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**Systems Analysis of Rapid Transit
Underground Construction. Volume II
Sections 6-9 and Appendixes**

Bechtel Corp, San Francisco, Calif

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16. Abstract This study describes rapid transit system implementation, design, and construction procedures. The relationships and responsibilities of governmental, private, and public groups involved in planning and implementing an urban rapid transit system are discussed. In this report, techniques and processes of cut-and-cover and tunnel construction are discussed in detail. Environmental impacts of this construction as well as safety and insurance aspects are presented. Physical and institutional controls (sensitivities) on construction are identified. Physical controls include such factors as utility density, traffic conditions, maintaining existing structure integrity, ground conditions, and weather. Institutional controls include the project schedule, right-of-way acquisition, material and equipment supply, and labor agreement and productivity. Three San Francisco Bay Area Rapid Transit (BART) projects and two Washington Metropolitan Area Transit Authority (WMATA) projects are analyzed herein with respect to time schedules, costs, and sensitivity to physical and institutional controls. These data are utilized in developing generalized models of four specific types of underground construction: cut-and-cover station, cut-and-cover line, free-air-driven tunnel, and compressed-air-driven tunnel. The models presented herein are a planning tool for evaluation of the alternative types of underground construction in a transit system with respect to local costs and physical and institutional controls. Possible future tunneling cost-reduction techniques and recommendations for further research are made. †			
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SYSTEMS ANALYSIS OF
RAPID TRANSIT
UNDERGROUND CONSTRUCTION
Volume II: Sections 6-9 and Appendixes

A.J. Birkmyer
D.L. Richardson



DECEMBER 1974

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CONTENTS

<u>Section</u>	<u>Page</u>
6	STUDY PROJECTS
	6-1
	Introduction
	6-1
	Selection of the Projects for Study
	6-2
	Description of Projects
	6-3
	BART Project K0016 - Station and Tunneler Line Structures - Oakland
	6-8
	BART Project S0022 - Sixteenth Street to Civic Center Tunnel Structures - San Francisco
	6-13
	BART Project S0031 - Van Ness to Duboce Street Line Structures - San Francisco
	6-16
	WMATA Project C0021 Farragut West Station and Line Structures - Washington, D.C.
	6-19
	WMATA Project C0041 - Potomac River Crossing Extension - Washington D.C.
	6-21
	Study Procedures
	6-23
	Introduction
	6-23
	Scope of the Study
	6-23
	Time/Cost Analysis
	6-25
	Introduction
	6-25
	Data Sources
	6-25
	Cost Analysis
	6-27
	Schedule (Time) Analysis
	6-31
	Analysis of Study Project Schedule
	6-41
	Study Project Sensitivity Analysis
	6-43
	Physical Controls
	6-43
	Institutional Controls
	6-59

<u>Section</u>	<u>Page</u>	
6	Discussion of Study Project Sensitivities	6-60
	Mathematical Analysis for Scale Effects and Influence of Physical Controls	6-61
	Bounds of the Model	6-62
	Summary	6-62
7	GENERALIZED TUNNEL MODELS	7-1
	Introduction	7-1
	Basis of the Models	7-2
	Cut-and-Cover Station	7-2
	Cut-and-Cover Line	7-2
	Free-Air-Driven Tunnel	7-5
	Compressed-Air-Driven Tunnel	7-5
	Work Events	7-5
	Basic Costs	7-7
	Controls	7-7
	Model Structure	7-8
	Description of the Models	7-8
	The Three Parts of Each Model	7-8
	Basic Model Structure	7-10
	Cost and Sensitivity Data	7-18
	Physical Control Sensitivity Data	7-19
	Institutional Control Sensitivity Data	7-25
	Generalized Model Sensitivities	7-37
	Sensitivity Analysis	7-37
	Significant Results	7-43
	Discussion of Generalized Model Sensitivities	7-53
	Example of Model Utilization	7-56
	How to Use the Models	7-59
	Basic Cost Data	7-60
	Assessment of Physical Controls	7-62
	Assessment of Institutional Controls	7-66

<u>Section</u>		<u>Page</u>
7	Summary	7-67
8	POTENTIAL COST REDUCTION TECHNIQUES AND NEW TECHNOLOGIES	8-1
	Introduction	8-1
	Proposed Methods of Changing O-E-C Relations	8-2
	Contractual Changes	8-2
	Contractual Changes and Comprehensive Site Investigation	8-7
	Contractual Changes, Comprehensive Site Inves- tigation, and Field Testing	8-11
	Preliminary Plans for Demonstrating the Effec- tiveness of the Changes Proposed	8-13
	Specific Approaches for Implementation	8-13
	Improvements of Cut-and-Cover Technology	8-15
	Utilities	8-15
	Decking for Traffic	8-15
	Underpinning of Existing Structures	8-18
	Excavation Bracing	8-19
	Improvements in Existing Tunneling Technology	8-19
	Segmented Linings	8-20
	Excavation Methods	8-21
	Grouting	8-25
	Caulking	8-26
	New Technology	8-27
	Driving Tunnels Through Several Contiguous Station Locations	8-27
	Continuous Tunneling	8-34
	Pressurized Slurry-Face Tunneling Machine	8-43
9	CONCLUSIONS AND RECOMMENDATIONS	9-1
	Conclusions	9-1
	System Modeling	9-1

<u>Section</u>		<u>Page</u>
9	Contracts	9-2
	Technical Considerations	9-3
	Environment	9-4
	Recommendations for Research and Development	9-4
	Optimizing Rapid Transit Station Design	9-5
	Lining Systems for Optimizing the Tunneling Process	9-6
	Tunneling Machine with Pressurized Slurry Face	9-7
	Geology/Soils Information Bank	9-7
	Environmental Impacts of Underground Construction	9-8
	Improved Accident Reporting	9-9

APPENDIXES A THROUGH F

ILLUSTRATIONS

<u>Figure</u>		<u>Page</u>
6-1	San Francisco Bay Area	6-4
6-1a	Location of BART Project K0016 in Oakland	6-5
6-1b	Location of BART Projects S0022 and S0031 in San Francisco	6-6
6-2	Location of WMATA Projects C0021 and C0041 in Washington, D.C.	6-7
6-3	BART Project K0016, Twelfth Street Station and Line, Oakland	6-9
6-4	BART Project K0016, Twelfth Street Station and Line, Oakland – Tunnel Workshaft Plan	6-11
6-5	BART Project K0016, Twelfth Street Station and Line, Oakland – Tunnel Workshaft Section	6-12
6-6	BART Project S0022, Sixteenth Street Station to Civic Center, San Francisco	6-14
6-7	BART Project S0022, Sixteenth Street Station to Civic Center, San Francisco – Tunnel Workshaft	6-15
6-8	BART Project S0031, Van Ness to Duboce Line Structure, San Francisco	6-17
6-9	WMATA Project C0021, Farragut West Station and Line Structures, Washington, D.C.	6-20
6-10	WMATA Project C0041, Potomac River Crossing Extension, Washington, D.C.	6-22
6-11	Rapid Transit Tunneling Cost Model	6-24
6-12	Construction Schedule – BART Project K0016, Twelfth Street Station and Line, Oakland	6-34
6-13	Construction Schedule – BART Project S0022, Sixteenth Street to Civic Center, San Francisco	6-35
6-14	Construction Schedule – BART Project S0031, Market Street, Van Ness to Duboce Portal, San Francisco	6-38

<u>Figure</u>		<u>Page</u>
6-15	Construction Schedule – WMATA Project C0021, Farragut Station West and Line, Washington, D.C.	6-40
6-16	Construction Schedule – WMATA Project C0041, Potomac River Crossing Extension, Washington, D.C.	6-42
7-1	Plan and Elevation for the Model Station Similar in Size and Layout to the K0016 Station of the BART System	7-3
7-2	Plan and Elevation for the Model Cut-and-Cover Line Based on a Twin-Cell Line Structure	7-4
7-3	Plan and Elevation for the Model Free-Air-Driven Tunnel Based on 3,000 Ft of Twin Tunnel	7-6
7-4	Basic Model Structure	7-11
7-5	Summary of Effect of Controls on Project Cost	7-42
7-6	Cut-and-Cover Station Sensitivity of Cost to Controls	7-46
7-7	Cut-and-Cover Line Sensitivity of Cost to Controls	7-49
7-8	Free-Air-Driven Tunnel Sensitivity of Cost to Controls	7-52
7-9	Compressed-Air-Driven Tunnel Sensitivity of Cost to Controls	7-55
7-10	Utility Plan, BART Project K0016	7-63
8-1	Cut-and-Cover Station – Permanent Road Deck, Precast Concrete Unit Construction	8-17
8-2	Cut-and-Cover Station Construction, Tunneled Trackway	8-28
8-3	Mined Station Construction, Tunneled Trackway	8-29
8-4	Tunneled Station Construction, Tunneled Trackway	8-32
8-5	Helical Segmented Tunnel Lining	8-36
8-6	Helical Segmented Tunnel Lining Erection of Segments Directly Against Excavation	8-39
8-7	Slip-Form Concrete Tunneling Concept	8-41
8-8	Tunneling Machine with Pressurized Slurry-Face	8-45

TABLES

<u>Table</u>		<u>Page</u>
6-1	Sources of Data Concerning Study Contracts	6-26
6-2	Summary of Measures and Costs for Case Studies, Cut-and-Cover Structures	6-29
6-3	Summary of Measures and Costs for Case Studies, Soft-Ground Tunnels	6-30
6-4	Schedule Time Extension BART Project K0016	6-33
6-5	Schedule Time Extension BART Project S0022	6-36
6-6	Schedule Time Extension BART Project S0031	6-37
6-7	Schedule Time Extension WMATA Project C0021	6-39
6-8	Influence of Physical Controls on Work Events – BART Contract K0016, Twelfth Street Station, Oakland	6-44
6-9	Influence of Physical Controls on Work Events – WMATA Contract C0021, Farragut Station	6-45
6-10	Influence of Physical Controls on Work Events – WMATA Contract C0021, Farragut Line	6-46
6-11	Influence of Physical Controls on Work Events – BART Contract S0031, Muni Line	6-47
6-12	Influence of Physical Controls on Work Events – BART Contract K0016, Oakland Line	6-48
6-13	Influence of Physical Controls on Work Events – BART Contract K0016, Oakland Tunnels	6-49
6-14	Influence of Physical Controls on Work Events – BART Contract S0022, Market Street Tunnel	6-50
6-15	Influence of Physical Controls on Work Events – WMATA Contract C0041, Potomac River Crossing	6-51
7-1	Measures and Costs for Cut-and-Cover Work Events	7-12
7-2	Measures and Costs for Tunneling Work Events	7-13

<u>Table</u>	<u>Page</u>
7-3 Cost Summary for Generalized Model for 838-Ft Cut-and-Cover Station	7-20
7-4 Cost Summary for Generalized Model for 3, 225-Ft Cut-and-Cover Line	7-21
7-5 Cost Summary for Generalized Model for 3, 000-Ft Free-Air-Driven Tunnel	7-22
7-6 Cost Summary for Generalized Model for 3, 000-Ft Twin-Bore Compressed-Air-Driven Tunnel	7-22
7-7 Physical Control Effects, Cut-and-Cover Station	7-23
7-8 Physical Control Effects, Cut-and-Cover Line	7-26
7-9 Physical Control Effects, Free Air Tunnel	7-27
7-10 Physical Control Effects, Compressed Air Tunnel	7-28
7-11 Costs Associated with Controls, Cut-and-Cover Station	7-29
7-12 Costs Associated with Controls, Cut-and-Cover Line	7-33
7-13 Costs Associated with Controls, Free-Air-Driven Tunnel	7-34
7-14 Costs Associated with Controls, Compressed-Air-Driven Tunnel	7-35
7-15 Institutional Control Effects, Cut-and-Cover Station	7-36
7-16 Institutional Control Effects, Cut-and-Cover Line	7-38
7-17 Institutional Control Effects, Free Air Tunnel	7-39
7-18 Institutional Control Effects, Compressed Air Tunnel	7-40
7-19 Sensitivity Analysis for Cut-and-Cover Station Model	7-45
7-20 Sensitivity Analysis for Cut-and-Cover Line Model	7-48
7-21 Sensitivity Analysis for Free-Air-Driven Tunnel Model	7-51
7-22 Sensitivity Analysis for Compressed-Air-Driven Tunnel Model	7-54
7-23 Sample of the Output Obtained from the Generalized Model for a Cut-and-Cover Line Section	7-57
8-1 Production Rates for Tunnel Construction Using Tunneling Machines and Tunnel Construction Using Manual Shields	8-22

Section 6

STUDY PROJECTS

INTRODUCTION

The study projects selected from the BART and WMATA Transit Systems formed the cornerstones on which the study as a whole was based. A detailed analysis of these projects accomplished the following:

- Established the appropriate classifications of the various work events
- Determined how these work events could be quantified
- Assigned costs to the work events
- Determined the physical controls that influenced the costs of the work events
- Determined the actual cost effects of these physical controls on the work events
- Provided a formulation for an appraisal of construction schedules
- Provided a formulation for the evaluation of institutional controls

The three BART projects had been completed at the time of study, and documented construction histories existed for two of these projects; the third (a cut-and-cover line section) had not been documented, though most of the data required could be established. Because the projects from the WMATA system were still under construction at the time of the study, they lacked complete cost and schedule information; nevertheless, they provided a great deal of useful information on the different geographical and productivity factors and on owner philosophies.

Owing to the importance of the relative costs of the work events, the summary of project bid prices for each project is included in the Appendix. The Appendix also contains the change order records, where they were available, and data concerning system-wide labor agreements and insurances.

SELECTION OF THE PROJECTS FOR STUDY

Three criteria governed the selection of the projects. These stipulated that (1) typical present-day downtown underground transit structures were to be represented (this was the most important criterion); (2) that the locations reflect the typical construction conditions that might be encountered in systems to be built in other parts of the country; and (3) that the cost and schedule records, historic data, and key engineering and construction personnel who would be able to provide supplementary information on the many facets of the work undertaken should be available.

The project structures selected, together with the methods of construction, were:

- Passenger stations in reinforced concrete constructed in cut-and-cover
- Line structures (connecting the stations) in reinforced concrete constructed in cut-and-cover
- Line structures constructed by tunneling with segmented steel linings, in free air or in compressed air
- Line structures constructed with sets and lagging followed by reinforced concrete linings in free air

Candidate projects representing these structures were screened within the BART and the WMATA systems, and a final selection was made of five projects as follows:

Stations	BART Project K0016 WMATA Project C0021
Cut-and-Cover Lines	BART Project S0031 BART Project K0016 WMATA Project C0021
Tunnel Line - Free Air	BART Project K0016 WMATA Project C0041
Tunnel Line - Compressed Air	BART Project S0022

The selection of projects involving similar types of construction from the two different transportation systems - BART in San Francisco, and WMATA in Washington, D. C. - provides a comparison of productivity between different areas of the country and highlights the differences in the basic contracting procedures. The engineering designs for the stations in the two cut-and-cover station contracts are basically different: the BART Station K0016 is an orthodox beam-and-slab and column structure, with structural separation between mezzanine and station platforms the WMATA Station C0021 is a clear span arch structure, which embraces both mezzanine and station platforms.

Contracts from the BART system were essentially complete, and full construction reports were available for Projects K0016 and S0022. The contracts from the WMATA system were still in progress, but were sufficiently advanced to provide a complete picture of all phases of the construction. In the following discussion, each of the study projects is described in detail.

DESCRIPTION OF PROJECTS

The entire San Francisco Bay Area Rapid Transit System is shown in Figure 6-1. Locations of the three projects from the Bay Area Rapid Transit System (BART) are shown in Figures 6-1a and 6-1b. The locations of the two projects from the Washington Metropolitan Area Transit Authority (WMATA) are shown in Figure 6-2.

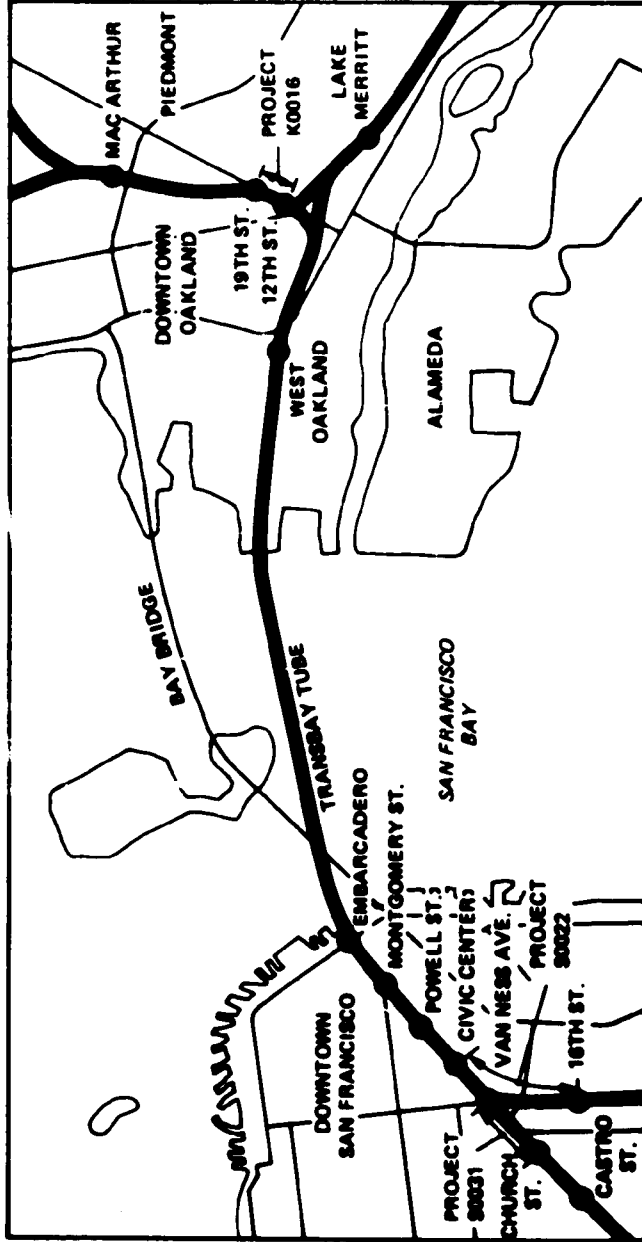


Figure 6-1. San Francisco Bay Area

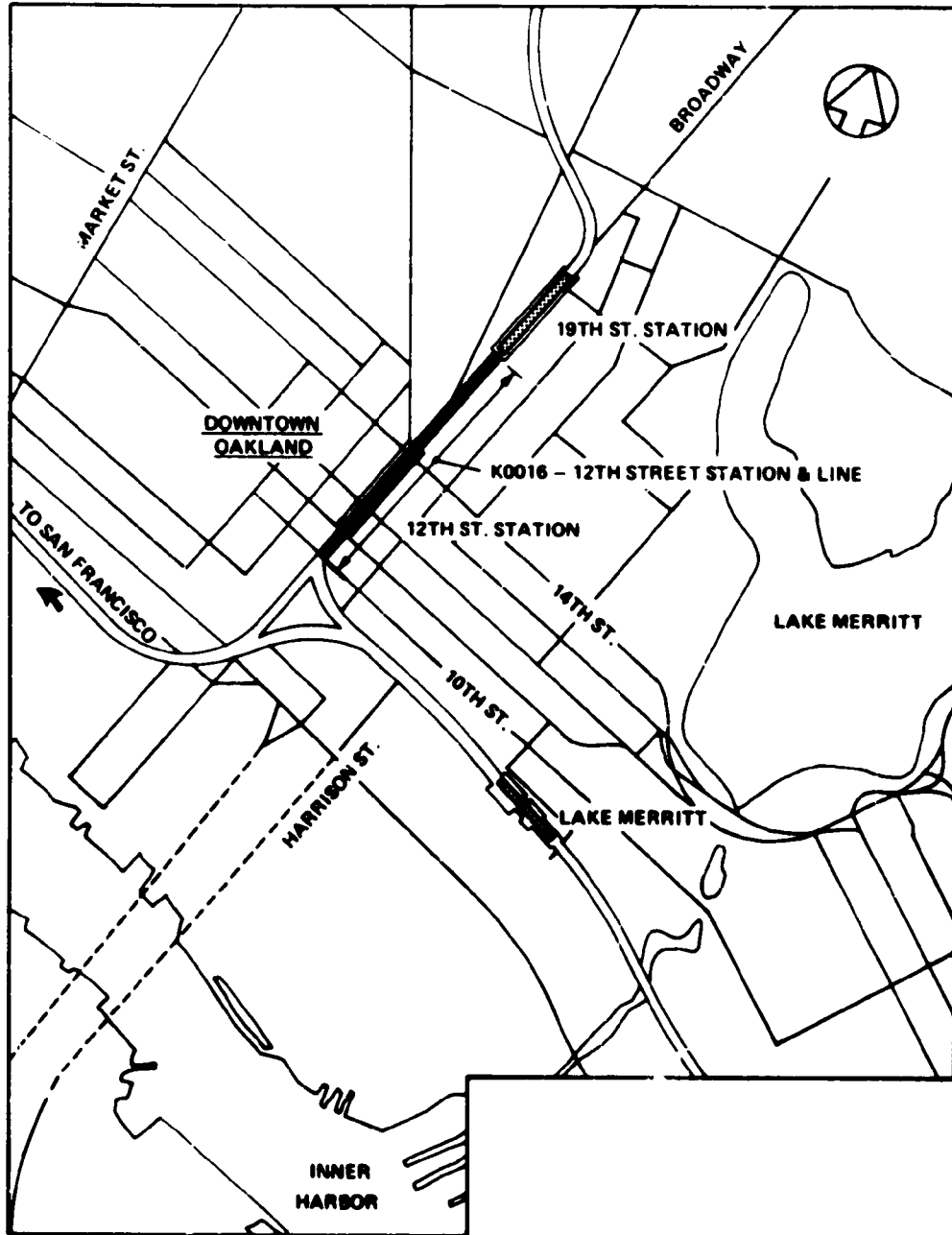


Figure 6-1a. Location of BART Project K0016 in Oakland

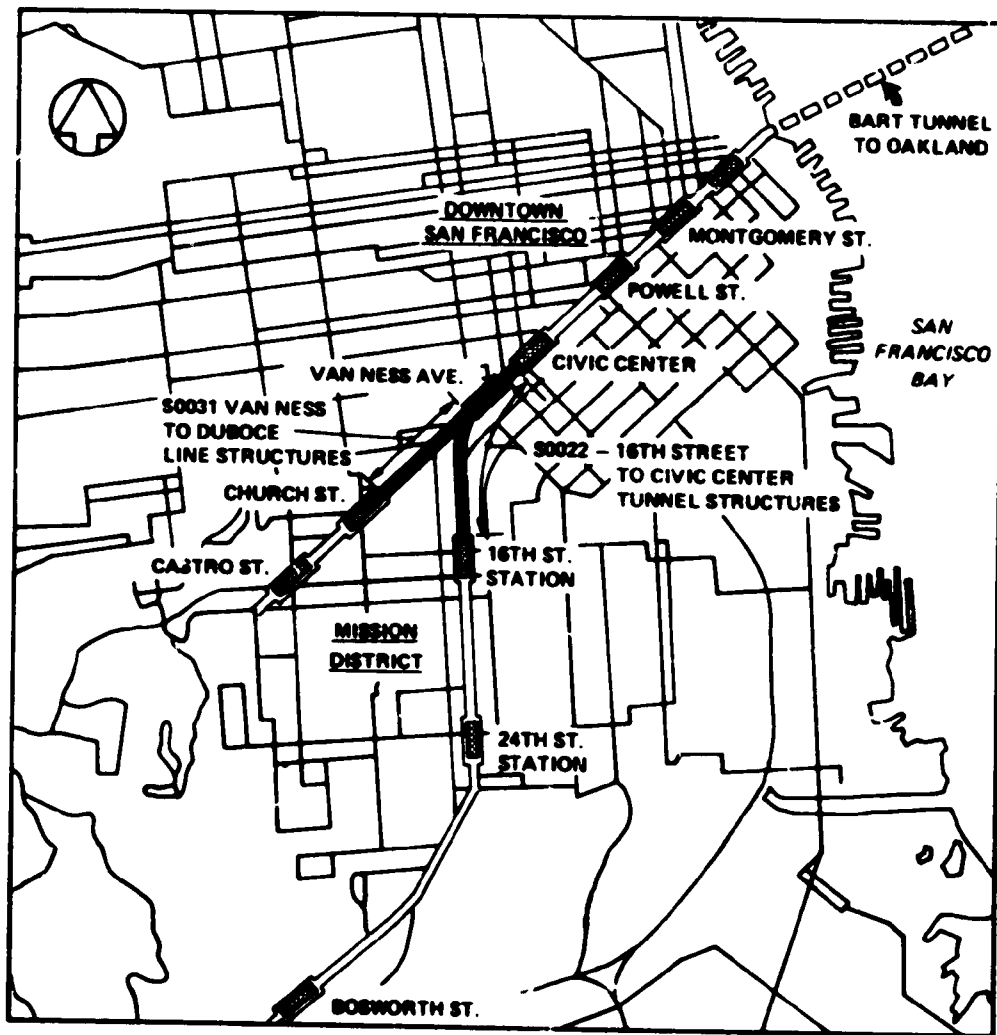


Figure 6-1b. Location of BART Projects S0022 and S0031 in San Francisco

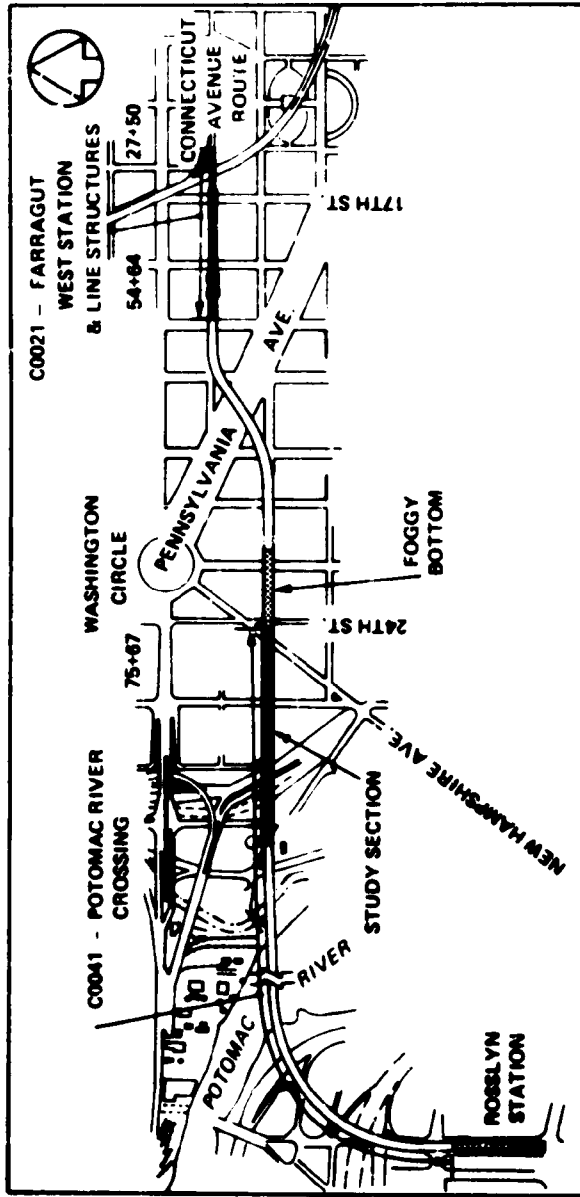


Figure 6-2. Location of WMATA Projects C0021 and C0041 in Washington, D.C.

A general dimensional and functional description is provided for each project, together with a brief discussion of the principal construction features.

The cost information included in the Appendix represents the actual construction costs of the projects and has not been escalated to those tabulated in Table 6-2 and Table 6-3.

A discussion of the schedule histories and the causes and effects of any delays encountered is included in this section.

BART Project K0016 - Station and Tunneler Line Structures - Oakland

The project, illustrated in Figure 6-3, consisted of a station structure with three 700-ft platforms, on two levels, with a 700-ft-long mezzanine area on an upper (third) level; a cut-and-cover line structure 200 ft in length on the south end; three 1,200-ft tunneled line structures on the north end; and other structures, including ventilation structures to the surface at each end of the station, fan and pump rooms, electrical service rooms, entrances to the station stairs, and provisions for escalators. The station structure was about 70 ft deep, the top being about 15 ft below ground.

Not included in the contract were station finishes and mechanical and electrical equipment, except for owner-furnished fans and pumps. The station (60 ft wide except at the entrances) and line structures were located almost in the center of Broadway, Oakland, and the construction intercepted several cross streets.

Numerous utilities, including gas, water, sewer, and electricity, had to be rerouted or supported during the construction. The ground was generally dense sand clay or clay/sand stratum with a high water table that

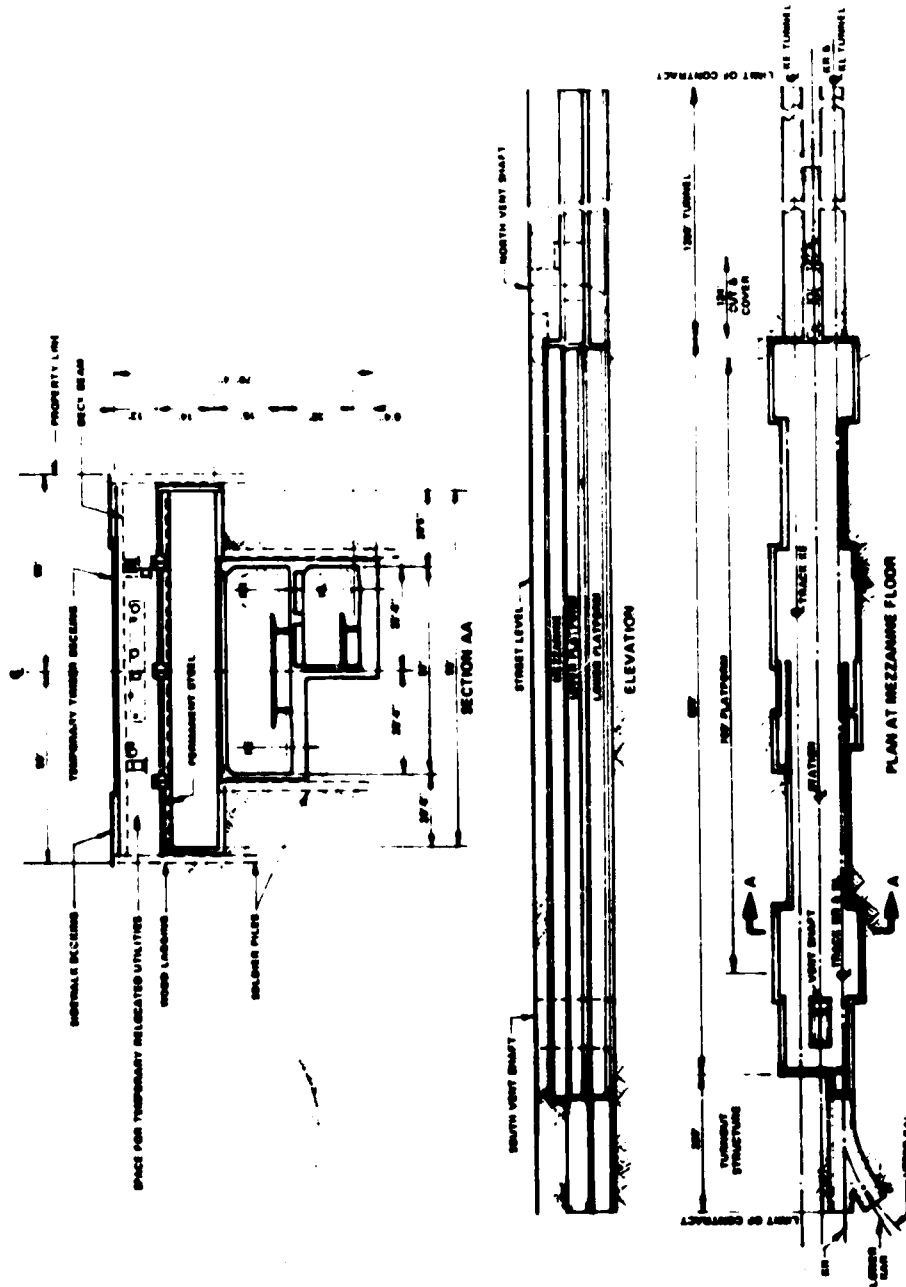


Figure 6-3. BART Project K001b, Twelfth Street Station and Line, Oakland

necessitated dewatering. Traffic was heavy, and maintenance of all the traffic by decking over the construction was required, with the exception of one lane which was set aside as the contractor's working area. Selected buildings on either side of the street required underpinning.

The bidders were given two alternative structural systems for pricing:

- Installation of soldier-pile, slurry concrete walls to be incorporated as a part of the permanent walls of the station
- Installation of soldier piles, and lagging and construction of the station walls as separate entities

The successful contractor elected to use the second system. Since the specifications required that the tunnels be driven under compressed air, the contractor installed a compressed air plant and installed air locks in one tunnel. However, because the ground conditions along the tunnel routes were better than originally expected, neither air plant nor locks were used, and the tunnels were constructed in free air. This resulted in a cost savings to both the owner and contractor.

Figures 6-4 and 6-5 show the tunnel workshafts and initial assembly of shields at the north end of the station.

The bid price for the construction was \$19,429,000, not including \$755,000 in precontract utility relocation costs. Changes in the work and construction conditions increased the cost by 4 percent (\$765,000) to \$20,194,000. The original time of construction was extended by 198 days from 795 days to 993 days. The starting date was February 1967, and the schedule date of completion was April 1969. The project was completed in November 1969.

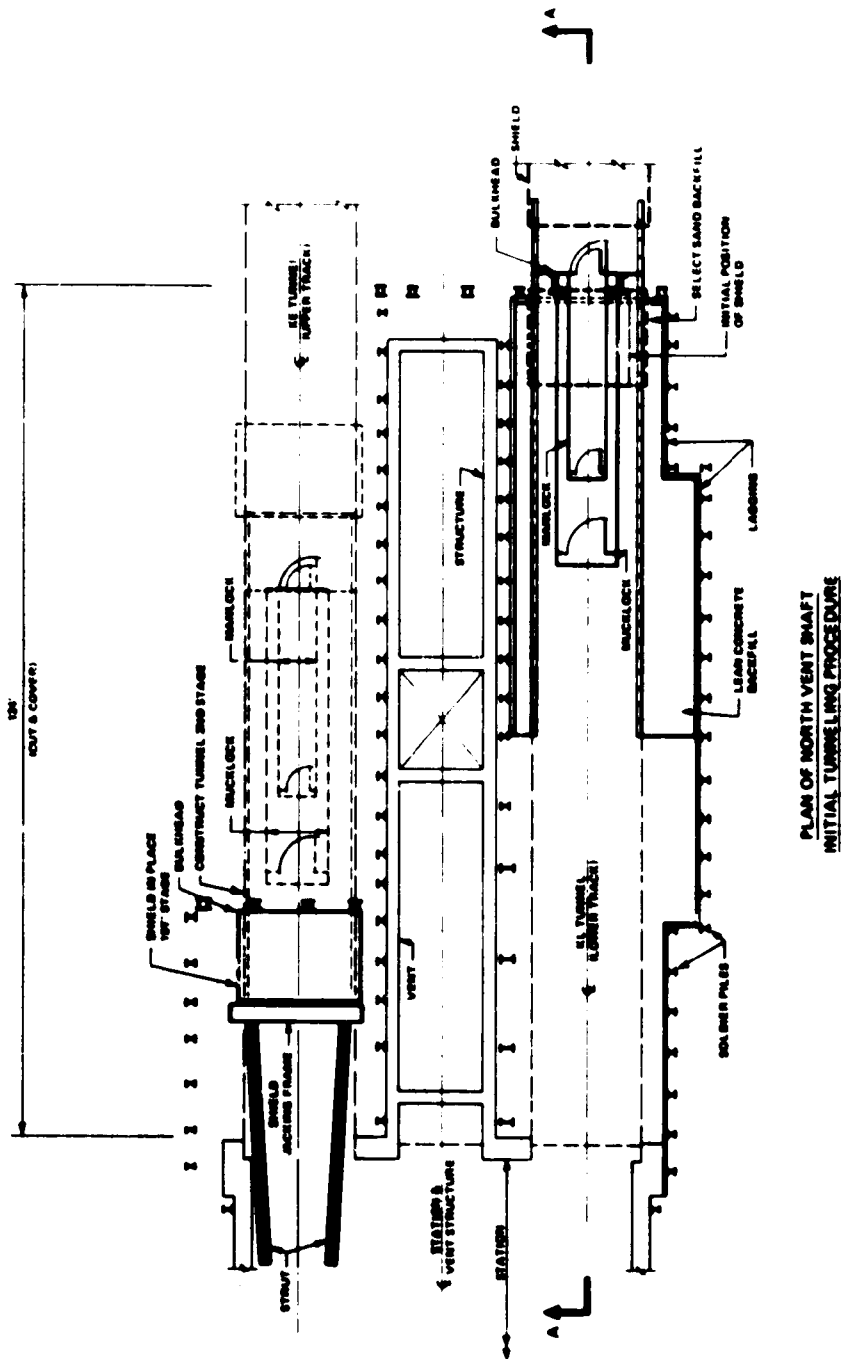
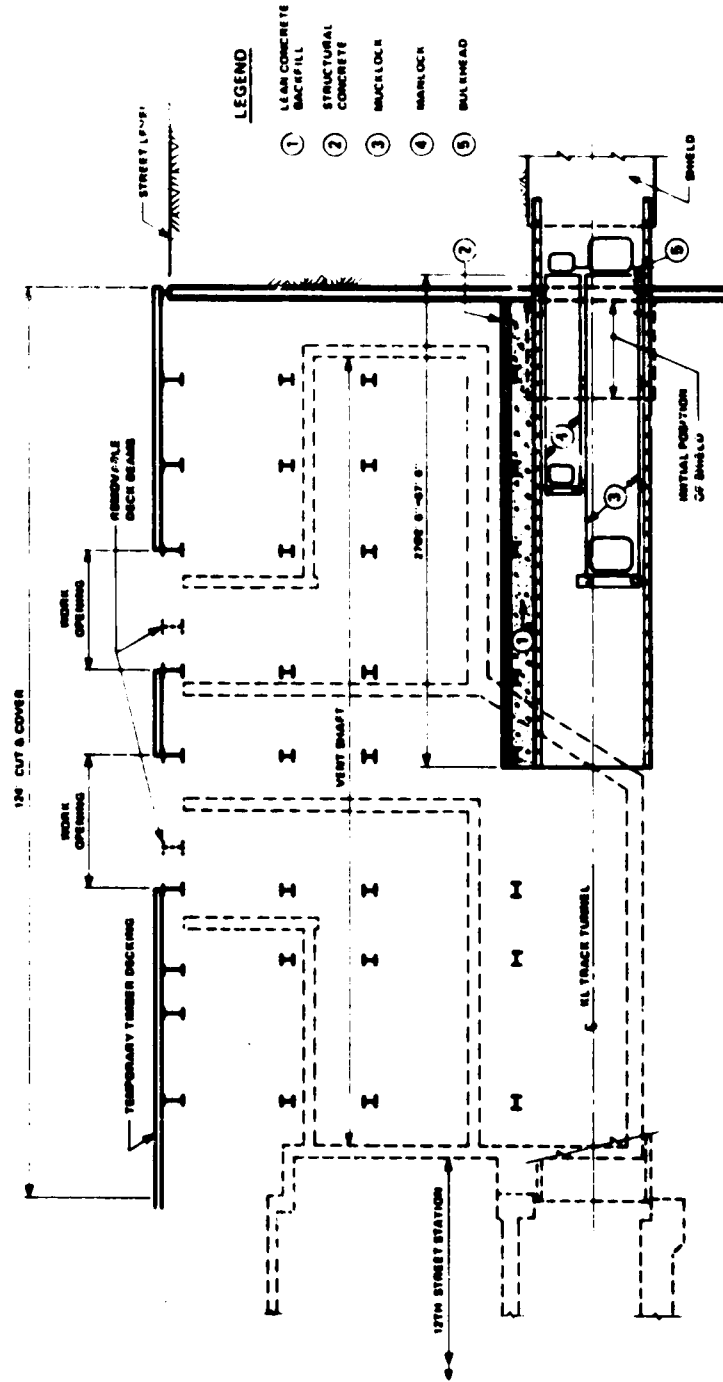


Figure 6-4. BART Project K0016, Twelfth Street Station and Line, Oakland - Tunnel Workshaft Plan



SECTION A-A

Figure 6-5. BART Project K0014. Twelfth Street Station and Line, Oakland - Tunnel Workshaft Section

BART Project S0022 - Sixteenth Street to Civic Center Tunnel Structures-
San Francisco

This project, depicted in Figure 6-6, called for the construction of two 5,120-ft tunnels, 16.5 ft inside diameter, with one vertical ventilation shaft and several horizontal cross passages. The tunnels were lined with the BART standard 16-25 and 16-40 welded steel segmented rings. The work was planned on the assumption that the contractor would wish to use the ventilation shaft (which was about midway along the tunnels and provided a good working area at the surface) as a workshaft from which to tunnel in both directions. However, the successful contractor elected to construct, at his own expense, a workshaft at one end of the project so that a tunnel could be driven full length — a shield to each tunnel. The workshaft is shown in Figure 6-7.

The tunneling was through water-bearing sands and silts, and compressed air was used in addition to dewatering to maintain the stability of the tunnel face. There were numerous utilities of various degrees of importance along the tunnel route, but none of these was modified or relocated except around the tunnel workshaft. The ground in the vicinity of the tunnel route contained compressible lenses. To minimize ground settlements which could adversely affect utilities and nearby buildings, dewatering was limited to 30 ft above the top of the tunnels, and particular care was exercised in the tunneling operations.

The natural groundwater was about 10 ft below the surface with the tunnel inverts up to 80 ft below the surface. The contractor installed deep wells 20" in diameter, from 80 ft to 140 ft in length along the tunnel route for partial dewatering of the ground to about 30 ft above the tops of the tunnels. The tunnels were then driven under compressed air at 12-15 psi in order to control the remaining water.

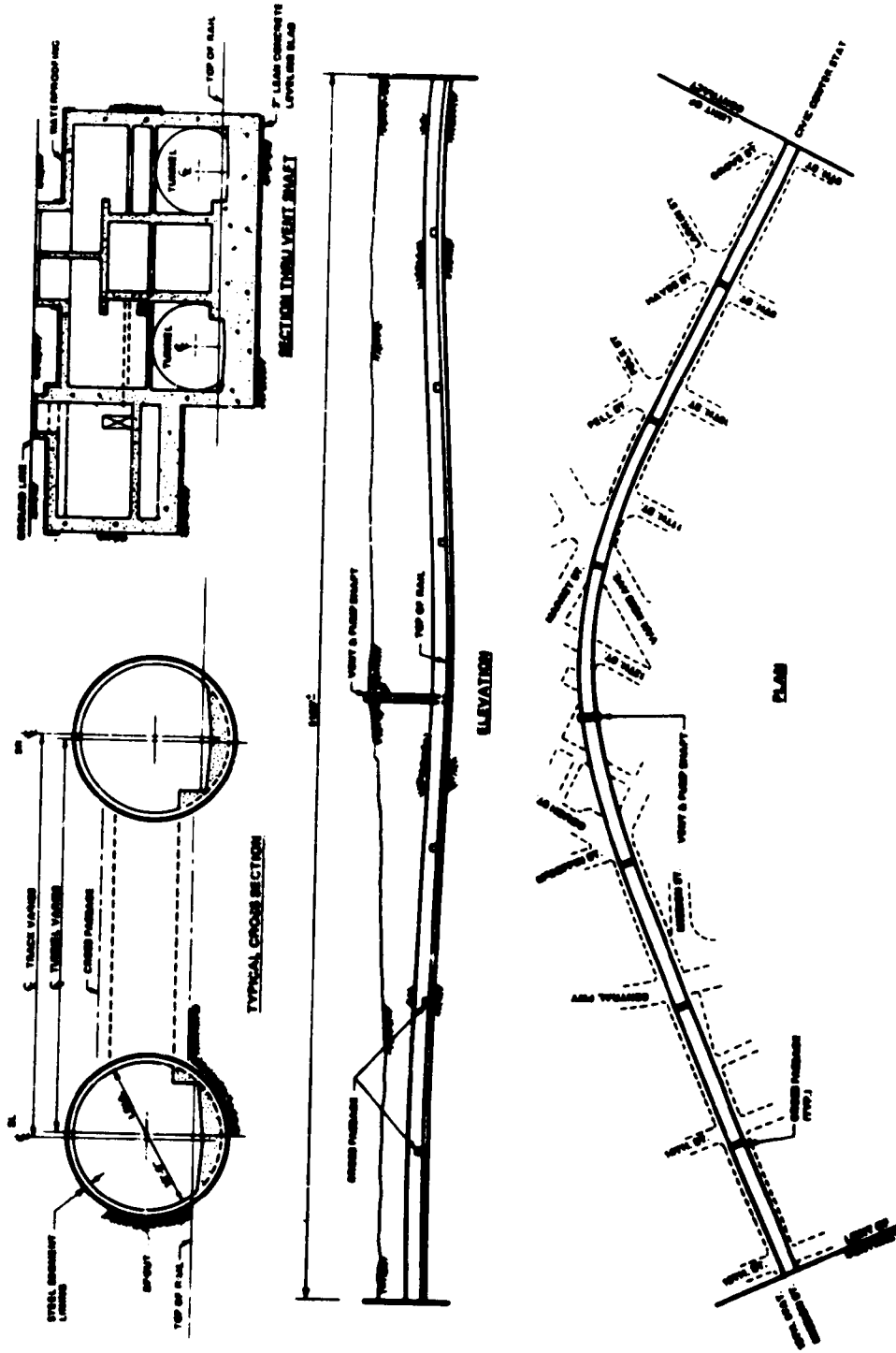


Figure 6-6. BART Project S0022, Sixteenth Street Station to Civic Center, San Francisco

The tunnels passed close to or beneath several major structures. Underpinning of these structures, although contemplated, was not undertaken since it was felt that with the groundwater control described, coupled with the contractor-selected rotating-breast-board tunneling machine, ground settlements would be minimal and the resulting damage to buildings small. The ventilation shaft, 98 ft deep and 63 ft by 28 ft in plan, was constructed by the slurry wall technique, thereby avoiding underpinning of the adjacent buildings. Ground settlements typically were 0.5" from deep-well dewatering and 0.5" from the tunneling operations, and no damage claims were made by any of the building owners.

Except for the area around the workshaft where decking was installed, the tunnel construction did not conflict with the surface traffic. Tunnel excavation was performed with a MEMCO tunneling machine, and the muck was removed by conveyor and rail to a skip hoist in the workshaft.

Change orders, including those for the delays mentioned, increased the original contract price from \$17,764,000 to \$18,371,000, or about 3.4 percent. Construction was started on November 26, 1966, and the schedule called for completion in 850 days. This had to be extended to 1,170 days for several reasons, including the terminal interface with an adjoining project that was not ready to receive the tunnels. The project was completed in December 1969.

