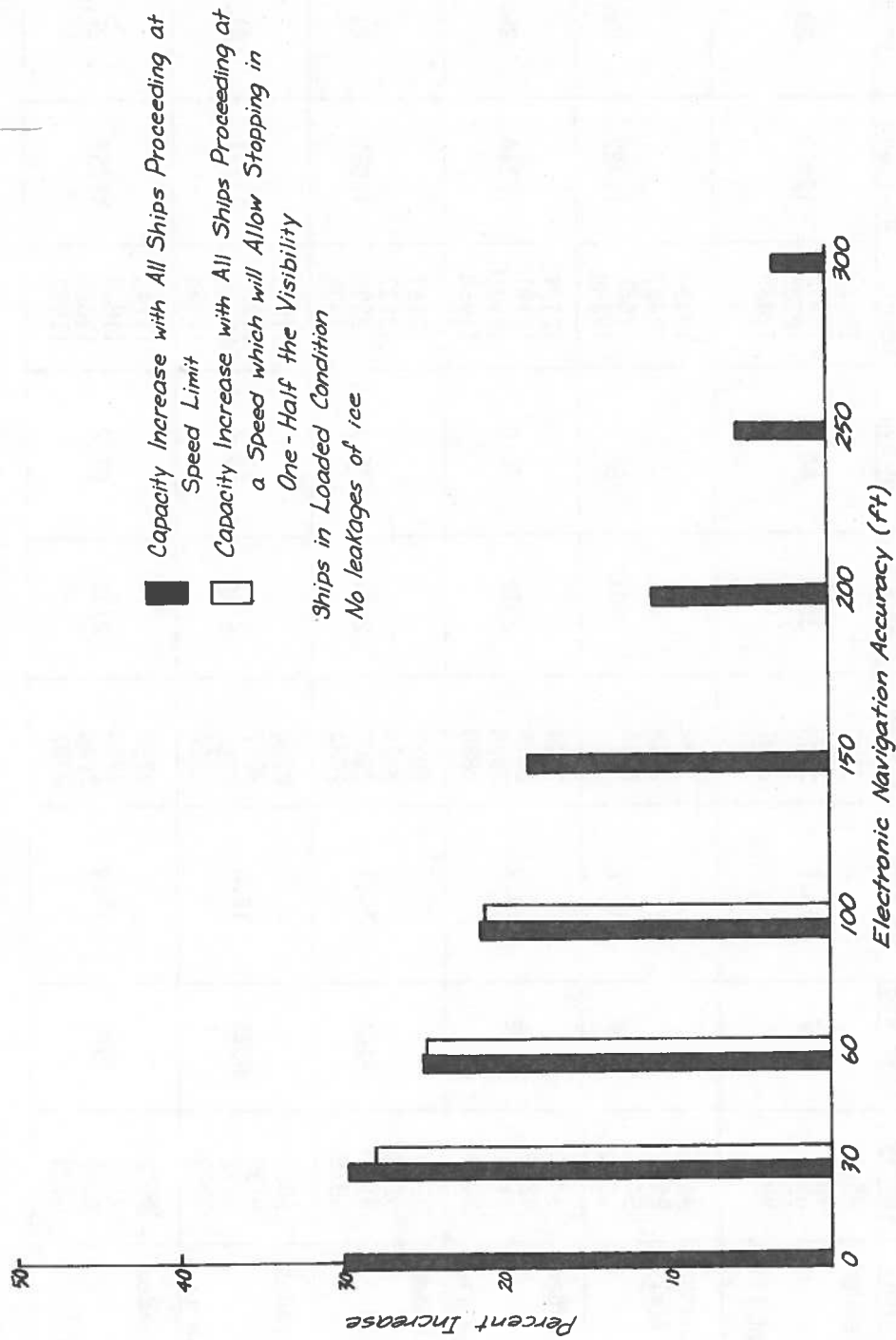


Figure 3.2. Percent Increase in Seaway Capacity for Salty Class Ships with Various Positioning Accuracies of the Navigational Guidance System Based on All-year Navigation of the Seaway Showing Effect of Different Speed Rules

TABLE 3.3 SALTY SEAWAY CAPACITY AS A FUNCTION OF SHIP SPEED ASSUMPTION

R U N C A P A C I T Y

Year	T _{ice lock} = 0 Condition	NORMAL				EXTENDED				ALL YEAR			
		Yearly	4 yr avg	% Increase Over yr avg	Yearly	4 yr avg	% Increase Over yr avg	Yearly	4 yr avg	% Increase Over yr avg	Yearly	4 yr avg	% Increase Over yr avg
1	Salty, Loaded 30' V = V _{speed limit}	9541	9557	18.6	3129	3016	84.4	12670	12573	29.7	12670	12573	29.7
2		9581			3020			12601					
3		9550			3035			12585					
4		9555			2881			12435					
1	Salty, Loaded V _{ship} = f(VIS) 30'	9421	9442	17.2	3078	2981	82.2	12499	12422	28.1	12499	12422	28.1
2		9481			2986			12467					
3		9438			2994			12432					
4		9426			2864			12289					
1	Salty, Loaded 60' V = V _{speed limit}	9257	9202	14.2	2934	2912	78.0	12191	12114	25.0	12191	12114	25.0
2		9286			2895			12181					
3		9188			2938			12126					
4		9078			2880			11958					
1	Salty, Loaded 60' V = f(VIS)	9250	9194	14.1	2917	2891	76.7	12167	12085	24.7	12167	12085	24.7
2		9263			2874			12137					
3		9187			2907			12095					
4		9075			2864			11939					
1	Salty, Loaded 100' V = V _{speed limit}	9066	9025	12.0	2784	2752	68.2	11814	11777	21.5	11814	11777	21.5
2		9133			2767			11900					
3		9072			2768			11840					
4		8829			2724			11553					
1	Salty, Loaded 100' V = f(VIS)	9062	9020	11.9	2734	2736	67.2	11796	11755	21.2	11796	11755	21.2
2		9115			2753			11868					
3		9072			2748			11820					
4		8829			2707			11537					