BART Project S0031 - Van Ness to Duboce Street Line Structures - San Francisco

As shown in Figure 6-8, this project required the construction of 2,591 ft of twin-box and 910 ft of single-box cross-section, including two 700-ft tunnels, one ventilation shaft, and one portal structure. The contract also called for the relocation and restoration of the Muni streetcar tracks and electrical facilities, as well as the normal street utilities. Originally, the entire contract was designed for cut-and-cover construction, but

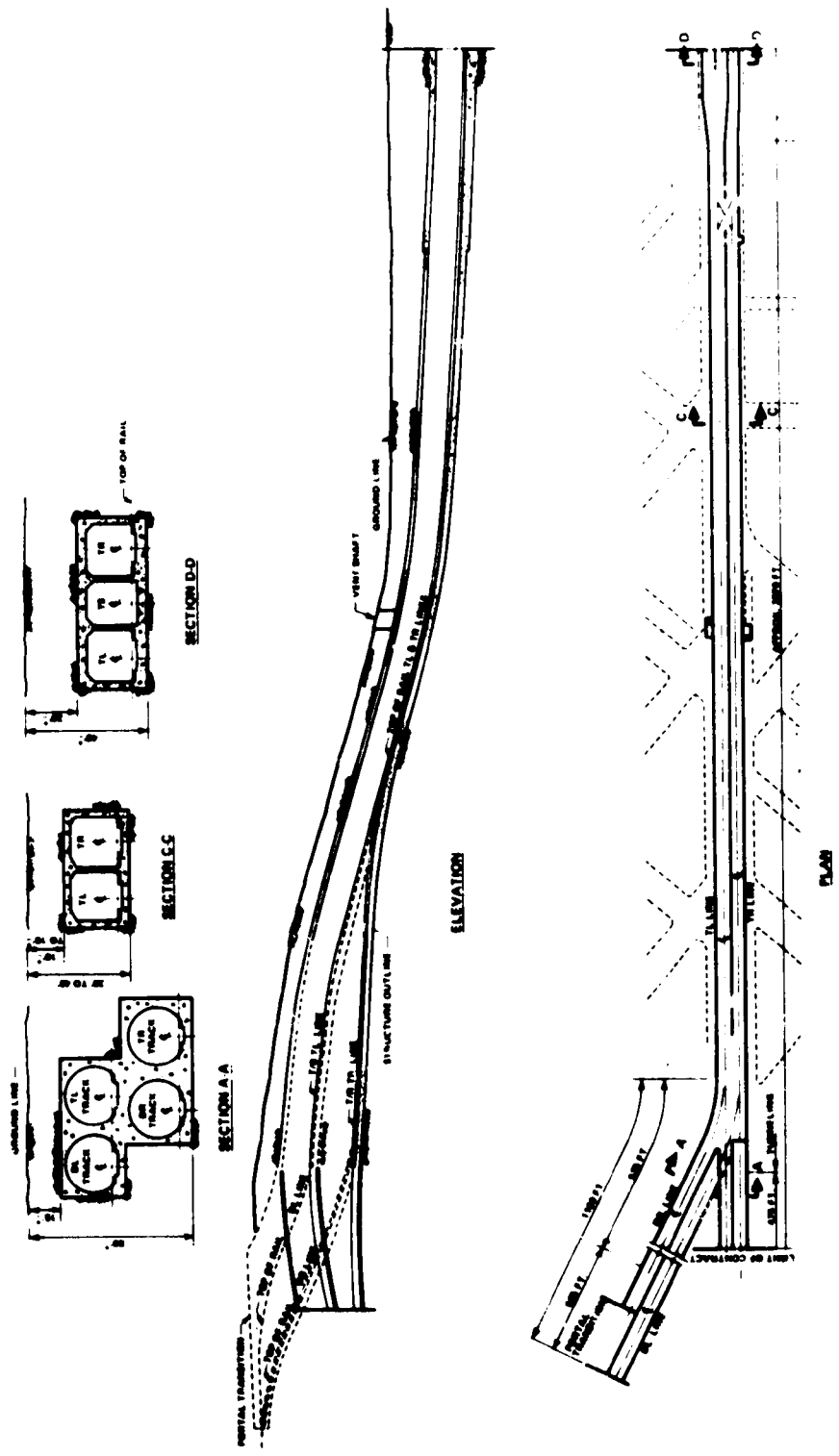


Figure 6-6. BART Project S0031, Van Ness to Duboce Line Structure, San Francisco

the contractor undertook a value-engineering study which indicated an economic advantage in substituting tunnel construction for some 700 ft of cut-and-cover box structure. The contractor's proposal was accepted, and both the owner and contractor shared in the related cost savings. The tunnels were at one end of the project, and the cost and other effects of this portion of the work were deleted from the study.

The ground was generally dense clayey sand, or dense medium-fine silty sand stratum, with fractured sandstone outcropping above the bottom of the excavation. The water table was on top of the rock, and dewatering was accomplished from within the excavation.

Numerous utilities, including the Muni streetcar system, gas, water, sewer, and electricity, had to be rerouted or supported during construction and restored to the center of the street at the completion of the work. Heavy traffic had to be maintained on a vehicular lane in each direction on Market Street, and decking had to be provided at street intersections. No underpinning of existing structures was required. Excavation was performed by bulldozer working within the excavation, with a crane and clamshell bucket loading the muck directly onto trucks at street level. Impact tools and blasting were used, as necessary, when rock was encountered.

The bid price for the construction was \$10,967,444. Changes in the work and exclusion of the cost for the tunneled portion of the contract reduced the original contract price to \$10,815,700. Construction started July 13, 1970, with a scheduled completion date of December 9, 1972. An extension of 282 days was granted, which resulted in a final completion date of September 26, 1973.

WMATA Project C0021 Farragut West Station and Line Structures -
Washington, D.C.

Project C0021 (see Figure 6-9) consisted of single-track-level, cut-and-cover station structure, 770 ft long, with side platforms 600 ft long and mezzanine booking areas at either end. There were also two cut-and-cover line sections at either end of the station with a combined length of 1,944 ft and 435 ft of turnout structure. The total route length of station and line structure was 3,149 ft. Other structures included ventilation structures to the surface at each end of the station, fan and pump rooms, entrances to the station, stairs, and provisions for escalators. The station structure was 50 ft deep, with the top 8 ft below ground surface. The ground - for the most part a sandy, silty clay overlying rock, which outcropped into the excavation - was readily drainable and there were no problems due to the water. Controlled blasting was used in the rock excavation, and numerous utilities had to be rerouted or supported, particularly at the cross streets. The construction generally occupied the full width of the heavily trafficked street. Decking was required over the excavation and was installed one block at a time, with the blocks closed to traffic during the installation. Numerous 10- to 12-story buildings on either side of the construction required underpinning.

The awarded low bid for the contract was \$31,078,000. At the present time, the additional costs incurred as a result of recent changes are not known. The work started November 1971, and the contract time was about 30 months, giving a scheduled completion date of May 1974. It appears at this time that the date may be extended to mid-1975.

WMATA Project C0041 - Potomac River Crossing Extension - Washington, D. C.

As shown in Figure 6-10, Project C0041 involved 1,370 ft of twin tunnels driven in a mixed face and soft ground. (This was part of a project that included 8,300 ft of rock tunnel.) The tunnel excavations were supported with steel sets at 4-ft centers and timber lagging, and the final lining consisted of 12"-thick reinforced concrete.

The ground was a mixture of sandy, silty, clay overlying rock, which outcropped at intervals into the tunnel excavations. In some areas, there was a considerable amount of water, which was controlled at times with cement grouting; the drainage was accomplished directly from within the tunnel. Since the workshafts were located off the street, construction did not interfere with utilities or traffic. One structure required protection from ground movements, and to provide this protection, a slurry concrete wall was constructed between the tunnels and the structure.

For the tunneling, open face shields were used, and breast boards supported the ground at the face when needed. Blasting was employed where rock was encountered. Muck removal was performed by an 8-yd rubber-tired face shovel, which transported the material from the face to the vertical hoist at the workshaft.

The cost for this part of the total construction contract was \$2,700,000, according to the bid document. However, since this portion of the work was only part of a very much larger project, the above figure is not likely to be representative of the real cost of the work. The data from this project were, therefore, not used as part of the input in the generalized tunnel models.

STUDY PROCEDURES

Introduction

In the analysis of the project costs, three major cost components which constitute the total cost of the projects will be identified.

- The cost of the work events of the project, without any external influences
- The cost of the physical controls, such as utility density, the traffic conditions, etc., which add to the cost of the work event
- The cost of the institutional controls, such as financing, productivity, etc., which add to or otherwise influence the cost of all the work events or the project as a whole

The components are indicated in model form in Figure 6-11.

A detailed description and discussion of the work events and the physical and institutional controls are presented in Sections 3 and 5, respectively.

Scope of the Study

The project study scope was limited to the actual construction contract costs for the primary structures, together with the precontract costs of utility relocation. Construction of the projects was basically in ground, with mixed faces of rock and ground being encountered in one tunnel and one cut-and-cover project. Five items not included in the cost of construction were:

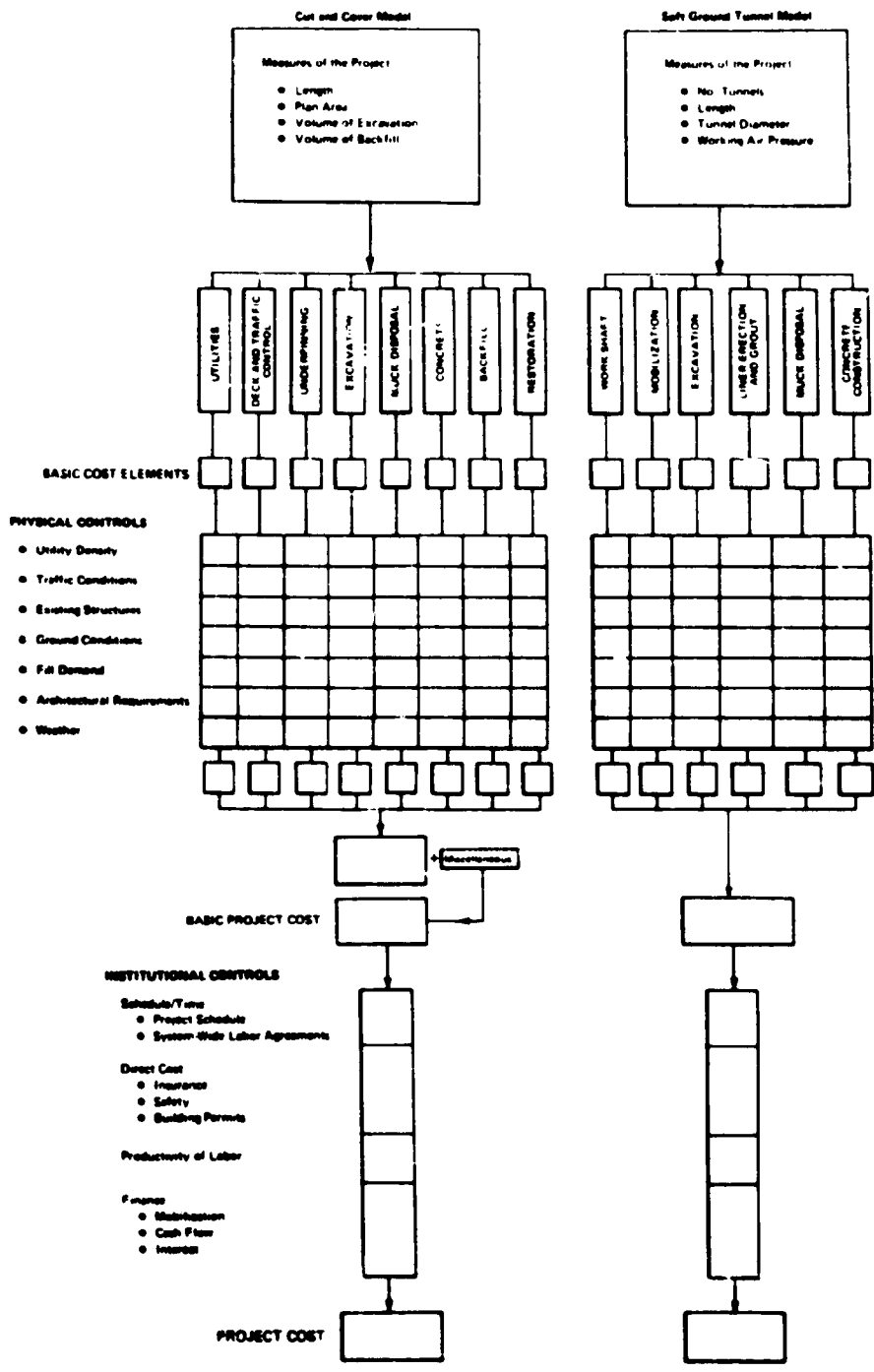


Figure 6-11. Rapid Transit Tunneling Cost Model

- Track and control equipment
- Ventilation fans and motors
- Electrical switch gear
- Architectural finishes for stations and line structures
- Escalators, elevators, and other equipment in the mezzanine and booking areas of the stations

TIME/COST ANALYSIS

Introduction

In the following pages, data sources are presented and the techniques used in analyzing the cost in the five contract case studies are described. It should be noted that the five case studies involved eight separate structures, which in the course of this study were analyzed individually. Of these eight, two were cut-and-cover stations, three were cut-and-cover line sections, and three were soft-ground tunnels, one of which was driven under air pressure.

Data Sources

The primary sources of data for the study of cost and schedules in the five study projects are summarized in Table 6-1. Data for the basic costs came from published summaries of bids submitted by each contractor for the work items in the contract specification. For the BART system, the data were derived from the Daily Construction Service; for the WMATA contracts, bid data were derived from computer printouts of the bid summaries. Both of these data sources for the five study contracts are included in the Appendix.

Table 6-1

SOURCES OF DATA CONCERNING STUDY CONTRACTS

	BART			WMATA	
	K0016	S0031	S0022	C0021	C0041
Basic Costs (average of the three lowest bids)	1	1	1	2	2
Change Order Costs	3	4	4	contracts	not complete
Precontract Utility Relocation Costs	5	5	none	none	none
Construction Schedules	3	3	3	6	6

Key

Data Source*

- 1 Daily Construction Service
- 2 Engineers bid summaries for WMATA
- 3 Historical construction reports
- 4 Historical cost reports
- 5 Analysis of the precontract utility relocation contracts
- 6 Ongoing construction schedules

* Copies of this material are included in the Appendix.

Significant costs of precontract utility relocation were incurred in two of the BART contracts, and these cost data were derived from contract historical records. Data on the construction schedules for the three BART project construction schedules were obtained from construction reports and from historical records. Construction schedule data for the two WMATA contracts were obtained from the field engineers' offices on each project.

Cost Analysis

In the analysis, individual bid items were grouped according to the work events to which they applied. The work events used in the study contract analysis were:

Cut-and-Cover Construction

- Utilities
- Decking and traffic control
- Underpinning
- Excavation
- Concrete
- Backfill
- Restoration

Soft-Ground Tunnel Construction

- Tunnel construction
- Tunnel linings
- Concrete invert and walkway
- Underpinning
- Workshaft, vent shaft, and cross passages

Considerable variation was found among the bids submitted by the contractors. For the purposes of this study, the three contractors with the lowest bids were selected, and the average of their bid prices for each bid item was used. Such a method produced a statistically better representation of the costs. This averaging technique is standard practice in the construction industry for bid analysis and for projecting the cost of future work.

The average price for each item was then multiplied by the construction quantity of that item to arrive at the item's cost. The sum of all bid items

within a work event was the total cost for that work event. Additional contract change order costs, wherever available, were added to the appropriate work items, and the precontract costs of utility relocation were added to the utility work category.

When preparing a bid, a contractor escalates his bid construction costs to the projected midpoint date of the construction. In most bidding procedures, the labor and material costs are escalated at different rates, depending upon the projected trend in these two cost elements. All of the five contract studies had different midpoint completion dates. In the course of the analysis, the aggregate costs for each work item were escalated from the midpoint date of the original contract to January 1974 in order to put all case studies on an equal basis. Because the individual work items in the bid summaries (see the Appendix) did not include breakdowns for labor and materials, a bulk overall escalation factor was calculated for each work item on the basis of estimates of the proportions of labor and material and the cost escalation factors summarized in the Appendix.

Table 6-2 summarizes (1) the work event costs and the total project costs for the two cut-and-cover stations and three cut-and-cover line structures within the five case study contracts and (2) the significant measures of the constructions. Table 6-3 summarizes the project measures and costs for the three tunnel projects within the five case study contracts.

The next step in the analysis was the estimation of the cost sensitivity of each work item to each of the physical controls. The techniques used in this analysis and the results of the sensitivity analysis are presented in Section 5.

Table 6-2

SUMMARY OF MEASURES AND COSTS FOR CASE STUDIES.
CUT-AND-COVER STRUCTURES

Average of Three Lowest Bids, January 1974 Costs

MEASURES AND COSTS	K0016 (BART) Oakland Station	C0021 (WMATA) Farragut Station	C0021 (WMATA) Farragut Line	S0031 (BART) Muni Line	K0016 (BART) Oakland Line
Length (LF)	838	770	2,365	3,040	216
Plan Area (yd ²)	8,670	8,740	8,590	11,700	663
Deck Area (yd ²)	11,300	8,422	9,457	2,000	1,000
Excavation Volume (yd ³)	135,000	91,720	151,108	152,000	16,000
Net Volume (yd ³)	111,475	76,313	77,396	101,000	11,500
COSTS	EF⁽¹⁾ = 1.344	EF = 1.070	EF = 1.070	EF = 1.105	EF = 1.344
Utilities	2,004,748	1,210,550	1,674,058	4,171,442	599,610
Deck & Traffic Control	1,216,817	1,383,911	1,793,725	260,984	148,613
Underpin	751,360	2,757,559	2,940,779	0	43,211
Excavate	5,733,106	4,700,052	6,961,365	3,504,283	844,210
Concrete	6,595,388	5,204,869	5,267,402	3,318,729	631,661
Backfill	321,115	184,805	785,842	262,005	47,557
Restore	243,788	365,677	505,695	623,440	62,857
Miscellaneous	<u>812,086</u>	<u>601,696</u>	<u>832,085</u>	<u>48,350</u>	<u>129,877</u>
	17,678,408	16,419,119	20,760,951	12,189,833	2,507,608

(1) EF = Escalation factor from contract midpoint to January 1974

Table 6-3

SUMMARY OF MEASURES AND COSTS
FOR CASE STUDIES, SOFT-GROUND TUNNELS

Average of Three Lowest Bids, January 1974 Costs

MEASURES AND COSTS	K0016 BART Oakland	S0022 BART Market Street	C0041 WMATA Potomac River
Tube Length (LF)	1,085 (three tunnels)	5,100	1,370 (two tunnels)
Total Length (LF)	3,255	10,228	2,740
<u>COSTS</u> EF ⁽¹⁾	1,344	1,345	1,070
Tunnel Construction— excavation, linear erection, grouting, caulking, and clean-up	\$ 7,410,780	\$22,383,810	\$4,167,090
Tunnel Liners	1,445,880	4,127,000	0
Tunnel Concrete Invert	192,610	1,177,530	142,930
Underpinning	732,370	0	509,640
Workshaft, Vent Shaft, and Cross Passages	292,710	3,232,950	279,480
TOTAL	\$10,074,350	\$30,921,290	\$5,099,140

(1) EF = Escalation factor from contract midpoint to January 1974

In addition to the above costs, there are other costs incurred by the owner that result from changes in schedule time. These include:

- Site supervision costs, i. e., costs related to field office and support engineering services
- The cost of the owner's contract management service
- Costs due to the change in time of possession of the completed work

Schedule (Time) Analysis

The time required for the completion of an individual contract is established by the engineer from a consideration of (1) the overall schedule for completion of the rapid transit system, (2) an estimate of the economic construction time for each project, and (3) a determination of the schedule of interfaces between contracts for contiguous segments of the system. The starting time for the contract specified in the contract document usually is initiated by a "notice to proceed" within about 30 days of the date of the contract award.

The contractor establishes a detailed construction schedule best suited to his proposed method of working within the starting and ending dates, and any other specified requirement of contiguous contracts. Scheduled changes that extend or accelerate the completion date will influence the cost of the contract. If the contract time is extended, certain fixed costs (e.g., overhead and financing charges, leased equipment charges, and engineering and supervision labor costs) that are proportional to the length of the extension will be incurred. If the contract time is reduced, overtime working or additional marginally productive labor may be required, either of which increases the contractor's costs.

Cost changes due to contract changes requested by the owner are clearly outside of the contractor's control. If actions by the owner cause a delay, the contractor is usually reimbursed, and the total contract price for these costs is increased correspondingly. If the delay is not caused by the owner, the contractor is usually not compensated for the effects of the extension.

These three costs are not included in this study. After the award of the contract, delays in the schedules can be a responsibility of the owner or of the contractor.

Schedule delays that are the responsibility of the owner include:

- Interfaces with other contracts, i. e., when the work at the interfaces of adjacent contracts are not completed on the dates scheduled
- Changes of the scope of work
- Delays in delivery of owner-furnished material
- Delays in obtaining right-of-way work areas or accesses to buildings
- Delays in providing the design drawings and approving the contractors' work drawings

Schedule delays that may be the responsibility of, or be borne by, the contractor include:

- Labor disputes and strikes (outside a system-wide labor agreement)
- Strikes off the job (these affect material supply)
- Organization and execution of work
- Inclement weather

The schedule of a project is sensitive to the above factors. In the detailed construction schedule which the contractor formulates, it is assumed that none of the factors under the control of the owner will occur, though he may include a time allowance for those factors within his responsibility.

The actual "as-constructed" bar schedules for the project work events for each of the five study projects are defined in Figures 6-12 through 6-16. Noted on each of the schedules is the completion date specified in the bid document and the actual completion date.

BART Project K0016 (See Figure 6-12.). The date of notice to proceed was February 1967, and the time for completion of the project according to contract was 795 calendar days (completion date, April 1969). The total time for completion of the contract, including all extensions, was 993 calendar days. The causes of the 198-day delay are given in Table 6-4.

Table 6-4

SCHEDULE TIME EXTENSION
BART Project K0016

Cause	Number of Days	% of Time Extension
Severe weather	27	14
Material shortages due to strikes of refinery workers, a supplier's plant, and a concrete supplier	61	31
Material shortages delays	56	28
Additional work due to changed conditions	54	27
	198	100

The contractor had to bear the costs of his fixed charges for the increase in the construction time from the first three items. For the costs of the additional work, he received compensation in which fixed charges were included.

BART Project S0022 (See Figure 6-13.). The date of notice to proceed was late November 1966, and the time for completion of the contract according to specifications was 850 calendar days, or approximately 28 months. The work was substantially completed in 36 months, but because of a delay in completing the work at the interfacing project, where the tunnels were to be terminated, there was an additional 2-month delay in the actual completion of the tunnels. The causes of the 10-month delay are given in Table 6-5.

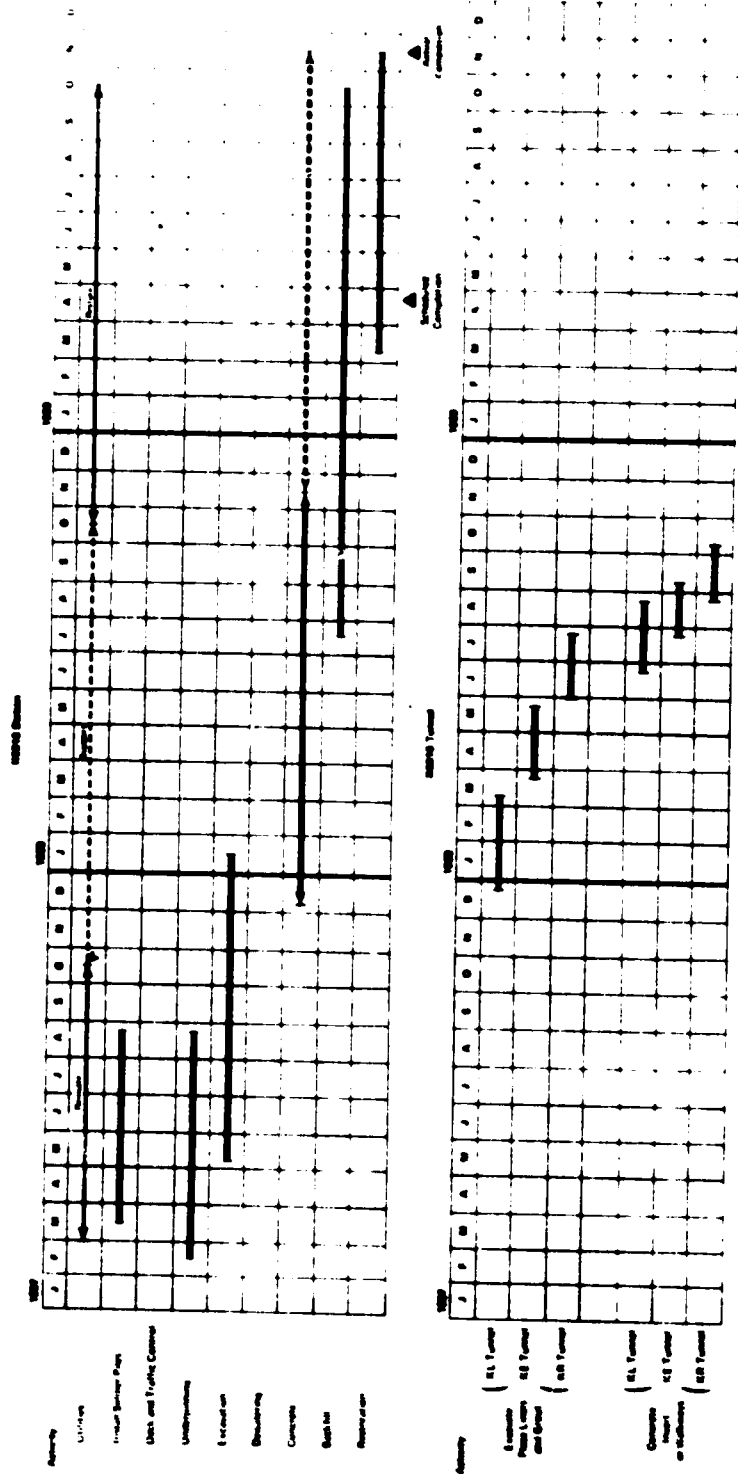


Figure 6-12. Construction Schedule - BART Project K0016, Twelfth Street Station and Line, Oakland

Table 6-5

SCHEDULE TIME EXTENSION
BART Project S0022

Cause	Number of Days	% of Time Extension
Machine breakdown or related causes	92	31
Bad ground conditions (water)	118	39
Other causes	32	10
Delay at interface contract	60	20
	<u>302</u>	<u>100</u>

The delay of 8 months in achieving substantial completion was occasioned both by problems encountered in controlling the groundwater and by repairs of mechanical breakdowns of the newly developed tunneling machines. As a consequence of the very arduous service conditions, such problems are inherent in any new kind of tunneling machine. In this particular case, the problems were usually solved by modifying components and strengthening structural elements. Presumably, the machines will perform with greater efficiency in any future projects in which they will be used. The difficulties caused by the groundwater illustrate the problems that can arise in working the type of variable ground encountered. It was not possible to determine the effectiveness of the measures taken to lower the groundwater ahead of the tunnel excavation, and hence, heavy inflows of water into the tunnel face occurred at various times, delaying the progress of the work.

The contractor bore the costs caused by the time delays due to machine breakdowns and bad ground conditions. For other factors, such as interface problems, he was reimbursed for the delays.

BART Project S0031 (See Figure 6-14.). The date of notice to proceed was mid-July 1970, and the required time of completion of the project was 880 days or about 29 months. The work was completed in 38-1/2 months. The causes of the 9-1/2-month delay are given in Table 6-6.

Table 6-6

SCHEDULE TIME EXTENSION
BART Project S0031

Cause	Number of Days	% of Time Extension
Additional traffic detours	9	3
Changed work conditions	97	35
Strikes by the Teamsters	99	35
Strikes on the job	77	27
	282	100

The 3-month delay due to changed work conditions could be attributed chiefly to unforeseen utility conflicts and the unexpectedly bad condition of the existing utilities. The strikes by the Teamsters Union and others, which were responsible for most of the delays, occurred at a stage in the project when the system-wide labor agreement had ceased to operate. This demonstrates the value of such an agreement.

The contractor had to bear the cost of the delays, except for items involving changed conditions of extra work, for which he was reimbursed. All the BART project contracts contained a liquidated damages provision for late completion of the projects. In none of the projects discussed was the clause invoked, as the delays which occurred were judged outside the contractor's control.

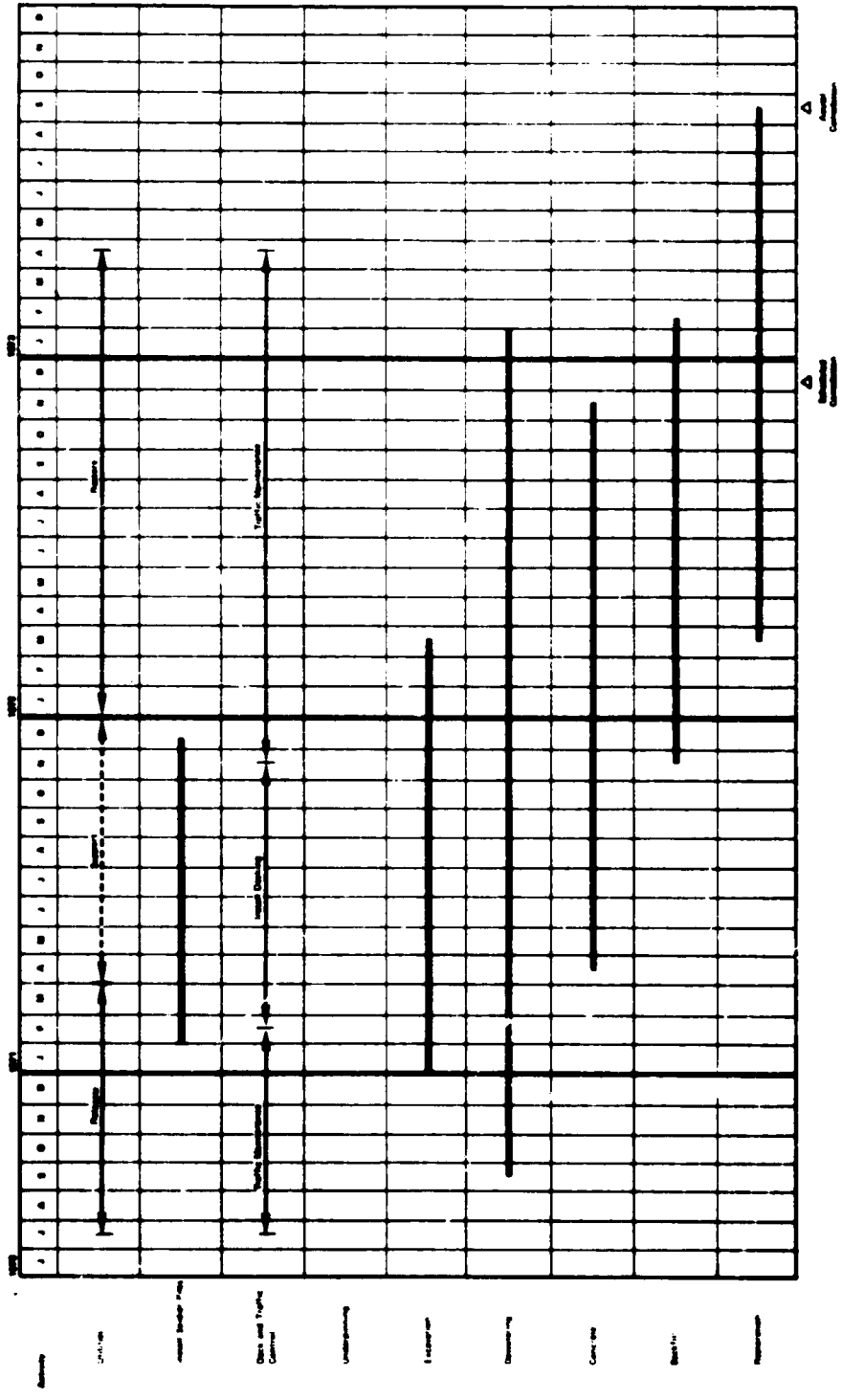


Figure 6-14. Construction Schedule - BART Project S0031, Market Street, Van Ness to Duboce Portal, San Francisco

WMATA Project C0021 (See Figure 6-15.). The date of notice to proceed was mid-November 1971. The scheduled date of completion was mid-May 1974, which provided a schedule time of about 30 months. The project is still under construction; the forecast date of substantial completion is January 1975, and that for final completion, May 1975. The causes of delay are given in Table 6-7.

Table 6-7

SCHEDULE TIME EXTENSION
WMATA Project C0021

Cause	Number of Days
Changed conditions (utilities)	Information unavailable
Extra work (elevator for handicapped)	110
Underpinning difficulties	Information unavailable
Strikes, Teamsters and others	90

Information was not available to complete the present forecast dates of completion of the work events in the schedule.

The 7-1/2-month discrepancy between scheduled completion and forecasted completion was due principally to the last three items in Table 6-7. The changed utility conditions arose from the utility companies' changed restoration requirements.

It appears that the contractor will be reimbursed for most of his delay-related costs, except for those caused by strikes, and will be fully reimbursed for the extra changed conditions and the extra work item.

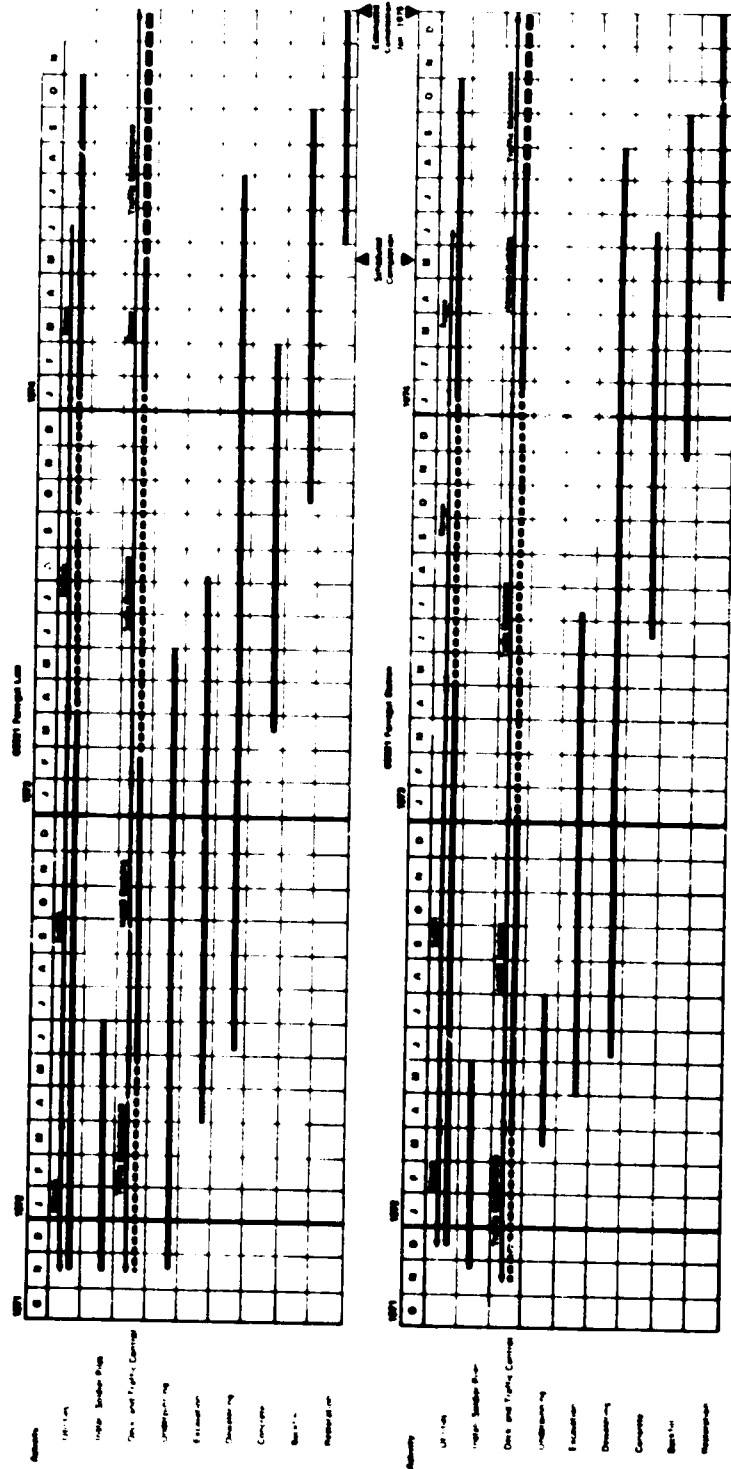


Figure 6-15. Construction Schedule - WMATA Project C0021, Farragut Station West and Line, Washington, D.C.

WMATA Project C0041 (See Figure 6-16.). As noted previously, this study project is the mixed face (ground) portion of a tunnel project, the majority of which was in rock. Because the contractor elected to construct the ground tunnel section after the rock section, this determined the starting date of the ground tunnels (January 1972). Although this date was later than originally scheduled, the ground tunnel was completed within the initially scheduled time span.

Some difficulties were encountered during excavation owing to the rock outcropping above the invert at a higher elevation than was indicated in the geological information provided in the contract documents. This occasioned some delays at various stages in this event, but the time was eventually made up.

No schedule extension was requested for this or any other event, but the contractor requested consideration for reimbursement of extra cost due to changed ground conditions.

Analysis of Study Project Schedule

In four of the five study projects, there were substantial delays. The major causes were:

- Changed work conditions, particularly in the cut-and-cover projects. These accounted for about 30 percent of the total time delays.
- Strikes by material suppliers who were not under the control of system-wide labor agreements. These strikes accounted for another 30 percent of the delays.
- Strikes on the projects where system-wide labor agreements were not in force or had expired. These strikes contributed about 35 percent to the delays.

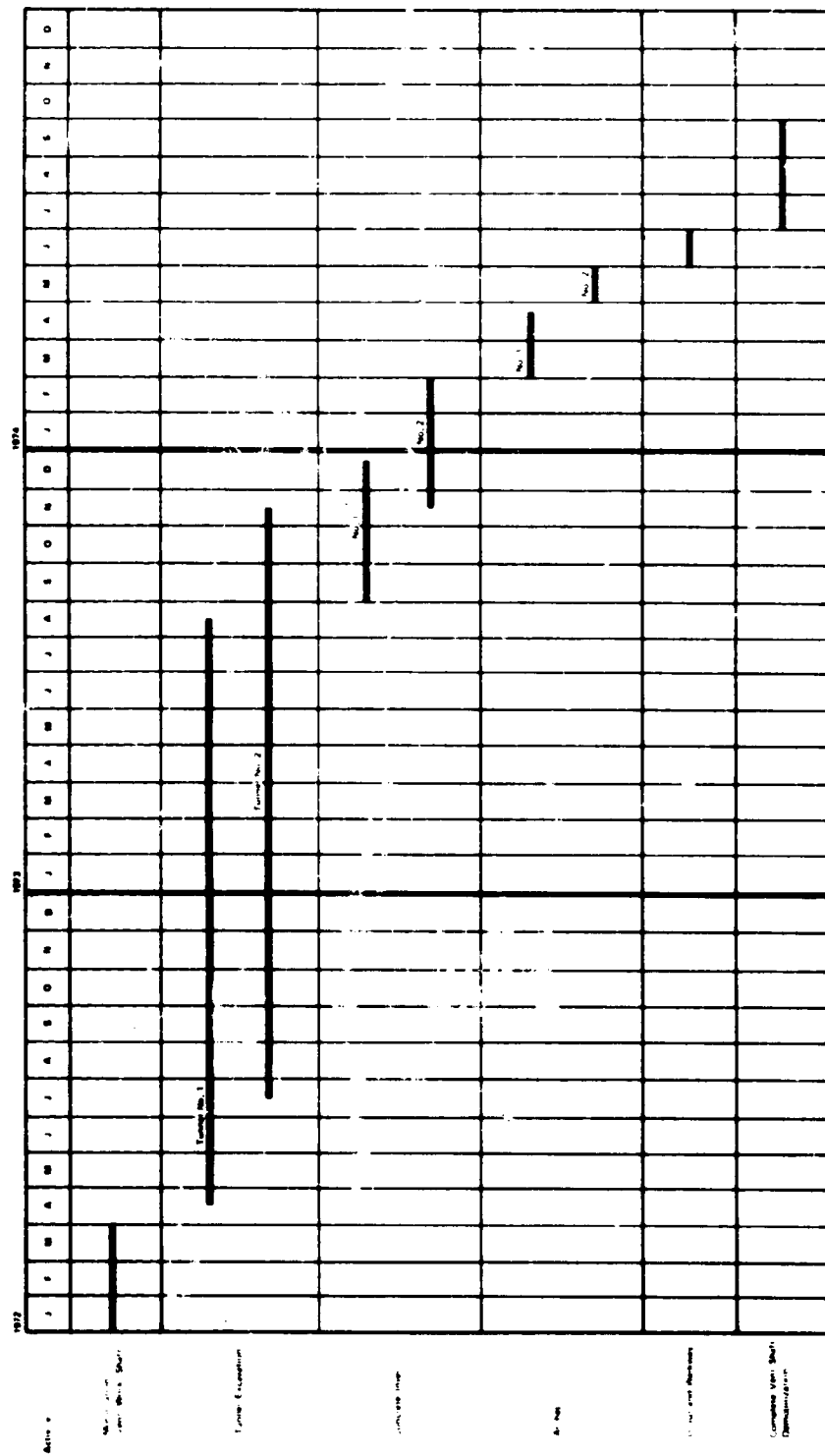


Figure 6-16. Construction Schedule - WMATA Project C0041, Potomac River Crossing Extension, Washington, D. C.

None of the contractors absorbed the added costs due to the construction time increase engendered by changed work conditions; however, they did absorb costs associated with delays caused by severe weather, strikes, bad ground, and machine breakdowns. Reimbursement of contractors for change work conditions that resulted in schedule delays included fixed costs for the additional time spent and costs for the additional work.

Despite the fact that the completion dates for most projects were appreciably extended beyond those originally scheduled, these delays did not cause delays to interfacing contracts. This was because the interfacing contracts were scheduled so that their matching structure faces were all completed ahead of time. In addition, none of the study projects were on the critical path of any subsequent contracts for related components of the transit systems, such as the rails, electrification, architectural finishes, or rail cars.

STUDY PROJECT SENSITIVITY ANALYSIS

Physical Controls

In the analysis of the sensitivity of work events to the physical controls, the starting point was the total cost per linear foot of the structure for each work item as determined from the average of the three lowest bids for each study contract. The methodology for the determination of the cost for work items is discussed previously in this section under "Cost Analysis." These total costs per linear foot for each work event of the five study projects are presented on the bottom row of Tables 6-8 through 6-15. Included with the total costs per linear foot are the percentages of the overall total cost per linear foot of the particular project segment, which is shown in the lower right-hand corner of each table.

Table 6-8

INFLUENCE OF PHYSICAL CONTROLS ON WORK EVENTS - BART CONTRACT K0016,
TWELFTH STREET STATION, OAKLAND

Physical Control Elements	Cost for Work Events - \$ Per Linear Ft (% of Total)										Total
	Utilities	Deck and Traffic Control	Underpinning	Excavation	Concrete	Backfill	Restoration	Miscellaneous			
Basic Cost Without Influence of Any Physical Controls	1,703 (75)	943 (65)	718 (80)	4,106 (60)	7,086 (90)	231 (60)	203 (70)	969 (100)			16,949 (76)
Utility Density		145 (10)		513 (7.5)		95 (25)					753 (4)
Traffic Conditions	478 (20)	290 (20)		513 (7.5)	394 (5)	38 (10)	73 (25)				1,786 (8)
Existing Structures		73 (5.0)		1,525 (15)							1,018 (5)
Ground Conditions			179 (20)	684 (10)							863 (4)
Architectural Requirements					394 (5)						394 (2)
Weather	120 (5)					19 (5)	15 (5)				154 (1)
TOTALS \$ Per Linear Ft % of Total	2,391 (11.3)	1,451 (6.9)	897 (4.3)	6,841 (32.4)	7,874 (37.3)	383 (1.8)	291 (1.4)	969 (4.6)			21,997 (100.0)

NOTE: Costs given include an escalation factor of 1.344 to cover the period from June 1968 to January 1974.

Table 6-9

INFLUENCE OF PHYSICAL CONTROLS ON WORK EVENTS -
WMATA CONTRACT C0021, FARRAGUT STATION

Physical Control Elements	Cost for Work Events - \$ Per Linear Ft (% of Total)									
	Utilities	Deck and Traffic Control	Underpinning	Excavation	Concrete	Backfill	Restoration	Miscellaneous	Total	
Basic Cost Without Influence of Any Physical Controls	1,150 (73.2)	1,240 (69.0)	2,875 (80.0)	1,826 (29.9)	3,718 (55.0)	177 (73.7)	379 (80.0)	781 (100)	12,148 (57.0)	
Utility Density		90 (5.0)		305 (5.0)		12 (4.7)			407 (1.9)	
Traffic Conditions	315 (20.0)	270 (15.0)		920 (15.1)	338 (5.0)	24 (9.8)	71 (14.7)		1,938 (9.1)	
Existing Structures		90 (5.0)		91.6 (15.0)					1,007 (4.7)	
Ground Conditions			719 (20.0)	1,220 (20.0)					1,939 (9.1)	
Architectural Requirements				610 (10.0)	2,365 (35.0)	11 (4.9)			2,986 (14.0)	
Weather	107 (6.8)	107 (6.8)		305 (5.0)	337 (5.0)	16 (6.7)	24 (5.0)		896 (4.2)	
TOTALS \$ Per Linear Ft % of Total	1,572 (7.4)	1,797 (8.4)	3,594 (16.9)	6,102 (28.6)	6,758 (31.7)	240 (1.1)	474 (2.2)	781 (3.7)	21,318 (100.0)	

NOTE: Costs given include an escalation factor of 1.070 to cover the period from June 1968 to January 1974.

Table 6-10
**INFLUENCE OF PHYSICAL CONTROLS ON WORK EVENTS -
 WMATA CONTRACT C0021, FARRAGUT LINE**

Physical Control Elements	Cost for Work Events - \$ Per Linear Ft (% of Total)									
	Utilities	Deck and Traffic Control	Underpinning	Excavation	Concrete	Backfill	Restoration	Miscellaneous	Total	
Basic Cost Without Influence of Any Physical Controls	519 (73.2)	553 (73.0)	993 (80)	1,473 (50.0)	2,001 (89.9)	258 (78.0)	170 (80.2)	351 (100)	7,318 (72.0)	
Utility Density		38 (5.1)		147 (5.0)		17 (5.1)			202 (2.3)	
Traffic Conditions	141 (19.8)	114 (15.0)		441 (15.0)	110 (4.7)	33 (9.8)	31 (14.7)		870 (9.9)	
Existing Structures				150 (5.0)					150 (1.7)	
Ground Conditions			249 (20)	589 (20.0)					838 (9.5)	
Architectural Requirements										
Weather	49 (6.9)	52 (6.9)		147 (5.0)	116 (5.2)	23 (7.1)	11 (5.1)		398 (4.6)	
TOTALS \$ Per Linear Ft % of Total	709 (8.1)	757 (8.6)	1,242 (14.1)	2,947 (33.6)	2,227 (25.4)	331 (3.8)	212 (2.4)	351 (4.0)	8,776 (100.0)	

NOTE: Costs given include an escalation factor of 1.070 to cover the period from January 1973 to January 1974.

Table 6-11

INFLUENCE OF PHYSICAL CONTROLS ON WORK EVENTS -
BART CONTRACT S0031, MUNI LINE

Physical Control Elements	Cost for Work Events - \$ Per Linear Ft. (% of Total)									
	Utilities	Deck and Traffic Control	Underpinning	Excavation	Concrete	Backfill	Restoration	Miscellaneous	Total	
Basic Cost Without Influence of Any Physical Controls	1,097 (80.0)	73 (84.9)		980 (85.2)	1,092 (100)	71 (82.5)	175 (85.0)	17 (100)	3,505 (87.4)	
Utility Density		4 (4.6)		58 (5.0)					62 (1.6)	
Traffic Conditions	206 (15.0)	4 (4.6)		57 (4.9)		9 (10.5)	21 (10.2)		302 (7.5)	
Existing Structures										
Ground Conditions				57 (4.9)					57 (1.4)	
Architectural Requirements										
Weather	68 (5.0)					6 (7.6)	10 (4.8)		84 (2.1)	
TOTALS \$ Per Linear Ft % of Total	1,371 (34.2)	86 (2.2)		1,152 (28.7)	1,092 (27.2)	96 (2.2)	206 (5.1)	17 (2.1)	4,010 (100.0)	

NOTE: Costs given include an escalation factor of 1.105 to cover the period from December 1972 to January 1974.

Table 6-12
**INFLUENCE OF PHYSICAL CONTROLS ON WORK EVENTS -
 BART CONTRACT K0016, OAKLAND LINE**

Physical Control Elements	Cost for Work Events - \$ Per Linear Ft (% of Total)									
	Utilities	Deck and Traffic Control	Underpinning	Excavation	Concrete	Backfill	Restoration	Miscellaneous	Total	
Basic Cost Without Influence of Any Physical Controls	2,082 (75)	477 (65)	160 (80)	2,345 (60)	2,778 (95)	132 (60)	204 (70)	601 (100)	8,749 (75.4)	
Utility Density		69 (10)		293 (7.5)					362 (3.1)	
Traffic Conditions	550 (20)	138 (20)		293 (7.5)	146 (5)	55 (25)	73 (25)		1,260 (10.9)	
Existing Structures		34 (5)		586 (15)		22 (10)			642 (5.5)	
Ground Conditions			40 (20)	391 (10)					431 (3.7)	
Architectural Requirements										
Weather	139 (5)					11 (5)	14 (5)		164 (1.4)	
TOTALS \$ Per Linear Ft % of Total	2,776 (23.9)	688 (5.9)	200 (1.7)	3,908 (33.7)	2,924 (25.2)	220 (1.9)	291 (2.5)	601 (5.2)	11,008 (100.0)	

NOTE: Costs given include an escalation factor of 1.344 to cover the period from June 1968 to January 1974.

Table 6-13

INFLUENCE OF PHYSICAL CONTROLS ON WORK EVENTS -
BART CONTRACT K0016, OAKLAND TUNNELS

Physical Control Elements	Cost for Work Events - \$ Per Linear Ft. (% of Total)						Total
	Tunnel Construction	Liners	Workshaft, Vent Shaft, and Cross Passages	Concrete Invert	Underpinning		
Basic Cost Without Influence of Any Physical Controls	1,753 (77.0)	444 (100)	90 (100)	59 (100)	112 (50)		2,458 (79.4)
Utility Density							
Traffic Conditions	114 (5.0)						454 (3.7)
Existing Structures	68 (3.0)						68 (2.2)
Ground Conditions	342 (15.0)				112 (50)		454 (14.7)
Architectural Requirements Weather							
TOTALS \$ Per Linear Ft % of Total	2,277 (73.6)	444 (114.3)	90 (2.9)	59 (1.9)	224 (7.3)		3,094 (100.0)

NOTE: Costs given include an escalation factor of 1.344 to cover the period from June 1968 to January 1974.

Table 6-14

INFLUENCE OF PHYSICAL CONTROLS ON WORK EVENTS -
BART CONTRACT S0022, MARKET STREET TUNNEL

Physical Control Elements	Cost for Work Events - \$ Per Linear Ft (% of Total)					Total
	Tunnel Construction	Liners	Workshaft, Vent Shaft, and Cross Passages	Concrete Invert	Underpinning	
Basic Cost Without Influence of Any Physical Controls	1,591 (75)	470 (100)	190 (60)	115 (100)	0	2,366 (78.3)
Utility Density						
Traffic Conditions						
Existing Structures						
Ground Conditions	530 (25)		126 (40)			656 (21.7)
Architectural Requirements						
Weather						
TOTALS \$ Per Linear Ft % of Total	2,121 (70.2)	470 (15.6)	316 (10.4)	115 (3.8)	0	3,022 (100.0)

NOTE: Costs given include an escalation factor of 1.345 to cover the period from October 1968 to January 1974.

Table 6-15

INFLUENCE OF PHYSICAL CONTROLS ON WORK EVENTS -
WMATA CONTRACT C0041, POTOMAC RIVER CROSSING

Physical Control Elements	Cost for Work Events - \$ Per Linear Ft (% of Total)					Total
	Tunnel Construction	Liners	Workshaft, Vent Shaft, and Cross Passages	Concrete Invert	Underpinning	
Basic Cost Without Influence of Any Physical Controls	1,015 (66.7)	0	77 (7.5)	52 (100)	93 (50)	1,237 (66.5)
Utility Density						
Traffic Conditions	76 (5.0)					76 (4.1)
Existing Structures	50 (3.3)					50 (2.7)
Ground Conditions	380 (25.0)		25 (2.5)		93 (50)	498 (26.7)
Architectural Requirements						
Weather						
TOTALS \$ Per Linear Ft % of Total	1,521 (81.7)	0	102 (5.5)	52 (2.8)	186 (10.0)	1,861 (100.0)

NOTE: Costs given include an escalation factor of 1.070 to cover the period from January 1973 to January 1974.

To determine the values of the physical controls, consideration was given to the fact that the interaction of each of the controls on each of the work events varied according to the project construction type and location. Therefore, the incremental influences of the same physical controls on the same work events in many cases varied between the projects and in all cases had a different total effect on the project. It should be noted that the physical controls were not contract bid items and therefore had to be established on the bases of rapid transit underground construction experience. An indication of the estimating process which developed the actual incremental influence values for the more important physical controls are the typical examples discussed below.

Utility Density. As can be seen in Tables 6-8 and Tables 6-9 (BART Project K0016 and WMATA Project C0021), the influence values of Project K0016 are appreciably higher than those of the Project C0021. The principal difference is due to the much larger number of utilities left in place and supported in Project K0016. In Project K0016, the major proportion of the 10 percent was assigned to the decking work event because the utilities, being close to the surface, required raising of the decking above the general street elevation. This necessitated approach ramps and structures and other cost-generating items.

This situation applied to a much lesser degree in Project C0021. With respect to the excavation work event, the numerous utilities left in place during the construction of Project K0016 increased the cost of excavating the upper 10 ft to the extent that the total excavation, down to 70 ft, was increased by 7.5 percent. Utilities in the C0021 Contract were considerably less, and the cost influence on the total shallower excavation (50 ft) was estimated at 5 percent.

Traffic Conditions. As can be seen in Tables 6-8 and 6-9, the traffic conditions had a major effect on work events close to the surface, i. e., utilities and deck and traffic control. The traffic conditions in WMATA Project C0021 were heavier over a larger area surrounding the construction than in BART Project K0016. This affected the cost of muck removal and haulage (15 percent of the excavation cost). The influence on deck and traffic control however was less in the Project C0021 because of an agreement with the traffic authorities to close the street, block by block, as the decking was installed. This was not possible in Project K0016; the decking had to be installed two lanes at a time.

Ground Conditions. The ground in Project K0016 was variable and somewhat difficult to dewater, requiring numerous deep wells with submersible pumps. The cost of providing and operating the pumping system was assigned as an influence to the excavation work event and to the underpinning work event, as applicable. Other aspects of the soils condition assigned to both the events were related to problems in support of the ground and the lack of suitability of much of it for use as fill.

The ground in Project C0021 presented no dewatering difficulties but the presence of rock, which outcropped into the excavation, increased the cost in two ways. The first was the additional effort required for its removal and its lack of suitability as a fill material. The second evolved from the increased difficulties in drilling and installing the soldier piles for the excavation shoring.

As can be noted in Table 6-13 (Project K0016), Table 6-14 (Project S0022) and Table 6-15 (Project C0041), the ground condition in the study tunnel projects had major effects on the tunnel construction and other work events. In Project K0016, the ground condition effect on the tunnel

construction was assessed on the same basis as for the station portion of the project; e. g. , it was variable, it required rather elaborate dewatering equipment, and it was difficult to dispose of. In addition, owing to its variability and unknown excavation characteristics prior to project construction, a compressed air plant had to be provided as insurance against tunneling difficulties. A proportion of the cost of the compressed air plant (which was not used) was included in the ground condition influence factor.

The 50 percent ground condition factor applied against the work event of underpinning was based again on the fact that fairly elaborate underpinning was undertaken as a precaution because of the variability and unknown character of the ground, and some compressible lenses. Had the ground condition been more predictable, underpinning costs probably would have been reduced appreciably.

In Project S0022, the ground condition was very bad over some lengths of the tunnels, even after the application of compressed air. Tunnel driving was halted on more than one occasion so the tunnel face could be stabilized with grouting. Extensive dewatering was undertaken as a supplement to the compressed air control. The construction of the ventilation shaft, midway in the tunnel length, required expensive soldier-pile, slurry concrete construction and dewatering due to severe ground water conditions - hence the 40 percent ground condition factor against its construction cost.

Although the ground in tunnel Project C0041 did not present difficulties in dewatering, the tunnel face encountered extensive rock outcropping which slowed the excavation progress and added to its cost. Some cement grouting had to be undertaken to stabilize the face of the excavation. Ground disturbance during tunneling was considerable, resulting in extensive (though minor in cost) property damage.

A review of the influence of physical controls on the work events shown in Tables 6-8 through 6-15 reveals the cost sensitivity of land transit construction to physical controls.

Cut-and-Cover Stations. In the two cut-and-cover station projects, (Tables 6-8 and 6-9), it is interesting to note that the total basic cost is between 57 and 76 percent of the total project cost without the influence of physical controls (see the upper right-hand corner of the tables). The work events and their percentage contribution to the total cost in descending order of importance are as follows:

<u>Work Event</u>	<u>Percent of Project Cost</u>
Concrete	32-37
Excavation	29-32
Underpinning	4.3-17
Utilities	7.4-11
Decking and traffic control	6.9-8.3
Restoration	1.4-2.2
Backfill	1.1-1.8
Miscellaneous	3.7-4.6

The important physical control elements and their percentage contribution to the total project costs are summarized in the right-hand columns of Tables 6-8 and 6-9. In descending order of importance they are as follows:

<u>Physical Control Element</u>	<u>Percent Contribution to Project Costs</u>
Architectural requirements	2-14
Traffic conditions	8-9.1
Ground conditions	4-9.1
Existing structures	4.7-5
Weather	1-4.2
Utility density	1.9-4

The above figures reveal that the upper limit of 14 percent for architectural requirements demonstrate the effect of using a special structural form for architectural purposes in the WMATA station. Table 6-9 indicates details of the effect this control has upon the work events. The effect may not be representative for many stations in the transit system, but it does indicate the degree of cost involved in harmonizing architectural design between a station constructed in cut-and-cover and the majority of the WMATA stations, which were tunneled in rock.

There is a reduction of 35 to 45 percent in the total project cost when costs for the peripheral work events — utilities, decking and traffic control, and underpinning — and the physical controls related thereto (i. e., utility density, traffic conditions, and existing structures) are subtracted from the total project costs, as shown in Tables 6-8 and 6-9. This cost reduction demonstrates the large effect that these work events and physical controls have on cut-and-cover station construction. They also reveal the potential savings that might be offset against the costs of alternative construction techniques, such as mining or tunneling of stations.

Cut-and-Cover Line Sections. The cost of construction and the influence of physical controls on the three cut-and-cover line sections are presented in Tables 6-10, 6-11, and 6-12. The data in Table 6-12 for the BART Contract K0016, Oakland Line, cannot be regarded as typical for this type of construction because the structure was only about 200 ft long and consisted of two levels with three trackways, one of which was a wye. Therefore these data are not considered further in this discussion. For the remaining two study projects (see Tables 6-10 and 6-11) the basic cost for constructing line sections, without the influence of any physical controls, was between 72 and 87 percent of the actual total cost. In cut-and-cover line sections, the work events and their percentage cost contribution in approximate descending order of importance are as follows:

<u>Work Event</u>	<u>Percent of Total Project Cost</u>
Excavation	29-34
Concrete	25-27
Utilities	8.1-34
Underpinning	0-14
Decking and traffic control	2.2-8.6
Restoration	2.4-5.1
Backfill	2.2-3.8
Miscellaneous	0.4-4.0

The physical control elements and their percentage contribution to the total project cost are summarized in the right-hand columns of Tables 6-10 and 6-11. In descending order of importance they are as follows:

<u>Physical Control Element</u>	<u>Percent Contribution to Project Cost</u>
Traffic conditions	8-10
Ground conditions	1.1
Weather	2.1-4.6
Utility density	1.6-2.3
Existing structures	0-1.7

There is a reduction of 40 percent in the total project cost when costs from the peripheral work events – utilities, decking and traffic control, and underpinning – and the physical controls related thereto (i. e., utility density, traffic conditions, and existing structures) are subtracted from the total project costs, as shown in Tables 6-10 and 6-11. This cost reduction again demonstrates the large effect that these work events and physical controls have on cut-and-cover construction and points out the extent of the potential savings which can be offset against the cost of tunnel construction in high-density urban areas.

Tunnels. For the study-project tunnels (Tables 6-13, 6-14, and 6-15), costs for the following five work events were considered:

- Tunnel construction, which included excavation, muck haul, liner erection, grouting, caulking, and cleanup
- Liner costs
- Workshaft, vent shaft, and cross-passage construction
- Concrete invert and walkway placement
- Underpinning of adjacent structures

These work events and their percentage contribution to the total project costs in descending order of importance are as follows:

<u>Work Event</u>	<u>Percent of Project Cost</u>
Tunnel Construction	70-82
Liners (if applicable)	14-15
Workshaft, vent shafts, and cross passages	2.9-10
Underpinning	0-10
Concrete invert	1.9-3.8

In the above values, the major cost item is the tunnel construction, which includes excavation and related equipment, the erection of the tunnel liners, and ancillary items. The availability of tunnel liners is also a significant cost item.

These items represent areas where improvements in technology and alternative technologies can produce significant reductions in cost. In some instances, underpinning can become a significant cost, particularly in unstable ground when the tunnel alignment is close to or passes under structures with high-level foundations.

The important physical controls and their contribution to total tunneling costs are summarized in the right-hand columns of Tables 6-13, 6-14, and 6-15 and are summarized below in descending order of importance.

<u>Physical Control Elements</u>	<u>Percent Contribution to Project Costs</u>
Ground conditions	15-27
Traffic conditions	0-24
Existing structures	0-2.7
Utility density	0
Architectural requirements	0
Weather	0

It is of interest to note that the basic costs for tunneling, without the influence of any physical controls, constitute between 67 and 79 percent of the total project costs. By far the most influential physical control is the ground conditions. In the case of the three tunneling projects within the five study projects, this parameter consisted of bad ground and water conditions (the S0022 Market Street tunnel had to be driven under compressed air) or the mixed face conditions (such as those encountered in the C0041 Potomac River tunnel).

Institutional Controls

Listed below are the policies adopted by BART and WMATA on the major institutional controls that formed the basis for evaluating the sensitivities described elsewhere in this chapter.

<u>Institutional Controls</u>	<u>BART</u>	<u>WMATA</u>
System-wide labor agreement	Yes	No
Owner acquisition of work areas	Yes	Yes
Entry to buildings	Yes	Yes
Building permits	All major permits	No

<u>Institutional Controls</u>	<u>BART</u>	<u>WMATA</u>
Owner-purchased materials	Tunnel liners (other material and equipment not in study projects)	No
Owner-purchased insurance	Yes	Not for study projects
Owner-implemented safety programs	Yes	Yes
Advance payment	Yes	Yes

The above information was obtained from discussions with key engineering and other personnel engaged in system-wide engineering and managerial functions.

Discussion of Study Project Sensitivities

The sensitivity controls, both physical and institutional, were described in detail and evaluated for the five study projects and the generalized model. In addition, work event sensitivities were investigated for the generalized model.

The study project sensitivity analysis revealed these important points:

- The total basic cost without the influence of any physical controls for the types of construction are as follows:

<u>Construction</u>	<u>Basic Costs as a Percent of Total Costs</u>
Cut-and-cover stations	57-76
Cut-and-cover lines	72-87
Soft-ground tunnels	66-79

- The costs for the work events – utilities, decking and traffic control, and underpinning of existing structures, and the physical controls related thereto (i.e., utility density, traffic conditions, and existing structures) make up between 35 and 45 percent of the total project costs for the cut-and-cover stations and line sections in the study projects. These costs demonstrate the potential savings which might be offset against the costs of alternate construction techniques.

The physical controls in the study projects were a major input in developing the physical sensitivities incorporated into the generalized tunnel models. To establish the average project cost in the generalized tunnel models, a medium value for the physical controls was used for the influence of each physical control on each work event. Values were also established for both low and high influences of physical controls for each work event.

The influences on total project costs of institutional controls were determined from studying the overall contracting philosophies and approaches for both the BART and WMATA systems and from estimating the values for the major institutional controls. To establish the average project cost in the generalized tunnel models, values for optimal institutional controls were used because there is no compelling reason to operate under less than optimal institutional control.

Mathematical Analysis for Scale Effects and Influence of Physical Controls

Early in the program for the analysis of study contract data, a mathematical analysis was made of the basic unit costs for all work events (i.e., costs for work events without the influence of physical controls). The analysis indicated no significant scale effects in the unit costs for each work event (e.g., no cost savings for the added work in larger projects). Furthermore, for almost all segments of a rapid transit system, there are two natural limits to the size of any one project. The first limit is the distance between stations; the second is the contract dollar size

that a contractor is capable of handling. Both of these limitations govern the scale of all rapid transit constructions.

A multiple linear regression analysis was made of the physical control costs to determine mathematically the cost influence on each work item in the study contracts for low, medium, and high effects of each physical control. Inconsistencies in the data base, and the differences in the cost per unit of work for each work element, produced inconsistent influence factors for each physical control.

Bounds of the Model

Figure 6-11 reveals that the framework of the model for both cut-and-cover and tunnel construction is determined by the cost of the individual work events and the cost effects of the physical and institutional controls components. The sum of these three components is the final cost of the project.

In the analysis of the study projects, the starting point was the actual construction costs, and the process for evaluating the component costs required working back from the basic project cost to establish the values of the physical and institutional controls, and hence the cost elements of the work events. This process is described in Section 7.

SUMMARY

The analysis of five study projects from the two rapid transit systems did not yield sufficient data for the direct formulation of generalized cost models for construction of segments of rapid transit systems. It did, however, yield a methodology for the construction of this model by:

- Establishing the major types of downtown underground construction; cut-and-cover stations, line structures, and line tunnels
- Providing the definition of the construction work events for purposes of modeling and for setting the bounds of the model
- Providing the definition of the construction work events for purposes of modeling and for setting the bounds of the model
- Providing a basis for the formulation of the four generalized tunneling models:
 - cut-and-cover station
 - cut-and-cover line
 - driven tunnel under free air
 - driven tunnel under compressed air
- Providing cost/time input data to the generalized models
- Identifying the influence of both physical and institutional controls in the process of underground urban rapid transit construction
 - The effects of physical controls on the work events were established with an acceptable degree of accuracy.
 - The influence of institutional controls could not be identified from the data base of the study projects and will be assessed in a study of a system-wide approach in Section 7.
- Pointing out the interdependence among many of the physical and institutional parameters in determining the cost of work elements

Section 7

GENERALIZED TUNNEL MODELS

INTRODUCTION

The generalized tunnel models provide a basic framework for the codification of:

- Underground construction costs by project work event
- The effects on these costs of site-related project characteristics and of the nature of the relationship between the owner, engineer, and project contractor

The inputs to these models are descriptions of these physical and institutional characteristics and basic measures of project size; the outputs are parameters or trends of project cost.

Costs can also be adjusted for geographically related productivity of labor and for time-dependent escalation of labor and material costs.

For the planning and implementing of the future urban rail rapid transportation system in this country, such models can be used to:

- Determine the sensitivity of overall project costs to each construction work event and to the physical and institutional controls
- Determine the inefficient areas of tunneling in which changes in either technology or institutional influences may reduce project costs and/or reduce the time for construction

- Evaluate cost trends for the urban rapid transit systems that will be built during the next decade
- Evaluate the savings in overall project cost and construction time that may accrue when proposed new tunneling technologies are introduced into the construction process

BASIS OF THE MODELS

The models were formulated to represent structures that are likely to be in demand in the foreseeable future by rapid transit systems across the nation. The basis of the structures used for the four models is described below.

Cut-and-Cover Station

This model is based on an underground station similar in size and layout to the K0016 Station of the BART system, with the nontypical provision for the lower third track being deleted. The resulting structure for the model station is shown in Figure 7-1. The station serves two tracks from a center platform 700 ft in length. The station is 838 ft in total length, 47 ft deep, 100 ft wide, and is covered with 10 ft of fill. Provision is made for connection to the twin tunnel line structures serving the station and also for ventilation shafts at each end of the station.

Cut-and-Cover Line

This model is based on a twin-cell line structure 3,225 ft in total length, as shown in Figure 7-2. The structure cross-section is approximately 35 ft wide by 20 ft high and is covered by 15 ft of fill. Provision is made for transition from twin cells to single cells at one end, as is required when a line structure joins with a station. The design includes one pump station for structure drainage.

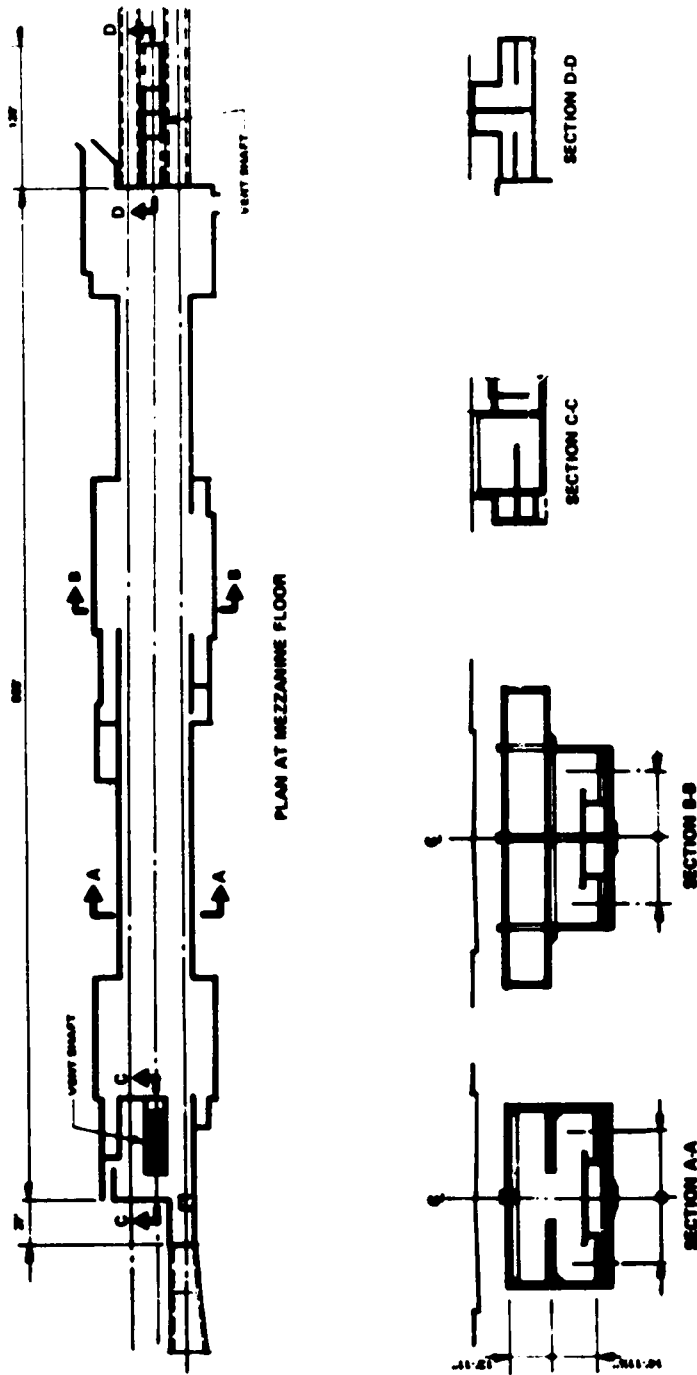


Figure 7-1. Plan and Elevation for the Model Station Similar in Size and Layout to the K0016 Station of the BART System

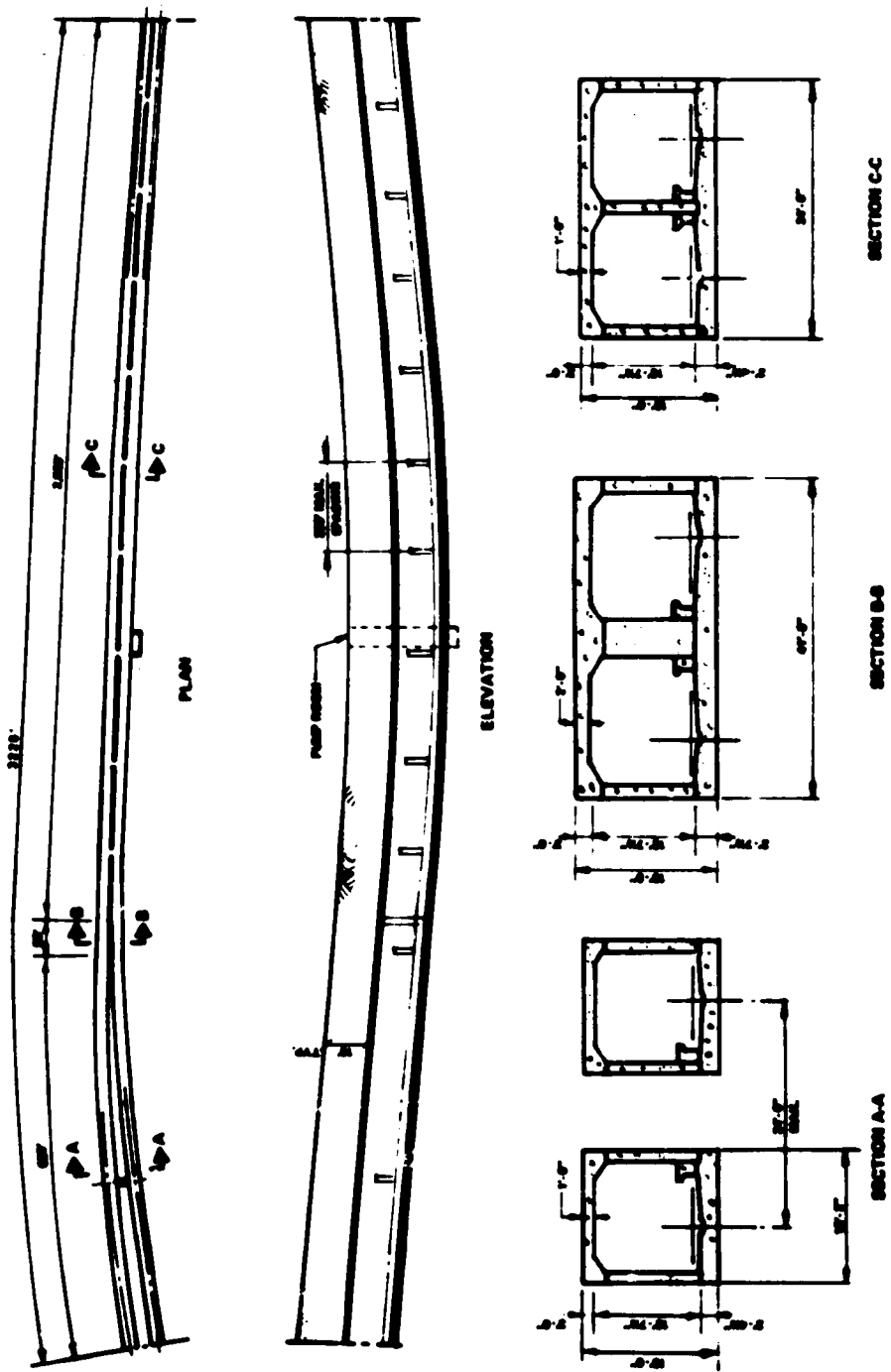


Figure 7-2. Plan and Elevation for the Model Cut-and-Cover Line Based on a Twin-Cell Line Structure

Free-Air-Driven Tunnel

This model is based on 3,000 ft of twin tunnel, each tunnel having a 16-ft i. d. Tunneling is assumed to occur under 30 ft of cover, with groundwater conditions allowing the tunnel site to be constructed in free air. The construction includes the concrete invert and walkway in each tunnel and cross passages between tunnels at 500-ft intervals. Plan and elevation of the model tunnel are shown in Figure 7-3.

Compressed-Air-Driven Tunnel

The basis for this model is the same as for the free-air-driven tunnel, except that the excavation is accomplished under compressed air in order to control water seepage.

Work Events

The cut-and-cover station and line structure work events are the same as those established by the project studies, with the exception of one additional event - muck disposal. Although in construction contracts this item is included within the excavation work event, in many urban areas the environmental aspects and the cost of muck disposal are becoming matters of considerable concern. Therefore, muck disposal, with the related physical control of fill demand, has been included as a separate work event in both cut-and-cover and the tunnel models.

The tunnel structure work events were increased by two from those established in the study projects to provide more flexibility in the model. The first - muck disposal - was added for the reasons discussed for the cut-and-cover models. The second - mobilization - is not generally a separately priced item in the contracts documents, as it is an element of the whole tunnel construction process. Mobilization includes the cost of providing (prior to shaft excavation and tunneling) and dismantling most of the surface site support facilities, such as the yard shops.

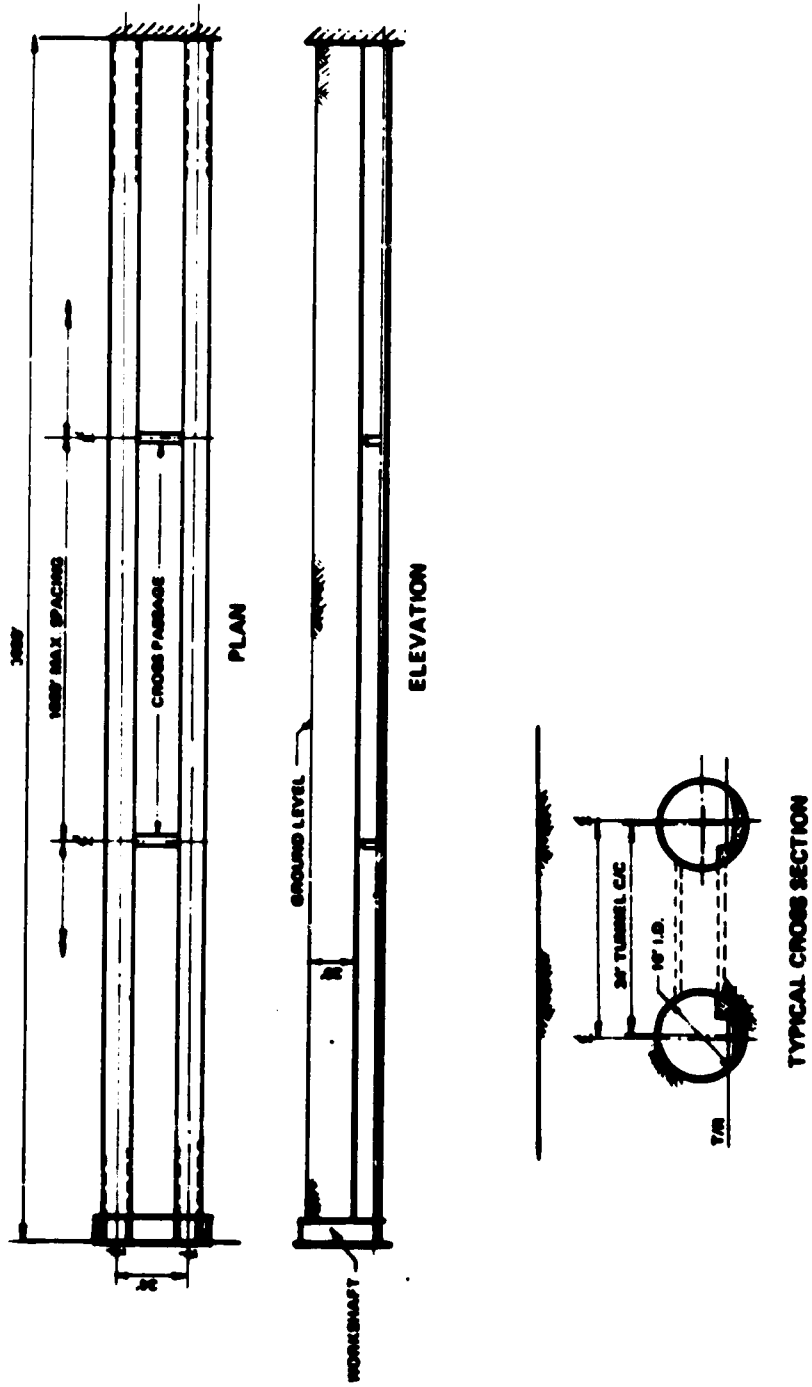


Figure 7-3. Plan and Elevation for the Model Free-Air-Driven Tunnel Based on 3,000 Ft of Twin Tunnel

electrical substations, some mobile and mechanical equipment, and low-pressure air equipment in the case of compressed air equipment. Such items are not influenced to a definable extent by any of the physical controls. Therefore, they do not appear in the model. The separate identification of the cost of mobilization permits a more accurate sensitivity study to be made of the work events directly relating to the actual tunnel construction process.

Basic Costs

Basic costs were derived for each work event from a study of as many similar structures as were available within the BART system and the WMATA system. The number of structures used for each model was:

Station - Cut-and-Cover	3
Line - Cut-and-Cover	5
Tunnel in Free Air	2
Tunnel in Compressed Air	2

Costs for the unit work events were obtained for each project by averaging the unit prices of the three low bidders and multiplying by the unit quantities. In the determination of the appropriate cost to be used, non-typical situations, which would influence the cost of a work event, were evaluated and modifications were made accordingly.

Controls

Physical and institutional control factors were obtained from a study of the appropriate structures in both transit systems, emphasis being placed on determining the representative average for each control.

Model Structure

The structure of the generalized models is based on one central fact and one general premise. The fact is that the cost of tunneling depends in complicated ways on a myriad of physical characteristics of the site, owner-engineer-contractor interactions, and the history, if any, of similar work in the area. The premise is that the model will be used in a general way to estimate the effects on project cost of new technology and new institutional arrangements, and in a more specific way to estimate the cost trends of specific projects before detailed engineering designs are completed.

The premise requires that the model be operable with a minimum of specification related to technical design, site characteristics, and institutional arrangements. Because of the complexity of the effects of the numerous items contained in the specifications of an actual project, detailed cost effects cannot be accounted for in general models. Hence, the models show only the main events of the tunneling technology and physical and institutional controls.

DESCRIPTION OF THE MODELS

The Three Parts of Each Model

Based on the philosophy stated above, the generalized models consist of three major parts. For each project type, a representative project is defined.

The First Part of the Model. This part gives the basic costs of each work event for the representative project under "average" physical conditions and optimal institutional controls. The definition of the project as to scope and design and the basic costs are quite firmly based in fact. Given a limited set of data for a complex multivariable situation, it is always easier to arrive at broad averages than to specify the deviation from these averages occasioned by a change in this or that variable. For flexibility in

model use, these basic work event costs are expressed as unit costs based on relevant project measures (i. e., dollars per foot, or dollars per cubic yard). The work with the study projects showed that these unit costs do not vary significantly with project scale over the anticipated range of projects. The unit-cost approach allows the model to be used to estimate the basis of the cost of projects similar in type to the representative project but with reasonable differences in scope (i. e., the lengths of the construction may vary \pm 30 percent without greatly affecting the unit costs).

The Second Part of the Model. This part deals with the effects of physical controls on the average. While the factors that influence the cost can be broadly defined and described (see Section 6), quantification of the effects in detail is impossible. For example, the difference in the cost of a cut-and-cover construction between a project in which there are essentially no utilities in the way and one in which there is a normal mix of water pipes, sewers, and telephone and electric lines can be quantitatively estimated. On the other hand, the cost difference due to the addition of one extra set of telegraph lines to the normal mix cannot be quantitatively estimated without detailed engineering study and cost estimates specific to the case of interest.

It was therefore decided to describe the effects of physical controls in three levels rather than in a continuous range of values. The latter could not be adequately defined without extensive and detailed study, and, if defined, could not be evaluated from the data at hand. The three levels are "significantly more favorable than average," "average," and "significantly less favorable than average."

The Third Part of the Model. This part is concerned with the effect on project cost of institutional controls. Some of these effects can be estimated quite accurately; others cannot. The ones that can, tend to be "yes-no"-type situations — either the owner makes an advance payment to the

contractor to finance project mobilization or he does not. The cost difference between these cases is clear-cut. In the first case, the owner bears the interest cost at the prime rate generally accorded to stable public agencies with a guaranteed tax base; in the second case, the contractor must bear the interest cost at a higher rate of interest, and this cost will be reflected in the contractor's bid and hence in the cost of the project to the owner.

The effects of some institutional controls are uncertain. For example, if the owner does not supply tunnel liners, the delay in project start-up caused by the lead time to fill the contractor's order depends on the state of business in the area and could be as little as 1 month or as much as 6 months or more.

To take these uncertainties into account, the model has three levels of institutional controls. The first level corresponds to the application of optimal institutional control (i. e. , making an advance payment to the contractor, or ordering tunnel liners far enough in advance to ensure their availability when the contractor needs them). The second and third levels both correspond to the absence of the optimal control, with "average minimum" and "average maximum" effects specified, respectively, for the two levels.

Basic Model Structure

The basic structure of the four models is quite similar. There are only minor differences between the two cut-and-cover models on the one hand and the two tunneling models on the other. A flow diagram of the basic model structure is shown in Figure 7-4. In this figure, data incorporated in the program are identified, as are the required inputs for the cost estimations of a specific project. The four flow diagram blocks are described below.

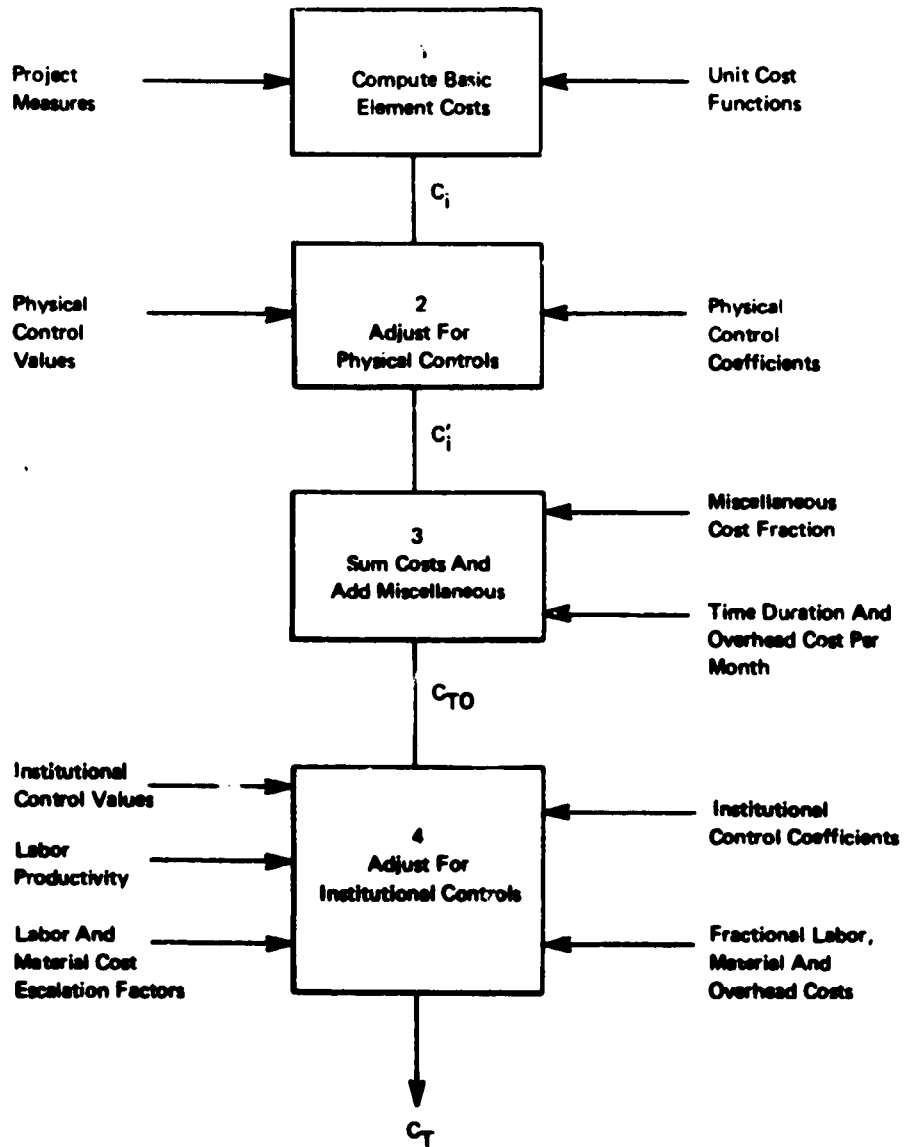


Figure 7-4. Basic Model Structure

Block 1 - Project Work Event. In Block 1, the basic costs associated with each project work element are computed from stored values of element unit costs and the measures that describe the size of the project. For cut-and cover projects, the work events, the unit costs, and the associated project measures are given in Table 7-1.

Table 7-1

MEASURES AND COSTS FOR CUT-AND-COVER WORK EVENTS

(1) Project Work Event	(2) Relevant Project Measure	(3) Unit Cost Expressed as	(4) Total Element Cost (\$)
Utilities	M_1 - Length of Project (LF)	c_1 (\$/LF)	$C_1 = c_1 M_1$
Deck and Traffic Control	M_2 - Plan Area (yd ²)	c_2 (\$/yd ²)	$C_2 = c_2 M_2$
Underpinning	M_1 - Length of Project (LF)	c_3 (\$/LF)	$C_3 = c_3 M_1$
Excavation	M_3 - Volume Excavated (yd ³)	c_4 (\$/yd ³)	$C_4 = c_4 M_3$
Backfill	M_4 - Volume Backfilled (yd ³)	c_6 (\$/yd ³)	$C_6 = c_6 M_4$
Concrete*	$(M_3 - M_4)$ - Net Volume (yd ³)	c_6 (\$/yd ³)	$C_6 = c_6 (M_3 - M_4)$
Restoration	M_1 - Length of Project (ft)	c_7 (\$/LF)	$C_7 = c_7 M_1$
Muck Disposal	$(M_3 - M_4)$ - Net Volume (yd ³)	c_8 (\$/yd ³)	$C_8 = c_8 (M_3 - M_4)$

* The relevant measure is taken to be the net size of the excavation rather than the actual volume of concrete used. See the "Cost and Sensitivity Data" part of this section for further discussion.

For tunnel projects, there are fewer work events and relevant project measures, but the form of the model and the computation of the basic work element costs are similar, as shown in Table 7-2.

Table 7-2

MEASURES AND COSTS FOR TUNNELING WORK EVENTS

(1) Project Work Event	(2) Relevant Project Measure	(3) Unit Cost Expressed as	(4) Total Element Cost (\$)
Mobilization	Lump-Sum		C_1
Shaft Construction	Lump-Sum		C_2
Underpinning	M_1 - Length of tunnel (LF)	c_3 (\$/LF)	$C_3 = c_3M_1$
Line, Grout, and Caulk	M_1 - Length of tunnel (LF)	c_4 (\$/LF)	$C_4 = c_4M_1$
Excavation	M_1 - Length of tunnel (LF)	c_5 (\$/LF)	$C_5 = c_5M_1$
Muck Disposal	M_1 - Length of tunnel (LF)	c_6 (\$/LF)	$C_6 = c_6M_1$
Concrete and Clean-Up	M_1 - Length of tunnel (LF)	c_7 (\$/LF)	$C_7 = c_7M_1$

The total basic element cost is computed from the unit cost multiplied by the relevant measure, as shown in column (4) in each table. Unit costs are part of the program data, but the values of the project measures must be supplied for each specific case of interest.

Block 2 - Relevant Project Measure. In Block 2, the basic element costs are adjusted for the effects of physical site characteristics. The important characteristics are:

- Utility density
- Traffic conditions

- Existing structures (size and age)
- Ground conditions
- Architectural requirements
- Weather
- Fill demand

For each specific case, each of these characteristics must be rated as low, medium, or high, depending on whether the characteristic has an insignificant, average, or important effect on the performance of the project and hence its cost. The basic work element costs are adjusted for low, medium, or high effects by the addition of a specified percentage to these basic costs.

In principle, each of the seven physical characteristics could affect each of the eight (cut-and-cover) or seven (tunnel) work element costs. For the i th work element, the adjusted cost is

$$C_i \left(1 + \sum_{j=1}^7 \beta_{ijk} \right) (1 + \gamma_{in})$$

where β_{ijk} is the appropriate cost correction coefficient by which the j th physical characteristic affects the cost of the i th work element. Subscript k denotes whether the value of the physical characteristic is low ($k=1$), medium ($k=2$), or high ($k=3$). All coefficients are positive fractions. The required data inputs for Block 2 are the values (low, medium, high) for each of the seven physical controls. Program data required are three coefficient matrices (β_{ij1} , β_{ij2} , and β_{ij3}) and two coefficient vectors (γ_{i1} and γ_{i3}). Values of γ_{i1} are either negative or zero; values of γ_{i3} are either positive or zero; values of γ_{i2} are all zero. In addition, subscript n is equal to the value of k when $i=j$.

Block 3 – Unit Cost. In Block 3, the adjusted project element costs are summed, and the sum is multiplied by the factor $(1 + \delta_M)$ to account for miscellaneous project costs not included under any work element.

The total cost thus computed (C_T) is further corrected to account for the overhead costs associated with the change in work time required if the scope of the work element changes (as indicated by the coefficient γ_{in}). These changes are possible only in the first three work events in the cut-and-cover models and in the third work event in the tunnel models, since only these work events are subject to scope change. The fractional time increase in total project duration is taken to be one-half the fractional change in total project cost due to the change in scope. This fractional time increase is multiplied by the basic project duration (T) to give the change in project time (δ_T), and then by the overhead rate per month (Z) to give the added cost (C_D).

$$C_D = \delta_T Z$$

$$= \frac{1}{2C_T} \left[\sum_{i=1}^3 (c_i \cdot M_i) \cdot \left(1 + \sum_{j=1}^J \beta_{ijk} \right) \gamma_{in} \right] TZ$$

The result of Block 3 operations is an estimate of the total basic project cost adjusted for the physical characteristics of the site. No input data are required in this block, and the only pieces of program data needed are the values of δ_M , T , and Z .