TABLE 3.4 FREQUENCY ANALYSIS OF NUMBER OF TIME INTERVALS A NON-LOCK REACH CONSTRAINED SALTY SEAWAY CAPACITY SHOWING EFFECT OF SHIP SPEED ASSUMPTION

Constraining Reach	No Elec Nav-Aid	Year 3 $T_{ice\ lock} = 0.0$					
		Electronic Navigation Positioning Accuracy					
		$V_{ship} = f(VISIBILITY)$			$V_{ship} = V_{speed\ limit}$		
		30'	60'	100'	30'	60'	100'
1	14	6	6	14			14
20	77			77			77
21	25						
22	251						
24	57						
26							
27							
34							
37	6						
39							
42	41	8	8	6			
43	59						
44	19	12	105	95		111	99
47							
48	56						
50	56						
56		2		11			11
73	4						
80	70	11	11	7			
81	49						
83	2						
84	3						
85							
86							
87							
88	6						
89	2						
90	5						
92							
93							
95							
100	24						

An analysis of the improvement in Seaway Capacity as a function of positioning accuracy was also made for the LAKER class ship. This analysis was similar to the SALTY class analysis only briefer in that fewer accuracies were run. The results are listed in Tables 3.5 and 3.6. A graph of the results is given in Figure 3.3. It can be seen that in general the Seaway Capacity is reduced with the LAKER class ship. This is due to its greater length requiring greater maneuvering widths. On a percentage basis, however, the navigational guidance system appears to give almost identical improvements in the Seaway Capacity.

An analysis of the improvement in Seaway Capacity as a function of loading condition of the two study ships was also made. The results are shown in Tables 3.7 and 3.8. It appears from these results that the improvements to capacity are not significantly affected by the loading condition of the ships.

One overall result of this "capacity versus accuracy" analysis is that no one positioning accuracy stands out as being "best" for the Seaway. One logical method of selecting the "best" positioning accuracy would be to conduct a cost/benefit study using these capacity versus accuracy results as a data base. This could be done in a manner similar to that used in the SPAN study [1] and could be conducted as follows. The dollar benefits to be derived from the increased capacities associated with each positioning accuracy would be estimated. Next, the dollar cost of the system required to produce each positioning accuracy would be estimated. Finally, the benefit/cost ratio and/or net worth of the system for each positioning accuracy would be determined and the accuracy selected which gave the largest benefit/cost ratio or largest net worth. The conduct of a benefit/cost study was not included in the scope of work for this study. Therefore, we selected a positioning accuracy of +100-feet based on the following reasoning. The most accurate system consistent with existing technology should be employed as the capacity increases as the positioning accuracy improves. Positioning accuracies in the order of +100-feet are achievable and may be better than this in certain reaches by careful location of guidance system equipment. With +100-foot positioning accuracy system, Reaches No. 20, 44, and 45 appear to be the major constraining reaches and therefore guidance equipment required to cover these reaches appears to be consistent with the probable number of monitors that would be employed in the Saint Lawrence System.

Additional runs were made with the Capacity Computer Program to determine the probable effect on capacity of a guidance system with 100-foot positioning accuracy along the Seaway except in certain reaches where the accuracy might be improved through location of guidance system equipment. The results showed that if Reaches No. 1, 20 and 56 could be made to have a 60-foot positioning accuracy and Reach No. 44 a 30-foot positioning accuracy, then the capacity could be made almost equal to that associated with a guidance system having 60-foot positioning accuracy in all reaches. The results of these runs are shown in Table 3.9.

This concludes the analysis of Seaway Capacity as a function of Navigational System Positioning Accuracy. The next section will address the remaining Navigational System Performance Requirements.

(Text Continued on Page 78)