The operations carried out in Blocks 1 through 3 can be summarized in one equation :

$$C_{To} = \left[\sum_{i=1}^I (c_i \cdot M_i) \cdot \left(1 + \sum_{j=1}^J \beta_{ijk} \right) (1 + \gamma_{in}) \right] (1 + \delta_M) + C_D$$

where

- i = project work event (I = 8 for cut-and-cover;
I = 7 for tunnels)
- j = physical characteristic (J = 7 for all projects)
- k, n = value of physical characteristic
- M = project measure

Block 4 – Total Element Cost. In Block 4, the project cost is adjusted for the influences of the owner-engineer relationship and for cost escalation. Costs in the basic model are derived on the assumption that an optimal relationship exists. To the degree that elements of this relationship are missing, costs must be added. The elements of the owner-engineer-contractor relationship are:

- Optimal project schedule
- System-wide labor agreement
- Owner-acquired work areas
- Owner-acquired rights-of-way
- Owner-acquired entry permits
- Owner-acquired building permits
- Owner-supplied material
- Owner-purchased insurance
- Owner-enforced environmental requirements
- Advance payments
- Safety
- Efficient cash flow

For each of these controls, three effects are estimated: (1) the delay (in months), (2) a lump-sum addition to the cost, and (3) an increase in total

cost by a given percentage. The effects under each category are calculated according to the following formulas:

$$\begin{array}{ll} \text{Months delay} & \alpha_{1T} = \sum_{i=1}^{12} \alpha_{1ij} \\ \text{Lump-sum} & \alpha_{2T} = \sum_{i=1}^{12} \alpha_{2ij} \\ \text{Fraction of cost} & \alpha_{3T} = \left(\sum_{i=1}^{12} \alpha_{3ij} \right) + \alpha_{3L} \end{array}$$

In the above equations, i denotes the institutional control, and the value of j denotes whether the optimal control is present ($j=1$), absent with moderate effect ($j=2$), or absent with large effect ($j=3$). The values of α_{1ij} , α_{2ij} , and α_{3ij} are all zero for $j=1$ and may be positive or zero for other values of j . The value α_{3L} is a specific factor relating to labor productivity and must be calculated from a separate labor productivity input value (p), which defines labor productivity in terms of work units performed per man-hour relative to the San Francisco area. The equation for this factor is

$$\alpha_{3L} = \epsilon_L \left(\frac{1}{p} - 1 \right)$$

where ϵ_L is the fraction of the total cost attributed to labor (a piece of program data).

The delays associated with owner-acquired building permits, material, and rights-of-way and entry permits are not strictly additive, since the efforts necessary to obtain each can be concurrent to a degree. To account for this concurrence, the sum of the delay (in months) associated with these four controls is reduced by 20 percent if at least three controls, each having moderate effect, are absent; or if at least two controls, each having a major effect, are absent.

The cost is then computed from

$$C_{T_1} = C_{T_0} (1 + a_3T) + a_2T + a_1TZ$$

where Z is the overhead cost per month (a piece of program data).

Finally, the cost is corrected for escalation in labor and materials costs relative to January 1974 in San Francisco. The final cost estimate is

$$C_T = C_{T_1} [\epsilon_L f_L + \epsilon_M f_M + \epsilon_o]$$

where ϵ_L , ϵ_M , and ϵ_o are the fractional labor, materials, and overhead cost (program data), respectively, and f_L and f_M are cost escalation factors which must be entered as data.

The total project duration (T_T) is computed by adding the basic project duration (T_1), a piece of program data, to the delays caused by changes in scope due to physical controls (δ_T) and to the delays caused by absence of optimal organizational controls (a_1T).

$$T_T = T + \delta_T + a_1T$$

As described earlier, the basic cost data were generated by cost estimators and engineers connected with this work and represent their best estimates of the costs of representative projects. The sensitivity data are also the result of a distillation of the material developed in this manner. Sensitivities to physical controls are expressed in terms of fractions of the basic work element costs. Those associated with institutional controls are also expressed in terms of months of delay and direct cost in order to account in optimal fashion for all significant effects.

A complete FORTRAN listing of the computer program and instructions for use of the model are given in the Appendix .

COST AND SENSITIVITY DATA

The basic cost and sensitivity data used in formulating the generalized tunneling models were provided from the experience of cost personnel

and other engineering personnel and are based for the most part on the analysis of data from BART and, in some cases, from WMATA. Care was taken to distill this experience into estimates consistent with the representative nature of the generalized projects modeled.

Tables 7-3 through 7-5 show the basic cost data, by work event, for the four model projects. For each event, the quantities, units, and unit costs used in developing the cost estimate are shown. The total for each event is the product of the quantity multiplied by the unit cost.

The units and unit costs used in the computerized models of cut-and-cover models are shown in the last two columns of Tables 7-3 and 7-4. These differ from the units and unit costs used to generate the estimate in one work element — concrete — for which the model unit is taken as the cubic yards of net excavation (excavation less backfill) rather than cubic yards of concrete, since the former is more readily available to the potential future user of the model.

In each model, the cost of miscellaneous items is expressed as a percentage of the total project cost.

In Tables 7-5 and 7-6, which describe the free-air-driven and compressed-air-driven tunnels, respectively, all but two of the work event costs were estimated and expressed in the model in terms of linear feet of twin-bore tunnel. The first two items — shaft construction and mobilization — treated as lump-sum amounts.

Physical Control Sensitivity Data

Table 7-7 shows the sensitivities of the work event costs to the physical controls for the cut-and-cover station model. The bottom line gives the unit costs for each work event taken from Table 7-3. The fractional effect

Table 7-3

**COST SUMMARY FOR GENERALIZED MODEL
FOR 838-FT CUT-AND-COVER STATION**

Work Event	Costs*						
	Quantity	Unit	Unit Cost (\$)	Amount	Totals	Model Unit	Unit Cost
Utilities	838	LF	1990.13		\$1,667,727	838 LF	1990.13
Decking and Traffic Control							
Decking Area = 100% Plan Area	9,500	yd ²	98.29	933,755	1,160,516	9,500 yd ²	122.16
Traffic Control				226,761			
Underpinning					500,000	838 LF	596.60
Excavation (Including Settlement Monitoring)	113,218	yd ³	45.02		5,096,865	113,218 yd ³	45.02
Muck Disposal	89,514	yd ³	4.36		390,508	89,514 yd ³	4.36
Concrete	29,538	yd ³	180.00		5,316,928	89,514 yd ³	59.40
Backfilling	23,704	yd ³	11.60		274,966	23,704 yd ³	11.60
Street Restoration	838	LF	212.00		177,656	838 LF	212.00
Miscellaneous †					768,863	% of total cost	5.0%
TOTAL					\$15,354,023		

NOTE: The construction schedule is 24 months.

* All costs reflect a January 1974 midpoint.

† This event includes miscellaneous steel and iron, steel and iron doors, and installation of district furnished fans.

Table 7-4

**COST SUMMARY FOR GENERALIZED MODEL
FOR 3,225-FT CUT-AND-COVER LINE**

Work Event	Costs*						
	Quantity	Unit	Unit Cost (\$)	Amount	Totals	Model Unit	Unit Cost
Utilities	3,225	LF	804.38		\$2,594,119	3,225 LF	804.38
Decking and Traffic Control							
Decking Area = 50% Plan Area	6,665	yd ²	86.82	578,655	931,549	6,665 yd ²	139.76
Traffic Control				352,894			
Underpinning					322,500	3,225 LF	100.00
Excavation (Including Settlement Monitoring)	146,126	yd ³	23.17		3,385,294	146,126 yd ³	23.17
Muck Disposal	76,871	yd ³	4.36		335,400	76,871 yd ³	4.36
Concrete	25,935	yd ³	118.41		3,070,963	76,871 net excavation	39.95
Backfilling	69,255	yd ³	7.78		538,804	69,225 yd	7.78
Street Restoration	3,225	LF	107.70		347,335	3,225 LF	107.70
Miscellaneous [†]					329,004	% of total cost	2.77 ^c
TOTAL					\$11,854,968		

NOTE: The construction schedule is 21 months.

* All costs reflect a January 1974 midpoint.

† This event includes miscellaneous steel and iron, and owner-furnished pumps.

Table 7-5

**COST SUMMARY FOR GENERALIZED MODEL
FOR 3,000-FT FREE-AIR-DRIVEN TUNNEL**

Work Event	Unit	Unit Costs \$/System Ft	Amount
Shaft Construction	L. S.		\$ 815,000
Mobilization	L. S.		500,000
Underpinning	LF	100	300,000
Tunnel Driving Costs			
Liner, Erection, and Caulking	LF	\$ 300/LF	} 1800 5,400,000
Grouting	LF	\$ 240/LF	
Tunnel Liners	LF	\$1260/LF	
Excavation	LF	2000	6,000,000
Disposal	LF	80	240,000
Concrete Construction	LF	170	510,000
TOTAL		4588	\$13,765,000

Labor = 37%

Equipment and Materials = 63%

* All costs reflect a January 1, 1974 midpoint.

Table 7-6

**COST SUMMARY FOR GENERALIZED MODEL FOR
3,000-FT TWIN-BORE COMPRESSED-AIR-DRIVEN TUNNEL**

Work Event	Unit	Unit Costs \$/System Ft	Amount
Shaft Construction	L. S.		\$ 978,000
Mobilization, Staff E&M	L. S.		700,000
Underpinning	LF	100	300,000
Tunnel Driving Costs			
Liner Erection, Caulking	LF	\$ 400/LF	} 1950 5,850,000
Grouting		\$ 290/LF	
Tunnel Liners		\$1260/LF	
Excavation	LF	2950	8,850,000
Disposal	LF	80	240,000
Concrete Construction	LF	170	510,000
TOTAL		5809	\$17,428,000

Labor = 43%

Equipment and Materials = 57%

* All costs reflect a January 1, 1974 midpoint.

Table 7-7

PHYSICAL CONTROL EFFECTS, CUT-AND-COVER STATION

	Utilities	Decking and Traffic Control	Underpinning	Excavation	Concrete	Backfill	Restoration	Muck Disposal	Misc.
Basic Costs (\$/LF)	1493	73.20	478	22.51	59.40	8.12	180	1.96	
Utility Density	.50 0 -.50	0.42 0.25 0.08		0.30 0.20 0.10		0.43 0.29 0.14			
Traffic Conditions	0.39 0.26 0.07	0.51 0.34 .50 .09		0.40 0.30 0.10		0.14 0.07 0	0.24 0.12 0.06	0.22 0.11 0	
Existing Structures			5.00 0 -0.50	0.40 0.20 0					
Ground Conditions	0.13 0 0		0.38 0.25 0.13	0.50 0.30 0.10				0.44 0 0	
Architectural Requirements				0.20 0 0	0.35 0 0	0.07 0 0			
Weather	0.14 0.07 0	0.16 0.08 0		0.20 0.10 0	0.10 0 0	0.14 0.07 0	0.12 0.06 0		
Fill Demand								2.22 1.11 0	
TOTALS	1990 \$/LF	122 \$/yd ²	597 \$/LF	45.02 \$/yd ³	59.40 \$/net yd ³	11.60 \$/yd ³	212 \$/LF	4.36 \$/yd	5.3%
Length	838 LF								
Plan Area	9500 yd ²								
Backfill	23,704								
Excavation	113,218 yd ³								
Overhead	\$59,000/mo								
Duration	24 mo								

of each physical control (listed vertically on Table 7-7) on the cost of each work event was estimated. These values are given in the box in Table 7-7, in which the work event of interest intersects the physical control of interest.

For example, consider the effect of ground conditions on excavation. The three numbers listed in the intersecting box in Table 7-7 are 0.50, 0.30, and 0.10, indicating that if the ground conditions are poor (high level of the physical control), 50 percent should be added to the basic work event cost. Similarly, if the ground conditions are moderate (medium level of the physical control) or good (low level of the control), 30 percent and 10 percent, respectively, should be added to the basic work event cost.

For these values, which give the fractional effects of each physical control on each work event, the basic costs shown on the top line of Table 7-7 were derived from the total work event costs shown on the bottom line of Table 7-7 and taken from Table 7-3. Since the estimated work event costs shown in Table 7-3 were derived assuming medium levels for each physical control, the basic costs on the top line were computed by adding unity to the sum of the middle numbers in all boxes under the work event of interest and dividing the result into the total cost on the bottom line. For example, the estimated unit cost for excavation is shown on the bottom line of Table 7-7 to be \$45.02/yd³. The sum of the middle fractions in all boxes above this cost is $(0.10 + 0.30 + 0.20 + 0.20 + 0.20) = 1.00$. Adding this sum to unity gives 2.00, and dividing the result into \$45.02 gives the basic cost, shown in the top line, of \$22.51.

In most cases, the physical control modifies the degree of difficulty of performing the work event without changing the scope of the work event. For example, poor ground conditions make excavation more difficult, but do not increase the amount of material that must be excavated.

In three specific cases, the level of the physical control modifies the scope of the work event. These cases are:

<u>Physical Control</u>	<u>Work Event</u>
Utility Complexity	Utilities
Traffic Conditions	Decking and Traffic Control
Existing Structures	Underpinning

In each case, an increasing level of the control (e. g. , more utilities to be relocated) means an increased scope of the work event. These effects are shown in the left-hand side of the three relevant boxes on Table 7-7. For example, the three values shown for the utility density/utilities effect are +0.50, 0, and -0.50, signifying the effect of a high, medium, and low level of the control, respectively.

The adjusted work event cost is computed by first adjusting the basic cost (top line) for the effects of other controls as described above. This cost is then multiplied by the sum of the scope factor and unity. For example, if all physical controls are at the low level, the adjusted basic cost is $1,493 \times (1.00 + 0.07) = 1,598$, and the cost adjusted for scope is $1,598 \times (1.00 - 0.50) = 799$.

The basic cost values and the fractional coefficients shown in Table 7-7 are incorporated directly into the computerized cut-and-cover station model. Tables 7-8 through 7-10 show the comparable costs and coefficients for the cut-and-cover line model and the two tunneling models.

Institutional Control Sensitivity Data

The basic data showing the sensitivity of project cost to institutional controls are shown in Table 7-11 for the cut-and-cover station model. The institutional controls are listed under four headings: (1) Schedule-Time, showing the potential project delay associated with the absence of optimal control; (2) Direct Cost, showing direct additions to project cost;

Table 7-8

PHYSICAL CONTROL EFFECTS, CUT-AND-COVER LINE

	Utilities	Decking and Traffic Control	Under-planning	Excavation	Concrete	Backfill	Restoration	Misc. Disposal	Misc.
Basic Costs (\$/LF)	683	112	90	17.38	35.96	6.22	97.20	1.96	
Utility Density	1.50 0 0	0.12 0.06 0.02		0.13 0.07 0.03		0.12 0.06 0.02			
Traffic Conditions	0.24 0.12 0.06	1.00 0 -0.70	0.18 0.12 0.06	0.19 0.13 0.06	0.12 0.06 0	0.18 0.12 0.05	0.16 0.11 0.05	0.22 0.11 0	
Existing Structures			5.00 0 -1.00	0.07 0 0					
Ground Conditions	0.12 0 0		0.22 0.11 0	0.35 0.07 0				0.44 0 0	
Architectural Requirements									
Weather	0.12 0.06 0	0.12 0.06 0		0.13 0.07 0	0.12 0.06 0	0.12 0.06 0	0.11 0 0		
Fill Demand								2.22 1.11 0	
TOTALS	804 \$/LF	140 \$/yd ²	100 \$/LF	23.17 \$/yd ³	39.95 \$/mat yd ³	7.78 \$/yd ³	108 \$/LF	4.36 \$/yd ³	2.8%
Length	3225 LF								
Plan Area	6665 yd ²								
Excavation	141,126 yd ³								
Backfill	69,255 yd ³								
Overhead	\$56,000/mo								
Duration	21 mo								

Table 7-9

PHYSICAL CONTROL EFFECTS, FREE AIR TUNNEL

	Mobilization	Workshaft	Under-planning	Excavation	Liner Erection, etc.	Muck Disposal	Concrete
Basic Costs (L.S. or \$/L.F)	500,000 L.S.	570,000 L.S.	70	1600	1800	36	170
Utility Density				0.12 0.06 0			
Traffic Conditions						0.22 0.11 0	
Existing Structures			2.00 0 -1.00	0.12 0.06 0			
Ground Conditions		0.86 0.43 0.21	0.86 0.43 0.21	0.26 0.13 0		0.44 0 0	
Fill Demand						2.22 1.11 0	
TOTALS	500,000 L.S.	815,000 L.S.	100 \$/system ft	2000 \$/system ft	1800 \$/system ft	80 \$/system ft	170 \$/system ft
Length of Twin Bore 3000 LF Labor 37% E&M 63% Overhead \$160,000/mo Duration 13 mo							

Table 7-10

PHYSICAL CONTROL EFFECTS, COMPRESSED AIR TUNNEL

	Mobilization	Work Shaft	Under-planning	Excavation	Liner Erection, etc.	Muck Disposal	Concrete
Basic Costs (L.S. or \$/LF)	700,000 L.S.	683,000 L.S.	70	2360	1950	36	170
Utility Density				.12 .06 0			
Traffic Conditions						0.22 0.11 0	
Existing Structures			2.07 0 -1.00	0.12 0.06 0			
Ground Conditions		0.86 0.43 0.21	0.06 0.43 0.21	0.26 0.13 0		0.44 0 0	
Fill Demand						2.22 1.11 0	
Totals	700,000 L.S.	978,000 L.S.	100 \$/system ft	2950 \$/system ft	1950 \$/system ft	80 \$/system ft	170 \$/system ft
Length	3000 LF						
Labor	43%						
EM	57%						
Overhead	\$200,000/mo						
Duration	21 mo						

Table 7-11

COSTS ASSOCIATED WITH CONTROLS - CUT-AND-COVER STATION

	Added Cost Trend Optimal Control Absent			
	Moderate Effect		Major Effect	
<u>Schedule/Time</u>		<u>Time Assumed</u>		<u>Time Assumed</u>
Project Schedules	\$ 59,000	1.0 mo	\$ 118,000	2.0 mo
System-Wide Labor Agreement	59,000	1.0 mo	88,500	1.5 mo
Owner Lease/Purchase, Work Areas	59,000	1.0 mo	59,000	1.0 mo
Right-of-Way	59,000	1.0 mo	354,000	6.0 mo
Entry to Existing Buildings	29,500	0.5 mo	118,000	2.0 mo
Building Permits	29,500	0.5 mo	29,500	0.5 mo
Owner Purchase/Material and Equipment	NIL	-	NIL	-
(Deduct 20% for concurrence.)	S. T. 236,000		613,000	
<u>Direct Cost</u>		<u>Basis</u>		<u>Basis</u>
Building Permits	3,500	2 man-mo	7,000	4 man-mo
Owner-Purchased Material and Equipment	NIL	-	NIL	-
Owner Purchased Insurance	176,000	Workmen's compensation handback	211,200	Workmen's compensation handback, 20% increment
Safety Programs	-62,000	Safety engineers 1.5 men, 24 mo	-93,000	Safety engineers 54 man-mo
Environmental Requirements	50,000	Soldier piles drilled @ \$42/LF	100,000	Soldier piles drilled @ \$84/LF
	S. T. 167,500		225,200	
<u>Productivity</u>				
Owner-Purchased Insurance	254,000	5% of labor	1,524,000	30% of labor
Safety Programs				
Geographical Area	508,000	10% of labor	2,540,000	50% of labor
	S. T. 762,000		4,064,000	
<u>Interest</u>				
Mobilization Advance Payment	30,000	\$1,000,000 @ 3%, 1 yr	45,000	\$1,000,000 @ 3%, 1.5 yr
Cash Flow	39,000	\$15,300,000 @ 3%, 1 mo	234,000	\$15,300,000 @ 3%, 6 mo
Owner-Purchased Material and Equipment	NIL	-	NIL	-
Owner-Purchased Insurance	16,000	\$540,000 @ 3%/yr	16,000	\$540,000 @ 3%/yr
	S. T. 85,000		295,000	
TOTAL	\$1,250,500		\$5,197,800	

(3) Productivity, showing the effects on labor productivity, and hence on cost, and (4) Interest, showing the added costs associated with contractor financing of project elements compared with owner financing of those elements. Some institutional controls appear under several headings, since they produce multiple effects.

The first column lists the costs to be added to the project cost if the specific optimal institutional control is absent and the effect is moderate. The second column lists the basic estimated assumptions to provide the dollar values in the first column. The third column lists the costs to be added if the specific optimal institutional control is absent and there is a major effect. Column four explains these values.

Under the Schedule/Time heading, the costs associated with delays in the project are shown, with the overhead rate at \$59,000 per month. As shown in the third column, if acquisition of rights-of-way is not started sufficiently in advance of construction, and a stop of 6 months occurs, the cost could be \$354,000.

Under the Direct Cost heading, the major item is the potential added cost if the owner does not supply the insurance. The assumed added cost of \$176,000 is attributed to handback of workmen's compensation premiums which would accrue to the owner if he provided the insurance. Otherwise, any handback goes to the contractor. Lack of an owner-sponsored safety program results in a saving of direct cost, since it is assumed that the contractor will devote less in the way of resources to the safety program than would the owner. The added cost for meeting environmental regulations is based on the assumption that soldier piles protecting the excavation will have to be drilled, rather than driven, at an added cost of \$42 per linear system foot.

Productivity can be significantly affected by institutional controls. It is estimated that labor costs can increase from 5 percent (\$254,000) to 30 percent (\$1,524,000) if the owner does not supply the insurance and monitor the safety programs and the project is very accident-prone. These costs are attributed to loss of productivity due to accidents. The second item under the Productivity heading relates to the productivity of the labor available in the local market and is not under the control of the owner. The lack of skilled labor in some geographical areas can raise labor costs by an estimated 10 percent (\$508,000) to 50 percent (\$2,540,000) because of inefficiency and the necessity of extensive training programs.

The Interest heading shows the added costs accruing if the owner does not provide optimal financing. The 3 percent figure on which these cost estimates are based is the estimated differential between what the owner must pay for money (say, 9 percent) and what the less financially stable contractor must pay (say, 12 percent). This differential percentage operates on the mobilization payment and on the insurance premium, if not supplied at project start-up by the owner. The cash flow effect shows the added cost if the owner delays from 1 to 6 extra months in making partial payments to the contractor during the course of the project. During this period, the contractor must borrow the money at the higher interest rate. The entire project cost (\$15,300,000) is subject to this delay over the life of the project at the differential interest rate of 3 percent.

The subtotals and totals shown on Table 7-11 set the maximum limit on the cost that institutional controls can add to project cost. It is unlikely that all institutional controls will be simultaneously absent, and even more unlikely that all will be absent with major effect.

Tables 7-12 through 7-14 show the institutional control sensitivity data for the other three generalized models. The basic information in these tables is similar to that for the cut-and-cover station discussed above. The potential effect of owner-purchased materials (tunnel liners) on the costs of the tunneling projects deserves special mention. Owner acquisition of these liners prior to project start-up eliminates a potentially significant delay, makes possible a "quantity-buy" saving if ordered for more than one project, and keeps the financing of this major quantity of material with the owner. Failure to do this can result in significant added costs, as summarized below (using data taken from Table 7-13).

	<u>Moderate Effect</u>	<u>Major Effect</u>
Delay	160,000	960,000
Loss of Quantity Saving	378,000	756,000
Added Interest Cost	<u>114,000</u>	<u>114,000</u>
	\$652,000	\$1,830,000

The basic institutional control data shown in Tables 7-11 through 7-14 were transformed into coefficients for the computerized model. Three sets of coefficients were derived for each of the 12 organizational controls, as shown for the cut-and-cover station model in Table 7-15.

The α_1 coefficients show the potential delay (in months) associated with each control at each level (low - optimal control; medium - optimal control absent, moderate effect; high - optimal control absent, major effect). The medium and high values are taken directly from Table 7-11.

The α_2 coefficients (Table 7-15) show the direct cost effects. Only the direct costs associated with building permits and safety programs and the interest cost associated with the advance payment were included in the computer model as direct costs, since they are relatively independent of project size. These costs were taken directly from Table 7-11.

Table 7-12

COSTS ASSOCIATED WITH CONTROLS - CUT-AND-COVER LINE

	Added Cost Trend Optimal Control Absent			
	Moderate Effect		Major Effect	
<u>Schedule/Time</u>		<u>Time Assumed</u>		<u>Time Assumed</u>
Project Schedules	\$ 56,000	1.0 mo	\$ 112,000	2.0 mo
System-Wide Labor Agreement	56,000	1.0 mo	84,000	1.5 mo
Owner Lease/Purchase, Work Areas	56,000	1.0 mo	56,000	1.0 mo
Right-of-Way	56,000	1.0 mo	336,000	6.0 mo
Entry to Existing Buildings	28,000	0.5 mo	98,000	1.75 mo
Building Permits	28,000	0.5 mo	28,000	0.5 mo
Owner-Purchased Material and Equipment	NIL	-	NIL	-
(Deduct 20% for concurrence.)	S. T. 224,000		571,200	
<u>Direct Cost</u>		<u>Basis</u>		<u>Basis</u>
Building Permits	3,500	2 man-mo	7,000	4 man-mo
Owner-Purchased Material and Equipment	NIL	-	NIL	-
Owner-Purchased Insurance	130,000	Workmen's compensation handback	156,000	Workmen's compensation handback, 20% increment
Safety Programs	-54,000	Safety engineers 1.5 men, 21 mo	-81,000	Safety engineers 47.25 man-mo
Environmental Requirements	136,000	Soldier piles drilled @ \$42/LF	204,000	Soldier piles drilled @ \$63/LF
	S. T. 215,500		286,000	
<u>Productivity</u>				
Owner-Purchased Insurance	185,000	5% of labor	1,100,000	30% of labor
Safety Programs	370,000	10% of labor	1,850,000	50% of labor
Geographical Areas				
	S. T. 555,000		2,960,000	
<u>Interest</u>				
Mobilisation Advance Payment	13,000	\$500,000 @ 3%, 1 yr	19,500	\$500,000 @ 3%, 1.5 yr
Cash Flow	29,000	\$11,500,000 @ 3%, 1 mo	174,000	\$11,500,000 @ 3%, 6 mo
Owner-Purchased Material and Equipment	NIL	-	NIL	-
Owner-Purchased Insurance	10,000	\$310,000 @ 3%, 1 yr	10,000	\$310,000 @ 3%, 1 yr
	S. T. 52,000		203,500	
TOTAL	\$1,046,500		\$4,020,700	

Table 7-13

COSTS ASSOCIATED WITH CONTROLS - FREE-AIR-DRIVEN TUNNEL

	Added Cost Trend Optimal Control Absent			
	Moderate Effect		Major Effect	
<u>Schedule/Time</u>	<u>Time Assumed</u>		<u>Time Assumed</u>	
Project Schedule	160,000	1.0 mo	\$ 400,000	2.5 mo
System-Wide Labor Agreement	160,000	1.0 mo	240,000	1.5 mo
Owner Lease/Purchase, Work Areas	160,000	1.0 mo	320,000	2.0 mo
Right-of-Way	160,000	1.0 mo	640,000	4.0 mo
Entry Permits	80,000	0.5 mo	280,000	1.75 mo
Building Permits	80,000	0.5 mo	80,000	0.5 mo
Owner-Purchased Material and Equipment	<u>160,000</u>	<u>1.0 mo</u>	<u>960,000</u>	<u>6.0 mo</u>
(Deduct 20% for concurrence.)	S. T. 768,000		2,336,000	
<u>Direct Cost</u>	<u>Basis</u>		<u>Basis</u>	
Building Permits	3,500	2 man-mo	7,000	4 man-mo
Owner-Purchased Material and Equipment	378,000	10% saving	756,000	20% saving
Owner-Purchased Insurance	300,000	Workmen's compensation handback	300,000	Workmen's compensation handback, 20% increment
Safety	-54,000	Safety engineers 1.5 men - 21 mo	-64,800	Safety engineers 37.8 man-mo
Environmental Requirements	<u>NIL</u>	-	<u>NIL</u>	-
	S. T. 627,500		1,058,200	
<u>Productivity</u>				
Owner-Purchased Insurance	128,000	2.5% of labor	1,408,000	27.5% of labor
Safety				
Labor Productivity	<u>256,000</u>	<u>5% of labor</u>	<u>1,280,000</u>	<u>25% of labor</u>
	S. T. 384,000		2,688,000	
<u>Interest</u>				
Advance Payments	15,000	\$500,000 @ 3%, 1 yr	22,500	\$500,000 @ 3%, 1.5 yr
Cash Flow	34,000	\$13,500,000 @ 3%, 1 mo	206,400	\$13,500,000 @ 3%, 6 mo
Owner-Purchased Material and Equipment	114,000	\$3,780,000 @ 3%/1 yr	114,000	\$3,780,000 @ 3%/1 yr
Owner-Purchased Insurance	27,000	\$900,000 @ 3%, 1 yr	27,000	\$900,000 @ 3%, 1 yr
	<u>S. T. 190,400</u>		<u>369,000</u>	
TOTAL	\$1,969,900		\$6,452,100	

Table 7-14

COSTS ASSOCIATED WITH CONTROLS -
COMPRESSED-AIR-DRIVEN TUNNEL

	Added Cost Trend Optimal Control Absent			
	Moderate Effect		Major Effect	
<u>Schedule/Time</u>		<u>Time Assumed</u>		<u>Time Assumed</u>
Project Schedule	\$200,000	1.0 mo	\$ 500,000	2.5 mo
System-Wide Labor Agreement	200,000	1.0 mo	300,000	1.5 mo
Owner Lease/Purchase, Work Area	200,000	1.0 mo	500,000	2.5 mo
Right-of-Way	200,000	1.0 mo	800,000	4.0 mo
Entry Permits	100,000	0.5 mo	350,000	1.75 mo
Building Permits	100,000	0.5 mo	100,000	0.5 mo
Owner-Purchased Material and Equipment	100,000	1.0 mo	1,200,000	6.0 mo
(Deduct 20% for concurrence.)	S. T. 880,000		3,000,000	
<u>Direct Cost</u>		<u>Basis</u>		<u>Basis</u>
Building Permits	3,500	2 man-mo	7,000	4 man-mo
Owner-Purchased Material and Equipment	378,000	10% saving	756,000	20% saving
Owner-Purchased Insurance	375,000	Workmen's compensation handback	450,000	Workmen's compensation handback - 20% increment
Safety	-54,000	Safety engineers 1.2 men - 21 mo	-64,800	Safety engineers 37.8 man-mo
Environmental Requirements	136,000	Quiet compressors	170,000	Quiet compressors, 25% increment
	S. T. 838,500		1,318,200	
<u>Productivity</u>				
Owner-Purchased Insurance	188,000	2.5% of labor	2,068,000	27.5% of labor
Safety				
Labor Productivity	376,000	5% of labor	1,880,000	25% of labor
	S. T. 564,000		3,948,000	
<u>Interest</u>				
Advance Payments	24,000	\$800,000 @ 3%, 1 yr	36,000	\$800,000 @ 3%, 1.5 yr
Cash Flow	43,600	\$17,500,000 @ 3%, 1 mo	258,000	\$17,500,000 @ 3%, 6 mo
Owner-Purchased Material and Equipment	114,000	\$3,780,000 @ 3%	114,000	\$3,780,000 @ 3%, 1 yr
Owner-Purchased Insurance	35,500	\$1,118,000 @ 3%	33,500	\$1,118,000 @ 3%, 1 yr
	S. T. 193,600		\$ 441,500	
TOTAL	\$2,476,100		\$8,707,700	

Table 7-15

INSTITUTIONAL CONTROL EFFECTS, CUT-AND-COVER STATION

	a1 (Months)			a2 (Direct Cost \$'s)			a3 (Fraction of Total Project Cost)		
	Lo	Mod	Maj	Lo	Mod	Maj	Lo	Mod	Maj
Optional Project Schedule	0	1.0	2.0	-	-	-	-	-	-
System-Wide Labor Agreement	0	1.0	1.5	-	-	-	-	-	-
Owner-Acquired Work Area	0	1.0	1.0	-	-	-	-	-	-
Owner-Acquired Right-of-Way	0	1.0	6.0	-	-	-	-	-	-
Owner-Acquired Entry Permit	0	0.5	2.0	-	-	-	-	-	-
Owner-Acquired Building Permit	0	0.5	0.5	0	3500	7000	-	-	-
Owner-Supplied Materials	-	-	-	-	-	-	-	-	-
Owner-Purchased Insurance	-	-	-	-	-	-	0	.0126	.0149
Owner-Enforced Environmental Regulations	-	-	-	-	-	-	0	.0033	.0066
Advance Payment	-	-	-	0	30,000	45,000	-	-	-
Efficient Cash Flow	-	-	-	-	-	-	0	.0025	.0150
Safety Programs	-	-	-	0	-62,000	-93,000	0	.0166	.0993

B (Overhead) = \$59,000/mo

Labor = 33%

E&M = 67%

Labor productivity associated with geographical area is handled in the computer model through the direct introduction of a labor productivity factor. This factor is defined as the units of work produced per man hour in the geographical area of interest, divided by that in the San Francisco area. Therefore, geographical area does not appear in the coefficient matrix (Table 7-15).

All other costs associated with institutional controls are included in the α_3 coefficients in Table 7-15 as a fraction of total project cost. This treatment is based on the fact that these costs are directly related to project scope (and total cost). Working with these costs as fractions should provide better extrapolation of these effects when the computer model is exercised for a project of somewhat different scope than that on which the model is based. The fractional costs were derived by dividing the specific cost figures on Table 7-11 by the total project cost.

Tables 7-16 through 7-18 show the same information for the other three models. The coefficients were computed from the basic sensitivity data as discussed above, except for the case of the compressed-air-driven tunnel (Table 7-18), in which the added cost of meeting environmental regulations is treated as a direct cost, rather than as a fraction of total cost.

GENERALIZED MODEL SENSITIVITIES

Sensitivity Analysis

The four general models were exercised in order to show the sensitivity of project costs to changes in the basic work event costs and to the nature of the physical and institutional controls relevant to the project. The physical controls describe the physical characteristics of the specific job site and hence are project-specific. The institutional controls

Table 7-16

INSTITUTIONAL CONTROL EFFECTS, CUT-AND-COVER LINE

	a1 (Months)			a2 (Direct Cost \$'s)			a3 (Fraction of Total Project Cost)		
	Lo	Mod	Maj	Lo	Mod	Maj	Lo	Mod	Maj
Optimal Project Schedule	0	1.0	2.0	-	-	-	-	-	-
System-Wide Labor Agreement	0	1.0	1.5	-	-	-	-	-	-
Owner-Acquired Work Area	0	1.0	1.0	-	-	-	-	-	-
Owner-Acquired Right-of-Way	0	1.0	6.0	-	-	-	-	-	-
Owner-Acquired Entry Permit	0	0.5	1.75	-	-	-	-	-	-
Owner-Acquired Building Permit	0	0.5	0.5	0	3,500	7,000	-	-	-
Owner-Supplied Materials	-	-	-	-	-	-	-	-	-
Owner-Purchased Insurance	-	-	-	-	-	-	0	.0124	.0147
Owner-Enforced Environmental Regulations	-	-	-	-	-	-	0	.0118	.0177
Advance Payment	-	-	-	0	15,000	22,500	-	-	-
Efficient Cash Flow	-	-	-	-	-	-	0	.0025	.0150
Safety	-	-	-	0	-54,000	-81,000	0	.0161	.0966

\$ (Overhead) = \$56,000/mo

Labor = 32%

E&M = 68%

Table 7-17
 INSTITUTIONAL CONTROL EFFECTS, FREE AIR TUNNEL

	a1 (Months)			a2 (Direct Cost \$'s)			a3 (Fraction of Total Project Cost)		
	Lo	Mod	Maj	Lo	Mod	Maj	Lo	Mod	Maj
Optimal Project Schedule	0	1.0	2.5	-	-	-	-	-	-
System-Wide Labor Agreement	0	1.0	1.5	-	-	-	-	-	-
Owner-Acquired Work Area	0	1.0	2.0	-	-	-	-	-	-
Owner-Acquired Right-of-Way	0	1.0	2.0	-	-	-	-	-	-
Owner-Acquired Entry Permit	0	0.5	1.75	-	-	-	-	-	-
Owner-Acquired Building Permit	0	0.5	0.5	0	3,500	7,000	-	-	-
Owner-Supplied Materials	0	1.0	6.0	-	-	-	0	.036	.064
Owner-Purchased Insurance	-	-	-	-	-	-	0	.0242	.0282
Owner-Enforced Environmental Regulations	-	-	-	-	-	-	-	-	-
Advance Payment	-	-	-	0	15,000	22,500	-	-	-
Efficient Cash Flow	-	-	-	-	-	-	0	.0025	.0150
Safety	-	-	-	0	-54,000	-64,800	0	.0093	.1023

⊖ (Overhead) = \$160,000/mo

Labor = 37%

E.A.M. = 63%

Table 7-18
INSTITUTIONAL CONTROL EFFECTS, COMPRESSED AIR TUNNEL

	a1 (Months)			a2 (Direct Cost \$'s)			a3 (Fraction of Total Project Cost)		
	Lo	Mod	Maj	Lo	Mod	Maj	Lo	Mod	Maj
Optimal Project Schedule	0	1.0	2.5	-	-	-	-	-	-
System-Wide Labor Agreement	0	1.0	1.5	-	-	-	-	-	-
Owner-Acquired Work Area	0	1.0	2.5	-	-	-	-	-	-
Owner-Acquired Right-of-Way	0	1.0	2.0	-	-	-	-	-	-
Owner-Acquired Entry Permit	0	0.5	1.75	-	-	-	-	-	-
Owner-Acquired Building Permit	0	0.5	0.5	0	3,500	7,000	-	-	-
Owner-Supplied Materials	0	1.0	6.0	-	-	-	0	.0281	.0497
Owner-Purchased Insurance	-	-	-	-	-	-	-	-	-
Owner-enforced Environmental Regulations	-	-	-	0	136,000	170,000	-	-	-
Advance Payment	-	-	-	0	24,000	36,000	-	-	-
Efficient Cash Flow	-	-	-	-	-	-	0	.0025	.0150
Safety	-	-	-	0	-54,000	-64,800	0	.0108	.1188

\$ (Overhead) = \$200,000/mo
 Labor = 43%
 E&M = 57%

describe the relationships between the owner, engineer, and contractor and are therefore system-specific.

In the determination of the generalized model sensitivities, the first step was to establish, for each model project, a construction cost based upon average conditions for all components (work events, physical controls, and institutional controls). The next step was to perturbate each component, while keeping all others at their average value. In this sensitivity analysis in the generalized model, each work event was perturbed by a conservative amount (± 10 percent), the physical controls were perturbed for maxima and minima (low and high effects), and the institutional controls were perturbed for medium and major effects.

The results of these sensitivity analyses are summarized in Figure 7-5, which shows the basic project cost for each of the four types of projects modeled, along with the maxima and minima achieved with extreme values of the physical and institutional controls. Variations due to physical and institutional controls are shown separately. Each is the composite of a number of individual effects, not all of which are likely to be present in any specific project.

The average project cost was estimated assuming average physical controls and optimal institutional controls. The rationale behind this choice is that there is no compelling reason to choose to operate under less than optimal institutional controls even though one has little control over the physical characteristics of the project site. Therefore, both positive and negative variations around the average are shown on Figure 7-5 for the physical controls, and only positive variations are shown for the institutional controls.

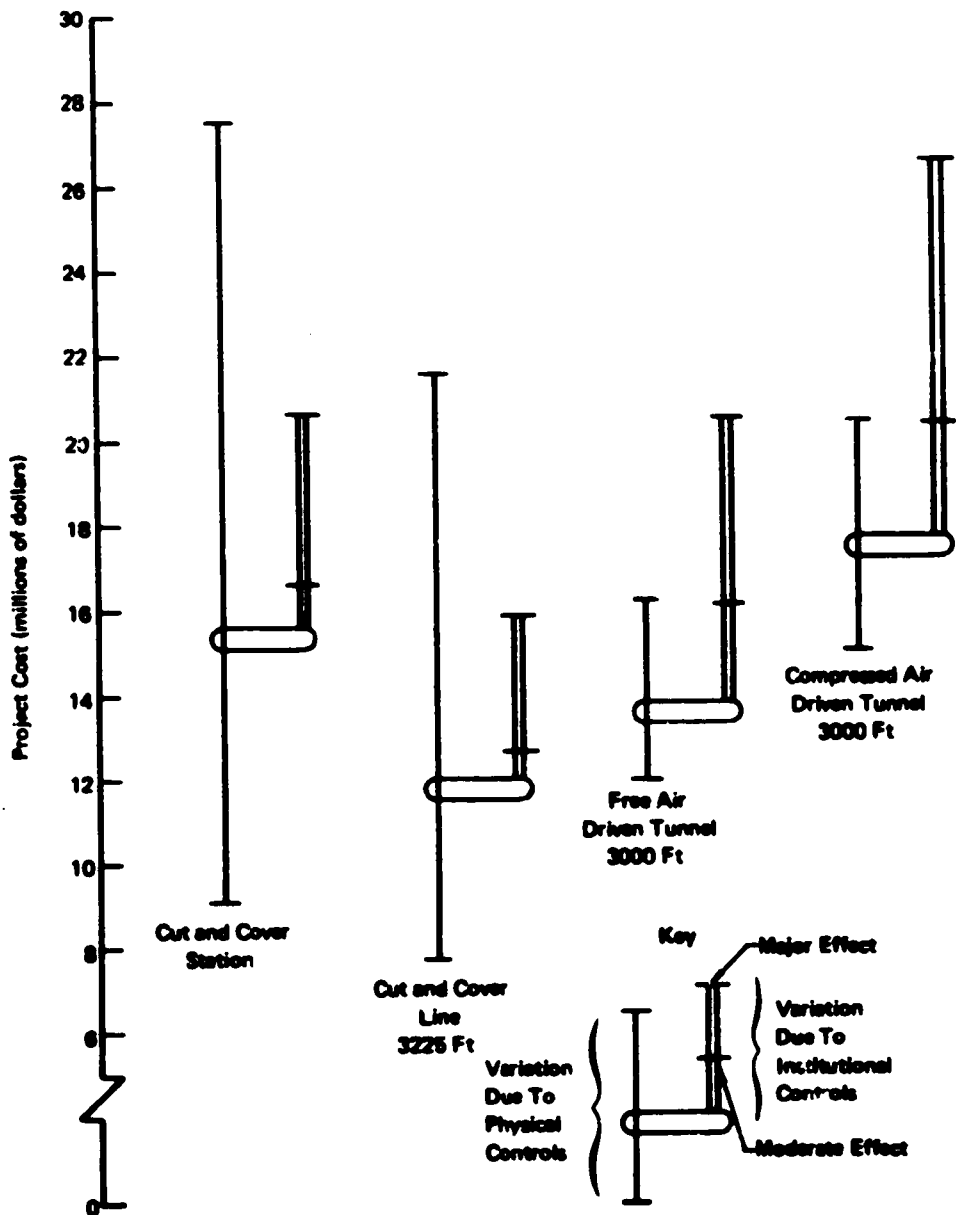


Figure 7-5. Summary of Effect of Controls on Project Cost

The important points shown in Figure 7-5 are:

- Costs for cut-and-cover construction are more dependent on physical controls than costs for tunneling.
- Institutional controls have a somewhat larger effect on tunnel construction than on cut-and-cover work, principally because of the effect of the cost of the tunnel liner item, i. e., owner- or contractor-purchased.
- Overall, costs for cut-and-cover construction are more variable than tunneling costs.
- For favorable values of physical controls and the height of ground cover assumed in the models, line structures can be constructed more cheaply by cut-and-cover techniques than by tunneling. However, for unfavorable physical controls (i. e., existing structures requiring extensive underpinning, or high utility complexity), tunneling is less expensive provided that institutional controls are optimal.

The data on the basis of which these sensitivity analyses were developed are derived from the experience of site and other engineering personnel connected with rapid transit engineering. The effects represent their best judgment of the "average maximum" to be encountered in representative underground structure projects. More extreme effects can occur in specific situations, but these were discounted as nontypical. The basic sensitivity data are presented in detail and discussed at greater length in Sections 5 and 6.

Significant Results

The sensitivities of each of the models to the physical and institutional controls are discussed below, and the points summarized above are explained in terms of these sensitivities.

Cut-and-Cover Station. Table 7-19 and Figure 7-6 show the results of the sensitivity analysis for the cut-and-cover station model. The work events show the effect on cost of assumed changes of ± 10 percent in the basic work element costs.

Changes of ± 10 percent in the basic work event costs were selected as being representative of what might accrue from a loss of efficiency (+) or an improvement (-) in technology. Of course, radical changes in technology might introduce larger cost effects.

Of particular interest here is the degree of dilution of cost changes originating in one work element when expressed in terms of the total project cost. Excavation and concrete are the most important work elements, and changes in costs of these elements of 10 percent result in changes in total project cost of 3.3 percent and 3.5 percent, respectively.

The physical controls in Table 7-19 show that the nature of existing structures and special architectural requirements have a major influence on project cost. The presence of structures that require extensive underpinning can raise the total project cost by as much as 24 percent, and architectural requirements can add as much as 19 percent. Other physical controls that can significantly influence the cost include:

- Traffic conditions (8.8 percent) – affect excavation, utility relocation, and decking and traffic control
- Utility density (9.9 percent) – affect utility relocation
- Ground conditions (8.5 percent) – affect excavation
- Weather (7.9 percent) – affect most work elements

The total effect of physical controls can increase the model project cost by as much as 79 percent or reduce it by 41 percent.