TABLE 3.5 LAKER SEAWAY CAPACITY AS A FUNCTION OF NAVIGATIONAL SYSTEM POSITIONING ACCURACY

RUN		CAPACITY											
		NORMAL				EXTENDED				ALL YEAR			
		Yearly	4 yr avg	% Increase Over 4 yr avg	Yearly	4 yr avg	% Increase Over 4 yr avg	Yearly	4 yr avg	% Increase Over 4 yr avg	Yearly	4 yr avg	% Increase Over 4 yr avg
T _{ice lock} = 0													
Year	Condition	Yearly	4 yr avg	% Increase Over 4 yr avg	Yearly	4 yr avg	% Increase Over 4 yr avg	Yearly	4 yr avg	% Increase Over 4 yr avg	Yearly	4 yr avg	% Increase Over 4 yr avg
1	Laker, Loaded	7462			1645			9107			9107		
2	No Electronic	7490	7396	-	1613	1531	-	9103	8927	-	9103	8927	-
3	Navigationl	7550			1527			9076			9076		
4	Aids	7081			1340			8421			8421		
1	Laker, Loaded	8751			2915			11666			11666		
2	V=V speed limit	8785	8764	18.5	2821	2819	84.1	11606	11583	29.7	11606	11583	29.7
3	30'	8757			2836			11592			11592		
4		8761			2702			11463			11463		
1	Laker, Loaded	8639			2868			11507			11507		
2	V _{ship} = f(VIS)	8693	8639	16.8	2790	2785	81.9	11483	11424	28.0	11483	11424	28.0
3	30'	8622			2797			11419			11419		
4		8600			2686			11286			11286		
1	Laker, Loaded	8492			2736			11228			11228		
2	V = V speed limit	8515	8439	14.1	2707	2723	77.9	11222	11162	25.0	11222	11162	25.0
3	60'	8426			2745			11172			11172		
4		8323			2702			11025			11025		
1	Laker, Loaded	8486			2720			11206			11206		
2	V _{ship} = f(VIS)	8493	8431	14.0	2688	2703	76.6	11182	11134	24.7	11182	11134	24.7
3	60'	8425			2716			11141			11141		
4		8320			2686			11006			11006		
1	Laker, Loaded	8325			2565			10889			10889		
2	V = V speed limit	8393	8293	12.1	2588	2574	68.1	10980	10867	21.7	10980	10867	21.7
3	100'	8333			2588			10922			10922		
4		8119			2555			10674			10674		
1	Laker, Loaded	8321			2551			10872			10872		
2	V _{ship} = f(VIS)	8375	8287	12.0	2574	2558	67.1	10949	10845	21.5	10949	10845	21.5
3	100'	8333			2567			10900			10900		
4		8119			2539			10658			10658		

TABLE 3.6 FREQUENCY ANALYSIS OF NUMBER OF TIME INTERVALS
 A NON-LOCK REACH CONSTRAINED LAKER SEAWAY CAPACITY
 SHOWING EFFECT OF SHIP SPEED ASSUMPTION

Laker, Loaded		Year 3 $T_{ice\ lock} = 0.0$					
Constraining Reach	No Elec Nav-Aid	Electronic Navigation Positioning Accuracy					
		$V_{ship} = f(VISIBILITY)$			$V_{ship} = V_{speed\ limit}$		
		30'	60'	100'	30'	60'	100'
1	14	6	6	14			14
20	77			77			77
21	25						
22	251						
24	57						
29					6		
37							
42	41	8	8	6			
43	59						
44	19	23	105	95	111		99
48	56						
50	56	2					
56				11			11
73	4						
80	70	11	11	7			
81	49						
83	2						
84	3						
88	6						
89	2						
90	5						
100	24						

■ Capability Increase with All Ships Proceeding at Speed Limit
 □ Capability Increase with All Ships Proceeding at a Speed
 which will Allow Stopping in One-Half the Visibility
 Ships in loaded condition
 No loadages of ice

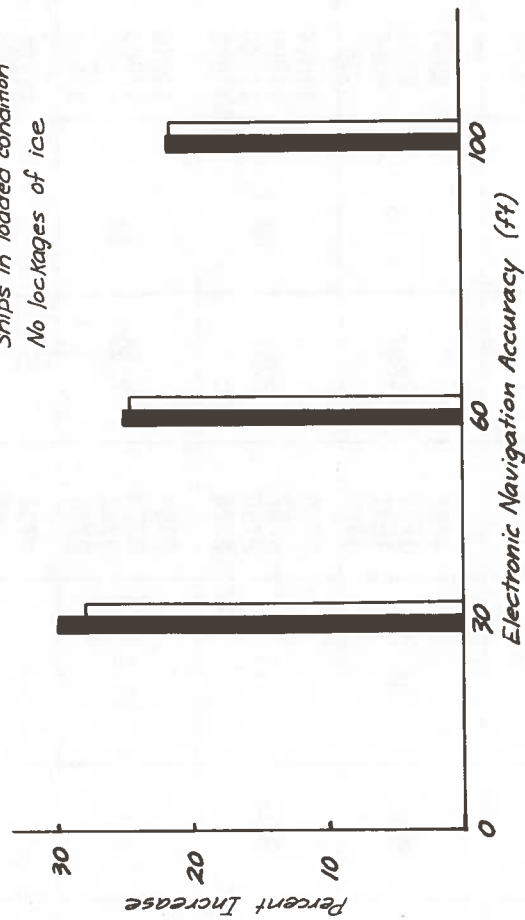


Figure 3.3. Percentage Increase in Seaway Capacity for Laker Class Ships
 with Various Positioning Accuracies of the Navigational Guidance
 System Based on All-year Navigation of the Seaway

TABLE 3.7 SALTY SEAWAY CAPACITY AS A FUNCTION OF LOADING CONDITION

R U N

C A P A C I T Y

T _{Ice} Lock = 0		N O R M A L				E X T E N D E D			A L L Y E A R		
		Yearly	4 yr avg	% Increase Over 4 yr avg	Yearly	4 yr avg	% Increase Over 4 yr avg	Yearly	4 yr avg	% Increase Over 4 yr avg	
1	Salty, Loaded No electronic navigation aids	8131	8959	NA	1762	1636	NA	9893	9695	NA	
2		1723			9886						
3		1631			9858						
4		1438			9143						
1	Salty, Ballast No electronic navigation	8131	8059	NA	1762	1637	NA	9893	9696	NA	
2		1727			9890						
3		1631			9856						
4		1428			9143						
1	Salty, Loaded V = V _{speed limit} 100'	9066	9025	12.0	2748	2752	68.2	11814	11777	21.5	
2		9133			11900						
3		9072			11840						
4		8829			11553						
1	Salty, Ballast 100' V = V _{speed limit}	9065	9024	11.9	2751	2752	68.1	11816	11776	21.5	
2		9133			11903						
3		9070			11834						
4		8829			11553						
1	Salty, Loaded 100' V = f(VIS)	9062	9020	11.9	2734	2736	67.2	11796	11755	21.2	
2		9115			11868						
3		9072			11820						
4		8829			11537						
1	Salty, Ballast 100' V = f(VIS)	9061	9019	11.9	2737	2736	67.1	11798	11755	21.2	
2		9115			11872						
3		9070			11814						
4		8829			11536						

TABLE 3.8 LAKER SEAWAY CAPACITY AS A FUNCTION OF LOADING CONDITION

R U N		C A P A C I T Y											
		N O R M A L				E X T E N D E D				A L L Y E A R			
		Year	Condition	Yearly	4 yr avg	% Increase Over 4 yr avg	Yearly	4 yr avg	% Increase Over 4 yr avg	Yearly	4 yr avg	% Increase Over 4 yr avg	
1	Laker, Loaded	7462			1645				9107				
2	No Electronic	7490	7396	NA	1613	1531	NA	9103	8927	NA			
3	Navigation	7550			1627			9076					
4	Aids	7081			1340			8421					
1	Laker, Ballast	7462			1645			9107					
2	No Electronic	7490	7396	NA	1615	1532	NA	9105	8928	NA			
3	Navigation	7550			1526			9076					
4	Aids	7081			1340			8421					
1	Laker, Loaded	8325			2565			10889					
2	V=V speed limit	8393	8293	12.1	2588	2574	68.1	10980	10867	21.7			
3		8333			2588			10922					
4	100'	8119			2555			10674					
1	Laker, Ballast	8325			2567			10892					
2	V=V speed limit	8392	8293	12.1	2591	2575	68.1	10983	10868	21.7			
3		8334			2587			10921					
4	100'	8119			2555			10674					
1	Laker, Loaded	8321			2551			10872					
2	V = f(VIS)	8375	8287	12.0	2574	2558	67.1	10949	10845	21.5			
3		8333			2567			10900					
4	100'	8119			2539			10658					
1	Laker, Ballast	8321			2554			10875					
2	V = f(VIS)	8375	8287	12.0	2577	2560	67.1	10952	10847	21.5			
3		8334			2568			10902					
4	100'	8118			2539			10657					

TABLE 3.9 SEAWAY CAPACITY FOR A SYSTEM WITH 100-FOOT ACCURACY EXCEPT IN REACHES NOTED

R U N

C A P A C I T Y

Year	Salty, Loaded V = V _{speed limit} Condition	NORMAL			EXTENDED			ALL YEAR		
		Yearly	4 yr avg	% Increase Over 4 yr avg	Yearly	4 yr avg	% Increase Over 4 yr avg	Yearly	4 yr avg	% Increase Over 4 yr avg
1	Reach Accuracy	9375								
2	1 60 ft	9432	9391		3041			12416		
3	20 60 ft	9402			2973	2973		12405	12364	27.5
4	44 30 ft	9354			2996			12397		
	56 60 ft				2881			12235		
	others 100 ft									

3.2 Navigational System Performance Requirements

The previous Section 3.1 dealt with the development and definition of one of the key requirements for a navigational guidance system; i.e, the accuracy of the positioning information made available to the ship's master. This section will deal with type and frequency of other information required and place this in the form of a guidance system performance specification.

The work discussed in Section 2.3 concerning the development of the rudder control law provided a background for developing the system performance requirements. It was found during this work that stable control of the study ships could not be effected by adjusting rudder angle on heading only, off-track position only, or on a combination of only these two variables. It was found, however, that stable control could be effected if only heading angle and rate of change of heading angle were used to command the rudder. Of course, the rudder angle must also be adjusted according to the off-track position error if the ship is to return to a desired track once it has been knocked off due to external disturbances. Introduction of the off-track position into the rudder control law, however, degrades the system stability and hence must be compensated for by increasing the heading and heading rate gains or by introducing cross track velocity gain.

Armed with this knowledge, an investigation was conducted to determine what feedback data rates on the variables used in the control law could be tolerated without loss of control of the ship. The maneuvering simulator was used for this investigation and a simulated voyage through the Pollys Gut reach (Reach No. 50) was selected because it is considered to be representative of the three "DYNAMIC" reaches. The investigation was carried out in two steps. In the first, the feedback interval was varied from 0.1 seconds to 180 seconds for all four variables in the rudder control law. Computations were handled as follows. As the ship passed through the reach, a rudder angle was computed based on the last update for all four variables. The rudder was then held at this value until a new rudder angle could be computed from the next update on the four variables. The results are shown in Table 3.10, Case 1. These results show the maximum excursions experienced during the run as a function of the data update rate interval. The 0.1 second update rate can be considered continuous for practical purposes and therefore forms a basis for comparison with the other update rates. It can be observed that update rates of up to 60 seconds could be tolerated without loss or significant degrading of control.