Table 7-19

SENSITIVITY ANALYSIS FOR CUT-AND-COVER STATION MODEL

Sensitivity Parameters	Program Input Values at Medium Physical and Optimal Institutional Control (\$/LF)	Change in Value of Input	Resulting Change in Total Project Cost (\$/LF)	Resulting Percentage Change in Total Project Cost (%)
Work Events				
Utilities	1,990	+10%	\$199	+1.09
Decking and Traffic Control	1,385		\$139	+0.76
Underpinning	597		\$60	0.33
Excavation	6,082		\$608	+3.32
Much Disposal	466		\$47	+0.24
Concrete	6,345		\$635	+3.87
Backfilling	328		\$33	+0.18
Restoration	212		\$21	-0.11
Miscellaneous	917		\$92	+0.50
	18,322			
Physical Controls				
Utility Density		Low	-1,823	-9.95
Traffic Conditions		High	+1,623	+8.80
Existing Structures			-1,673	-9.12
Ground Conditions			-1,529	-8.34
Fill Demand			-1,276	-6.96
Architectural Requirements			+232	+1.27
Weather			0	0
			-866	-4.70
			+1,441	+7.86
			-41.14	-0.22
			+78.94	+0.43
Institutional Controls				
Optimal Project Schedule		Med	+70.41	+0.38
System-Wide Labor Agreement		High	+105.62	+0.58
Owner-Acquired Work Areas			+70.41	+0.38
Owner-Acquired Right-of-Way			+70.41	+0.38
Owner-Acquired Entry Permit			+482.46	+2.61
Owner-Acquired Building Permit			+140.82	+0.77
Owner-Supplied Material			+35.20	+0.19
Owner-Purchased Insurance			+63.55	+0.34
Owner-Enforced Environmental Regulations			+273.00	+1.49
Safety			+60.46	+0.33
Labor Productivity			+120.93	+0.66
Advance Payment			+1708.40	+9.32
Efficient Cash Flow			+3031.03	+16.54
			+35.80	+0.20
			+53.70	+0.29
			+45.81	+0.25
			+274.83	+1.50
			+8.53	+0.05

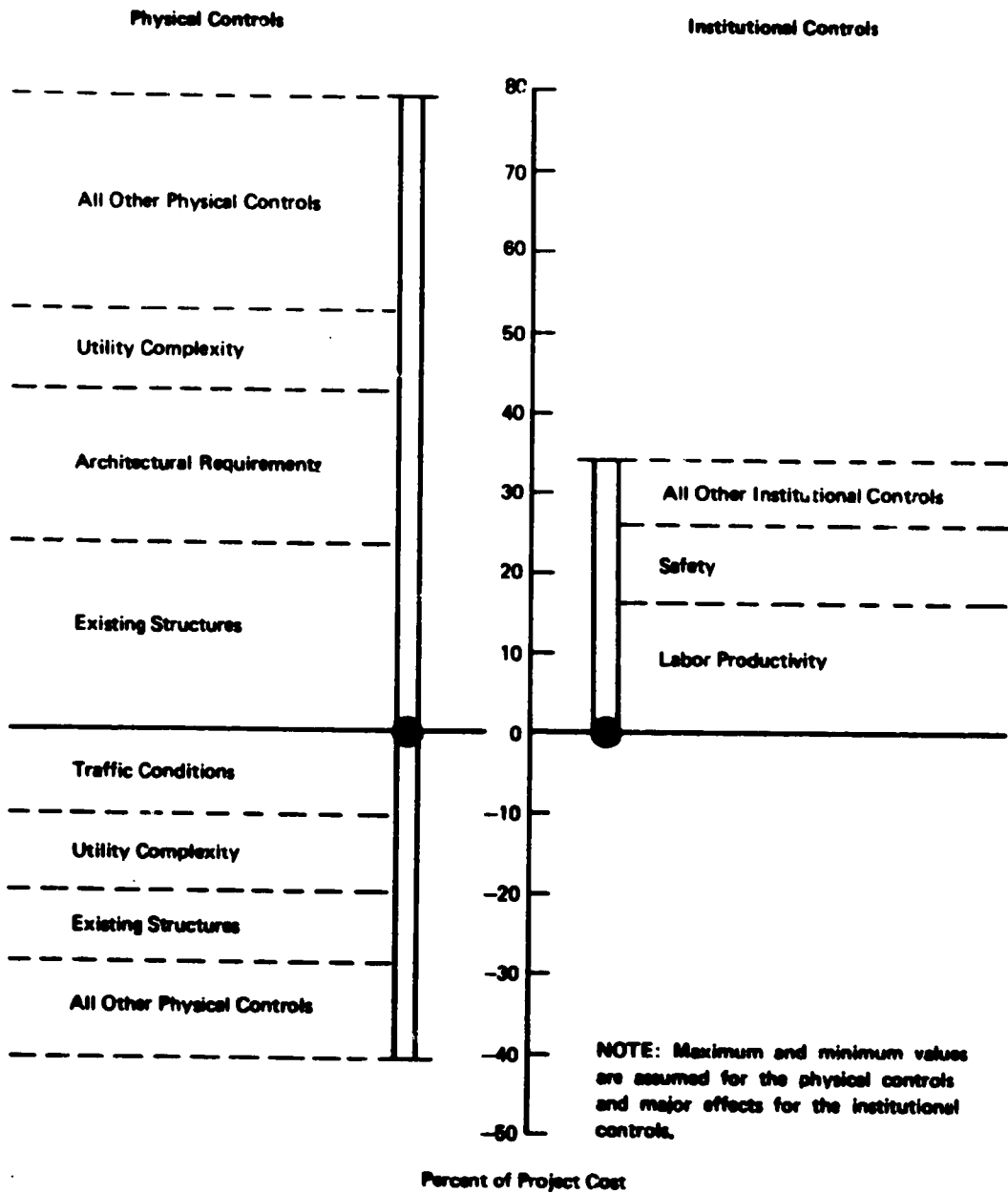


Figure 7-6. Cut-and-Cover Station Sensitivity of Cost to Controls

The total effect of physical controls can increase the model project cost by as much as 79 percent or reduce it by 41 percent.

The influence of institutional controls on total project cost can be significant (35 percent) and is shown in Table 7-19. The greatest effect (16.5 percent) is from labor productivity, assumed at worst to be 50 percent lower than the optimum. The lack of safety programs, with a resulting decrease in labor productivity due to accidents, can add as much as 9.3 percent to the total project cost.

Cut-and-Cover Line. The cost sensitivities for cut-and-cover line construction, shown in Table 7-20 and Figure 7-7, are similar to the cost sensitivities for station construction. Under work events, the utilities item assumes equal importance with excavation and concrete.

The principal effect under physical controls, as shown in Table 7-20, is that of utility density on the cost of relocating utilities. As can be seen, utility density can increase total project cost by as much as 36 percent. Existing structures, traffic conditions, and ground conditions are also important for the same reasons as were discussed for the stations.

The nature of the site-specific physical controls can increase total project cost by as much as 81 percent or decrease it by as much as 34 percent.

As with station construction, labor productivity is the most important institutional control, amounting to as much as 15.6 percent of the total cost increase for a 50 percent decrease in labor productivity. The total effect of institutional controls can be as much as 35.5 percent, which is comparable to the value found for station construction.

Table 7-20
SENSITIVITY ANALYSIS FOR CUT-AND-COVER LINE MODEL

Sensitivity Parameters	Program Input Values at Medium Physical and Optimal Institutional Control (\$/LF)	Change in Value of Input	Resulting Change in Total Project Cost (\$/LF)	Resulting Percentage Change in Total Project Cost (%)
Work Events				
Utilities	804	±10%	- 80.4 + 80.4	- 2.19 + 2.19
Deck and Traffic Control	289		- 28.9 + 28.9	- 0.79 + 0.79
Underpinning	100		- 10.0 + 10.0	- 0.27 + 0.27
Excavation	1,050		-105.0 +105.0	- 2.86 + 2.86
Muck Disposal	104		- 10.4 + 10.4	- 0.28 + 0.28
Concrete	952		- 95.2 + 95.2	- 2.59 + 2.59
Backfilling	67		- 16.7 + 16.7	- 0.45 + 0.45
Restoration	108		- 10.8 + 10.8	- 0.29 + 0.29
Miscellaneous	102		- 10.2 + 10.2	- 0.27 + 0.27
	3,675			
Physical Controls				
Utility Density		Low High	-478 +1,335	-12.73 +36.32
Traffic Conditions			-394 + 517	-10.72 +14.06
Existing Structures			-105 + 580	- 2.86 +15.78
Ground Conditions			- 65 + 333	- 1.77 + 9.06
Fill Demand			- 52 + 52	- 1.41 + 1.41
Architectural Requirements			0 0	0 0
Weather			-170 + 172	- 4.62 + 4.68
				-34.11 +81.31
Health, Legal Controls				
Optimal Project Schedule		Med High	+ 17.4 + 34.8	+ 0.47 + 0.95
System-Wide Lab. Agreement			+ 17.4 + 26.1	+ 0.47 + 0.71
Owner-Acquired Work Areas			+ 17.4 + 17.4	+ 0.47 + 0.47
Owner-Acquired Right-of-Way			+ 17.4 +104.4	+ 0.47 + 2.84
Owner-Acquired Entry Permit			+ 8.7 + 30.5	+ 0.24 + 0.83
Owner-Acquired Building Permit			+ 8.7 + 8.7	+ 0.24 + 0.24
Owner-Supplied Material			0 0	0 0
Owner-Purchased Insurance			+ 45.6 + 54.0	+ 1.24 + 1.47
Owner-Enforced Environmental Regulations			+ 43.4 + 65.1	+ 1.18 + 1.77
Safety			+ 75.9 +330.0	+ 2.06 + 8.98
Labor Productivity			+114.7 +573.5	+ 3.12 +15.60
Advance Payment			+ 4.7 + 7.0	+ 0.13 + 0.19
Efficient Cash Flow			+ 9.2 + 55.1	+ 0.25 + 1.50
				+10.34 +35.55

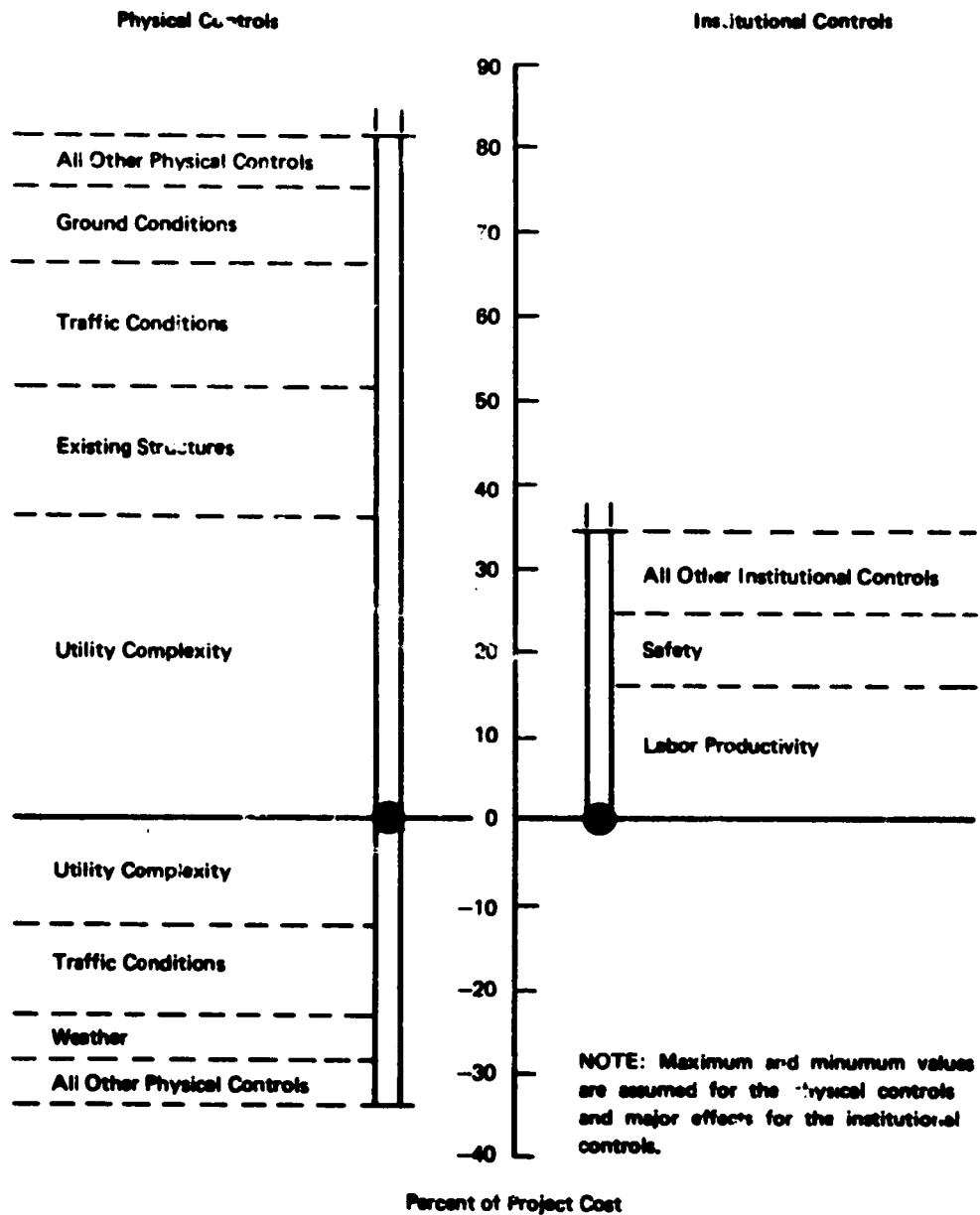


Figure 7-7. Cut-and-Cover Line Sensitivity of Cost to Controls

Free-Air-Driven Tunnel. The most important work events in tunnel construction are excavation and liner erection (see Table 7-21 and Figure 7-8). Changes of 10 percent in these basic costs change the total project cost by 4.4 percent and 4.0 percent, respectively.

Table 7-21 and Figure 7-8 show the effect of controls. As long as tunneling can be performed in free air, physical controls appear to have a relatively minor effect on total tunnel costs, with the effects of ground conditions on excavation (7.3 percent) and of existing structures on underpinning requirement (6.8 percent) predominating. Cumulative physical effects can raise total project cost by as much as 17 percent or decrease it by as much as 13 percent.

Institutional controls are quite important, having a maximum potential of adding 49 percent to total cost. The effect of owner-supplied materials (i. e., tunnel liners) is most important. If these materials are not furnished, the total project costs can increase by as much as 13.4 percent. This effect comes from increased direct cost of materials and from delay in the project. Labor productivity and safety are next in importance (over 9 percent each). The remaining institutional controls have larger effects on total tunneling cost than on cut-and-cover cost, primarily because of the equipment-intensive nature of tunneling work. (Compare the influence of institutional controls in Tables 7-19 and 7-20 with those in Table 7-21). Schedule delays, which keep equipment tied up unproductively, are proportionately more expensive in tunneling than in cut-and-cover. Labor productivity as such appears less important in tunneling because of the equipment-intensive nature of the work, but it can assume importance if it materially affects the progress of the tunneling operation.

Table 7-21

SENSITIVITY ANALYSIS FOR FREE-AIR-DRIVEN TUNNEL MODEL

Sensitivity Parameters	Program Input Values at Medium Physical & Optimal Institutional Control (\$/LF)	Change in Value of Input	Resulting Change in Total Project Cost (\$/LF)	Resulting Percentage Change in Total Project Cost (%)
Work Events				
Mobilization	167	+10%	+ 16.7	+ 0.36
Workshop	272		+ 27.2	+ 0.59
Underpinning	100		+ 10.0	+ 0.22
Excavation	2,000		+ 200.0	+ 4.36
Muck Disposal	80		+ 8.0	+ 0.17
Liner, Grouting	1,800		+ 180.0	+ 3.92
Concrete	170		+ 17.0	+ 0.37
	4,589			
Physical Controls				
Utility Density		Low High	- 96.0 + 96.0	- 2.09 + 2.09
Traffic Conditions			- 4.0 + 4.0	- 0.09 + 0.09
Existing Structures			-204.7 +313.4	- 4.46 + 6.85
Ground Conditions			-265.2 +335.6	- 5.78 + 7.31
Fill Demand			- 40.0 + 40.0	- 0.87 + 0.87
				-13.29 +17.19
Institutional Controls				
Optimal Project Schedule		Med High	+ 53.33 +133.33	+ 1.16 + 2.91
System-Wide Labor Agreement			+ 53.33 + 80.00	+ 1.16 + 1.74
Owner-Acquired Work Areas			+ 53.33 +106.67	+ 1.16 + 2.32
Owner-Acquired Right-of-Way			+ 53.33 +106.67	+ 1.16 + 2.32
Owner-Acquired Entry Permit			+ 26.67 + 93.33	+ 0.58 + 2.03
Owner-Acquired Building Permit			+ 27.84 + 29.00	+ 0.61 + 0.64
Owner-Supplied Material			+218.53 +613.68	+ 4.76 +13.37
Owner-Purchased Insurance			+111.05 +129.41	+ 2.42 + 2.82
Owner-Enforced Environmental Regulations			0	-
Safety			+ 24.67 +447.85	+ 0.54 + 9.76
Labor Productivity			+ 85.33 +426.67	+ 1.86 + 9.30
Advance Payment			+ 5.00 + 7.50	+ 0.11 + 0.16
Efficient Cash Flow			+ 11.47 + 68.84	+ 0.25 + 1.50
				+15.77 +48.87

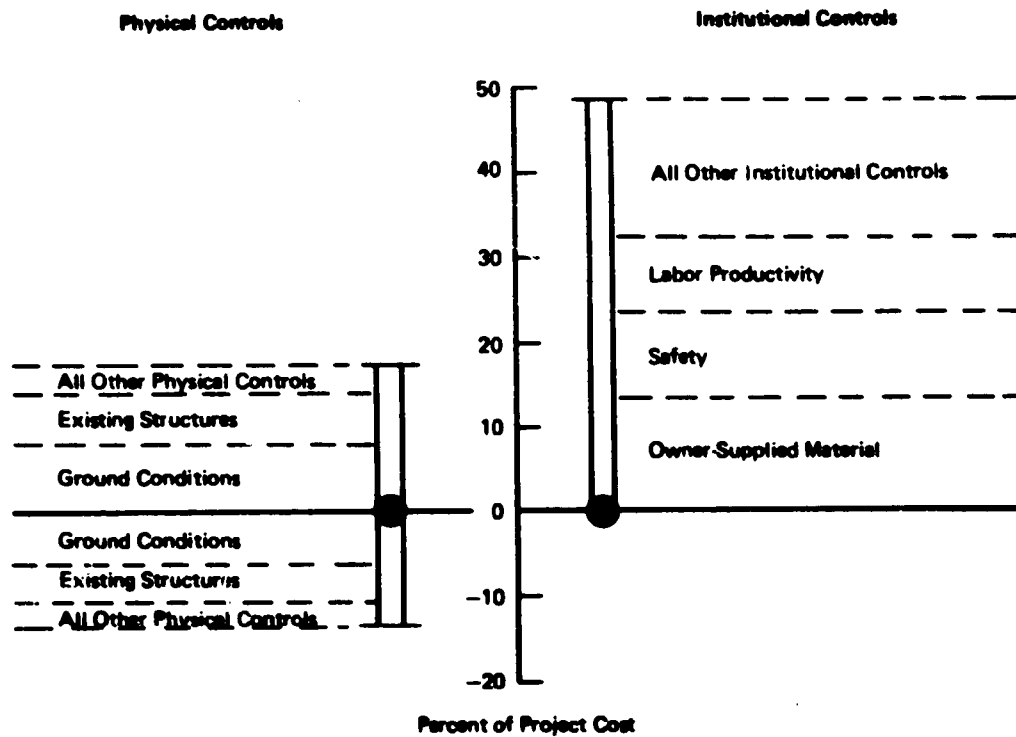


Figure 7-8. Free-Air-Driven Tunnel Sensitivity of Cost to Controls

Compressed-Air-Driven Tunnel. The effects on compressed-air-driven tunnels (Table 7-22 and Figure 7-9) are comparable to those described in the previous sections, and for the same reasons. While the total cost of driving under compressed air is greater than driving under free air, the percentage effects of the physical and institutional controls are nearly the same.

Discussion of Generalized Model Sensitivities

In the construction of stations and line segments of rapid transit systems, there are basic costs associated with the work events that constitute each project. These costs are sensitive to a set of physical influences (physical controls) over which the owner, the engineer, and the contractor have little control. The project as a whole is sensitive to another set of influences (institutional controls) which the owner-engineer can, to a large extent, minimize.

The generalized model sensitivity analysis revealed these important points:

- The sensitivity of all work events were determined by assuming a change in cost for each event of +10 percent (work event less efficient than average) and -10 percent (work event more efficient than average). For the generalized models, the most sensitive work events and their percent effect on total project costs are:

<u>Most Sensitive Work Events</u>	<u>Percent Change in Total Project Cost</u>
Cut-and-cover	
Excavation	3.3
Concrete	3.5
Cut-and-cover line	
Excavation	2.9
Concrete	2.6
Utilities	2.2

Table 7-22

SENSITIVITY ANALYSIS FOR COMPRESSED-AIR-DRIVEN TUNNEL MODEL

Sensitivity Parameters	Program Input Values at Medium Physical & Optimal Institutional Control (\$/LF)	Change in Value of Input	Resulting Change in Total Project Cost (\$/LF)	Resulting Percentage Change in Total Project Cost (%)
Work Events				
Mobilization	233	+ 10%	+ 23.3	+ 0.40
Workshaft	326		+ 32.6	+ 0.56
Underpinning	100		+ 10.0	+ 0.17
Excavation	2,950		+ 295.0	+ 5.08
Muck Disposal	80		+ 8.0	+ 0.14
Linear, Grouting	1,950		+ 195.0	+ 3.36
Concrete	170		+ 17.0	+ 0.29
	5,809			
Physical Controls				
Utility Density		Low High	-141.6 +141.6	- 2.44 + 2.44
Traffic Conditions			- 4.0 + 4.0	- 0.07 + 0.07
Existing Structures			-253.7 +365.7	- 4.37 + 6.30
Ground Conditions			-372.4 +450.8	- 6.41 + 7.76
Fill Demand			- 40.0 + 40.0	- 0.69 + 0.69
				-13.98 +17.26
Institutional Controls				
Optimal Project Schedule		Med High	+ 66.67 +166.67	+ 1.15 + 2.87
System-Wide Lease Agreement			+ 66.67 +100.00	+ 1.15 + 1.72
Owner-Acquired Work Area			+ 66.67 +166.67	+ 1.15 + 2.87
Owner-Acquired Right-of-Way			+ 66.67 +133.34	+ 1.15 + 2.30
Owner-Acquired Entry Permit			+ 33.33 +116.67	+ 0.51 + 2.01
Owner-Acquired Building Permit			+ 34.50 + 34.50	+ 0.59 + 0.59
Owner-Supplied Material			+229.90 +688.71	+ 3.96 +11.86
Owner-Purchased Insurance			+135.35 +160.33	+ 2.33 + 2.76
Owner-Enforced Environmental Regulations			+ 45.33 + 56.67	+ 0.78 + 0.98
Safety			+ 44.74 +688.31	+ 0.77 +11.51
Labor Productivity			+125.33 +626.67	+ 2.16 +10.79
Advance Payment			+ 8.00 + 12.00	+ 0.14 + 0.21
Efficient Cash Flow			+ 14.52 + 87.14	+ 0.25 + 1.50
				+16.15 +51.97

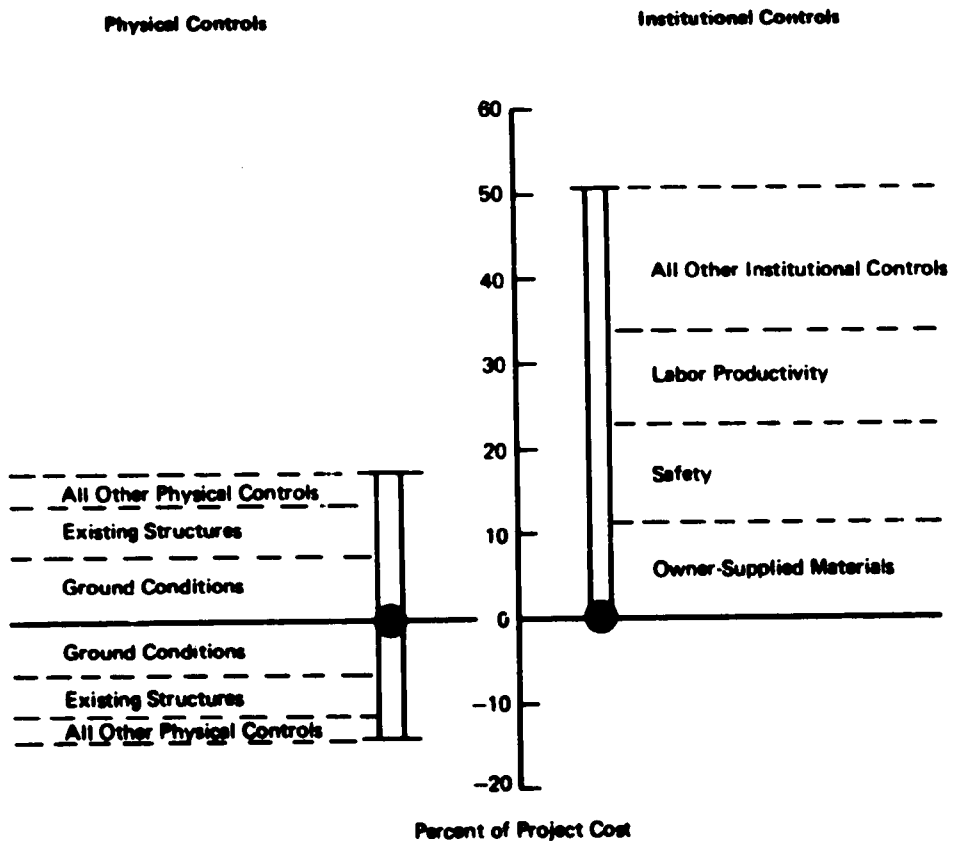


Figure 7-9. Compressed-Air-Driven Tunnel Sensitivity of Cost to Controls

Tunnel in free air	
Excavation	4.4
Liner, erection, and grouting	3.9
Tunnel in compressed air	
Excavation	5.1
Liner, erection, and grouting	3.4

- Costs for cut-and cover construction are more sensitive to physical controls (especially existing structures, utility density, and traffic conditions) than are costs for tunneling.
- Institutional controls have a larger effect on tunneling than on a cut-and-cover construction—principally because of the tunnel liner purchase item.
- A cost increase of about 25 percent is incurred when ground conditions require that soft-ground tunnels be driven under compressed air.
- Total costs for cut-and-cover construction are more sensitive to physical controls than are total costs for tunneling.
- For favorable values of physical controls (i.e., when traffic, adjacent structures, and utilities are of minor importance), line structures constructed by cut-and-cover methods for the depth of ground cover considered are less expensive than soft-ground-driven tunnels.

EXAMPLE OF MODEL UTILIZATION

A sample of the computer output obtained from the generalized model for a cut-and-cover line section is shown in Table 7-23 (for a complete description of the computer model, see the Appendix). For completeness, the output shows all input data as well as values computed by the model. The input data are of two types: program data, which give the basic cost and sensitivity information associated with the type of project of interest (in this case, the cut-and-cover line); and input data, which give the characteristics of the specific project of interest. Details as to how these data are put into the computer are given in the Appendix. In the following paragraphs, each block of numbers shown in Table 7-23 is identified.

Table 7-23

SAMPLE OF THE OUTPUT OBTAINED FROM THE
GENERALIZED MODEL FOR A CUT-AND-COVER LINE SECTION

CUT AND COVER LINE

PROJECT EVENT	RELEVANT PROJECT MEASURE	UNIT COST	TOTAL EVENT COST
1. UTILITIES	LENGTH OF PROJECT 3229 FT	0.00	0.00
2. DECK AND TRAFFIC CONTROL	PLAN AREA 0000 SQ YD	112.00/SQ YD	74000.00
3. UNDERPINNING	LENGTH OF PROJECT 3229 FT	90.70/FT	293000.00
4. EXCAVATION	VOLUME EXCAVATED 100100 CU YD	17.30/CU YD	1731700.00
5. BACKFILL	VOLUME BACKFILLED 00000 CU YD	0.20/CU YD	0.00
6. CONCRETE	NET VOLUME 70071 CU YD	80.00/CU YD	5605680.00
7. RESTRAINTS	LENGTH OF PROJECT 3229 FT	07.00/FT	22603.00
8. MISC DISPOSAL	NET VOLUME 70071 CU YD	1.00/CU YD	70071.00

PROJECT EVENT NUMBER	1.	2.	3.	4.	5.	6.	7.	8.	A
UTILITY COMPLEXITY	0.000	0.000	0.000	0.070	0.000	0.000	0.000	0.000	0.000
TRAFFIC CONDITIONS	0.100	0.100	0.000	0.100	0.100	0.000	0.110	0.110	0.110
EXISTING STRUCTURE	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
GROUND CONDITIONS	0.000	0.000	0.110	0.070	0.000	0.000	0.000	0.000	0.000
STRUCTURAL REQUIREMENTS	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
WEATHER	0.000	0.000	0.000	0.070	0.000	0.000	0.000	0.000	0.000
PILE DEMAND	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	1.110

PROJECT EVENT	VALUE
1. UTILITIES	0.000
2. DECK AND TRAFFIC CONTROL	0.000
3. UNDERPINNING	0.000

MISCELLANEOUS FRACTIONS 0.000

EVENT	ADJUSTED EVENT COST
1. UTILITIES	000000.00
2. DECK AND TRAFFIC CONTROL	000000.00
3. UNDERPINNING	293000.00
4. EXCAVATION	1731700.00
5. BACKFILL	000000.00
6. CONCRETE	5605680.00
7. RESTRAINTS	22603.00
8. MISC DISPOSAL	70071.00
TOTAL ADJUSTED EVENT COSTS	11000000.00

(INCLUDES MISCELLANEOUS FRACTIONS AND ADJUSTMENT FOR DELAY)

STRUCTURAL CONTROLS	% DELAY	LUMP SUM	FRACTION TOTAL COST
UTILITY COMPLEXITY	1.00	0.	0.0000
TRAFFIC CONDITIONS	1.00	0.	0.0000
EXISTING STRUCTURE	1.00	0.	0.0000
GROUND CONDITIONS	1.00	0.	0.0000
STRUCTURAL REQUIREMENTS	0.00	70000.	0.0000
WEATHER	0.00	0.	0.0000
PILE DEMAND	0.00	0.	0.0100
ADJUSTED EVENT COSTS	0.00	100000.	0.0000
FRACTION TOTAL COST	0.00	0.	0.0101

STRUCTURAL CONTROLS	VALUE
FRACTION TOTAL COST ATTRIBUTED TO LUMP SUM	0.00
ADJUSTED COST PER EVENT	100000.

TOTAL COSTS INCLUDING EXCAVATION	VALUE
ADJUSTED EVENT COSTS	11000000.
FRACTIONAL COST ATTRIBUTED TO MATERIALS	0.00
FRACTIONAL COST ATTRIBUTED TO UNDERPINNING	0.00
TOTAL PROJECT COST	11000000.00
TOTAL TIME	79.0 MONTHS

Block A shows the specific project measures (input data). Only four independent values need be supplied: (1) project length (ft), (2) volume excavated (yd^3), (3) volume backfill (yd^3), and (4) plan area (yd^2). The other measure – net structure volume (yd^3) – is computed by the program.

Block B shows the unit costs associated with cut-and-cover line work. These values are put in as program data, and all eight must be supplied.

Block C gives the basic work event costs computed by the program for each event as the product of the relevant project measures and the unit cost.

Block D gives the cost sensitivity coefficients, which show the influence of the physical controls on the project work events. The numbers "1" through "8" refer to the eight project work events listed above. These values are program data.

Block E shows the level of each physical control, the value "2" signifying the medium level, entered as input data.

Block F repeats the levels of the first three physical controls.

Block G lists the scope-influence coefficients of the first three physical controls on the first three project work elements. These value, entered as program data, are all zero in this example, since the level of each physical control is medium.

Block H shows the work event costs based on those shown in Block C and adjusted by the computer for the effects of the physical controls (Blocks D through G).

Block I shows the cost sensitivity coefficients (program data) relating to the institutional controls. The three columns show, respectively, (1) the delay in months, (2) the lump-sum addition to the total cost, and (3) the fractional addition to the total cost. Values shown correspond to the intermediate level of institutional control (optimal control absent-moderate effect), denoted for each control in Block J by the number "2". These values are input data.

Item K and Block N show additional input data, specifically the labor productivity factor and cost escalation factors for labor and materials, each based on the San Francisco area in January 1974.

Blocks L and O show additional program data related to the breakdown of project costs into labor, materials, and overhead.

Blocks M and P show computer-adjusted values of total project cost. In Block M, the adjusted cost is based on that given in Block H and includes the effects of the institutional controls. This cost is adjusted further in Block P to include the effects of cost escalation on labor and materials. Block P also shows the total project time, including the effects associated with changes in project scope caused by the physical controls, and delays associated with the institutional controls.

HOW TO USE THE MODELS

The introduction to this section emphasizes the value of the models in determining relative cost trends of project sections with respect to variations or sensitivities. In this application, if the cost units given in the models and their relative proportions remain constant, then variations in the absolute values of the costs of work events are relatively unimportant. However, when costs of material, equipment, and labor are escalating rapidly at nonuniform rates (as they are at present doing), the

relative proportions of the various work event costs will change and must be reestimated. The procedures needed to undertake such cost adjustments and to handle other basic input data are described below.

Basic Cost Data

The generalized models were formulated using data that were developed principally from the five study cases and similar projects from the BART system. The quantities of the various work events and the prices (escalated to an assumed midpoint of January 1974) are considered average for the design types represented by the models. Therefore, the amounts in the Model Cost Summaries are only for these particular conditions.

In order to apply the model to sections within a transit system that is being planned, the following data must be developed:

- Preliminary design drawings and quantity estimates of the work events, i. e., quantities of utilities, excavation, concrete, etc.
- Cost unit to apply to the work events. Account must be taken of the geographic location of the work to obtain a factual cost representation. Costs should be escalated to the midpoint of the expected construction schedules.

Escalation. Traditionally, the estimation of the escalation costs of labor, material, and equipment to be applied to a projected midpoint construction expenditure date has been based on the projection of historical inflation records of previous years. With respect to the project studies, the project costs were escalated to a common January 1974, construction-midpoint base for comparative purposes. Historical records indicated that during the period from 1966 to 1973 the average annual combined escalation rate was about 11 percent for total construction costs. Individual component escalation averaged about 4 percent for materials and equipment and 10 percent for labor.

Towards the end of the recording period, there was a noticeable increase in the escalation rates, with the rate of increase for materials and equipment being steeper than that for labor. The problem of historical record projection information to future dates with good accuracy is therefore difficult.

Bidding Climate and Productivity. These items have a major effect upon the bid costs of projects. Both of them are mainly dependent upon the ratio of heavy civil engineering construction underway or immediately projected to the available contracting capacity on more or less a national basis. When there is much construction work being undertaken, available contracting capacity will be limited, competition will be low, and bid costs will be high. As the amount of construction work decreases, the competition becomes keener and the bid costs decrease. Productivity is related to the availability of trained labor, which in turn is dependent upon the volume of ongoing construction.

Therefore, in determining the cost units, account must be taken of the probable bidding climate and related productivity factors existing at the midpoint of the construction schedule for the project section to be modeled.

Estimation of Basic Cost Data. A study has recently been concluded in the southeast United States which assesses the escalation and bidding climate factors relative to estimating costs of future construction work. The study includes an historical survey of Government and National Construction Indices and other construction indices, as well as a survey of the current approaches of the local contractors in evaluating these factors.

The results of this study can be found in the Appendix.

Assessment of Physical Controls

In the generalized models, three designs of influence (or density) for the physical controls have been established: low, medium, and high. The first three physical controls listed in the models – utility density, traffic conditions, and existing structures – are particularly difficult to quantify prior to final design, as they are very dependent upon the specific location and conditions where the work is to be undertaken. Only experience gained in the construction of similar work in comparable situations will permit the influence of these items on the costs associated with the various work events to be accurately assessed. However, in the sensitivity trend analysis contemplated by the models, reasonably broad assumptions as to the density and the sensitivity factors of these three controls should be satisfactory.

Utilities. As far as the modeling is concerned, the utility cost element involves only those utilities that are within the general construction contract; i. e., the preconstruction utility contracts are omitted.

A utility plan from the construction contract documents of BART Project K0016 is indicated in Figure 7-10 and may be considered representative of medium utility density for a downtown station. It will be noted that although the utilities are numerous on both sides of the streets and most of the usual utility services are present, none is of major size.

As can be seen from the dotted outline, the station is wide enough to conflict with nearly all of the utilities, which is one factor to be taken into account when assessing utility density; another is the frequency of cross streets within the station limits and the number of utilities they contain.

Low utility density would be appropriate if, for instance, the utilities were reduced appreciably in number or if the structure was sufficiently narrow (as in the case of cut-and-cover line structure) to avoid many of the utilities indicated.

In a more densely developed street, such as lower Market Street, San Francisco, the size of the various utilities is much greater (e.g., sewers up to 60" in diameter), conflict at cross streets increases sharply, construction problems increase, and the utility density is rated as high.

Traffic Conditions. Owing to the traffic conditions in downtown city areas, cut-and-cover construction involves a degree of decking. For stations, which are normally located in areas of dense traffic, the model assumes fully decked excavation for the "medium and high traffic conditions." For line sections, which are generally located in less dense traffic areas, 50 percent decking is assumed for the medium and 100 percent for the high. In both cases, a percentage less than the medium case as indicated in the Physical Control Effects tables is assumed.

The effect of such degrees of decking upon the construction work events is one element of the influence factors. The other element is the restrictive effect of the traffic itself upon the performance of the work events. Therefore, in order to evaluate the effects of traffic conditions on the work events for a construction section to be modeled, the following information must be estimated:

- Extent of decking required
- Number of traffic lanes, if any, which can be closed for the contractor's use
- The degree of restriction the traffic will exert on the performance of the work events
- The degree of restriction the traffic will exert upon the ingress and egress of construction materials

In the case of tunnel construction, the last of the items listed above is generally the only item of consequence.

Existing Structures. It will be noted from the Physical Control Effects tables that, apart from the large variation in the cost of the item itself, existing structures influence only the cost of the excavation. For the cut-and-cover station and the tunnel models, it is assumed that in the medium case the existing structures will require some additional precautions in support of the excavation. Since a cut-and-cover line structure is normally narrow and is generally constructed in less densely built-up areas, the medium condition is assumed as zero effect.

To evaluate the effects of existing structures upon the excavation process beyond the medium condition, the extent of (and the cost of) additional excavation support and the time to perform the excavation must be known.

Ground Conditions. Excavation is the major work event affected by the condition and character of the ground, but it also has a considerable influence on the underpinning and utility work events.

For the medium value in cut-and-cover construction, the ground is assumed to have a high water table, but to be readily dewatered; to be somewhat variable, but fairly easily excavated.

For the high value, the ground might contain some rock might be squeezing or compressible, and/or might be difficult to dewater.

For the low value, there may be little water, and the ground may be easy to support, excavate, and dispose of. The type of ground and the condition of the ground determine whether the tunnel model is to be used in free air or in compressed air. After this determination is made, the medium, high, or low assessment of the ground condition is assigned on the same basis as for the cut-and-cover models.

Architectural Requirements. This control is applied to the cut-and-cover station model only. The medium and low values are generally the most functional and economic structural forms indicated in the model structure. Architectural requirements that increase the volume of the station or the shape, such as the need to use free-spanning arches or configurations that create difficulties in forming, excavation, support, or backfilling, must be evaluated according to the effect on the cost of these work events.

Weather. The San Francisco Bay Area has been assumed as the medium base for the control. The effect of weather upon the various physical controls is discussed in considerable detail in Section 5. In general, areas with greater or lesser extremes of high and low temperatures and greater or lesser precipitation than the Bay Area must be assessed as having a higher or lesser degree of influence on the various work events, respectively.

Fill Demand. The type of material being excavated and the demand for fill determine the cost of excavation disposal. The model medium values assume moderate distances to the disposal point and no charge for the disposal.

If distances to disposal are long and cost is incurred in the actual disposal, this control would be assessed as high. Correspondingly, if fill was salable within a short haulage distance from the construction site, the factor would be rated low.

Assessment of Institutional Controls

Details of institutional control determination for the model are described in Tables 7-11 through 7-18 and the accompanying text. The lack of institutional controls in a project have the effect of adding time and therefore cost to a project. When the models are used, the costs of the various institutional controls must be reestimated accordingly.

SUMMARY

It is important that users of the models understand the philosophy behind the model structure outlined above, since this philosophy bears importantly on the way in which the numerical results of the models must be viewed. The models show main effects, not fine detail. They are computerized, and their structure and use are completely documented to allow for future application and updating as the introduction of improved technology reduces basic project costs. It should be understood that these are not cost-estimating models; they should be used only to arrive at an "index" of probable relative costs between project alternatives.

Section 8

POTENTIAL COST REDUCTION TECHNIQUES AND NEW TECHNOLOGIES

INTRODUCTION

Throughout the development of this study, certain ways of improving project schedules, costs, and safety became apparent. These improvements, discussed in this section, include proposed methods of changing the owner-engineer-contractor relationships and improvements in existing cut-and-cover and tunneling methods. New technology in tunneling is also presented.

A report of a study conducted by Standing Subcommittee No. 4, Contracting Practices, of the U. S. National Committee on Tunneling Technology for the National Academy of Sciences, is very pertinent to the subject of changing the O-E-C relationships. The advance draft of the report, which is entitled "Better Contracting for Underground Construction," reviews most of the major items concerning O-E-C constructional practices (covered in Section 2 of this report) and makes recommendations to improve these practices. Some of the recommendations, such as those relating to the form of contract, call for far-reaching changes in present contracting approaches, and implementing these changes would require the coordinated efforts of several organizations, probably over a considerable time period. Other recommendations, such as those concerning "wrap-up insurance" and "alternative bids," have not yet received full approval of the committee responsible for the report. Thus, at the present time, there are many difficulties involved in changing O-E-C relations. Some of these proposed changes are discussed below.

PROPOSED METHODS OF CHANGING O-E-C RELATIONS

Because of the complexities of underground transit construction and the uncertainties regarding the physical conditions that have a major bearing on the construction costs, present-day construction contracts and specification are justifiably open to criticism. Implementation of the changes suggested in this section should lead to the removal of some of the undesirable features and reduce costs and construction time.

Contractual Changes

In the first approach, the contractor subcontracts most of the construction vide input to the engineering design and specifications. The first time he sees the contract documents specification and construction drawings is when they are issued for bidding, and it is only then that he can tell whether they will permit him to use his existing construction organization and equipment to the best advantage.

The bidding period of 6 weeks for the many items that have to be evaluated in pricing underground construction work does not permit serious study of the use of truly innovative construction techniques, which may result in modifications in the design. An even greater restriction is imposed upon the possible use of innovative equipment, which not only may require the design to be modified, but may also require some period for development and testing. The bidder's conference, which usually is scheduled 3 weeks before the date the bid is due, is the first opportunity the contractor has to meet with the engineer. Obviously, such a meeting is not the place where design changes to permit innovative approaches, with the related competitive implications, can be discussed openly. Nor is there enough time to make any of the probable design changes that an innovative approach might necessitate.

The shortcomings of current contractual relations may be summed up as follows:

- The use of innovative approaches and equipment is severely restricted.
- During the design phase, there is no engineer-contractor dialogue and no opportunity for contractor input; during the bidding, there is very little engineer-contractor dialogue.
- An adversary climate is established which permits provisions of the specifications to be challenged up until the final acceptance of the project.

It is to the advantage of all parties concerned to have the contractor's input in both the design and the contract documents and specification. Since such an input demands the contractor's time and money, reimbursement should be made accordingly. If the rules of competitive bidding are to be observed, the inputs of all selected prequalified bidders must be obtained. Innovative approaches devised by the contractor must be treated with the utmost confidentiality to preserve the contractor's competitive position. Unfortunately, confidentiality of this sort is difficult to guarantee.

Four approaches that incorporate the contractor's input in the design stage of heavy civil engineering projects bear discussion.

In the first approach, the contractor subcontracts most of the construction work and thereafter manages the subcontractors. In this approach, the contractor is selected from a prequalification list through a comparative evaluation of the following:

- Performance records, experience, quality of work, punctuality, ability to work within a budget, etc.

- Descriptions of potential contributions during the design stage and estimates of the cost of such contributions
- Fees for managing the subcontractors
- Estimates of the costs of any construction work performed by the contractor

Upon completion of the design, subcontract bids are obtained for the work, and the managing contractor then guarantees a price for the total work. Until this point, the parties are not committed to the entire contract. Upon acceptance by the owner, construction begins.

This approach was developed by James F. Abbott, formerly Manager of Construction of the University of California, and works well without violating any of the laws on public bidding, which usually require acceptance of the low bid. One of the reasons for its success is that a large percentage of the work is subcontracted.

A second possible way of incorporating contractor input during the design period is a two-stage bid approach. In this approach, the prequalified contractors make written proposals on the preliminary design documents, specifications, and drawings on all details except price. Each contractor describes how he wishes to do the work and has an opportunity of discussing proposed modifications of the design, specifications, or contract with the engineer. Following the discussion, the bidder is notified if his proposed construction methods are acceptable. At the end of the discussions with all the bidders, an addendum is issued to finalize the documents. This addendum covers the changes necessary to accommodate the various construction methods. Bid prices are then taken in the normal manner, the low bidder receiving the contract. To motivate the contractors, it is desirable and reasonable to reimburse the bidders for their first-stage participation. This procedure produces a highly construction-oriented and responsible input to design, which is often

lacking in present-day practices. It also gives the contractor time and opportunity to make a thorough study and interpretation of the subsurface exploratory data, which under current practices he does not have.

To minimize the probability that the contractor will include substantial contingency sums for unforeseen or difficult-to-evaluate conditions, the contract documents should make provisions for adjustment of prices on an equitable basis if the scope is changed or if the actual conditions differ appreciably from those indicated in the bid documents. Such conditions relate mainly to utilities, ground conditions, existing structures, and (to a lesser degree) traffic conditions.

Neither of the approaches discussed appears to conflict with any of the public works contractor selection procedures with which public-owned rapid transit authority must comply, and the adoption of either one could result in attractive cost services for a single-contractor project construction arrangement. However, because of the complexities of planning and engineering design, rapid transit construction in the present state of the art involves the awarding of many multimillion dollar contracts over a time period of several years, and the practicability of selecting and incorporating, in one form or another, numerous contractors within the engineering design teams is in serious question. It appears that at least the next generation of rapid transit systems will continue to be implemented using the same general principles as exist today, and that a procedure whereby direct input from the contractor can be incorporated into the engineering design must be deferred until the day when structural planning can avoid head-on conflicts with the peripheral work events and when structural systems become more standardized.

A third method of incorporating contractor input during the design period is a compromise approach which provides some of the desired balance between sound engineering design and economical construction practice.

This approach involves the creation of a contractors' panel during the preliminary planning and throughout the engineering design stages. The panel is composed of, say, three prominent contractors from the area of the proposed rapid transit implementation. Ideally, these contractors should be experienced in heavy civil engineering construction in the general geographical area of the proposed transit system.

During the engineering planning and design process, the panel is consulted at all key decision points including: discussion and agreements with authorities and agents; specification and contract document formulation; and scheduling and project size determination. The panel is available as a source of both information and advice to contractors interested in bidding on the contract work. Members (and their companies) of the panel are not eligible for bidding on and undertaking the construction of the system, but are reimbursed for their efforts.

A fourth approach of incorporating contractor input makes use of construction management. Construction management can be an important vehicle for providing construction-oriented input for engineering design, specifications, schedules, and the like, and can also become a source of information to contractors interested in bidding for the construction. In this respect, it performs a function similar to that performed by the contractors' panel, but its impact is not as great as that of the panel. Construction management can also provide the engineers with feedback about problems encountered during the construction of the various projects, particularly the early ones. A proper and creative analysis of such problems can lead to substantial improvements in the formulation of engineering and contractual documents for the subsequent project. The BART organization was illustrative of this philosophy, and construction management made important contributions to the engineering design and construction process.

In conclusion, it is recommended that the basic principles upon which the BART and WMATA construction documents were formulated should not be changed at this time. Maximum effort should be concentrated on developing construction-oriented engineering designs and contract documents, particularly with respect to the peripheral works. The most promising approach for achieving this is through an appointed and salaried panel of contractors or construction consultants who would not only provide input to the design engineering and contract documents from the contractor's point of view, but would also be a source of both information and advice to contractors bidding on the construction projects. The panel could serve as the needed bridge between the contractor and engineer, and might prove to be the catalyst for removing the often present adversary relationship that exists between the two parties.

Contractual Changes and Comprehensive Site Investigation

Scope changes caused by unforeseen conditions, and the problems arising therefrom, can be reduced considerably by instituting more detailed site exploration, particularly in conjunction with the aforementioned contractual changes. One of the shortcomings in the present system of gathering data on utilities, ground exploration, existing structures, and traffic conditions for inclusion in the bid documents has been the lack of contractor's input to the criteria for the data.

The specifics of locating utilities in relation to the underground structures, the decisions on which structures to support and how to support them, and the scheduling and sequencing of the work are of vital concern to utility owners and contractors alike. If the contractor could participate at the utility company/engineering planning meetings, he could inform the other parties of his plans and gain information that will enable him to optimize his construction details and schedule. In these meetings, each party can become better acquainted with the problems of the other. This might result in a better spirit of cooperation and lead to

a resolution of most of the unknowns, which the contractor would otherwise price as contingencies. Moreover, definitions of what constitutes "as-bid conditions" and what constitutes "changed conditions" for additional payment can be more readily determined. The result of all of this should be reduced construction costs.

The soil data required for excavation in cut-and-cover and tunnel construction differ somewhat from those required for engineering design. The designer needs to know the criteria for establishing soils values for final loading, distortion, and settlement, and he needs to know maximum groundwater elevations. The contractor must be aware of the excavation characteristics of the soil, the way it handles during removal, its suitability for backfill, the drainage parameters, and the quantities of water involved in dewatering operations. For cut-and-cover construction, he has to know the time of standup during excavation and whether or not the ground will run. (If during the excavation process the ground outside the excavation subsides, existing structures in the excavation area will have to be supported.)

Such ground information is even more important to the contractor for his tunneling process, and the accuracy of cost estimates for groundwater control (including the requirement for compressed air), rate of progress, excavation, muck handling, and removal, all of which are major cost items, depends upon the reliability and extent of the ground information available. Therefore, prior to bidding, the contractor, as well as the engineer, should provide input on the extent and form of soil testing required.

A typical soils investigation program might include:

- Thorough research of available information from engineers, contractors, and public agencies that have worked in the contiguous area

- The use of closely spaced bores or probes in areas where soil formations change to determine the location of formation interfaces and mixed face conditions, to obtain an accurate rock profile, and to determine the rock quality and the structural capacity of the rock formations
- Rigorous pumping tests in some situations to determine groundwater control requirements
- Test pits to establish ground behavior during excavation procedures and groundwater control
- Pilot shafts and tunnels in difficult ground situations to establish tunnel construction techniques
- More testing, including additional borings, to determine the volume and quantity of excavated material suitable for fill. Where rock is encountered, crushing tests may be required to establish its suitability as aggregate
- Examination of the geological structure of the area

The measures required to protect existing structures (or utilities) from ground disturbance resulting from nearby excavations are dependent upon several considerations, most of which are interrelated. Such considerations include:

- **Structure.** The size of the structure and the importance of its function, the sensitivity of the structure to settlements, the type of foundations, the horizontal and vertical proximity of the foundations to the excavation, and the problems of access to the structure for the construction support operations
- **Ground.** Sensitivity to settlement during the excavation and dewatering processes
- **Construction Techniques.** As an alternative to directly supporting structures, support excavation that can reduce ground movements to acceptable limits, using the following methods:

For cut-and-cover – slurry wall construction, recharging groundwater, tie back wall construction, preloaded bracing struts, soils grouting

A comprehensive traffic decking/detour plan covering the entire construction period and phases would be a great asset in minimizing traffic problems.

Contractual Changes, Comprehensive Site Investigation, and Field Testing

There are two groups of field testing objectives that may be undertaken during construction. The first is concerned with the monitoring of criteria (established in the specifications) that are designed to safeguard life and property during certain of the construction operations. The second deals with research and development, with the objective of improving the implementation of future rapid transit construction.

Testing items in the first group include periodic measurements for the following: movements of existing structures and ground surfaces adjacent to the construction during the process of excavation, stress and deflection of the horizontal and vertical bracing elements supporting the excavation, and groundwater elevations during dewatering and the various construction stages. Other routine testing is performed to determine the quality of materials and workmanship. Items covered include concrete welding, grading, and selection and compaction of fill material.

Additional second group field measurements that may produce substantial economic benefits for the implementation of future rapid transit construction are:

- The lateral movement of vertical excavation support, such as soldier piles and cast-in-place slurry concrete walls, and ground movements outside the construction
- Stress build-up in horizontal members
- Recording of the soils profile as actually encountered, and in some situations, soils testing in situ

A comprehensive program for assembling such data over a wide range of conditions will greatly aid in establishing design criteria and excavation procedures and techniques.

Stress and strain measurements in various elements of the cut-and-cover structure should be made at periodic intervals during backfilling and the restoration of the groundwater table. Such measurements should continue until the increase in stress and strain ceases or until they are no longer practical. The information obtained from all these measurements may well advance the economic design of cut-and-cover structures.

In tunnel construction, the following procedures should be undertaken:

- Instrumentation should be installed in tunnel linings to measure stresses and strains in the various elements at periodic time-intervals until all changes in tunnel geometry cease, or as long as practical after the construction.
- Pressure cells should be installed to determine actual soil pressures on the lining.
- Soils profiles as actually encountered should be recorded during the excavation, and, in certain situations, in-place soil testing should be performed.
- Both shallow and deep settlement reference points over and around the tunnel profiles should be established. Ground settlement readings should be taken at intervals during and after construction until all settlements cease.
- Devices should be installed in the soil outside the lining to verify, at periodic intervals during the operation of the system, the occurrence of any corrosive action of the soil on the lining.

The data from these tests and measurements are of inestimable value in advancing the state of the art of present-day tunnel liner design.

Preliminary Plans for Demonstrating the Effectiveness of the Changes Proposed

All three groups in the O-E-C organization, as well as various legislatures, are vitally interested in any actions that can reduce the time and cost of implementing the transit construction.

Of the three groups in the O-E-C organization, the engineer probably has the most influence in initiating contractual and technical changes. It is upon him that the owner chiefly relies for guidance in establishing the bases for such changes, and at the present time, the engineer largely determines the contractor's bidding policies and construction approach. Therefore, engineers concerned with rapid transit implementation should be the principal targets to which information about the effects of the changes proposed in this study should be directed.

This study should also be of great interest to contractors and representative organizations concerned with rapid transit construction. As a group, contractors are particularly alert to any changes that (1) result in better information at the bidding stage and (2) produce engineering designs and contracts that facilitate the introduction of innovative construction approaches. Various construction organizations are at present actively exploring the possibility of such approaches for heavy civil engineering projects, and some of the results may be applicable to rapid transit projects.

Specific Approaches for Implementation

It seems obvious that changes in practices to conform with the conclusions of the study will not become effective immediately; a continuing organized effort will be required and will be directed on a broad front at all segments of the tunneling industry. To assist in bringing about these desired changes, a document that provides concise statements of recommended

procedures should be prepared for circulation. This document should be directed at engineers, contractors, owners, and legislatures, and should accomplish the following:

For engineers:

- Promote the presentation of papers at meetings of professional societies of national, state, and local levels and promote the publication of such papers in the professional literature.
- Supply appropriate educational material to engineering departments of universities and colleges.
- Furnish information to professional societies, such as ASCE, CEC, SAME, NSPE, AIA, and others in related fields of accounting, cost engineering, law, contract administration, environmental protection, et al.

For contractors:

- Keep contractor organizations, such as the Associated General Contractors (AGC) and the National Constructors Association (NCA), informed of the latest developments.
- Promote study recommendations by the AGC and NCA.

For owners and legislatures:

- Supply information to federal agencies (primarily DOT), Transit Districts and Authorities, state legislatures, state transportation departments, Congress, and city governments in cities where rapid transit programs are underway or contemplated.
- Promote changes in regulations for administration of planning, research, and capital grant programs that will discourage the growing tendency on the part of grantors to impose rigorous controls.

which all too often develop into virtual project management.

- Promote legislation for local, state, and federal government to implement study recommendations where necessary.
- Identify legislative bodies, public works bodies, and leading citizen's groups, and promote political activity to inform them of the study recommendations.

A study of contracting practices for tunneling and underground construction is being conducted by the U. S. National Committee on Tunneling Technology (USNC/TT) of the National Research Council. Standing Subcommittee No. 4 has investigated many elements of the foregoing discussion, particularly with respect to the pre-contract data on soils information, underpinning, design specifications, and contract details. The final recommendations of the study undoubtedly will prove a valuable contribution to improvement of O-E-C relations.

IMPROVEMENTS OF CUT-AND-COVER TECHNOLOGY

An analysis of the costs of the construction events in the study projects indicates that new technology may bring about the following improvements in the time/cost factor.

Utilities

Although this is a prime cost event in underground construction, few technological advances for improving the current methods of relocating, supporting, or restoring utilities can be expected. The best way of dealing with utilities is to avoid them, if possible.

Decking for Traffic

The present system of temporary timber decking leaves much to be desired. The deck is potentially dangerous to traffic and pedestrian alike

because of its poor surface skid characteristics and the often abrupt changes of grades at the decking approaches make it hazardous to users. As the cost of lumber continues to rise, its use in the future will become increasingly less economical. Possible alternatives to lumber are ductile iron deck units and permanent road support deck.

Ductile Iron Deck Units. The Japanese appear to have pioneered the manufacture and use of these units, which are available in various standard dimensions. Their abrasive surface provides good traction; they seem to be economical and easy to install and remove; and they have a longevity far greater than that of timber. Their initial cost, however, may be appreciably higher than the initial cost of timber, even at present-day timber prices.

The adoption of these units will depend on the number of repetitive uses that may be obtained and on the increase in the amount of standardization of deck-supporting structures. Such deck units appear to be future candidates for owner-furnished material.

Permanent Road Support Deck. In conjunction with slurry-tremie concrete walls, a permanent deck appears to be an attractive alternative to the temporary deck in a number of situations. The benefits to traffic and adjacent businesses of installing the deck and restoring most of the roadway at one time are immediately obvious. Permanent decks have been used with success in many instances outside the United States. The cost of installing the deck is partly offset by the savings realized from not having to pay for (1) the cost of backfill, and (2) the additional station structural costs needed for the support of the 8 ft or so of backfill.

Figure 8-1 shows a typical installation for a station. The sequence of the principal installation is outlined.

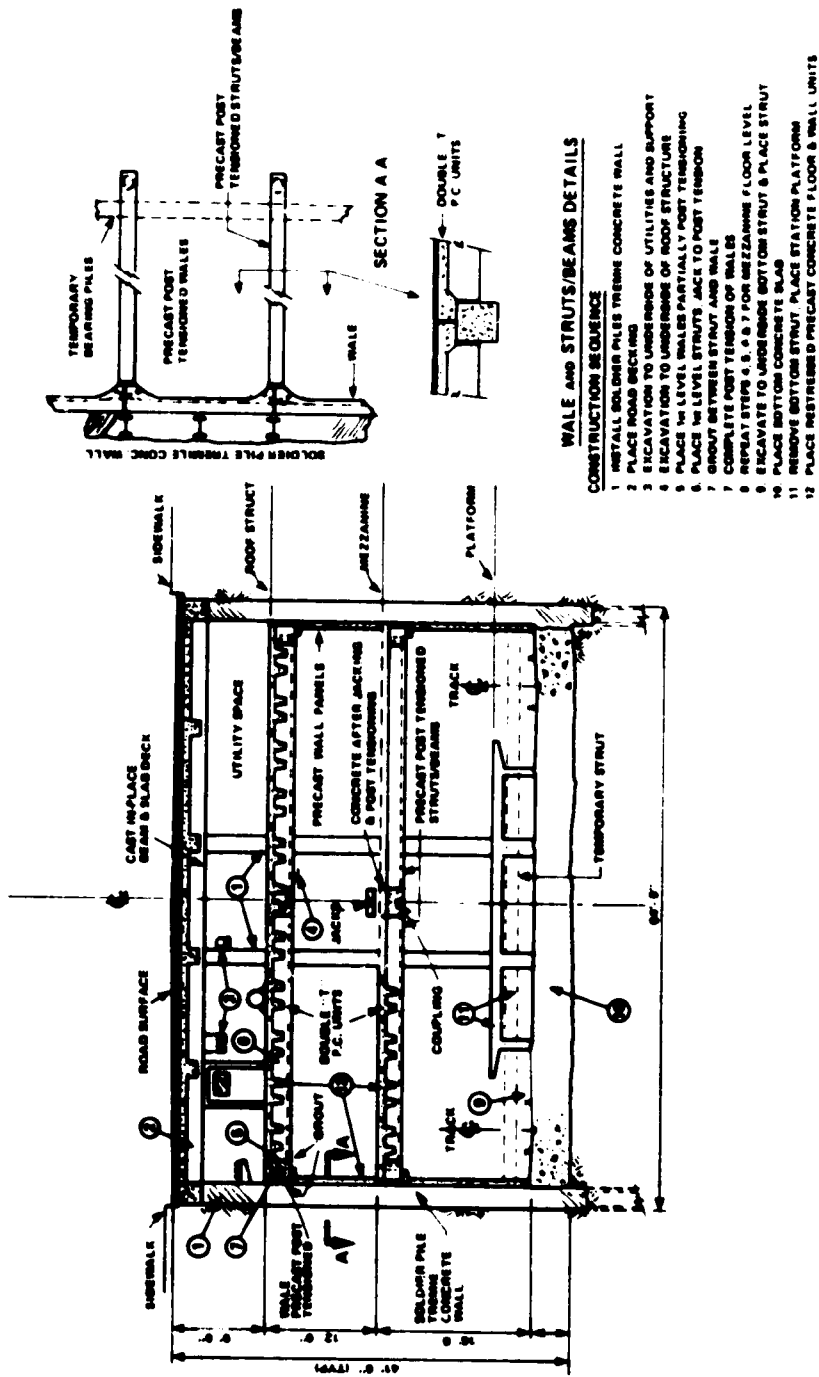


Figure 8-1. Cut-and-Cover Station - Permanent Road Deck, Precast Concrete Unit Construction

The concept of having the utilities located in an open space above the station roof is not new, and the space is no more than a short utilidor. However, before this concept can be adopted, certain problems with the utility companies must be resolved. These include:

- Separation of gas and electric services
- Protection of exposed utilities
- Possible space congestion problems, particularly at intersections
- Control and maintenance of the utilidor

Underpinning of Existing Structures

Since this work requires considerable labor in restricted working spaces, underpinning and other methods of supporting the foundations of existing structures are likely to remain an expensive operation. However, the time/cost aspects of the work can be improved in the future by the methods described below.

Obtaining More Precise Soils Information and Improving the Art of Soils Disturbances Prediction. This is particularly critical where the parameters of foundation type, depth, and distance, relative to the excavation, and the soil characteristics are borderline. With the present state-of-the-art methods of determining these parameters, a prudent designer will of necessity take the conservative approach and when in doubt will elect to underpin. In many cases, such costs can be avoided by better ground and design information.

Mud Jacking. This is a system whereby grout is injected under a foundation with sufficient pressure to cause the foundation to rise. Mud jacking thus restores a foundation that has settled from its original elevation.

For a square or rectangular footing, the approach is to drill and sleeve grouting holes at an inclined angle under and below the footing around the perimeter of the footing. Grout (a mixture of various clays and cements) is successively injected under controlled pressure at each hole, thereby consolidating the soil under the foundation. Continued grouting eventually raises the foundation to the required level.

During one of the BART construction projects, this method was used to raise a footing of a freeway which supported several hundred kips. The footing was raised several inches but not to the full amount desired. This lack of complete success was due partly to the inexperience of the contractor, but the method seems to have considerable future promise.

Excavation Bracing

Where the horizontal bracing steel was used as a permanent component of the station structure in the BART system, the result indicated a good potential for cost savings.

This principle is illustrated and described in Figure 8-1. In this example, precast post-tensioned concrete was employed. The increase in time in installing the walings and struts appears to be more than offset by the time saved in the construction sequence by not having to remove temporary bracing. Figure 8-1 also indicates the use of precast concrete wall units as final surface finish and prestressed concrete floor units placed directly on the precast concrete strut/beams. With a certain degree of standardization, both of these items could be economically attractive.

IMPROVEMENTS IN EXISTING TUNNELING TECHNOLOGY

It may be assumed that most rapid transit tunnel structures in downtown areas will be constructed in various types of ground and that the ground

will usually have a relatively high water table. Improvements in existing lining systems and excavation techniques, which are discussed below, should be attainable without extensive modifications of present planning and design concepts and existing construction techniques, and should result in increased efficiency of the tunneling process, with corresponding economic benefits.

Segmented Linings

A standardized design (such as that adopted in the BART system), together with the advantages of bulk purchasing of the linings by the owner, does appear to give appreciable cost savings in lining purchase costs. The adoption of national standards would produce yet lower costs in future systems. Such standards ought to include the ring diameters (preferably the outside diameters), the width of the rings, and the ring tapers. It should be noted that these standards depend upon the adoption of standard transit car dimensions and springing characteristics.

In the interests of both lining manufacture economy and operation and maintenance of the system, consideration should be given during the layout of the system to keeping the radius of track curvature above a certain minimum. For example, in the case of the BART tunnels, the minimum radius of track curvature was 500 ft, which required that the base design tunnel inside diameter, designed for a track radius of 1500 ft, be increased from 16.5 ft to 17 ft. The lining for the tunnel with the 16.5-ft i. d. (1500-ft radius of curvature) was 7 percent less expensive than that for the tunnel with the 17-ft i. d. (500-ft radius of curvature).

More important, small-radius tunnels restrict the advance of the shield owing to the tendency of the shield tail, as it changes direction, to bind on the ring. This, in turn, imposes a limit on the width of the segments,

as well as requiring the increased use of tapered rings. Both these factors increase the cost of the linings.

In summary, restricting the radius of curvatures of tunnels to, say, 1500 ft and greater will lower the cost of linings by reducing the tunnel diameter, reducing the number of tapered rings, and permitting the use of a wider ring for many soil conditions. In addition, such a restriction will increase the rate of tunnel advance.

Gaskets in neoprene, or a similar material, could be used to provide watertightness around the perimeters of the segments, either as a complete or as a partial substitute for present-day methods of caulking. This would eliminate not only the caulking, but also the cleaning of the caulking grooves, which sometimes get packed with mud and grout; and both caulking and cleaning are laborious and expensive. Current estimates indicate that the caulking and the preparation of the caulking average \$120 per tunnel foot. In firm ground, additional savings could accrue from reducing the number of segment bolts, whose main function is to hold the segment faces together in order to maintain the integrity of the caulking.

Excavation Methods

For the BART system tunnels, approximately 35,000 ft was constructed with tunneling machines, and approximately 31,000 ft was constructed with shields using manual excavation.

In Table 8-1, production rates for two different methods of tunnel construction are compared for a single tunnel, 5,200 ft long, in free air.

There are three facts revealed in Table 8-1 that are of particular interest:

- 1) At 1974 labor rates, it is less expensive to use tunneling machines than manual shields.

- 2) The time it takes to erect a ring lining is the same for both methods.
- 3) The downtime for the tunneling machine is substantial.

Table 8-1

**PRODUCTION RATES FOR TUNNEL CONSTRUCTION
USING TUNNELING MACHINES AND TUNNEL
CONSTRUCTION USING MANUAL SHIELDS**

	<u>Machine</u>	<u>Manual</u>
AVERAGE RATE OF RING ADVANCE		
Time to excavate and advance shield	20 min	85 min
Time to erect lining ring and grout tail space	45 min	45 min
Time cycle for on 2-1/2-ft-wide ring advance (no equipment downtime)	65 min	130 min
Equivalent advance per day	55 ft	28 ft
Average equipment downtime over project	27 %	10 %
Average advance per day over project	40 ft	25 ft
AVERAGE LABOR IN HEADING AND SHAFT		
Labor per day, three shifts @ 8 hr per shift	122 men	102 men
Labor per foot of tunnel	24.5 man-hours	32.5 man-hours
AVERAGE COSTS		
Labor cost per foot tunnel @ \$17.55/hr	\$430	\$790
Equipment & plant (incl. muck handling)	211	116
Equipment operation, maintenance, and power	30	27
Direct cost per foot - single tunnel	578	882
Indirect cost - 45% of direct cost	260	398
Per foot - single tunnel	838	1280
Per system foot - two tunnels	\$1676*	\$2560*

*Costs are estimated using 1974 as a base and include costs due to shaft crew, lining erection, grouting, excavation, and indirect costs such as overhead and profit. Costs not included are those due to muck disposal, shaft construction, mobilization, invert concrete, tunnel liners, and underpinning.

The major element in the rate of tunnel construction is seen to be the placing of the lining, which accounts for 70 percent of the production time in machine-driven tunnels and 35 percent of the production time for manual shields. (No allowance for breakdowns is made in either case.)

In recent years, there has been no major technical development for appreciably accelerating the present methods of building segmented rings. Present-day ring segments are manufactured with considerable precision in order to minimize bolting fit-up delays and to improve watertightness. Precast concrete segments are sometimes more difficult to erect owing to the long bolts required and the problems of matching the holes.

The BART welded steel rings, which are typical in many designs, required from 51 to 72 high-tensile bolts, and in a 17-ft-dia. tunnel, it takes an appreciable amount of time to torque these bolts to the specified tension.

Without changing the current erector arm methods of placing the segments, the rate of tunnel construction could be accelerated by wider segments, fewer segments, and less equipment downtime.

- Wider Segments. In reasonable ground, the normal standard could be increased from 2-1/2 ft to 4 ft. Although the weight would be increased, the segments would not take appreciably longer to erect. If the number of bolts per segments remained constant, the time required for installing lining erection per foot of tunnel might be reduced approximately in proportion to the two widths, or about 40 percent.

Wide segments would require compatible tunnel geometrics (see the discussion in "Segmented Linings"), or alternatively, two segment widths within one system.

Wide segments are not suitable for use in loose or squeezing ground. Ground of this sort will drop or

close in around the ring as the shield advances and before the tail space grout can be placed, thus increasing the ground displacements and surface settlements. This situation might be improved by better grouting techniques. In addition, with manual excavation, the greater excavation length in front of the shield, the more hazardous is the support of the face. This danger, however, may be lessened by the use of a full face support tunneling machine.

Another factor to be considered with the use of wider segments is the effect on the corresponding lengthened shield shove jacks during horizontal alignment changes. That is, the shield assumes a different line than the erected lining. This produces bending moments on the jack pistons, causes excessive wear on the seals, and thereby increases the amount of maintenance required. Both better design of the jack mountings and restriction of the use of wide segments to the larger-radius tunnels would minimize this problem.

- **Fewer Segments.** If the segments were longer, there would be fewer segments in a ring, and ring erection might proceed faster. The length of the segment is to some degree limited by both the material used and the method of manufacture, but the main limitation is the diameter of the tunnel and the feasibility of maneuvering the segments into position in a crowded tunnel heading. Six-segment rings appear to be fairly standard, with four used occasionally in a 18-ft-dia. ring. There is at least one example of a three-segment ring in a 20-ft-dia. tunnel. Optimizing studies, which take into account the tunnel construction equipment, the segment-handling and erection methods, and segment design, are required to determine the most economic segment length.
- **Equipment Downtime.** As can be seen in Table 8-1, the 27 percent average for the tunnel machine was apportioned as follows:

Tunneling machine	14%
Muck trains	3%
Unspecified	7%
Nondelivery tunnel rings (owner-controlled delay)	3%

Some of the causes of machine downtime were: failures of motors, bearings, rollers, and hydraulic lines; and distortion or cracking of complete components, such as oscillation cutter arms or cutting wheels.

For every hour of machine production time lost, 21 man-hours were lost at the tunnel heading. (Additional man-hours were lost in the shaft and surface.) This is equivalent to the labor required to construct a 1.65 ft length of tunnel. Reducing the downtime to 10 percent, as for manual shield driving, would increase the production rate of machine tunnel driving from 40 ft/day to about 50 ft/day, and would reduce the labor per foot in the heading and beyond from 12.5 man-hours to 10 man-hours. The results would be an 11 percent lower tunneling cost.

Both machine and other equipment manufacturers and tunnel contractors are aware of deficiencies of the performance of the machines and equipment under the arduous conditions imposed by the underground work. The experience gained in the BART projects should go a long way toward decreasing downtime in future projects.

Grouting

Grout injection into the space between the lining and ground accounts for about 20 percent of the labor in the tunnel heading. The success of the grouting operation depends upon the skill and judgment of the grouting crew (and sometimes the results are less than satisfactory).

Problems are often experienced in sealing the space between the inside of the shield tail and the outside of the tunnel ring. This sealing process is required to prevent the grout from running back into the tunnel. The contractors of some of the latest BART tunnel projects fitted the tail of the tunneling machines with various types of neoprene skirt seals. Some of these seals performed fairly satisfactorily, but most had to be replaced frequently. This need for replacement was partly due to drag when the shield tail bound on the segments, and partly due to the grout cement setting up around the seal during machine downtime and dragging the seal off when the advance resumed.

There are three major grout requirements: it must be easy to place; it must be able to fill all voids; and it must have a reasonably rapid setup time at initial low strength. Grout strength is not as important a requirement. Improved grouts have been developed recently in Europe, but so far these grouts do not appear to have been used in the United States.

Ideally, grout should be placed from several grouting points in the tail of the shield, with continuous injection during the advance of the shield, thereby filling the tail space as it develops.

Caulking

In addition to incorporating segment face gaskets, discussed in "Segmented Linings," caulking systems other than the one that employs lead should be considered. In 1968, BART undertook a research and test program to determine the possibilities of such alternatives. Two systems appeared promising.

The first used a neoprene hollow teardrop extension which was forced into the 1/4" wide x 3/4" deep caulking groove. The groove had previously been treated with an epoxy adhesive or else it was applied directly to the extrusion.

The second system employed a caulking yarn, called "Sealite," which expanded when in contact with water. Two layers were placed in the segment caulking groove to a depth of about 3/8", and the remaining space was filled with epoxy adhesive.

The epoxy adhesive in both systems was formulated to provide good bond to wet and dirty surfaces.

A test installation in a BART tunnel of both systems was made, and both showed considerable promise.

It was concluded that both systems produced satisfactory watertightness in the segments, and that some development work should be undertaken to automate the placing of the caulking material and the epoxy adhesive.

NEW TECHNOLOGY

The preceding discussion on tunneling technology was based upon existing cut-and-cover planning concepts and current cut-and-cover and tunneling construction techniques. New technology for cut-and-cover tunnel installation, alternative approaches to station planning, the probable impacts upon tunneling installation techniques, and the effect upon the installation of the combined underground structural system will be described in this subsection.

Driving Tunnels Through Several Contiguous Station Locations

In most rapid transit systems in downtown areas, the principal barrier to faster and more economic tunneling is the close spacing of the cut-and-cover stations, which limits tunnel projects to lengths ranging from 1000 ft to 5000 ft. To maximize the potentials of present-day tunneling machines and to spur the development of automated and continuous tunnel-building equipment, it is mandatory to increase the tunnel lengths, and to achieve this, it will be necessary to drive the tunnels through several contiguous stations. The structural systems and the resulting station arrangements that will result from these concepts are discussed below.

Tunneled Trackway, Cut-and-Cover Station. Figures 8-2 and 8-3 illustrate the sequence involved in tunneling through the station location and constructing the station later, either in cut-and-cover or by mining techniques. In both cases, the three major construction stages required are in principle the same and involve the work tasks indicated below.

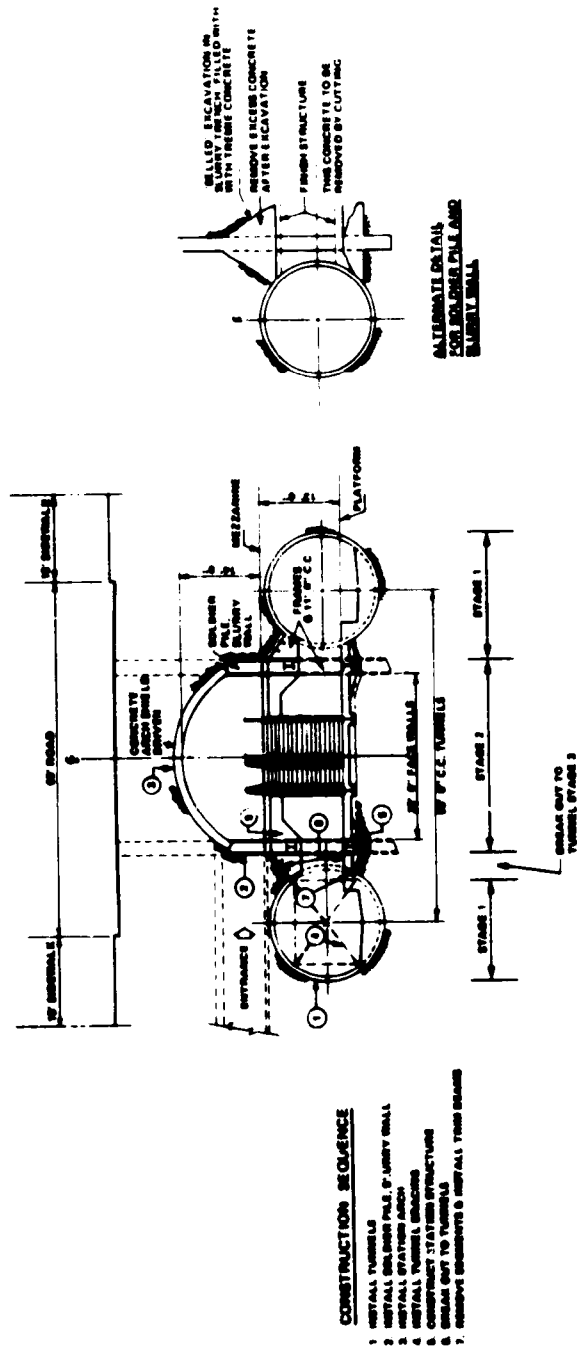


Figure 8-3. Mined Station Construction, Tunneled Trackway

Stage 1. The tunnels are driven to whatever economic length may be determined. At the station platform areas with segment-lined tunnels, half segments and keys are provided, as necessary, to facilitate the removal of segments and permit a straight interface with the trimming girders.

Stage 2. The station is installed. Figure 8-2 indicates a relatively orthodox-type cut-and-cover installation of decking, soldier piles, and lagging. Figure 8-3 shows a mined station installation which takes advantage of soldier-pile slurry-wall construction.

In both concepts, the mezzanine and platform structure are designed to accommodate the thrusts and bending moments from the cantilevers supporting the tunnel opening. In addition, the supporting columns, which may be installed initially as soldier piles, are spaced to minimize obstruction to the train doorways. About 6 ft clear is provided from the column face to the platform edge. This distance could be increased with more expenditure in the supporting steel structure.

Stage 3. The tunnels are suitably braced to prevent movements arising from soil disturbance during the station excavation and during the removal of the soil and the segments in the tunnel "break-out" operations. In this stage, the following is done.

- Main platform cantilevers are mined and cantilevers and other steelwork are installed.
- Mining is done between the platform cantilevers and the roof, and floor beams with lagging are installed.
- Tunnel liner segments are removed, trimmer beams are installed, and connections are made to cantilevers.

The structural work is completed by removing the tunnel bracing and concreting the platform roof, the platform floor, and the tunnel inverts.

In Figure 8-3, the alternate detail indicates a technique for facilitating the tunnel breakout work, particularly in bad ground. As shown, the slurry wall is "belled" with a special tool so that the concrete may be placed close to the tunnel lining.

Tunneled Trackway, Tunneled Station. Figure 8-4 indicates the concept of tunneling through the station location and subsequently tunneling the station platform and the stair and escalator accesses. The mezzanine is shown constructed in cut-and-cover, but of course it can also be mined, as indicated in Figure 8-3. This system has been used extensively in London and was also used in compressed air construction for a section of the Toronto subway.

There are five stages in the construction sequence:

Stage 1. The trackway tunnels are driven.

Stage 2. From the trackway tunnels, station shield chambers approximately 30 ft in diameter are mined. The station tunnels are driven using shields of the required size around the tunneling tunnels, and trackway tunnel lining is recovered in the process. At the platform access points, half segments and keys are employed to facilitate making the openings.

Stage 3. The station access breakouts are made by removing segments at the portal positions and supporting the openings with steel posts and lintels. The cross passages are mined in preparation for the stair and escalator shafts.

Stage 4. The stair escalator shafts are mined, and the lining is erected manually and bulkheaded at the top.

Stage 5. The mezzanine is constructed in cut-and-cover.

In good ground, Stages 4 and 5 may be done in reverse order.

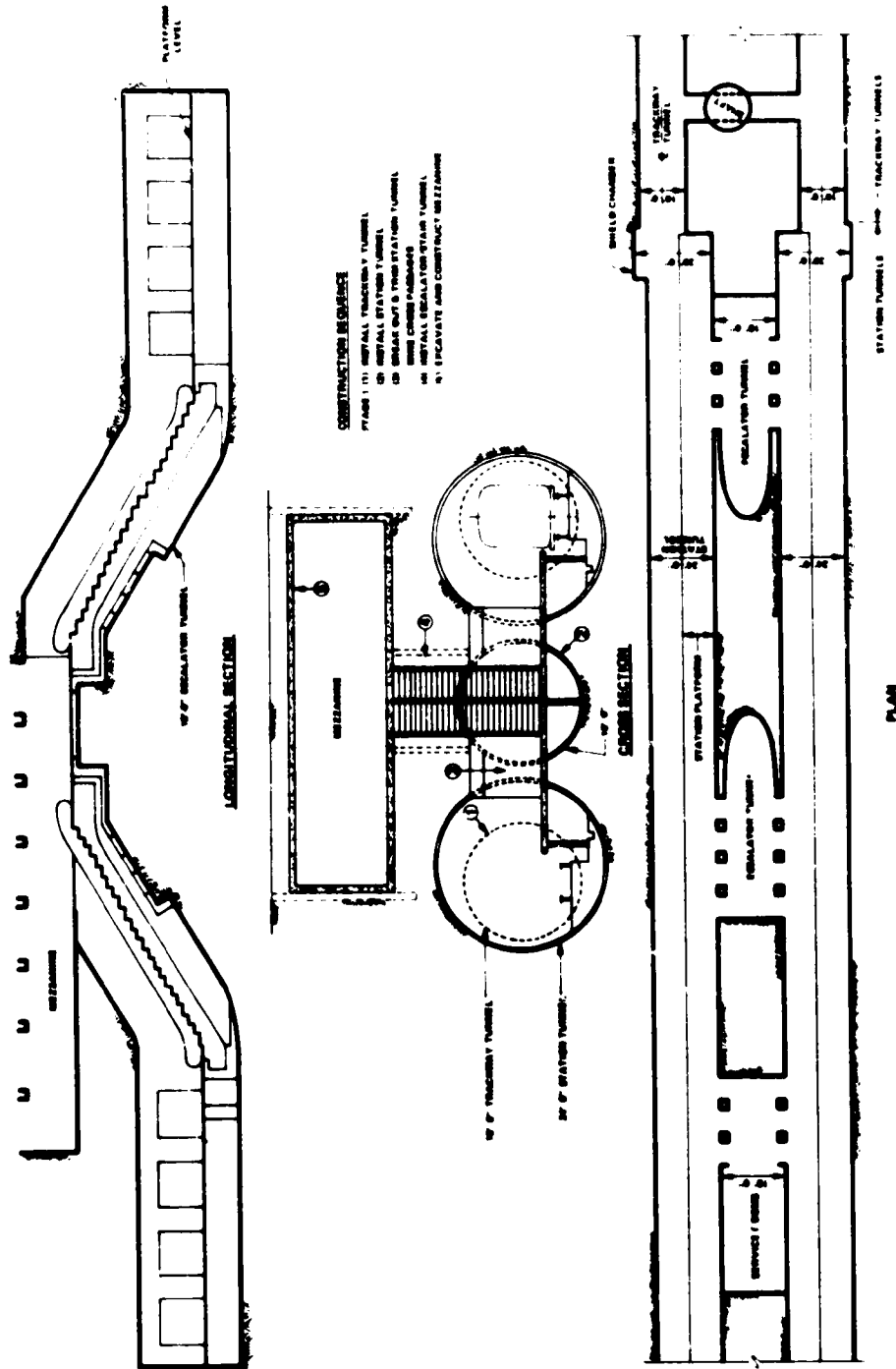


Figure 8-4. Tunnels Station Construction, Tunnels Trackway

The station shield chambers may become blast fan shafts by linking them to the surface by means of a vertical, or inclined shaft.

The arrangements and construction of the stair and escalator shafts and mezzanine can be varied considerably. For instance, the stair and escalator shafts can be constructed in cut-and-cover and can be located for a side platform arrangement, and the mezzanine can be mined to reduce traffic and utility interference.

Further Advantages of the New Techniques. Apart from permitting the construction of the running tunnels in economically long lengths, the three station concepts illustrated in Figures 8-2, 8-3, and 8-4 permit the size of the mezzanine to be reduced to the width required for its function rather than the width set by the trainway, as in present-day cut-and-cover construction.

The concept illustrated in Figure 8-2 reduces the surface and utility disruption to an extent proportional to the street excavation eliminated.

The concept of Figure 8-3 limits surface and utility disruption to that occasioned by installing the two slurry concrete walls. In addition, as the street surface does not have to be opened up over the plan of the station, the mezzanine need only be as long as required for its function.

The concept of Figure 8-4 provides the potential for reducing the street and utility disruption even further, particularly if the mezzanine is being mined or tunneled.

The concepts of Figure 8-3 and 8-4 both lend themselves to planning arrangements that remove the mezzanines from the streets altogether and place them at the surface within the property lines. The underground station work is limited to the station platforms and their accesses.

The actual underground construction, particularly when mined, is considerably more costly and time-consuming than construction undertaken in the open. However, as noted previously, these costs are offset by the savings due to: the practical elimination of time and cost peripheral items (such as utilities and street traffic) on the installation of the cut-and-cover construction; the decreased volume of structure; and the reduced environmental impacts.

In addition to the reduction of tunneling costs that can accrue from the improvements suggested in "Improvements in Tunneling Technology," there can be cost reductions that stem from the use of more economical lengths. Moreover, in addition to the incentive for contractors to introduce more efficient mechanization of excavation and muck handling, there are many incentives for the development of more innovative approaches for tunnel design and construction. Three such developments will now be examined: continuous tunneling, the helical segmented lining, and the slip-form concrete system.

Continuous Tunneling

The System Work Flow 3 diagram (page 3-45), discussed previously, indicates all the basic tunneling operations that are being performed continuously and simultaneously, including the placing of the lining and the performing of the excavation. Current tunneling machines and muck-handling equipment have that capability, and any shortcomings in reliability can certainly be remedied. The tunnel lining is presently the bottleneck to a continuous tunneling operation, and the two systems of helical segments and slip-form cast-in-place concrete (described in "Improvements in Existing Tunnel Technology") appear to offer possibilities of providing a solution.

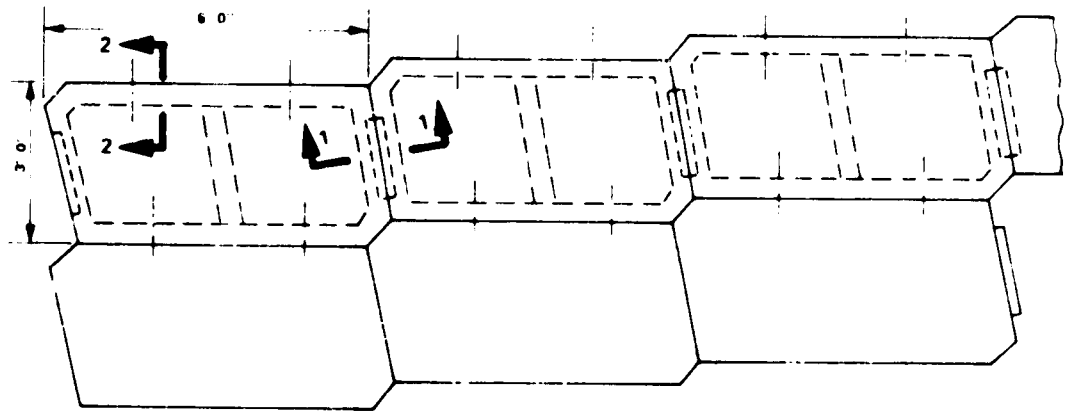
Helical Segmented Lining. The normal "straight" segmented lining is composed of rings with the circle faces normal to the longitudinal axis of the tunnel. The segments constituting the ring cannot be placed until the tunneling machine has advanced the width of the segment (or ring), which is normally 30" to 36". Unless the shoving jacks and the shield tail are long enough to permit the machine to advance continuously during the erection of all the ring segments, the machine must be stopped during the ring-building period. In most cases, the jacks and the shield tail cannot be made long enough (and hence the machine must be stopped), since jack design, jack maintenance, and construction problems increase proportionally with increase of jack and shield tail length.

In an approach to continuous tunneling machine advance that increases the jack and shield tail length only slightly, the segments in the ring are arranged in the form of a helix, the lead of the helix being the width of a segment. The advance of the machine (for each segment erected), therefore, is the segment width divided by the number of segments in a ring. For example, for a ring composed of four segments and a segment width of 30", the advance for one segment is $7\text{-}1/2$ ".

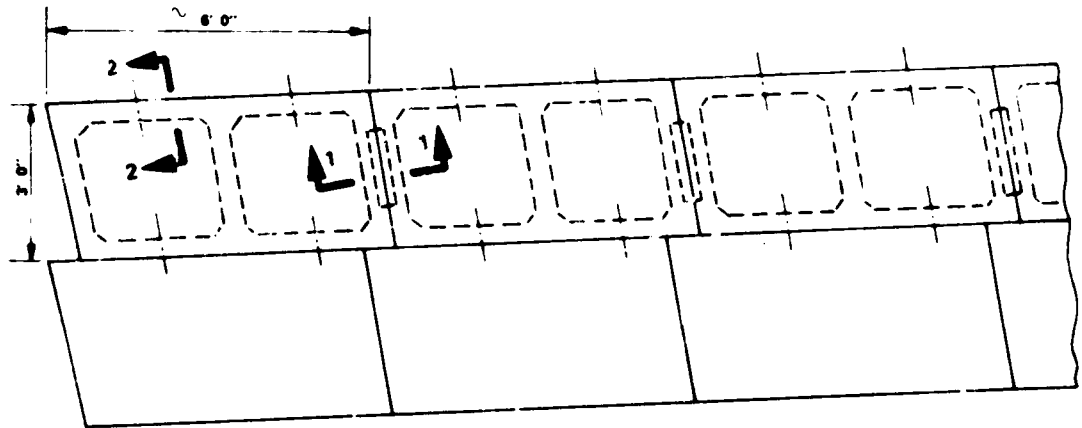
There are two systems of helical segments:

In the first system (see Figure 8-5, Type 1), the circle faces are normal to the line of the tunnel, and the radial faces are normal to the lead of the helix. The advance of the ring is obtained by stepping the adjacent segments. This system was used in the construction of some parts of the Munich, Germany, Rapid Transit System.

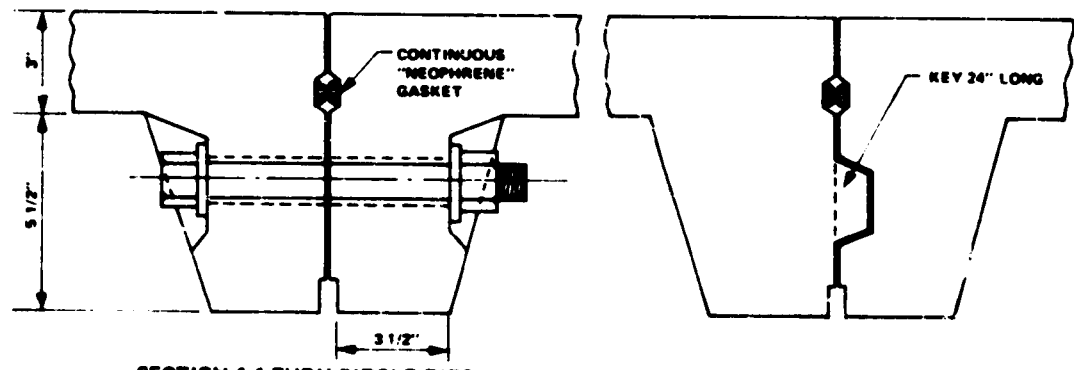
In the second system (see Figure 8-5, Type 2), the circle faces are inclined (to the degree of the helix) to the line of the tunnel, and the radial faces are normal to them. The circle faces are flush, one with another, but the rate of advance for each segment is the same as in the first system.



PLAN OF SEGMENT ARRANGEMENT TYPE 1



PLAN OF SEGMENT ARRANGEMENT TYPE 2



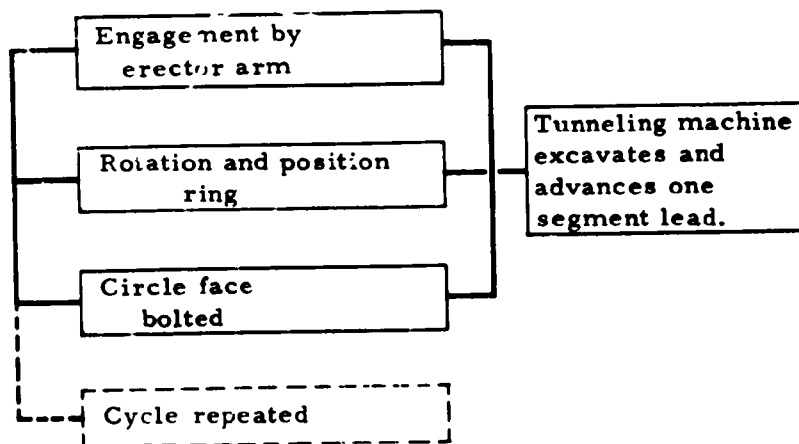
SECTION 1-1 THRU CIRCLE RIBS

SECTION 2-2 THRU RADIAL RIBS

Figure 8-5. Helical Segmented Tunnel Lining

The faying faces and bolt details are the same for both systems. From Figure 8-5, the following can be seen: continuous gaskets are provided in rebates in each segment; the design is such that the compressibility pressure of the gaskets exceeds the external groundwater pressure; a caulking groove in the segment toes serves as a backup water-sealing system; and the radial faces are keyed to each other (not bolted), but the circumferential joints are bolted one to another.

For a continuous-construction operation, the segments should not be so long that their placing requires the retraction of two or three shield shove jacks. This results in 8 to 10 segments in a ring. The cycle for segment erection is as follows:



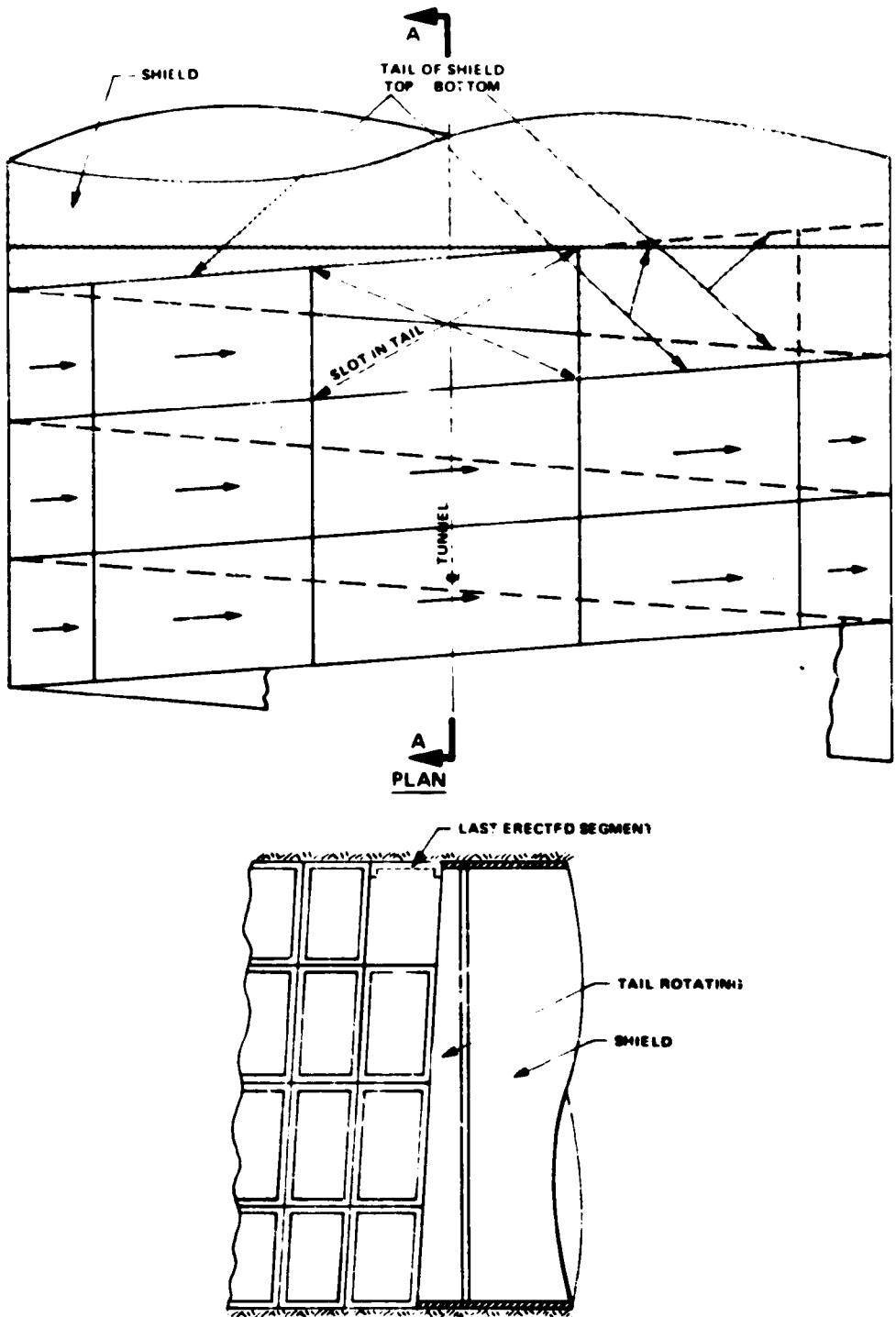
The system has the possibility of becoming automatic to a large degree. If it did, the following processes would be included:

- Each straight and tapered segment would be coded in accordance with the geometry of the tunnel.
- According to the coding, the segments would be conveyed continuously to the tunnel heading.
- Tunneling machine advance, shove jack retraction at the position of the segment being erected, and pickup and placement of the segment by the erector arm would be synchronized and automated.