In the second step of the investigation, it was assumed that heading and heading rate data was continuously available and that only off-track position and cross track velocity information would be updated at various update rates. This assumption is based on the belief that all masters have this information currently available to them via the gyro compass repeater on the bridge. That is, as the ship swings in the water, the heading error and rate of change of headings are mentally "computed" and used by the master to formulate his rudder commands. The results are also shown in Table 3.10, Case 2. It will be noted that in this case update rates in the range of the study did

TABLE 3.10 MAXIMUM EXCURSIONS AT POLLYS GUT WITH
DATA RATES ON CONTROL VARIABLES

<u>DATA RATE</u>	<u>MAXIMUM EXCURSION</u>	
	<u>CASE 1</u>	<u>CASE 2</u>
0.1 sec.	196.3 ft.	196.3 ft.
1	196.8	196.4
2	197.0	196.6
5	197.7	197.4
10	198.8	198.6
20	201.0	201.0
40	205.1	205.3
60	206.2	208.6
120	384.3	217.9
180	892.6	211.5

NOTES:

CASE 1 - Heading, Rate of Change of Heading, Off Track Position
Cross Track Velocity are Updated at Indicated Data Rate

CASE 2 - Heading and Rate of Change of Heading are Updated
Continuously
- Off Track Position and Cross Track Velocity are Updated
at Indicated Data Rate

SHIP SPEED is 12 mph for all cases.

not produce any loss of control and the maximum excursion is only 11% greater than that with continuous updating of these two variables.

It thus appears from this investigation that update rates of the four key control variables in the order of one minute could be tolerated without degrading the control over the ship. Selection of these update rates will therefore be deferred until the method of display is presented and discussed.

Several members of the ARCTEC staff have handled ships in water similar to the study section of the St. Lawrence River under simulated low visibility conditions and have trained in ship maneuvering simulators. These staff members were therefore used to assist with the preparation of the display requirements. A sketch of the recommended display for use by the ship's operator is shown in Figure 3.4.* The display can be oriented such that north is always up or the direction of travel is always up. The operator's ship is shown in full so as to be distinguished from other ships shown in outline. The length and beam of the ships are shown to scale and a heading cursor equal to two ship lengths shows the heading of the ship. The channel centerline is marked by a solid line and the channel boundaries by dotted lines. Numerically displayed in the upper left corner is the distance of the operator's ship off-track (OFF: 15 FT R), the speed of the ship over the ground and the course being made good (SPD: 6.2 MPH, 004°), the next turn point distance (NTPD: 2.1 MI), the next turn point time (NTPT: 1 HR 20 M 30 S), and the scale of the graphic display (SCALE: 1 IN - 1,000 FT).

The position and orientation of the ship is updated periodically. The last two positions and orientations of the ship are also displayed except these images are shown in medium and low brightness to contrast them against the current image which is shown bright. By displaying current and past images of the ship and the true dimensions of the ship and channel boundaries, the operator should be provided with sufficient information on heading error, rate and direction of change of heading, position error and cross channel speed and direction, to maintain control over his ship and safely navigate it through the Seaway under conditions of low visibility. The ships move across the screen in this display. The operator may select any of five scales ranging from a very large coverage (1 in = 1,600 ft) to a very small coverage (1 in = 200 ft). When the operator's ship reaches the end of the screen, the display automatically shifts to a new display. The operator may also perform such a shift manually at any time.

It is important to point out that the workability of this display is a matter of opinion. It is our opinion that a prudent master could be trained to use such a display for successful navigation of his ship under low visibility conditions. However, this would have to be demonstrated and acceptance by the various pilots and masters of vessels operating in the Seaway would depend on verification of the workability of the display and its reliability. Most pilots and masters are taught not to trust electronic equipment because it is subject to errors and breakage. Consequently, the reliability of the displayed information is of utmost importance to its acceptability by these operators.

The rate at which the position and orientation of the ship is updated must be consistent with the data processor speed and the needs of the master.

*Figure 3.4 also appears as Figure A-1.

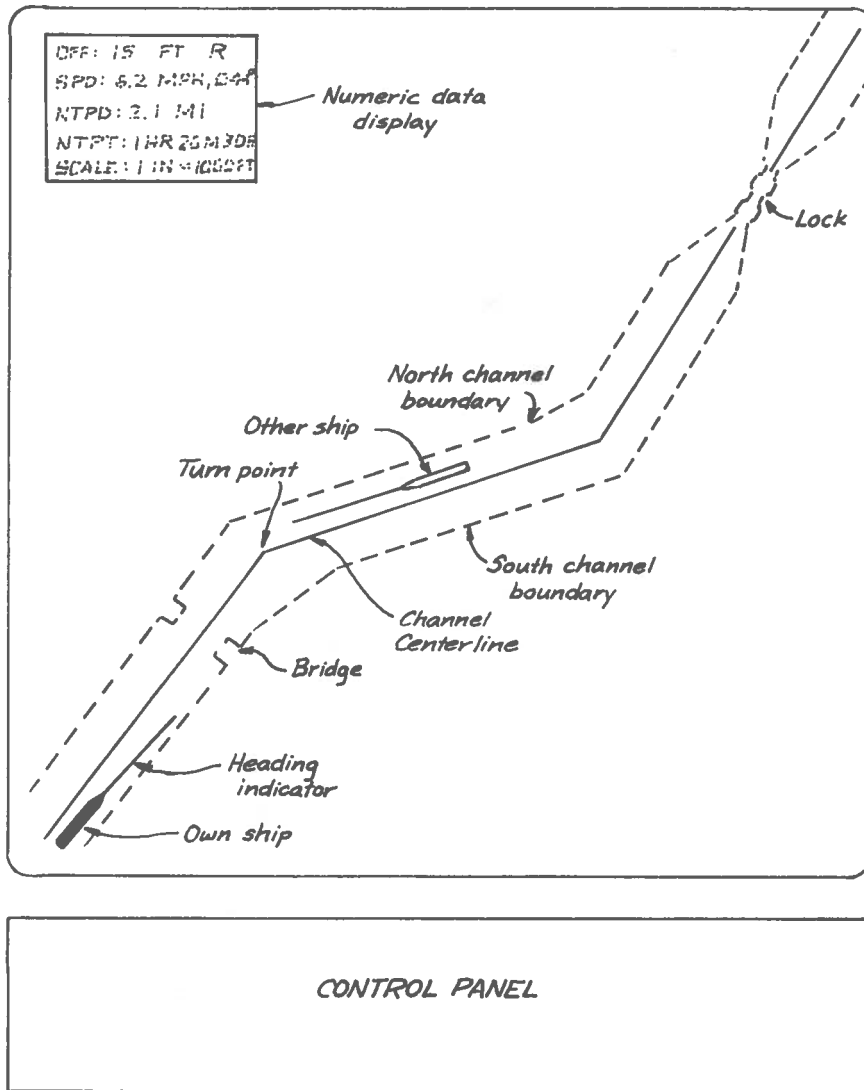


Figure 3.4 Sketch of Recommended Display for Operation

The master will have three images of the ship to use in estimating the rate of change of heading and off-track position. Thus an update rate of x seconds will require $3x$ seconds before the master can estimate these variables. The update rate should be as small as possible but still provide time for fade out of past images. An update rate of 5 seconds appears reasonable considering both processor speed and image fade out time.

Whether or not the other ships should be displayed is important to discuss. In our opinion, no prudent master would ever navigate his ship under low visibility conditions without precise knowledge of oncoming ships. However a master probably would navigate under these conditions provided he was assured that he would not meet ships coming in the opposite direction and that no ships were allowed to overtake another ship.

To test the effect of not displaying other ship information on the increase in capacity, the Capacity Computer Program was modified such that when the navigation guidance system was required to be used, only one way navigation was allowed. A run was then made assuming a 0-foot accurate system. Table 3.11 compares these results with the runs in which no guidance system is available and a 0-foot accurate guidance system which displays other ships. It can be seen that the percent improvement in all year capacity is decreased from 29.8% to 22.6% when only the own ship is displayed.

Appendix A contains the Navigation Guidance System Performance Specifications prepared during this study. The document is self explanatory and will not be repeated in this section. However, it should be pointed out that in this document we envisioned a display unit and associated processor that would be portable by one man. This was based on the belief that these units might be expensive and therefore would be taken aboard the ships at one end of the Seaway and removed at the other. Since this study did not look at the costs or benefits of employing a navigation guidance system, this may not be the best approach. Indeed, as was pointed out in Section 3.1, such decisions might best be made on the basis of cost/benefit analyses conducted along the lines suggested in that section to make a final decision on system accuracy. Such an analysis could also be applied to help with the decision on whether or not other ship information should be displayed.

This concludes the section on results and this report. The Appendices provide the computer programs used to generate the results used in this report. These programs can readily be used or converted to produce additional data not required by the scope of work for this study.

APPENDIX A
NAVIGATION GUIDANCE SYSTEM PERFORMANCE SPECIFICATION

APPENDIX A

NAVIGATION GUIDANCE SYSTEM
PERFORMANCE SPECIFICATION

FOR THE

SAINT LAWRENCE SEAWAY

BETWEEN

LAKE ONTARIO AND MONTREAL, QUEBEC

I. INTRODUCTION

The purpose of this document is to describe the requirements of a guidance system which will enable ship movement on the St. Lawrence Seaway (between Lake Ontario and Montreal, Quebec) during periods of low visibility and at night when floating, lighted aids to navigation have been removed from the Seaway. The requirements are based on a detailed study of all factors which affect ship movement in, and the ship processing capacity of, the Seaway.

The document does not explain how to achieve the requirements; however the guidance system envisioned in this specification would consist of the following:

- A radio location system capable of providing position fixes to each ship within the Seaway
- A shipboard receiver compatible with the above system
- A data processing display system capable of providing to a ship operator such information as is necessary for the safe navigation of the ship through the Seaway
- A radio communication system capable of transmitting the ship position to the appropriate Seaway station and capable of receiving the position of other ships from the Seaway station.