- The steering of the tunneling machine would be programmed and automated.
- Grout would be injected continuously into the tail space, concurrently with the advance of the tunneling machine, with continuous grout mixing and continuous operation of the delivery equipment.
- Bolting of the circle joints might be accomplished by the development of a "press stud" fastener principle. Alternatively, bolts could be placed manually by personnel who might be required in the heading for monitoring purposes anyway.

As discussed in this section and elsewhere in the report, grouting the tail space when the shield advances is a somewhat difficult and expensive, but necessary task. In homogeneous ground with good stand-up properties, the shield can cut a neat, clean circle, which makes it possible to dispense with the tail of the shield and erect the lining directly against the ground. This was done frequently in the tunnel construction of the London Transport System whenever the blue clay (which is predominant in the area) was encountered. Because the clay is free from water, it is possible to use nonbolted, expandable segmented linings in cast iron or in precast concrete. The expansion of the linings is performed with jacks inserted in slots in the lagging at the spring lines and produces a tight interface between the lining and the soil.

The development of a helical lining system offers an intriguing possibility of placing the segments directly against the ground, with the use of the rotating tail unit depicted in Figure 8-6. The tail piece would support the ground around the perimeter of the erected lining, except for the area in which the next segment would be placed. Here the ground would be exposed to an area which, in a 10-segment ring, would be about 6 ft x 3 ft. After the segment has been placed, the tail would rotate and the succeeding segment placed. The fact that only a relatively small area of ground would be exposed at any time makes good homogeneous ground less of a requirement.



SECTION A-A
Figure 8-6. Helical Segmented Tunnel Lining Erection of Segments Directly Against Excavation

Slip-Form Concrete Lining. Theoretically, for the continuous tunneling process, there is no better lining system than slip-formed, cast-in-place concrete. For some time, tunnel designers, contractors, and research engineers have expressed a great deal of interest in this system, and it appears that some of the major problems preventing its application may be solved in the near future.

The two initial requirements of lining a tunnel in ground are:

- Support of the shield shove jack reactions
- Almost immediate support of the ground as soon as it is excavated

In the slip-forming of concrete structures such as bins and silos, the rate of travel of the form is relatively slow (about 1 ft per hour), and the reactions on the placed concrete are low because the forms react on steel rods incorporated within the concrete. As a result, normal time-set portland cement is satisfactory in these applications.

For a tunnel, however, the progress can be as great as 10 ft per hour, the reactions of the tunneling machine may be on the order of 120 kips per foot of circumference (which for an 8" thick lining is about 1250 psi), and the lining must support the ground pressure shortly after being placed. Thus, any slip-form system for tunnel linings requires a concrete of high early strength, which is the factor that determines the length of the slip form.

The four basic elements of slip-form tunneling equipment are indicated in Figure 8-7. These are:

- A steel cylinder or slip-form (whose outside diameter is equal to the tunnel inside diameter) fixed to the shield

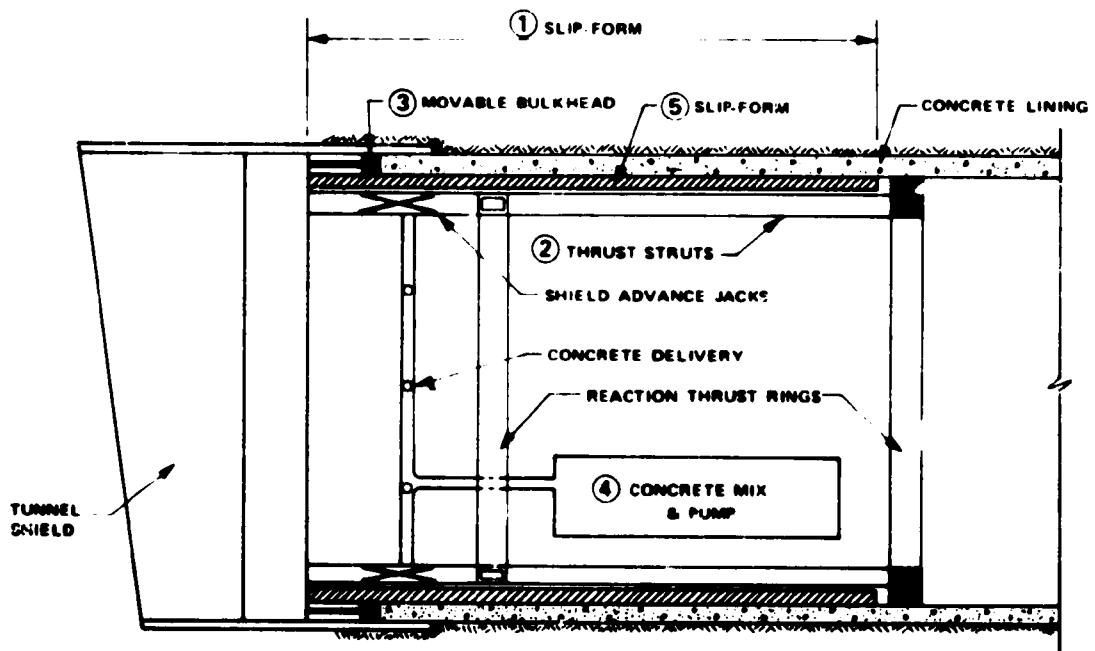


Figure 8-1. Slip-Form Concrete Tunneling Concept

- Two thrust reaction rings and struts. The rear ring, which grips the set concrete behind the slip-form, is released periodically when the shield jacks reach the end of the slip-form. The front ring stabilizes the struts to the reactions of the shoring jacks.
- The movable bulkhead between the shield tail and the slip-form. This provides additional control of the pressure of the concrete as it is being placed ahead of the bulkhead.
- The concrete mixing and the delivery equipment from which the concrete is placed at several points through the slip-forms

Several portland cement companies have Regulated-Set cement under development, and at least one company manufactures it commercially. Typical concrete strengths attainable appear to be 1000 psi after 1 hour, with a slower rate of increase of strength thereafter. Literature from the Research and Development Laboratories, Portland Cement Association, Skokie, Illinois, describes the characteristics of this cement, and it appears that a concrete could be produced from this cement with the desired high early strength properties that would allow a slip-form length of about 25 to 30 ft — a practical length.

In the Portland Cement Association paper, mention is made of steam curing in conjunction with Regulated-Set cement. This process no doubt would speed the rate of increase of strength and consequently shorten the slip-form.

Placing reinforcement in a slip-form tunnel system would be difficult owing to the congested space at the head of the slip-form and the desirability of making the tunnel process as continuous as possible. The question arises: Does a tunnel constructed by the methods suggested above require reinforcement for structural purposes at all? If modern machine-driving techniques are used, if the concrete is placed directly against the ground, and if the ground is reasonably good, movements after the concrete sets up

are likely to be slight. Radial planes of the linings would be under compression, and any cracks developing in the circumferential direction would tend to be small. Cracks in the longitudinal direction would develop, and although they would have little effect on the structural performance of the lining, they could be troublesome in water-bearing ground. A gunited internal skin, applied after the completion of the lining, would prevent leakage.

A second course of action would be to incorporate short steel fibers in the concrete mix, which, according to current research, provides the concrete with considerable tensile strength. Although this would distribute cracks, it is doubtful whether they would be eliminated altogether, and internal waterproofing skin might still be required.

Pressurized Slurry-Face Tunneling Machine

The need for such machines is the result of: (1) the high cost of labor or productivity resulting from the limitation of working hours in compressed air, which is imposed by most compressed air regulations (see Figures 3-10 and 3-11); and (2) the fact that some ground and tunnel situations make the use of compressed air working unsafe or impractical. An example of the latter situation is tunneling in very soft, water-breaking silts or muds, which are difficult to stabilize by chemical or other means. The pressure of the ground and water across the shield face will vary more or less hydrostatically in the vertical direction, while the pressure of the air will be constant. If the air pressure is made equal to the ground pressure at the bottom, the air will escape at the top and can cause a blowout and a subsequent collapse; if the air pressure is made equal to the ground pressure at the top, the ground and water may not be controlled at the bottom, with the same dire results.

A pressurized slurry-face tunneling machine is illustrated in Figure 8-9. This machine permits the tunneling of bad ground in free air and consists of:

- A watertight bulkhead behind the rotating cutter head
- A slurry pipe for the muck removal and a return pipe for slurry makeup
- Shield tail seals to permit the slurry and the groundwater to flow into the tunnel (which is in free air) from the space between the shield tail and the tunnel lining

Two countries, Japan and England, have slurry-face tunneling machines under active development. The Japanese tunneling machine, illustrated in Figure 8-8, is presently owned and manufactured by the Mitsubishi Company. A detailed description of its principles of operation is given in the Appendix. During the course of a number of projects in which this machine was used, some major problems were encountered and solved. It appears that further development work is required to improve its reliability, particularly with respect to the seals, bearings, and slurry system. This is not brought out fully in the paper in the Appendix.

The English pressurized slurry-face tunneling machine – called "the bentonite shield" – is similar in operating principle to the Japanese machine. Most of the development costs of about \$1,000,000 were underwritten by the National Research and Development Corporation – a British Government Agency. The ground in which the machine was tested – water-bearing gravel, grading from 10" cobbles to fine sands – presented a different, and in some respects more difficult, set of conditions than did the silts and sands encountered by the Japanese machines, and in this type of ground, the English system seems to solve most of the major problems of tunneling. At the present time, it is ready for more extensive testing in differing ground conditions. A description of the machine and muck-handling system is given in the Appendix.

In summary, both the Japanese and English systems give considerable promise of providing alternatives to compressed air working and solutions for tunneling in heretofore impossible situations.

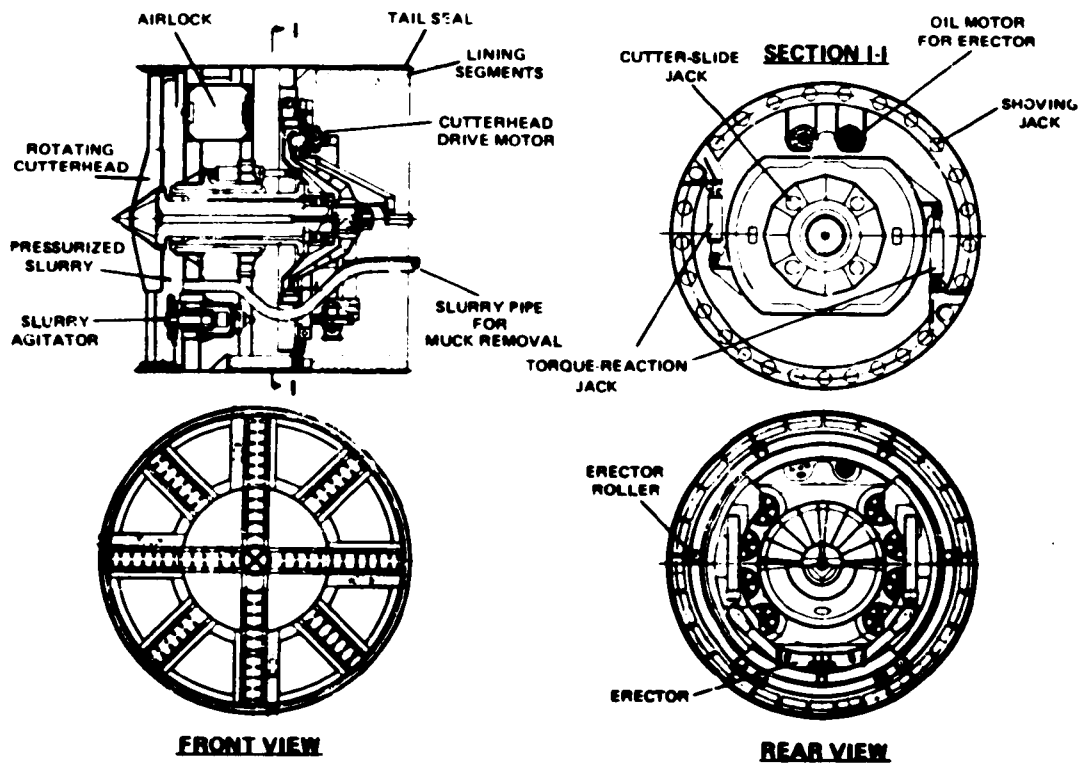


Figure 8-8. Tunneling Machine with Pressurized Slurry-Face

Section 9

CONCLUSIONS AND RECOMMENDATIONS

Many conclusions can be drawn from a review of the many subjects in the preceding sections. In this section, however, the discussion is limited to major conclusions only, in order not to confuse, but to highlight, the major aspects of the report. In line with the conclusions, recommendations for further research and development are presented.

CONCLUSIONS

System Modeling

By the process of separating several projects of rapid transit underground structures into their principal construction components (or work events) and pricing the components, it has been possible to determine the relative importance of these components within the projects. From the analysis of the project contract data and other related material, the various factors that affect the costs of the components were identified and evaluated. This resulted in a determination of component sensitivity factors with acceptable degrees of accuracy.

The sensitivity factors were further developed and refined from an in-depth study of rapid transit technology, contractual procedures, and environmental effects. Structural models were synthesized for use with sensitivities.

These systems models are for typical underground transit structures in average downtown situations. The models were formulated so they could

be used with a minimum of specifications relative to technical design, site, characteristics, and institutional arrangements.

With respect to the different types of transit structures, the models can be used for:

- Determining project cost sensitivity to any or all of the site physical controls and the institutional controls
- Demonstrating the inefficient areas of current approaches to the design and construction
- Evaluating the effects on project time and cost of changed technology and contractual approaches

It is expected that such application will provide a much better understanding of the many variable factors which influence the cost/time framework of rapid transit construction.

Contracts

The inherent complexity of underground transit construction requires that a continuing effort be made to improve the contractual interface between the owner-engineer and the contractor. The need for construction-oriented engineering design and other contract documents is self-evident; there is also a need for improved informational sources to contractors interested in bidding on the work.

Both these needs might be served by a panel of contractor or construction consultants who would (1) function in an advisory and informational capacity to the engineer; (2) solicit technical and contractual suggestions from; and (3) generally act as a sounding board for interested contractors.

One shortcoming in the present contractual system relates to inadequate definition of work items, such as utilities, traffic conditions, and underpinning requirements. Here, members of the panel might participate

in the engineering planning meetings with the municipal authorities and the utility and building owners, and in this way, an expression of contractor's opinions could be obtained.

The establishment of continuing communication between the panel and major construction contractors in the states would be a source of valuable input to the engineering design and contract documents. In return, the contractors would gain early information concerning the probable scope and contract requirements of the projects on which they might be interested in bidding. This would allow a more orderly planning of the contractors' resources, and hence an improved bidding climate. Procedures would have to be established to allow this information to be openly disseminated. This would preclude certain contractors from gaining an unfair advantage over others.

Technical Considerations

A review of the interrelationships between planning design and construction indicated some areas which have an overriding effect on the cost / time framework of the transit system as a whole.

The principal area of concern is the present cut-and-cover station concept. Inordinate construction costs and schedule restraints result from constructing this type of station in heavily trafficked streets and in the generally dense complex of utilities encountered therein. The cut-and-cover station also impacts upon the cost of the adjacent tunneled line projects. The stations, which are normally less than 1 mile apart in the central business district, create physical barriers to tunneling the line structures in economic lengths, and this in turn stifles more innovative approaches by the tunneling contractor.

Several modified station planning approaches and construction techniques can be used to permit tunneling through the stations and to reduce, or

virtually eliminate, street disruptions. Such modifications include reducing the mezzanine areas, divorcing the mezzanine from the station, and incorporating slurry wall design with partial or fully mined construction techniques. An examination of existing tunneling technology indicates that substantial potential economic benefits can accrue from increased mechanization of the tunneling process. This would be generated from longer tunnel projects, improved tunnel lining design, and the development of continuous lining and tunneling systems.

Environment

Environmental conditions within the construction site and safety and insurance programs are two factors that can have a considerable effect on construction economics and that are likely to assume more importance in the future. With respect to unifying and monitoring safety programs, system-wide insurance coverage has definite advantages, and it can also provide economic benefits to the owner.

Although accident and injury reporting forms give some useful information, they should be expanded to provide comprehensive data as to the cause of the accident/injury and the type of work that resulted in the accident/injury. This would allow statistical evaluation which would indicate the necessary corrective procedures in work approaches and organization and the type of worker training needed to reduce the accident/injury incidence. The degree to which productivity is affected by accidents and worker/injuries could therefore be established. Both owner and contractor would share in improved safety-oriented construction.

RECOMMENDATIONS FOR RESEARCH AND DEVELOPMENT

Improved technology can be readily attained by applying already developed and tested construction techniques or materials. New technology requires research and development to demonstrate effectiveness and worth. The

subjects listed below suggested for further study or for research and development are derived, in general, from a review of the analyses of the study projects.

Optimizing Rapid Transit Station Design

It will be noted in Tables 6-8 and 6-9 that the study project stations cost about \$21,000 per system foot (1974 costs). Part of the stations' costs can be attributed to the large mezzanine booking halls, which are apparently much in excess of passenger requirements.

The mezzanine could be constructed, either in cut-and-cover or in tunnel, to the optimum size for predicted passenger loads by tunneling the trackway through the stations and "breaking out" to the platforms. Alternatively, the platforms could be constructed by enlarging the trackway tunnels. Study programs could establish the optimum mezzanine sizes for: various passenger loads; the economic benefits from the lessened surface traffic dislocation, utility disturbance, and street reconstruction during construction; the effect on the cost of the actual station structure; and the effect of reduced operating and maintenance costs of the station.

In addition, the scope of such investigations should include concepts which remove mezzanine/booking halls from the street to within private rights-of-way. This is primarily an economic determination which trades construction costs for right-of-way, with a possible additional cost offsetting factor in the commercial value of air rights. The effect on construction, operation, and maintenance costs of the station with a "no fare" system (i. e. , one with no mezzanine, in which passengers enter and exit directly, sidewalk to platform) should also be included.

Lining Systems for Optimizing the Tunneling Process

As indicated in Tables 6-13 and 6-14 (BART tunnels), the cost of tunnel liners is about 15 percent of tunnel construction cost. The liner erection is a substantial percentage of tunnel construction, a major work event, as indicated in Table 8-1. The two lining systems that appear to offer attractive possibilities in reducing both these cost items are discussed below.

Helical Tunnel Lining Systems. The advantages of such systems are:

- The elimination of the key and the special segment necessary in "straight" ring systems. That is, only one segment type need be produced (plus modification for tapers)
- The possibility of continuous lining erection and tunnel excavation

A research program is needed to determine the required design and field testing of such systems. The scope of the program would include the bolting or other fastening needed for the segments and the requirements for manufacture and testing of segment joint water barriers. Such barriers could be a substitute for caulking against water seepage.

Slip-Form Concrete Tunnel Lining Systems. The development of such systems enhances the possibility of performing continuous tunneling, thus reducing the manual labor content in present-day tunnel construction. The research program would not only include development and laboratory testing, but also the associated tunneling and concrete placing component. In this respect, it should be noted that a program of research on concrete mixes appropriate to the application of slip-form tunnel lining is already being conducted by the University of Illinois for the Federal Railroad Administration.

Tunneling Machine with Pressurized Slurry Face

With the current emphasis being placed on more and deeper tunnels for rapid transit, methods that avoid the use of compressed air for construction in bad ground have assumed great importance. Compressed air working, even at moderate pressures, substantially increases the costs of tunneling. Reference to the generalized model sensitivity (Tables 7-5 and 7-6) indicates that compressed air tunnels (in about 14 psi pressure) cost \$5,809.00 LF or about 27 percent above those constructed in free air (\$4,588.00 LF). Tunnel machines with pressurized slurry faces present a promising alternative to compressed air working, and both England and Japan appear to have demonstrated the feasibility of such machines. A research program could be set up to report on the state of the art in both these countries and recommend the course of action needed to develop the machine for use in the United States.

Geology/Soils Information Bank

The importance of subsurface information relative to rapid transit underground construction is widely recognized. In the BART system, substantial time and effort were spent in gathering historical soils data, much of which were incomplete. The effort needed would have been considerably less if a soils information bank had been in existence. In most cities where a rapid transit system is being considered, there is a wealth of geological/soils information that has been generated by private construction interests. This information, however, may not be readily available to the builders of rapid transit systems and other public works. A geology/soils information bank, containing records of all soils investigations in a metropolitan area and available to the public, would save everyone time and money.

Environmental Impacts of Underground Construction

Detailed investigation of environmental impacts and costs were outside the scope of this study. However, a great deal of data are needed as additional input for the evaluation of construction alternatives in conjunction with the use of the model. Also, research needs to be done in assessing impacts of the surface/underground construction interface, with particular reference to traffic dislocation and business disruption.

Both these items are interdependent; they are generally rather localized; and they are both short- and long-term factors that are difficult to quantify with believable accuracy.

It would appear that a statistical method would be the most promising approach for any research program to be undertaken on the subject. As a guideline to such an effort, the following is suggested:

- Historical data would be gathered from systems (or parts of systems) recently implemented or currently under construction. Such systems might include those in San Francisco*, Washington, D.C., New York, Chicago, and Toronto.
- In conjunction with the gathering of historical data, a program would be established to monitor systems yet to be constructed. Such systems might include Atlanta and Baltimore, as well as Washington, D.C., New York, and Chicago.

The data gathered should be oriented toward both cut-and-cover and tunnel construction and should include information on:

* A current impact study, "The BART Impact Study," is a comprehensive assessment of the impacts of the San Francisco Bay Area Rapid Transit System. The study is being conducted by the Metropolitan Transportation Commission with participation of the U.S. Department of Transportation, the U.S. Department of Housing and Urban Development, and the California Department of Transportation.

- The number and type of vehicles delayed by the construction, and the duration and frequency of the delays
- The elimination of vehicular trips
- The effect of rerouting traffic on contiguous streets
- The number and types of businesses affected by the construction
- The degree to which construction affects each type of business, i. e., reduction in profits, loss of productivity, etc.
- The number of businesses that have relocated (or have ceased to operate) during construction.
- The effect on businesses and traffic after construction is completed

Quantification of these data might permit a "total cost to the public" to be approximated during and after construction. Such costs would be set against construction costs established by the models for the evaluation of alternative construction methods.

Improved Accident Reporting

Investigation of accident and injury reports from the BART and WMATA study projects revealed that in some cases the information requested on the forms was omitted. Consequently, realistic evaluation of accident/injury aspects of the projects was not possible.

Injury reporting should have a high priority in any safety program. The information needed should be systematized to include all of the following:

- Type of accident
- Number of accidents
- Types of injuries
- Number of injuries
- Cause of accidents/injuries
- Type of work being performed when injury accident occurred

- Location on job site of accident/injury
- Severity rates
- Frequency rates
- Length of occupational experience and age of employee
- Lost time injury reporting
- Compliance or lack of compliance with safety regulations at time of accident
- Type of training program given to employee, by whom, (union, etc.)
- Follow-up safety supervisor/meetings, subsequent to job accident/injury (i.e., action taken to prevent similar accidents from occurring)
- Some indication of fault by safety supervisor (or by insurance company on insurance forms)

These data can then be interpreted by the contractor and the owner-engineer to show various weaknesses in the safety program, relationships of occupational injuries to productivity, and other vital conclusions such as:

- The phases of tunnel construction most hazardous to employees
- Relationship of occupational experience and age to severity and frequency rates
- Relation of type of accident to type of injury
- Number of lost time injuries relative to type of accident/injuries
- Frequency of minor accidents (little or no lost time) due to job carelessness
- Total decreases in productivity due to occupational injuries/accidents
- The group of employees that needs special attention from the safety supervisor (young, inexperienced employees, general laborer vs. skilled workers, etc.)

- The need for the contractor to take extra precautions in problem areas such as maintaining equipment, supervising clean-up crews, enforcing rules regarding wearing of safety goggles, gloves, and other safety gear, etc.
- An evaluation of the strengths and weaknesses of job training by unions, etc., prior to hire by contractor

**APPENDIXES
A THROUGH F**

CONTENTS

	<u>Page</u>
A. COST INFORMATION	A-1
Daily Construction Service - BART Project Bids	A-1
Bid Tabulations - WMATA Project Bids	A-8
Historical Cost Reports and Construction Reports - BART Projects	A-23
Project 1K0016	A-23
Project 1S0022	A-32
Project 1S0031	A-43
B. RAPID TRANSIT SYSTEM COMPUTATION PROGRAM	B-1
C. ACCIDENT REPORT SUMMARY AND REPORT FORMS	C-1
WMATA	
Accident Experience Summary	C-1
Report of Accident or Damage to Equipment or Property	C-5
Report of Personal Injury	C-8
Employer's First Report of Accident	C-11
Workmen's Compensation Commission	C-12
National Surety Corporation Monthly Accident Summary	C-13
BART	
Employer's Report of Industrial Injury	C-15
D. ESTIMATED ESCALATION VALUES	D-1
E. ENGLISH BENTONITE SHIELD	E-1
F. REPORT OF INVENTIONS	F-1

Appendix A

COST INFORMATION

DAILY CONSTRUCTION SERVICE			
TUNNEL CONSTRUCTION			
	PAGE 1		
12-6-66(BARTD-12TH ST.OAKLAND STA., BROADWAY TNLS.CCNTR.#1K0016)			
SAN FRANCISCO,CALIF.(UNIT AND TOTAL BIDS RECEIVED,TAKEN UNDER ADVISEMENT)			
PERINI CORP.,MORRISON-KNUDSEN Co,INC(JV) 255 CALIFORNIA ST.,S.F.,\$19,428,625(OPTION 1) LOW TO S.F.BAY AREA RAPID TRANSIT DIST.,814 MISSION ST.,S.F.,FOR CONST.THE 12TH ST. OAKLAND STA.&BROADWAY TUNNELS ON THE DIST'S OAKLAND DOWNTOWN LINE IN THE CITY OF OAKLAND, CNTR.#1K0016.			
	OPTION 1	OPTION 2	
(1)PERINI CORP.,MORRISON-KNUDSEN Co.,INC.(JV) S.F. - Low	-	\$19,428,625	
(2)WINSTON BROS.,FRED J.EARLY, JR.CO.,INC.,DONALD M.DRAKE CO., S & M.KEMPER CONST.CO. (JV) SAN FRANCISCO	-	\$20,227,048	
(3)ROTHSCHILD, RAFFIN & WEIRICK,INC., PIONBO CONST.CO. & FAZ Co.,INC.(JV), SAN FRANCISCO	-	\$21,353,700	
ENGINEER'S ESTIMATE	\$19,389,930	\$18,972,855	
	(1)	(2)	(3)
L.S.,TRAFFIC CONTROL & MAINT.	\$200,000	\$145,000	\$220,000
PER LFT. ADD UNDERPINNING PILES	55.00	55.00	55.00
PER LFT. DEDUCT UNDERPINNING PILES	30.00	30.00	30.00
PER CU.FT. ADD CONC.PIER UNDERPINNING	6.00	6.00	6.00
PER CU.FT. DEDUCT CONC.PIER UNDERPINNING	4.00	4.00	4.00
<u>OPTION 2</u>			
L.S. MOBILIZ.&PREPARATORY WORK	\$370,000	\$970,000	\$970,000
1 PARCEL UNDERPIN &SUPPORT CAT.A 0-K348	\$5,000	\$126,000	\$180,000
135,200 CY.SUBWAY STA.CUT-&-COVER EXCAV.&FULL SUPPORT EXC.	35.00	39.50	27.00
10,080 CY. CL.4000 CONC.WALL,SUBWAY STA.	80.00	83.50	120.00
11,400 CY. CL.4000 CONC.SLABS ON GRADE,SUBWY.STA.	40.00	43.00	35.00
23,725 CY. CUT-&-CVR.SUBWAY STA.BXFL.	8.40	10.40	7.00
5,620,000 LB.BAR REINF.STL.,SUBWAY STA.	.13	.12	.12
TOTAL OPTION 2	\$7,979,290	\$8,648,020	\$7,249,475
1 PARCEL UNDERPINNING &SUPPORT.CAT.A 0-K053	\$15,000	\$10,100	\$17,000
1 PARCEL UNDERPINNING &SUPPORT.CAT.A 0-K054	\$18,800	\$15,000	\$20,000
1 PARCEL UNDERPINNING &SUPPORT.CAT.A 0-K055	\$15,500	\$11,500	\$19,000
1 PARCEL UNDERPINNING &SUPPORT.CAT.A 0-K056	\$6,000	\$4,900	\$7,000
1 PARCEL UNDERPINNING &SUPPORT.CAT.A 0-K057	\$7,300	\$8,400	\$10,000
1 PARCEL UNDERPINNING &SUPPORT.CAT.A 0-K060	\$16,800	\$6,400	\$20,000
1 PARCEL UNDERPINNING &SUPPORT.CAT.A 0-K339	\$14,700	\$6,800	\$20,000
1 PARCEL UNDERPINNING &SUPPORT.CAT.A 0-K340	\$5,100	\$6,400	\$7,000
1 PARCEL UNDERPINNING &SUPPORT.CAT.A 0-K342	\$74,000	\$82,000	\$130,000
1 PARCEL UNDERPINNING &SUPPORT.CAT.A 0-K349	\$24,500	\$33,500	\$40,000
1 PARCEL UNDERPINNING &SUPPORT.CAT.A 0-K352	\$4,500	\$4,500	\$7,000
1 PARCEL UNDERPINNING &SUPPORT.CAT.A 0-K363	\$15,500	\$17,900	\$20,000
1 PARCEL UNDERPINNING &SUPPORT.CAT.A 0-K411	\$17,300	\$23,000	\$35,000
1 PARCEL UNDERPINNING CATEGORY B 0-K050	\$5,200	\$5,200	\$5,200
1 PARCEL SUPPORT CATEGORY B 0-K050	\$5,700	\$3,400	\$6,000
1 PARCEL UNDERPINNING CATEGORY B 0-K326	\$2,500	\$2,500	\$2,500
1 PARCEL SUPPORT CATEGORY B 0-K326	\$4,200	\$1,800	\$7,000
1 PARCEL UNDERPINNING CATEGORY B 0-K361	\$64,000	\$64,000	\$64,000
1 PARCEL SUPPORT CATEGORY B 0-K361	\$11,900	\$1,600	\$35,000
1 PARCEL UNDERPINNING CATEGORY B 0-K362	\$83,000	\$83,000	\$83,000
1 PARCEL SUPPORT CATEGORY B 0-K362	\$21,000	\$3,900	\$50,000
1 PARCEL UNDERPINNING CATEGORY B 0-K382	\$65,000	\$65,000	\$65,000
1 PARCEL SUPPORTING CATEGORY B 0-K382	\$18,000	\$2,700	\$40,000
1 PARCEL UNDERPINNING CATEGORY B 0-K383	\$22,000	\$22,000	\$22,000
1 PARCEL SUPPORTING CATEGORY B 0-K383	\$14,700	\$1,400	\$30,000
1 PARCEL UNDERPINNING CATEGORY B 0-K385	\$3,400	\$3,400	\$3,400
1 PARCEL SUPPORTING CATEGORY B 0-K385	\$2,800	\$1,100	\$5,000
1 PARCEL UNDERPINNING CATEGORY B 0-K397	\$31,000	\$31,000	\$31,000
1 PARCEL SUPPORTING CATEGORY B 0-K397	\$6,400	\$4,100	\$40,000
L.S.SUPPORT ALL CATEGORY C PARCELS	\$35,000	\$21,900	\$2,000
120 PAIR STRAIN GAUGES	88.00	780.00	700.00
2,100 LFT.OBSERVATION WELLS, INSTLN.TY.1	8.30	6.80	7.00

-CONTINUED ON NEXT PAGE-

DAILY CONSTRUCTION SERVICE

TUNNEL CONSTRUCTION

PAGE 2

12-6-66(SAN FRANCISCO,CALIF-BARTO-12TH ST.OAKLAND STA,BROADWAY TUNLS,#1K00:6) CONT'D.

	(1)	(2)	(3)
2,800 LFT.OBERV.WELLS INSTALN.,Ty.11	9.90	6.10	7.00
1,410 LFT.INCLINOMETER CASINGS	10.50	7.00	5.00
50 EA.MAINTAIN.OBSERVATION WELLS	490.00	255.00	100.00
50 EA.REMOVE OBSERVATION WELLS	67.00	510.00	200.00
16,000 CY.SUBWAY LINE CUT&CVR.EXCAV.	37.00	27.20	40.00
4,500 CY.CUT&CVR.SUBWAY LINE BACKFILL	8.40	5.80	8.00
11,300 SY STREET DECK.,SUBWAY STA.	40.00	54.70	100.00
1,000 SY STREET DECK.,SUBWAY LINE	40.00	63.00	100.00
7,910 CY.CL.4000 CONC,SUPPTS.SLABS&BMS,SUBWAY STA	130.00	119.00	100.00
1,500 CY.SAME,PLATFORMS&PLATFORM SUPPTS,SAME	215.00	122.00	100.00
2,000 CY."2500"CONC,UPR.TRACKWAY,INVERT,SAME	60.00	58.00	35.00
300 CY."4000"CONC.STAIRS,ETC,SAME	175.00	173.00	140.00
700 CY."2000"CONC.LEVEL.SLABS&FILL,SAME	70.00	76.00	75.00
230 CY."4000"NONSHRINK CONCRETE,SAME	90.00	64.00	110.00
1,000 CY.SAME,CONC.SLABS ON GRADE,SUBWAY LINE	30.00	40.00	50.00
1,600 CY.SAME,SUPPTD.SLABS,SUBWAY LINE	56.00	66.00	35.00
1,800 CY.SAME,WALLS,SUBWAY LINE	66.00	99.00	70.00
100 CY."2000"CONC.LEVEL.SLABS&FILL,SAME	90.00	79.00	75.00
160 CY.SAME,WALKWAY,SUBWAY LINE	100.00	131.00	100.00
6,700 SF "3000"LETWGT.CONC.PRECAST SLABS,SUBWY.STA	3.50	7.80	4.00
3,260 LFT.16'6"SUBWAY TUNNEL	\$1,200	\$1,356	\$1,016
PER LFT.16'6"SUBWAY TNL.ADD FOR COMPRSD.AIR,1-18PSIG	150.00	150.00	150.00
PER LFT.SAME,ADD FOR COMPRESSED AIR,OV.R.18 PSIG	250.00	250.00	250.00
L.S.,CROSS PASSAGE NO. 2	\$23,000	\$31,000	\$40,000
750,000 LB.BAR REINF.STEEL,SUBWAY LINE	.13	.12	.12
19,300 LFT.WATERSTOPS	3.00	3.40	3.00
8,500 SY WATERPROOFING	6.50	6.00	7.00
3,788,000 LB.STRUC.STEEL,A36	.30	.283	.33
800,000 LB.STRUC.STEEL,A441	.30	.375	.33
31,000 LB.STRUC.STEEL,A242	.35	.303	.33
108,000 LB.MISC.STL.&IRON,ZINC COATED	1.00	.88	1.00
80,000 LB.MISC.STL.&IRON,NONZINC COATED	1.00	.75	.70
30,000 EA.WELD.STUDS FOR ROOF BMS.,SUBWAY STA.	.75	1.83	1.00
11,000 SY WELDED WIRE FABRIC,6"x6" #10x10	1.25	.50	1.00
22 EA.STEEL DOOR,TYPE A	450.00	599.00	400.00
2 EA.STEEL DOOR,TYPE B	850.00	960.00	450.00
383 CY.CL.3000 CONC.CURBS&GUTTERS	50.00	32.00	32.00
425 CY.SAME,SIDEWALKS & DRIVEWAYS	60.00	30.00	32.00
3,800 SY ADDL.COST-SOWKS.&DRVWYS.IN SPCL.DIST.	11.00	6.10	6.50
1,767 CY.AGGR.SUBBASE,CL. 2	9.00	8.00	8.00
3,658 T CL.B AGGR.FOR CEM.TRTD.BASE	6.00	7.00	5.00
876 BBL.P.C.FOR CEM.TRTD.BASE	6.00	5.50	5.00
7 T LIQ.ASPH.CURING SEAL	75.00	60.00	110.00
4 T ASPHAL.EMULSION PAINT BINDER	75.00	60.00	110.00
1,830 T AGGR.FOR Ty.B,ASPH.CONCRETE	12.00	9.50	9.50
128 T PAV.ASPH.FOR ASPH.CONCRETE	12.00	9.50	10.00
L.S.,PAINTING	\$12,500	\$11,799	\$10,000
112 CY.CL.3000 CONC.,MINOR STRUCS.	\$17,920	\$16,576	\$14,800
29 CY.CL.3600 CONC.,STRUCTURES	225.00	149.00	400.00
L.S.,SUPPLY&MAINT.CITY OF OAKLAND ELEC.FACILS.	\$52,000	\$55,000	\$28,000
L.S.,SAME,EXIST.PG&E GAS FACILITIES	\$13,000	\$6,000	\$12,000
L.S.,SAME,EXIST.PG&E STEAM FACILITIES	\$8,200	\$14,000	\$5,000
L.S.,SAME,EXIST.PG&E ELEC.FACILITIES	\$120,000	\$60,000	\$435,000
L.S.,SAME,EXIST.PG&E TELEPH.FACILITIES	\$30,000	\$20,000	\$160,000
L.S.,SAME,EXIST.EBMUD WATER FACILITIES	\$13,600	\$10,000	\$85,000
L.S.,SAME,RELOC.CITY OF OAKLAND FACILS,11,ETC.	1.00	\$2,000	\$50,000
L.S.,SAME,PG&E GAS FACILS.11TH,12TH,13TH&14TH STS.	1.00	\$11,000	\$14,000
* = SUBTOTAL			

-CONTINUED ON NEXT PAGE-

DAILY CONSTRUCTION SERVICE

TUNNEL CONSTRUCTION

PAGE 3

12-6-66 (SAN FRANCISCO, CALIF.-BARTO-12TH ST. OAKLAND STA, BROADWAY TMLS, #1K0016) CONT'D.

	(1)	(2)	(3)
L.S., RELOC. TRANSV. PG&E STEAM FACILS., 11TH&14TH	1.00	\$6,000	\$29,000
L.S., SAME, ELEC. FACILS., 12TH, 13TH&14TH STREETS	1.00	\$18,000	\$25,000
L.S., SAME, PT&T TELPH. FACILS. 1. TH, 12TH, 13TH&14TH Sys.	1.00	\$5,000	\$7,500
L.S., SAME, WUTC TELEGRAPH FACILS., SAME	1.00	\$1,000	\$5,000
90 LFT. 21" R.C.P., CLASS III	21.00	14.00	16.00
730 LFT. 15" R.C.P., CLASS III	11.00	12.00	14.00
27 LFT. 15" PLAIN CONCRETE PIPE	22.00	12.00	13.00
485 LFT. 12" R.C.P., CLASS III	11.00	11.00	12.00
103 LFT. 15" V.C.P., EX. STRENGTH	13.00	16.00	18.00
120 LFT. 12" SAME, WITH CONC. BACKFILL	16.00	14.00	18.00
90 LFT. 10" V.C.P., EX. STRENGTH	44.00	13.00	15.00
2,025 LFT. 8" V.C.P., EX. STRENGTH	13.00	12.00	14.00
350 LFT. 6" V.C.P., EX. STRENGTH, BLDG. SWR.	20.00	10.00	11.00
50 LFT. 4" VCP, EX. STRENGTH, BLDG. SWR.	23.00	8.00	9.00
160 LFT. 6" C.I. EX. H.VY. WEIGHT BLDG. SWR.	23.00	27.00	22.00
60 LFT. 4" C.I. EX. H.VY. WEIGHT BLDG. SWR.	16.00	18.00	20.00
23 EA. SEWER MANHOLE, TYPE 1	575.00	700.00	750.00
23 EA. MANHOLE FR. & COVER, Ty. H	310.00	80.00	90.00
4 EA. CLEANOUT	270.00	160.00	170.00
1 EA. LAMPHOLE	250.00	160.00	160.00
14 EA. INLET, TYPE B	700.00	330.00	700.00
1,700 LFT. 2" BLACK OR GALV. WROUGHT STL. CONDUIT	4.30	4.45	5.50
115 LFT. 1 1/2" BLACK OR GALV. WROUGHT STL. CONDUIT	11.00	6.00	6.00
218 TR. FT. 4-WAY DUCT OF 4" ABS COND. W/CONC. ENG	30.00	11.00	14.00
8,200 LFT. #8-5KV ST. LGT. CABLE, CITY OF OAKLAND, ELEC	.35	.55	.50
36 EA. CURB BOX, CITY OF OAKLAND ELEC.	110.00	60.00	70.00
44 LFT. 2" STL. PIPE, GAS, PG&E TEMP.	15.00	10.00	12.00
69 LFT. 3" STL. PIPE, GAS, PG&E TEMP.	14.00	11.00	13.00
892 LFT. 4" STL. PIPE, GAS, PG&E TEMP.	14.00	12.00	13.00
98 LFT. 2" STL. PIPE, GAS, PG&E, PERMANENT	19.00	4.00	5.00
85 LFT. 6" STL. PIPE, GAS, PG&E, PERMANENT	24.00	6.00	8.00
1,804 LFT. 8" STL. PIPE, GAS, PG&E, PERMANENT	14.00	7.00	8.00
167 TR. FT. 3" SAME, STEAM MAIN, INSUL. & JKT, PG&E TEMP	25.00	22.00	24.00
317 LFT. SAME PG&E PERMANENT	30.00	22.00	24.00
30 LFT. 3/4" STL. PIPE, REDWD. BOX INSULN & JKT. STEAM SERVICE, PG&E	36.00	30.00	32.00
25 LFT. 1 1/2" SAME, PG&E	21.00	35.00	38.00
2,976 LFT. 4" FIBER CONDUIT, PG&E	2.50	3.00	2.50
3,912 LFT. 4" SPLIT FIBER CONDUIT, PG&E	3.00	4.00	4.50
340 TR. FT. CONC. ENCASMENT FOR 1-WAY DUCT PG&E	7.00	1.00	2.00
25 TR. FT. SAME, FOR 2-WAY DUCT PG&E	10.00	1.40	2.00
185 TR. FT. SAME, FOR 3-WAY DUCT PG&E	7.00	1.60	3.00
150 TR. FT. SAME, FOR 4-WAY DUCT PG&E	10.00	2.00	3.00
75 TR. FT. SAME, FOR 5-WAY DUCT PG&E	12.00	2.40	3.00
600 TR. FT. SAME, FOR 6-WAY DUCT PG&E	10.00	2.50	3.00
690 TR. FT. SAME, FOR 8-WAY DUCT, PG&E	11.00	3.00	4.00
35 TR. FT. SAME, FOR 12-WAY DUCT, PG&E	24.00	4.00	5.00
246 TR. FT. SAME, FOR 24-WAY DUCT, PG&E	29.00	8.00	10.00
6 EA. M.H. FRAME & COVER, PG&E	240.00	200.00	250.00
700 LFT. 2" GALV. STEEL PIPE PG&E	7.00	1.60	3.00
650 LFT. 3" GALV. STEEL PIPE PG&E	12.00	2.50	4.00
810 TR. FT. 6-WAY MULTIPLE TILE DUCT, PT&T	9.00	16.00	10.00
50 TR. FT. 6-WAY SPLIT SAME, PG&T	9.60	18.00	12.00
35 TR. FT. 8-WAY SAME, PT&T	11.00	20.00	23.00
75 TR. FT. 24-WAY SPLIT MULTIPLE TILE DUCT, PT&T	45.00	30.00	26.00
15 TR. FT. 36-WAY SAME, PT&T	82.00	40.00	37.00
90 LFT. 3" A.C. CONDUIT PT&T	3.40	4.00	5.00

-CONTINUED ON NEXT PAGE-

DAILY CONSTRUCTION SERVICE

TUNNEL CONSTRUCTION		PAGE 4		
12-6-66 SAN FRANCISCO, CALIF-BARTD-12TH ST. OAKLAND STA., BROADWAY TUNLS, #1K00161 CONT'D.				
	(1)	(2)	(3)	
30 LFT. 3" A.C. CONDUIT, P&T	4.00	5.00	6.00	
670 LFT. 3" SPLIT A.C. CONDUIT, P&T	4.00	6.00	1.00	
750 LFT. 3" A.C. CONDUIT, WUTC	4.00	2.00	3.00	
1,250 LFT. 3" SPLIT A.C. CONDUIT, WUTC	4.00	3.00	3.00	
85 TR. FT. CONC. ENC. FOR 1-WAY AC DUCT, WUTC	3.00	1.00	1.00	
375 TR. FT. SAME, FOR 4-WAY VC DUCT, WUTC	8.00	8.00	9.00	
165 TR. FT. SAME, FOR 4-WAY AC DUCT, WUTC	8.00	8.00	9.00	
35 TR. FT. SAME, FOR 6-WAY VC DUCT, WUTC	4.00	9.00	10.00	
L.S. MECH. WK. SUBWAY LINE STRUCS, STA. KAR 915+59.592	\$1,400	\$1,000	\$1,100	
L.S., SAME, SUBWAY STA. FRM. STA. KR927+39	\$142,000	\$120,500	\$129,000	
L.S., CAMP, SUBWAY LINE STRUCS. FRM. STA. KR935+77	\$28,000	\$25,000	\$27,500	
8 EA. SAME, INSTALLN. DIST. FURN. LN. SECT. FAN UNITS	\$20,000	\$16,300	\$2,200	
1 PARCEL BLOC. SWR. REARRANGEMENT, PARCEL J-K050	\$5,000	\$5,000	\$5,000	
1 PARCEL SAME, PARCEL O-K054	\$2,000	\$1,900	\$2,100	
1 PARCEL SAME, PARCEL O-K055	\$5,000	\$5,000	\$5,000	
1 PARCEL SAME, PARCEL O-K056	\$10,900	\$5,200	\$5,700	
1 PARCEL SAME, PARCEL O-K057	\$5,000	\$5,000	\$5,000	
1 PARCEL SAME, PARCEL O-K058	\$13,500	\$6,100	\$6,700	
1 PARCEL SAME, PARCEL O-K059	\$9,100	\$3,600	\$4,000	
1 PARCEL SAME, PARCEL O-K060	\$5,000	\$5,000	\$5,000	
1 PARCEL SAME, PARCEL O-K339	\$11,300	\$7,300	\$8,000	
1 PARCEL SAME, PARCEL O-K341	\$5,000	\$5,000	\$5,000	
1 PARCEL SAME, PARCEL O-K344	\$5,000	\$5,000	\$5,000	
1 PARCEL SAME, PARCEL O-K348	\$3,700	\$4,900	\$5,500	
1 PARCEL SAME, PARCEL O-K349	\$8,000	\$5,400	\$6,000	
1 PARCEL SAME, PARCEL O-K352	\$13,100	\$4,900	\$5,500	
1 PARCEL SAME, PARCEL O-K363	\$5,000	\$5,000	\$5,000	
L.S., ELEC. WK. SUBWAY LN. STRUCS. STA. KAR915+59.592	\$40,000	\$34,000	\$2,100	
L.S., SAME, SUBWAY STA. FRM. STA. KR927+39-KR935+77	\$186,000	\$180,000	\$200,000	
L.S., SAME, SUBWAY LINE STRUCS. FRM. STA. KR935+77	\$17,000	\$14,000	\$25,000	
L.S., AUX. SUS. BACK-UP TRANSFORMER	\$14,000	\$12,000	\$18,000	
L.S., INSTALLN. SWGEAR&PWR. DISTRIB. PANELS	\$4,000	\$3,000	\$7,000	
22,600 LFT. 4" NONMETALLIC ELEC. CONDUIT	1.00	.90	1.00	
21,000 LFT. 4" METALLIC ELEC. CONDUIT	5.00	4.00	6.00	
3 EA. TUNNEL CONNECTS. TO VENT SHAFT	\$20,000	\$12,500	\$25,000	
L.S., CLOSURE WALLS	\$75,000	\$75,000	\$75,000	
L.S., SUPPT. & MAINT. SANIT. SWRS. & STORM SWRS.	\$52,000	\$132,000	\$156,000	
L.S., SAME, EXIST. WUTC FACILITIES	\$41,000	\$20,000	\$100,000	

DAILY CONSTRUCTION SERVICE

TUNNEL CONSTRUCTION

Page 1

5-12-70 (BARTD-SUBWAY STRUC., VAN NESS STA. TO DUBOCE PORTAL, NO. 150031)

SAN FRANCISCO, CALIF. (UNIT AND TOTAL BIDS RECEIVED, TAKEN UNDER ADVISEMENT)
 Fruin-Colnon Corp., Dravo Corp., (JV), Box 3704, Hayward \$10,967,444 low to S.F. BARTD,
 Recept. Rm. 5th Flr., 814 Mission St., S.F., for const. subway struc. betw. Van Ness Sta. & Duboce
 Portal on the Dist's Outer Market St. line. Contr. #150031.