II. OVERVIEW OF SYSTEM

A sketch of the display required for use by the operator of a ship is shown in Figure A-1.* A hypothetical picture is shown and the various data displayed is identified for discussion purposes. The graphic display can be oriented such that north is always up or the direction of travel is always up. The operator's ship is shown in full so as to be distinguished from other ships shown in outline. The length and beam of the ships are shown to scale and a heading cursor equal to two ship lengths shows the heading of the ship. The channel centerline is marked by a solid line and the channel boundaries by dotted lines. Numerically displayed in the upper left corner is the distance of the operator's ship off-track (OFF: 15 FT R), the speed of the ship over the ground and the course being made good (SPD: 6.2 MPH, 004°), the next turn point distance (NTPD: 2.1 MI), the next turn point time (NTPT: 1 HR 20 M 30 S), and the scale of the graphic display (SCALE: 1 IN = 1,000 FT).

The position and orientation of the ship is updated every 5 seconds. The last two positions and orientations of the ship are also displayed except these images are shown in medium and low brightness to contrast them against the current image which is shown bright. By displaying current and past images of the ship and the true dimensions of the ships and channel boundaries the operator is provided with sufficient information (heading error, rate of change of heading, position error and cross channel ship velocity) to safely guide his ship through the Seaway.

The ships move across the screen. The operator may select any of five scales for the display ranging from a very large coverage (1 in = 2,000 ft) to a very small coverage (1 in = 200 ft). When the operator's ship moves to within 15 percent of the end of the screen, the display automatically shifts to a new display. The operator may also perform such a shift manually at any time.

* Figure A-1 also appears in the text as Figure 3.4.

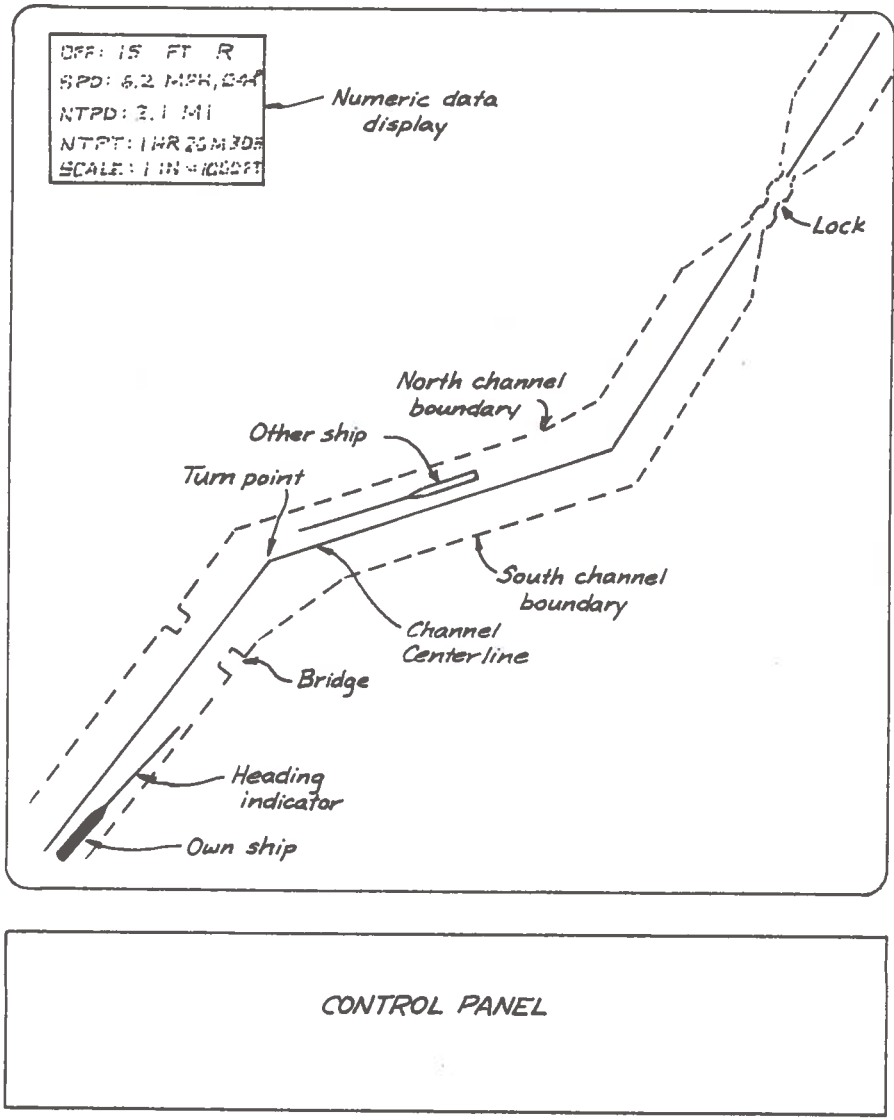


Figure A-1. Sketch of Recommended Display for Operation

III. VARIABLE TO BE MEASURED

The guidance system must be capable of measuring the variables listed in Table 1 over the specified range, within the accuracy and at the rates given.

TABLE A-1. VARIABLES TO BE MEASURED

<u>Variable</u>	<u>Units³</u>	<u>Range³</u>	<u>Accuracy¹</u>	<u>Measurement⁴ Rate</u>
Receiver Latitude	deg-min-sec	44°00' -45°35'N	± 100 ft ²	5 s
Receiver Longitude	deg-min-sec	73°30' -76°30'W	± 100 ft ²	5 s
Ship Heading	deg	0°-360°	± 0.50° ²	5 s
Time	day-hr-min-sec	0-365 day	± 1.0 s	5 s

Notes: 1. These bounds must include 100 percent of all measurements.

2. Although the accuracy of these variables may fall within the limits specified, successive readings displayed must be repeatable within ± 1 ft in the case of receiver latitude and longitude, and ±0.05° in the case of ship's heading. This may be accomplished by filters provided the true movements of the ship are not masked.

3. Any similar coordinate system may be employed.

4. Period between measurements shall not exceed this time interval.

IV. VARIABLES TO BE READ AS INPUT

These variables are divided into two groups according to who is responsible for their preparation and input into the guidance system. The variables to be stored in the system by the manufacturer are listed in Table 2. The variables to be stored in the system by the ship's operator are listed in Table 3.

TABLE 2. SEAWAY VARIABLES TO BE STORED IN UNIT

- Course intersection points for entire Seaway
- South channel boundary intersection points for entire Seaway
- North channel boundary intersection points for entire Seaway
- Location of fixed aids to navigation and prominent landmarks which normally are detected by shipboard radar

Note: This information is available from the Seaway Navigational Charts.

TABLE 3. VARIABLES TO BE STORED IN UNIT BY USER

- Ship's beam
- Ship's length
- Distance between receiver antenna and ship's midpoint
- Distance between receiver antenna and ship's centerline

V. VARIABLES TO BE DISPLAYED

The variables to be displayed aboard the operator's ship are given in Table 4 along with the method of display, units, range, accuracy and rates.

The shipboard unit provided by the manufacturer shall be of such size and weight as to be portable by one man. Each ship using the Seaway shall be required to install the necessary receiver/transmitter antenna and shall provide a location next to the ship's radar for housing the unit. At this location will be a ship's service electrical supply outlet identical to that provided to the radar set and a jack for the receiver/transmitter antenna.

The visual display shall be as shown in Figure 1 and as additionally specified in Tables 4 & 5. Below the display shall be a console which provides for at least the functions listed in Table 6.

TABLE 4. VARIABLES TO BE DISPLAYED

<u>Variable</u>	<u>How Displayed</u>	<u>Units</u>	<u>Range</u>	<u>Absolute Accuracy</u>	<u>UPDATE RATE</u> ¹
Distance off course track	CRT & Numeric	ft	± 3000	± 100 ft	5 s
Ship heading	CRT	-	-	-	5 s
Desired course	CRT	-	-	-	-
Ship speed	CRT & Numeric	mph	0-20	± 0.1 mph	5 s
Next turn distance	CRT & Numeric	ft	0-25	± 100 ft	5 s
Next turn time	CRT & Numeric	hr-min-sec	0-10 hrs	± 1 sec	5 s
South channel boundary	CRT	-	-	-	-
North channel boundary	CRT	-	-	-	-
Ship length	CRT	-	-	-	-
Ship beam	CRT	-	-	-	-

Notes: 1. Period between data displays shall not exceed this time interval.

TABLE 5. CRT DISPLAY SPECIFICATIONS

Screen size:	24" diagonal, square or rectangular
Scales:	1" = 200', 1" = 400', 1" = 800', 1" = 1,600'
Ships:	Length and beam to scale with bow pointed
Heading indicators:	Projecting 2 shiplengths ahead of bow and always .01" thick
Channel centerline:	Solid line always .01" thick
Channel boundaries:	Dotted line always .01" thick

Notes: Ship and ship heading indicator's last and next to last display shall persist at a medium and low intensity while current display is presented at bright intensity.

Just before ship moves to within 15% of the end of the display, the image shall automatically reset and reposition ship at left or right edge of display as appropriate. This operation shall not exceed the 5 second data update rate.

TABLE 6. REQUIRED CONSOLE FUNCTIONS

- Power on/off
- Screen brightness control
- Screen focus control
- Manual image reset
- Slot to accept card containing ships ID number, beam, length, distance of antenna from midpoint of ship, and distance of antenna off ship's centerline. Unit is not to display any images until this card has been inserted.
- Power failure alert
- Equipment failure alert
- Keyboard for manual input of user data

APPENDIX D
REPORT OF NEW TECHNOLOGY

APPENDIX D - REPORT OF NEW TECHNOLOGY

COMPUTER PROGRAMS USED TO DETERMINE NAVIGATIONAL GUIDANCE
SYSTEM PERFORMANCE SPECIFICATION

The purpose of this study was to develop and define navigational guidance system performance requirements which will allow improvement in the capacity of the Saint Lawrence Seaway.* "Navigational guidance system performance requirements" means the type, accuracy, and frequency of information which must be made available to a ship's master in order to facilitate the safe passage of his ship through the Seaway. "Capacity" means the number of ships which could be processed through the Seaway in a given period of time under the assumption that ships are always available for processing at both Montreal and Lake Ontario.

A ship maneuvering simulation model and a Seaway capacity model were developed to determine the navigational guidance system performance specification which would allow improvement in the Capacity of the St. Lawrence Seaway. Computer programs--AWCAP and MANVER--of the above models were also developed.

Program AWCAP (All Weather Capacity) determines the Capacity of the Seaway for several historic weather years as a function of the accuracy of the navigational guidance system. Program MANVER, the ship maneuvering simulation model, computes the ship maneuvering room requirements and determines the type and rate of information required by the ship's master.

Detailed descriptions of programs AWCAP and MANVER are contained in Appendices B and C, respectively.

* The Saint Lawrence Seaway as used herein is that section of the Saint Lawrence River from Montreal, Quebec, to Lake Ontario.

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