(1) Fruin-Colnon Corp., Dravo Corp. (JV) Hayward - LOW	\$10,967,444	(5) Perini Corp., S.F.	\$12,139,322
(2) J.F. Shea Co., Inc. & P&Z Co., Inc. (JV) Oakland	\$11,373,505	(6) Peter Kiewit Sons Co., Omaha	\$12,289,277
(3) Massman Const. Co., S. Leandro	\$11,551,105	(7) Morrison-Knudsen Co., Inc. Bo. se	12,676,450
(4) Gordon H. Ball, Inc., Homer J. Olsen, Homer J. Olsen, Inc. (JV) Danville	\$11,911,875	(8) Fred J. Early, Jr. Co., Inc., Winston Bros. Co., Donald M. Drake Co., S.F.	13,275,750
		(9) Granite Const. Co., Watsonville	\$13,322,140
		(10) MacLean Grove & Co. Inc. New Yrk	\$19,371,710

-continued in next column-

Engineer's Estimate \$12,148,105

L.S.	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
L.S. mobiliz. & prep. work	250000	250000	250000	250000	250000	250000	250000	250000	250000	250000
L.S. traf. maint. & cntrl	180000	200000	200000	500000	124600	76,505	145000	160000	150000	75,000
L.S. bulkhead E. end	30,000	30,000	30,000	30,000	30,000	30,000	30,000	30,000	30,000	30,000
L.S. same, w. end	20,000	10,000	20,000	30,000	36,000	12,000	24,000	10,000	5,000	30,000
L.S. Muni. Railway track facil.)	1,080,264	80,000	80,000	600000	600000	880000	750000	750000	750000	750000
L.S. same, perm.	230000	200000	493370	250000	320000	706307	350000	150000	250000	750000
2,000 sq decking	98 00	150 00	100 00	75 00	264 00	125 00	200 00	200 00	200 00	150 00
152,100 cy. excav.	20 00	19 38	20 00	20 00	22 00	21 50	24 00	28 00	34 00	38 00
51,100 cy. bkfl.	6 00	5 50	8 00	4 00	9 25	6 80	5 00	10 00	6 00	15 00
6 ea. incl. casings	600 00	\$1,500	600 00	\$2,000	750 00	\$1,200	\$1,800	\$2,000	650 00	\$1,500
60 ea. bracing instr.	375 00	500 00	375 00	270 00	500 00	310 00	650 00	600 00	400 00	\$1,500
1,500 lft. observ. wells installation										
type I	4 25	5 00	5 00	5 00	5 00	25 00	8 00	9 00	5 00	7 00
650 lft. same, ty. II	4 70	6 00	10 00	6 00	6 00	30 00	9 00	10 00	6 00	8 00
1,000 well mo. maint. observ. wells	8 50	10 00	15 00	1 00	63 00	25 00	30 00	10 00	15 00	10 00
44 ea. rmv. wells	130 00	20 00	100 00	1 00	60 00	50 00	130 00	100 00	50 00	10 00
178 cy. crb. gtrs. list	60 00	70 00	60 00	75 00	75 00	140 00	75 00	80 00	70 00	70 00
260 cy. sdwik. drwy	60 00	80 00	60 00	80 00	75 00	140 00	75 00	80 00	70 00	60 00
1,570 cy. aggr. base	17 00	17 00	17 00	20 00	21 00	21 00	20 00	15 00	20 00	20 00
23,460 sq ft. 3000 conc. base 8" thick	10 00	9 00	10 00	10 00	8 00	13 00	12 00	10 00	10 00	10 00
21 T liq. asph.	200 00	150 00	200 00	100 00	240 00	300 00	250 00	200 00	200 00	200 00
21 T asph. emuls.	200 00	100 00	200 00	150 00	240 00	300 00	250 00	200 00	200 00	200 00
3,020 T aggr.	15 00	16 00	16 00	16 00	20 00	40 00	20 00	15 00	16 00	17 00
180 T pav. asph.	15 00	16 00	16 00	16 00	20 00	40 00	20 00	15 00	16 00	17 00
5,250,000 lb. bar reinforcing steel	.13	.13	.13	.13	.17	.14	.14	.14	.13	.15
13,850 cy. Class 4000 conc., subway struc., grade slab	54 00	55 00	50 00	50 00	40 00	65 00	50 00	80 00	45 00	100 00
9,000 cy. same, exterior walls	54 00	55 00	80 00	50 00	76 00	95 00	65 00	80 00	60 00	150 00
1,250 cy. same, interior walls	54 00	55 00	80 00	50 00	134 00	130 00	110 00	80 00	60 00	150 00
12,650 cy. same, supptd. slabs & beams	54 00	55 00	80 00	50 00	56 00	65 00	40 00	80 00	50 00	150 00
27,000 lft. waterstops	1 70	.50	1 00	1 00	2 00	2 00	2 00	5 00	3 00	2 00
43,100 lb. misc. steel & iron & struc. steel	1 00	1 50	1 00	1 00	1 12	1 80	.90	1 50	1 20	1 00
760 lft. parapet rail, Duboce Portal	7 00	8 50	10 00	15 00	15 00	16 00	12 00	20 00	20 00	50 00
5 ea. stl. door	485 00	500 00	750 00	450 00	460 00	600 00	500 00	800 00	500 00	500 00
10 ea. same, ty. D	625 00	850 00	750 00	600 00	846 00	\$1,000	500 00	\$1,000	700 00	\$1,000

-continued on next page-

DAILY CONSTRUCTION SERVICE

TUNNEL CONSTRUCTION

Page 2

5-12-70 SAN FRANCISCO, CALIF. (BARTO-SUBWAY STRUCS., VAN NESS STA. TO DUBOCE PORTAL #150031)										
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
L.S.painting	\$1,300	\$5,000	\$10000	\$5,000	\$1,300	\$1,000	\$6,000	\$5,000	\$10000	\$20000
L.S.drng.e fire prot.	\$80,000	\$40000	\$73450	\$50000	\$96500	\$75000	\$40000	\$5,000	\$30000	\$40000
L.S.elec.work	\$113,000	\$75000	\$72500	\$30000	\$49200	\$90000	\$125000	\$80000	\$100000	\$100000
L.S.PT&Tfacils.	\$28,000	\$40000	\$28000	\$30000	\$34400	\$120000	\$30000	\$40000	\$35000	\$40000
L.S.undrgrd.facil.	\$60,000	\$100000	\$60000	\$100000	\$73800	\$250000	\$60000	\$40000	\$75000	\$70000
L.S.traf.sig.	\$77,000	\$120000	\$117000	\$120000	\$144000	\$140000	\$125000	\$120000	\$75000	\$120000
L.S.street lgt.	\$138,000	\$40000	\$25000	\$30000	\$28300	\$30000	\$30000	\$50000	\$40000	\$50000
L.S.restor.work	\$11,000	\$15000	\$10000	\$20000	\$12900	\$110000	\$25000	\$20000	\$25000	\$50000
L.S.Muni.Railwy.pwr	\$106,000	\$110000	\$60000	\$70000	\$92000	\$300000	\$400000	\$650000	\$1000000	\$500000
L.S.restor.work	\$44,000	\$50000	\$40000	\$50000	\$50000	\$600000	\$150000	\$35000	\$75000	\$200000
L.S.AWSS facils.	\$300,000	\$600000	\$345000	\$1000000	\$424000	\$300000	\$400000	\$300000	\$400000	\$445000
180 cy.prot.enc.,ducts	220.00	80.00	220.00	200.00	270.00	360.00	250.00	250.00	250.00	220.00
860 tr.ft.WU conduit	8.00	16.00	8.00	25.00	10.00	24.00	25.00	10.00	10.00	10.00
2 ea.WU manhole	\$3,200	\$1,500	\$3,200	\$3,000	\$4,000	180.00	\$2,500	\$3,000	\$3,600	\$3,200
2,400 lft.16"cell&spiget push-on	65.00	50.00	65.00	50.00	80.00	43.00	125.00	70.00	80.00	100.00
150 lft.12"same	55.00	50.00	55.00	50.00	68.00	50.00	100.00	60.00	70.00	90.00
500 lft.8" same	50.00	30.00	50.00	50.00	60.00	34.00	100.00	40.00	60.00	85.00
500 lft.4"D.I.pipe	40.00	28.00	40.00	50.00	50.00	60.00	60.00	30.00	50.00	80.00
400 lft.1"svc.pipe	25.00	20.00	25.00	25.00	30.00	30.00	12.00	25.00	30.00	70.00
30 ea.screw tap	15.00	80.00	15.00	75.00	18.00	24.00	150.00	100.00	20.00	50.00
40,000 lb.fittings	.20	.30	.20	1.00	.25	.30	.60	.50	.25	.50
L.S.swr.facils.	\$60,000	\$90000	\$75000	\$193406	\$73800	\$75000	\$80000	\$100000	\$100000	\$90000
937 lft.42" RCP	110.00	80.00	110.00	150.00	135.00	90.00	180.00	120.00	130.00	220.00
177 lft.same w/enc.	120.00	90.00	120.00	250.00	148.00	138.00	200.00	130.00	140.00	240.00
256 lft.24"VCP	100.00	130.00	100.00	200.00	123.00	96.00	125.00	110.00	120.00	200.00
257 lft.21"VCP	95.00	120.00	95.00	200.00	117.00	72.00	100.00	100.00	110.00	190.00
2,750 lft.same,encsm.	85.00	180.00	85.00	200.00	105.00	66.00	100.00	90.00	100.00	170.00
154 lft.same,conc.base	120.00	160.00	120.00	200.00	148.00	48.00	60.00	100.00	150.00	240.00
1,364 lft.12"VCP	90.00	150.00	90.00	175.00	110.00	58.00	90.00	100.00	110.00	180.00
450 lft.10"VCP	65.00	130.00	65.00	150.00	80.00	78.00	60.00	60.00	75.00	130.00
260 lft.10"RCP culv.	60.00	120.00	60.00	100.00	74.00	60.00	50.00	55.00	70.00	120.00
37 ea.4"swr.MH	\$1,000	\$2,200	\$1,000	\$1,500	\$1,230	\$1,300	\$1,200	\$1,200	\$1,200	\$2,000
4 ea.box MH	\$2,000	\$1,500	\$2,000	\$2,000	\$2,460	\$2,000	\$3,000	\$2,500	\$2,500	\$4,000
5 ea.modif.box MH	\$2,200	\$1,800	\$2,200	\$2,500	\$2,700	\$2,200	\$3,600	\$3,000	\$3,000	\$4,400
1 ea.junct.MH struc	\$3,000	\$2,500	\$3,000	\$3,000	\$3,700	\$2,400	\$3,500	\$4,000	\$4,000	\$6,000
3 ea.angle swr.MH	\$2,500	\$1,500	\$2,500	\$2,500	\$3,100	\$2,100	\$3,000	\$2,500	\$3,500	\$5,000
1 ea.modif.same	\$3,000	\$2,000	\$3,000	\$3,000	\$3,700	\$2,200	\$3,500	\$3,000	\$4,000	\$6,000
49 ea.swr.MH frame and cover	180.00	250.00	180.00	200.00	220.00	160.00	180.00	200.00	210.00	360.00
19 ea.swr.connect.	400.00	300.00	400.00	750.00	500.00	480.00	600.00	300.00	500.00	600.00
11 ea.wtr.inlet	700.00	450.00	700.00	\$1,000	860.00	360.00	350.00	500.00	800.00	900.00
7 ea.same,w/grate	700.00	475.00	700.00	\$1,000	860.00	420.00	900.00	650.00	850.00	900.00
445 lft.4"ngas pipe	45.00	40.00	45.00	50.00	55.00	35.00	35.00	60.00	55.00	90.00
125 lft.4"same perm.	75.00	30.00	75.00	75.00	92.00	36.00	30.00	70.00	90.00	150.00
185 lft.6" same	80.00	60.00	80.00	100.00	100.00	38.00	35.00	80.00	95.00	160.00
60 lft. 8" same	80.00	80.00	80.00	100.00	100.00	42.00	50.00	85.00	100.00	160.00
3,180 lft.12"same	55.00	60.00	55.00	100.00	68.00	48.00	78.00	60.00	65.00	110.00
2,590 lft.16"same	60.00	65.00	60.00	100.00	74.00	60.00	97.00	65.00	75.00	120.00
510 lft.24"same	70.00	70.00	70.00	125.00	85.00	66.00	90.00	75.00	85.00	140.00
30 lft.6"same,HP at Duboce and Market	100.00	60.00	100.00	100.00	123.00	96.00	40.00	80.00	120.00	200.00
150 lft.stl.pipe PG&E	40.00	50.00	40.00	50.00	50.00	36.00	60.00	40.00	50.00	80.00
10,000 points per mo.,settlement measurmnt.pnts.	5.00	1.00	9.00	1.25	1.40	1.00	2.00	5.00	2.00	2.00
1 ea. sidewalk exit door	\$3,200	\$5,000	\$7,000	\$5,000	\$1,550	\$3,000	\$3,500	\$10000	\$1,000	\$5,000

DAILY CONSTRUCTION SERVICE

TUNNEL CONSTRUCTION

9-23-66 (BARTD-SUBWAY STRUCS., MARKET ST. LINE, CONTR. NO. 150022)

SAN FRANCISCO, CALIF. (UNIT & TOTAL BIDS RECEIVED, TAKEN UNDER ADVISEMENT)

MORRISON-KNUDSEN Co., INC., BROWN & ROOT, INC. & PERINI CORP. (JV), 8610 ATLANTIC AVENUE, SOUTH GATE, \$17,763,825 LOW TO S.F. BAY AREA RAPID TRANSIT DIST., 814 MISSION STREET, S.F., FOR CONST. SUBWAY STRUCS., 8TH ST. TO 15TH ST. ON THE DIST'S S.F. MARKET STREET LINE IN S.F. CONTRACT NO. 150022.

(1) MORRISON-KNUDSEN Co., INC., BROWN & ROOT INC., & PERINI CORP (JV) - Low - \$17,763,825

(2) WINSTON BROS, FRED J. EARLY JR. CO., INC., & S&M CONST. CO., KEMPER CONST. CO., L.A. \$19,703,247
 (3) McLEAN-GROVE & SHEPHERD, N.Y. \$20,755,420
 ENGINEER'S ESTIMATE \$20,341,517

-CONTINUED IN NEXT COLUMN-

	(1)	(2)	(3)
L.S., MOBILIZ. & PREP. WORK	\$1,000,000	\$1,000,000	\$1,000,000
500 EA. SETTLEMENT MEAS. POINTS	200.00	38.00	10.00
L.S., PROTECTIVE WORK	\$5,000	\$3,500	\$10,000
L.S., SUPPT. WORK EQUI.	10,000	\$7,000	\$10,000
OPTION A			
2,330 CY. EX. EXTERIOR	75.00	130.00	200.00
3,820 CY. STRUC. EX.	1.00	10.00	150.00
2,220 CY. CL. 3000 CONC.	132.00	132.00	250.00
440 CY. 4000" CONC.	70.00	100.00	100.00
262,000 LB. FAB. JT. MBRS.	.40	.61	.50
61,300 LB. STL. STRUTS	.60	1.00	.50
343,000 LB. REINF. STL.	.25	.50	.25
170 CY. CONC. PUMP & ELEV. SHAFT WALLS	250.00	135.00	200.00
180 CY. CONC. SAME SUPPTD. SLABS & BEAMS	250.00	75.00	200.00
73,500 LB. STEEL	.25	.33	.25
SUBTOTAL	\$784,785	\$1,973,775	
OPTION A	\$1,131,465		
2,800 LFT. OBSVN. WELLS	10.00	6.70	10.00
30 EA. MAINTAIN SAME	\$3,000	490.00	100.00
30 EA. REMOVE SAME	200.00	92.00	30.00
3 CY. 3000" CONC.	300.00	170.00	300.00
10,228 LFT. 2500" CONC.	60.00	58.50	125.00
10,228 LFT. SUBWAY TNL.	\$1365	\$1,577	\$1,480
L.S., CROSS PASSAGE, STA. SR403+76	\$45,000	\$45,000	\$70,000
LS, SAME, SR410+04	\$45,000	\$45,000	\$70,000
L.S., CROSS PASSAGE, STA. SR416+32	\$45,000	\$45,000	\$70,000
L.S., SAME, SR428+55	\$45,000	\$50,000	\$90,000
L.S., SAME, SR435+58	\$45,000	\$60,000	\$90,000
L.S., SAME, SR442+07	\$45,000	\$60,000	\$90,000
2 EA. TERMINAT. TUNNELS, 8TH STREET	\$120,000	\$7,350	\$75,000
2 EA. SAME, 15TH ST.	\$60,000	\$8,430	\$75,000
8 EA. TUNNEL SEALS	\$4,000	\$4,530	\$10,000
47,200 LB. MISC. STEEL & IRON	1.50	1.04	1.25
1 EA. MANHOLE FRAMES & COVERS	300.00	430.00	500.00
L.S., FIRE PROTECT. SYSTEMS	\$50,000	\$46,900	\$25,000
L.S., DRAINAGE SYSTEMS	\$20,000	\$17,000	\$35,000
L.S., VENTILATION SYSTEMS	\$12,000	\$9,900	\$15,000
61,650 LFT. 4" EMBED. NON-MTL. CONDUIT	1.00	.80	.70
40 EA. WALKWAY PULL-BOXES	\$1,000	900.00	500.00
L.S., ELECTRICAL WORK	\$150,000	\$140,850	\$170,000
7 EA. METAL DOORS, TYPE A	700.00	660.00	250.00
14 EA. METAL DOORS, TYPE B	900.00	765.00	250.00
L.S., 1ST AID FACILS.	\$80,000	\$40,000	\$75,000

FROM QUANTITY	UNIT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	PAGE 2
QUANTITY												UNIT PRICE
												UNIT AMOUNT
22	REPAIR OF ROAD PAVEMENTS AND DRIVEWAYS											
22	100.00 LS	12.00	1,200.00	7.00	700.00	3.00	300.00	1.00	100.00	1.00	100.00	10.00
23	REPAIR OF SIDEWALK PAVEMENTS											
23	100.00 LS	6.00	600.00	5.00	500.00	3.00	300.00	1.00	100.00	1.00	100.00	10.00
24	CURB AND GUTTER PAVEMENT AT STATION 27+50											
24	100.00 LS	11.00	1,100.00	30.00	3,000.00	20.00	2,000.00	12.00	1,200.00	2.00	200.00	50.00
25	CONCRETE CURB											
25	100.00 LS	5.00	500.00	4.50	450.00	3.50	350.00	3.00	300.00	1.00	100.00	6.00
26	REMOVE TEMPORARY BULKHEAD AT CONNECTION TRACE											
26	100.00 LS	5.00	500.00	4.50	450.00	3.50	350.00	3.00	300.00	1.00	100.00	6.00
27	REMOVE CONCRETE CURBING											
27	100.00 LS	6.00	600.00	5.719	571.90	3.00	300.00	3.00	300.00	1.00	100.00	57.190
28	CONCRETE CURBING - LINE											
28	100.00 LS	600.00	60,000.00	250.00	25,000.00	100.00	10,000.00	50.00	5,000.00	400.00	40,000.00	500.00
29	CONCRETE CURBING - STATION											
29	100.00 LS	250.00	25,000.00	100.00	10,000.00	200.00	20,000.00	200.00	20,000.00	350.00	35,000.00	280.00
30	CONCRETE CURBING - TRACTION POWER SUBSTATION											
30	100.00 LS	21.00	2,100.00	20.00	2,000.00	120.00	12,000.00	10.00	1,000.00	10.00	1,000.00	60.00
31	SUPPORT OF ELECTRIFICATION-LINE											
31	100.00 LS	2,470.00	247,000.00	2,500.00	250,000.00	5,000.00	50,000.00	5,150.00	515,000.00	7,000.00	700,000.00	2,455.700
32	SUPPORT OF ELECTRIFICATION-STATION											
32	100.00 LS	1,023.00	102,300.00	1,250.00	125,000.00	2,300.00	230,000.00	4,020.00	402,000.00	4,200.00	420,000.00	5,000.00
33	SUPPORT OF ELECTRIFICATION-TRACTION POWER SUBSTATION											
33	100.00 LS	11.00	1,100.00	200.00	20,000.00	50.00	5,000.00	150.00	15,000.00	150.00	15,000.00	400.00
34	SUPPORT OF ELECTRIFICATION-CHILLED WATER PLANT											
34	100.00 LS	21.00	2,100.00	60.00	6,000.00	44.00	4,400.00	157.00	15,700.00	150.00	15,000.00	250.00
35	TELEPHONE & TELEGRAPH BUCKET CONCRETE ENCASED, 4" FIBER, 8 WALL											
35	100.00 LP	40.00	4,000.00	40.00	4,000.00	30.00	3,000.00	30.00	3,000.00	30.00	3,000.00	40.00
36	TELEPHONE & TELEGRAPH BUCKET CONCRETE ENCASED, 4" FIBER, 8 WALL											
36	100.00 LP	29.00	2,900.00	35.00	3,500.00	35.00	3,500.00	75.00	7,500.00	67.50	6,750.00	3,400.00
37	TELEPHONE & TELEGRAPH BUCKET CONCRETE ENCASED, 4" FIBER, 8 WALL											
37	100.00 LP	40.00	4,000.00	45.00	4,500.00	40.00	4,000.00	60.00	6,000.00	2,000.00	20,000.00	18,000.00
38	TELEPHONE & TELEGRAPH BUCKET CONCRETE ENCASED, 4" FIBER, 8 WALL											
38	100.00 LP	57.00	5,700.00	60.00	6,000.00	100.00	10,000.00	100.00	10,000.00	21,500.00	215,000.00	17,000.00
39	TELEPHONE & TELEGRAPH BUCKET CONCRETE ENCASED, 4" FIBER, 8 WALL											
39	100.00 LP	60.00	6,000.00	70.00	7,000.00	65.00	6,500.00	107.00	10,700.00	9,000.00	90,000.00	4,900.00
40	TELEPHONE & TELEGRAPH BUCKET CONCRETE ENCASED, 4" FIBER, 8 WALL											
40	100.00 LP	5,700.00	570,000.00	5,000.00	500,000.00	5,000.00	500,000.00	5,000.00	500,000.00	5,000.00	500,000.00	6,500.00
41	TELEPHONE & TELEGRAPH BUCKET CONCRETE ENCASED, 4" FIBER, 8 WALL											
41	100.00 LP	7,000.00	700,000.00	6,000.00	600,000.00	6,000.00	600,000.00	8,000.00	800,000.00	10,000.00	1,000,000.00	19,000.00
42	MAINTAIN AND SUPPORT POLICE AND FIRE LINES											
42	100.00 LS	17,000.00	1,700,000.00	24,000.00	2,400,000.00	35,000.00	3,500,000.00	30,000.00	3,000,000.00	4,000.00	400,000.00	30,000.00
43	MAINTAIN AND RESTORE - TRAFFIC SIGNALS											
43	100.00 LS	11,000.00	1,100,000.00	20,000.00	2,000,000.00	10,000.00	1,000,000.00	35,000.00	3,500,000.00	35,000.00	3,500,000.00	60,000.00
44	MAINTAIN AND RESTORE - STREET LIGHTS											
44	100.00 LS	4,000.00	400,000.00	5,000.00	500,000.00	40,000.00	4,000,000.00	30,000.00	3,000,000.00	7,000.00	700,000.00	40,000.00
45	MAINTAIN AND SUPPORT - ELECTRIC UTILITIES											
45	100.00 LS	397,000.00	39,700,000.00	850,000.00	85,000,000.00	150,000.00	15,000,000.00	900,000.00	90,000,000.00	700,000.00	70,000,000.00	700,000.00
46	MAINTAIN AND SUPPORT - ALL-PURPOSE UTILITIES											
46	100.00 LS	60,000.00	6,000,000.00	31,000.00	3,100,000.00	150,000.00	15,000,000.00	180,000.00	18,000,000.00	100,000.00	10,000,000.00	100,000.00
47	MAINTAIN AND SUPPORT - TELEGRAPH UTILITIES											
47	100.00 LS	11,000.00	1,100,000.00	5,000.00	500,000.00	10,000.00	1,000,000.00	5,200.00	520,000.00	9,000.00	900,000.00	75,000.00

ITEM NO	DESCRIPTION	UNIT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT
60	MAINTAIN AND SUPPORT-WATER UTILITIES	1000 LS	91,000.00	100,000.00	100,000.00	100,000.00	74,000.00	74,000.00	70,000.00	70,000.00	330,000.00	330,000.00
60	MAINTAIN AND SUPPORT-SEWER UTILITIES	1000 LS	100,000.00	100,000.00	200,000.00	200,000.00	150,000.00	150,000.00	125,000.00	125,000.00	200,000.00	200,000.00
60	MAINTAIN AND SUPPORT-GAS UTILITIES	1000 LS	33,000.00	50,000.00	50,000.00	50,000.00	100,000.00	100,000.00	9,000.00	9,000.00	90,000.00	90,000.00
60	REPLACE STORM & SEWER MAINS METERS PCYS	1000 LS	900.00	15,000.00	2,000.00	2,000.00	3,000.00	3,000.00	2,000.00	2,000.00	2,000.00	2,000.00
60	REPAIR & MAINTAIN 6 REINFORCED CONCRETE STREETS	1000 LS	1,000.00	6,000.00	2,000.00	2,000.00	3,000.00	3,000.00	6,000.00	6,000.00	10,000.00	10,000.00
60	REPAIR & MAINTAIN 6 STREETS - LINE	1000 LS	1,000.00	1,000.00	170.00	1,000.00	110.00	600.00	100.00	600.00	2,500.00	2,500.00
60	REPAIR & MAINTAIN 6 STREETS - STATION	1000 LS	930,000.00	175,000.00	170.00	737,000.00	110.00	677,510.00	100.00	634,100.00	300.00	1,902,000.00
60	REPAIR & MAINTAIN 6 STREETS-CURBED WATER PLANT	1000 LS	20,000.00	50,000.00	150.00	53,200.00	110.00	31,000.00	100.00	20,000.00	250.00	72,000.00
60	REPAIR & MAINTAIN 6 STREETS-TRACTION POWER SUBSTATION	1000 LS	120,000.00	120,000.00	150.00	100,000.00	110.00	70,320.00	100.00	71,200.00	150.00	170,000.00
60	REPAIR & MAINTAIN 6 STREETS-LINE	1000 LS	100,000.00	100,000.00	90.00	70,000.00	90.00	60,000.00	10.00	6,000.00	250.00	250,000.00
60	REPAIR & MAINTAIN 6 STREETS-STATION	1000 LS	200,000.00	377,000.00	90.00	261,000.00	90.00	145,000.00	10.00	20,000.00	250.00	750,000.00
60	REPAIR & MAINTAIN 6 STREETS-CHILLED WATER PLANT	1000 LS	10,000.00	10,000.00	40.00	9,700.00	90.00	5,000.00	10.00	1,000.00	250.00	27,000.00
60	REPAIR & MAINTAIN 6 STREETS-TRACTION POWER SUBSTATION	1000 LS	100,000.00	100,000.00	90.00	6,000.00	90.00	3,000.00	10.00	710.00	250.00	17,000.00
60	REPAIR & MAINTAIN 6 STREETS-LINE	1000 LS	1,000.00	25,000.00	25,000.00	25,000.00	25,000.00	25,000.00	20,000.00	20,000.00	25,000.00	25,000.00
60	REPAIR & MAINTAIN 6 STREETS-STATION	1000 LS	907,000.00	25,000.00	25,000.00	25,000.00	25,000.00	25,000.00	25,000.00	25,000.00	25,000.00	25,000.00
60	REPAIR & MAINTAIN 6 STREETS-CHILLED WATER PLANT	1000 LS	27,000.00	27,000.00	25,000.00	27,000.00	25,000.00	27,000.00	25,000.00	27,000.00	25,000.00	27,000.00
60	REPAIR & MAINTAIN 6 STREETS-TRACTION POWER SUBSTATION	1000 LS	63,000.00	63,000.00	63,000.00	63,000.00	63,000.00	63,000.00	63,000.00	63,000.00	63,000.00	63,000.00
60	REPAIR & MAINTAIN 6 STREETS-STATION	1000 LS	430.00	2.00	170.00	2.00	2.00	170.00	2.00	170.00	2.00	170.00
60	ELECTRICAL CONTROL-LINE	1000 LS	20,000.00	10,000.00	30,000.00	30,000.00	10,000.00	10,000.00	15,000.00	15,000.00	60,000.00	60,000.00
60	ELECTRICAL CONTROL-STATION	1000 LS	23,000.00	15,000.00	23,000.00	23,000.00	15,000.00	15,000.00	10,000.00	10,000.00	40,000.00	40,000.00
60	ELECTRICAL CONTROL-CHILLED WATER PLANT	1000 LS	6,000.00	3,000.00	3,000.00	3,000.00	3,000.00	3,000.00	3,000.00	3,000.00	3,000.00	3,000.00
60	ELECTRICAL CONTROL-TRACTION POWER SUBSTATION	1000 LS	1,500.00	4,000.00	4,000.00	4,000.00	4,000.00	4,000.00	4,000.00	4,000.00	4,000.00	4,000.00
60	CONCRETE FOR 1400 ON GRADE-LINE	11,710.00 CV	75.00	870,000.00	85.00	701,000.00	70.00	670,000.00	100.00	1,171,000.00	80.00	937,400.00
60	CONCRETE FOR 1100 ON GRADE-LINE	11,710.00 CV	75.00	870,000.00	85.00	701,000.00	70.00	670,000.00	100.00	1,171,000.00	80.00	937,400.00
60	CONCRETE FOR INTERIOR WALLS-LINE	11,710.00 CV	115.00	1,000,000.00	115.00	1,000,000.00	115.00	1,000,000.00	115.00	1,000,000.00	115.00	1,000,000.00
60	CONCRETE FOR INTERIOR WALLS-LINE	11,710.00 CV	115.00	1,000,000.00	115.00	1,000,000.00	115.00	1,000,000.00	115.00	1,000,000.00	115.00	1,000,000.00
60	CONCRETE FOR COLUMNS-LINE	11,710.00 CV	130.00	1,000,000.00	130.00	1,000,000.00	130.00	1,000,000.00	130.00	1,000,000.00	130.00	1,000,000.00

ITEM NO	APPROXIMATE QUANTITY	UNIT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT
74	CONCRETE FOR GROSS SLAB-LINE	11,474.00 CY	118.00	1,353,832.00	65.00	747,110.00	25.00	286,855.00	100.00	1,149,800.00	80.00	919,840.00
75	CONCRETE FOR PRECAST CONCRETE BEAMS-WEST STRUCTURES	250.00 CY	310.00	77,500.00	600.00	150,000.00	305.00	76,250.00	300.00	75,000.00	250.00	62,500.00
76	CONCRETE FOR INVERT SLAB-STATION	11,700.00 CY	75.00	877,500.00	50.00	585,000.00	95.00	995,010.00	45.00	526,770.00	100.00	1,170,000.00
77	CONCRETE FROM INVERT JOINT TO FIRST CONSTRUCTION JOINT-STATION	5,740.00 CY	207.00	1,188,180.00	75.00	430,500.00	90.00	516,600.00	70.00	401,800.00	100.00	574,000.00
78	CONCRETE FOR ARCH VAULT ABOVE FIRST CONSTRUCTION JOINT-STATION	6,750.00 CY	250.00	1,687,500.00	100.00	675,000.00	125.00	843,750.00	120.00	810,000.00	100.00	675,000.00
79	CONCRETE FOR END WALLS-STATION	217.00 CY	150.00	32,550.00	100.00	21,700.00	205.00	44,385.00	250.00	54,250.00	200.00	43,400.00
80	CONCRETE FOR SUPERIOR WALLS BELOW PLATFORMS-STATION	450.00 CY	115.00	51,750.00	500.00	225,000.00	400.00	180,000.00	400.00	180,000.00	200.00	90,000.00
81	CONCRETE FOR PLATFORM DECK-STATION	344.00 CY	115.00	39,560.00	250.00	86,000.00	105.00	36,120.00	240.00	82,560.00	200.00	68,800.00
82	CONCRETE FOR COLUMNS ABOVE TOP OF PLATFORM - STATION	95.00 CY	130.00	12,350.00	450.00	42,750.00	200.00	19,000.00	400.00	38,000.00	200.00	19,000.00
83	CONCRETE FOR MEZZANINE FLOOR SLAB STATION	910.00 CY	115.00	104,650.00	170.00	154,700.00	160.00	145,600.00	180.00	163,800.00	200.00	181,800.00
84	CONCRETE FOR BENCH RELIEF WALLS-STATION	120.00 CY	115.00	13,800.00	225.00	27,000.00	355.00	42,600.00	250.00	31,000.00	300.00	36,000.00
85	CONCRETE FOR SLAB ON GRADE-ROOF SECTION-PASSAGES ENTRANCE & SERVICE AREAS	1,027.00 CY	70.00	71,890.00	85.00	87,295.00	110.00	113,000.00	110.00	113,000.00	100.00	102,700.00
86	CONCRETE FOR EXTERIOR WALLS - END SECTION - PASSAGES, ENTRANCE & SERVICE AREAS	1,501.00 CY	115.00	172,615.00	150.00	225,150.00	140.00	210,140.00	100.00	150,100.00	120.00	180,120.00
87	CONCRETE FOR INTERIOR WALLS - END SECTION - PASSAGES, ENTRANCE & SERVICE AREAS	112.00 CY	115.00	12,880.00	300.00	33,600.00	235.00	26,320.00	150.00	16,800.00	300.00	33,600.00
88	CONCRETE FOR ROOF SLABS - END SECTION - PASSAGES, ENTRANCE & SERVICE AREAS	2,070.00 CY	115.00	238,050.00	75.00	155,250.00	100.00	207,000.00	100.00	207,000.00	100.00	207,000.00
89	CONCRETE - CHILLED WATER PLANT	700.00 CY	120.00	84,000.00	110.00	77,000.00	125.00	87,500.00	120.00	84,000.00	100.00	84,000.00
90	CONCRETE-TRACTION POWER SUBSTATION	2,271.00 CY	120.00	272,520.00	75.00	170,325.00	125.00	283,875.00	100.00	227,100.00	100.00	227,100.00
91	PVC WATERSTOP-LINE	5,413.00 LF	3.50	18,945.50	3.00	16,239.00	5.00	27,065.00	4.00	21,652.00	5.00	27,065.00
92	PVC WATERSTOP-STATION	3,703.00 LF	3.50	12,960.50	3.00	11,109.00	5.00	18,515.00	4.00	14,812.00	5.00	18,515.00
93	PVC WATERSTOP-CHILLED WATER PLANT	102.00 LF	3.50	357.00	3.00	306.00	5.00	510.00	4.00	408.00	5.00	510.00
94	PVC WATERSTOP-TRACTION POWER SUBSTATION	600.00 LF	3.50	2,100.00	3.00	1,800.00	5.00	3,000.00	4.00	2,400.00	5.00	3,000.00
95	STRUCTURAL STEEL	313,710.00 LBS	60	18,822,600.00	50	15,685,500.00	50	15,685,500.00	50	15,685,500.00	50	15,685,500.00
96	PIPE-CASTING MISC. IRON AND STEEL	50,000.00 LBS	1.10	55,000.00	1.00	50,000.00	2.00	100,000.00	1.00	50,000.00	2.00	100,000.00
97	NON-REINFORCED MISC. IRON AND STEEL	270.00 LBS	60	16,200.00	50	13,500.00	50	13,500.00	50	13,500.00	50	13,500.00
98	ASBESTOS CEMENT PIPE-3 INCH DIAMETER	2,001.00 LF	21.00	42,021.00	15.00	30,015.00	6.00	12,006.00	10.00	20,010.00	10.00	20,010.00
99	CAST IRON PIPE-2 INCH DIAMETER	600.00 LF	5.00	3,000.00	25.00	15,000.00	10.00	6,000.00	10.00	6,000.00	25.00	15,000.00

ITEM NO	APPROXIMATE QUANTITY	UNIT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	PAICE	UNIT	AMOUNT
100	EAST 1/2" PIP-3 INCH DIAMETER 210.00 LB		1,074.00	25.00	5,700.00	17.00	3,876.97	11.20	2,400.00	6.50	1,462.00	30.00	6,600.00		
201	EAST 1/2" PIP-4 INCH DIAMETER 2,500.00 LB		30,570.00	21.00	53,500.00	20.00	50,000.00	16.00	40,700.00	12.00	30,376.00	4.00	10,000.00		
300	TRACE MOUNT-TYPE 1 10.00 EA		12,000.00	120.00	1,200.00	90.00	900.00	60.00	600.00	40.00	400.00	30.00	300.00		
305	TRACE MOUNT-TYPE 2 10.00 EA		12,000.00	120.00	1,200.00	90.00	900.00	60.00	600.00	40.00	400.00	30.00	300.00		
310	TRACE MOUNT-TYPE 3 10.00 EA		12,000.00	120.00	1,200.00	90.00	900.00	60.00	600.00	40.00	400.00	30.00	300.00		
315	TRACE MOUNT-TYPE 4 10.00 EA		12,000.00	120.00	1,200.00	90.00	900.00	60.00	600.00	40.00	400.00	30.00	300.00		
320	TRACE MOUNT-TYPE 5 10.00 EA		12,000.00	120.00	1,200.00	90.00	900.00	60.00	600.00	40.00	400.00	30.00	300.00		
325	TRACE MOUNT-TYPE 6 10.00 EA		12,000.00	120.00	1,200.00	90.00	900.00	60.00	600.00	40.00	400.00	30.00	300.00		
330	TRACE MOUNT-TYPE 7 10.00 EA		12,000.00	120.00	1,200.00	90.00	900.00	60.00	600.00	40.00	400.00	30.00	300.00		
335	TRACE MOUNT-TYPE 8 10.00 EA		12,000.00	120.00	1,200.00	90.00	900.00	60.00	600.00	40.00	400.00	30.00	300.00		
340	TRACE MOUNT-TYPE 9 10.00 EA		12,000.00	120.00	1,200.00	90.00	900.00	60.00	600.00	40.00	400.00	30.00	300.00		
345	TRACE MOUNT-TYPE 10 10.00 EA		12,000.00	120.00	1,200.00	90.00	900.00	60.00	600.00	40.00	400.00	30.00	300.00		
350	TRACE MOUNT-TYPE 11 10.00 EA		12,000.00	120.00	1,200.00	90.00	900.00	60.00	600.00	40.00	400.00	30.00	300.00		
355	TRACE MOUNT-TYPE 12 10.00 EA		12,000.00	120.00	1,200.00	90.00	900.00	60.00	600.00	40.00	400.00	30.00	300.00		
360	TRACE MOUNT-TYPE 13 10.00 EA		12,000.00	120.00	1,200.00	90.00	900.00	60.00	600.00	40.00	400.00	30.00	300.00		
365	TRACE MOUNT-TYPE 14 10.00 EA		12,000.00	120.00	1,200.00	90.00	900.00	60.00	600.00	40.00	400.00	30.00	300.00		
370	TRACE MOUNT-TYPE 15 10.00 EA		12,000.00	120.00	1,200.00	90.00	900.00	60.00	600.00	40.00	400.00	30.00	300.00		
375	TRACE MOUNT-TYPE 16 10.00 EA		12,000.00	120.00	1,200.00	90.00	900.00	60.00	600.00	40.00	400.00	30.00	300.00		
380	TRACE MOUNT-TYPE 17 10.00 EA		12,000.00	120.00	1,200.00	90.00	900.00	60.00	600.00	40.00	400.00	30.00	300.00		
385	TRACE MOUNT-TYPE 18 10.00 EA		12,000.00	120.00	1,200.00	90.00	900.00	60.00	600.00	40.00	400.00	30.00	300.00		
390	TRACE MOUNT-TYPE 19 10.00 EA		12,000.00	120.00	1,200.00	90.00	900.00	60.00	600.00	40.00	400.00	30.00	300.00		
395	TRACE MOUNT-TYPE 20 10.00 EA		12,000.00	120.00	1,200.00	90.00	900.00	60.00	600.00	40.00	400.00	30.00	300.00		

ITEM NO	QUANTITY	UNIT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	PAGE
126	6.00 EA	200.00	1,200.00	150.00	900.00	100.00	600.00	140.00	840.00	230.00	1,380.00	300.00	1,800.00	1,000.00	
127	6075	RAIN COVER-TYPE 4	30.00	213.00	50.00	350.00	100.00	700.00	20.00	140.00	60.00	420.00	200.00	1,400.00	
128	6000	RAIN COVER-TYPE 4	10.00	100.00	50.00	300.00	100.00	600.00	20.00	120.00	70.00	420.00	200.00	1,200.00	
129	15.00 EA	150.00	1,500.00	125.00	1,875.00	100.00	1,500.00	60.00	700.00	150.00	1,950.00	300.00	3,000.00		
130	15.00 EA	200.00	2,000.00	50.00	650.00	100.00	1,500.00	20.00	260.00	70.00	910.00	230.00	3,270.00		
131	624.00 LF	REINFORCED CONCRETE PIPE-15 INCH DIAMETER, CLASS III SEWER	29.00	18,096.00	30.00	18,720.00	40.00	24,960.00	80.00	49,920.00	60.00	37,440.00	70.00	43,680.00	
132	204.00 LF	REINFORCED CONCRETE PIPE - 18 INCH DIAMETER, CLASS III, SEWER	30.00	6,120.00	35.00	7,140.00	50.00	10,200.00	85.00	17,340.00	52.00	10,608.00	75.00	15,300.00	
133	309.00 LF	REINFORCED CONCRETE PIPE 24 INCH DIAMETER, CLASS III, SEWER	37.00	11,433.00	45.00	13,905.00	60.00	18,540.00	110.00	33,990.00	63.00	19,467.00	95.00	29,355.00	
134	584.00 LF	REINFORCED CONCRETE PIPE-24 INCH DIAMETER, CLASS III SEWER	41.00	23,944.00	45.00	26,280.00	60.00	35,040.00	80.00	46,720.00	60.00	35,040.00	30.00	17,520.00	
135	18.00 LF	CAST IRON RIB PIPE-4 INCH DIAMETER, CLASS IV	20.00	360.00	30.00	540.00	30.00	540.00	100.00	1,800.00	30.00	540.00	50.00	750.00	
136	30.00 LF	VITRIFIED CLAY PIPE-10 INCH DIAMETER, EXTRA STRENGTH, SEWER	20.00	600.00	25.00	750.00	30.00	900.00	70.00	2,100.00	35.00	1,050.00	70.00	2,100.00	
137	1,000.00 LF	VITRIFIED CLAY PIPE-12 INCH DIAMETER, EXTRA STRENGTH, SEWER	24.00	24,000.00	26.00	26,000.00	40.00	40,000.00	60.00	60,000.00	37.00	37,000.00	60.00	60,000.00	
138	1,000.00 LF	VITRIFIED CLAY PIPE-15 INCH DIAMETER, EXTRA STRENGTH, SEWER	30.00	30,000.00	34.00	34,000.00	50.00	50,000.00	100.00	100,000.00	50.00	50,000.00	60.00	60,000.00	
139	275.00 LF	VITRIFIED CLAY PIPE-18 INCH DIAMETER, EXTRA STRENGTH, SEWER	41.00	11,275.00	35.00	9,625.00	60.00	16,500.00	105.00	29,075.00	72.00	19,800.00	90.00	24,750.00	
140	75.00 LF	VITRIFIED CLAY PIPE-24 INCH DIAMETER, EXTRA STRENGTH, SEWER	50.00	3,750.00	60.00	4,500.00	110.00	8,250.00	140.00	10,500.00	82.00	6,150.00	100.00	7,500.00	
141	70.00 WF	STANDARD CHANNEL-20" OR LESS TO 12'-0"	60.00	4,200.00	100.00	7,000.00	120.00	8,400.00	70.00	4,900.00	125.00	8,750.00	120.00	8,400.00	
142	201.00 WF	STANDARD CHANNEL-24" OR LESS, 12'-10" - 15'-0"	110.00	22,110.00	110.00	22,110.00	140.00	28,140.00	75.00	15,075.00	132.00	26,544.00	125.00	25,125.00	
143	100.00 WF	STANDARD CHANNEL - 30" OR LESS 15'-10" - 20'-0"	120.00	12,000.00	120.00	12,000.00	160.00	19,200.00	85.00	8,500.00	140.00	14,000.00	140.00	14,000.00	
144	37.00 EA	MANHOLE FRINGE AND COVER-CAST IRON, 24 INCH DIAMETER	140.00	5,180.00	200.00	7,400.00	70.00	2,590.00	70.00	2,590.00	175.00	6,475.00	215.00	7,955.00	
145	3.00 EA	MANHOLE FRINGE AND COVER-CAST IRON, 30 INCH DIAMETER	207.00	621.00	200.00	600.00	150.00	450.00	200.00	600.00	200.00	600.00	200.00	600.00	
146	35.00 EA	CONNECTION OR RECONNECTION OF RIBBED SEWER	600.00	21,000.00	300.00	10,500.00	300.00	10,500.00	1,200.00	42,000.00	350.00	12,250.00	425.00	14,875.00	
147	2.00 EA	CATCH BASIN-SMALL	1,100.00	2,200.00	650.00	1,300.00	1,000.00	2,000.00	600.00	1,200.00	1,700.00	3,400.00	600.00	1,200.00	
148	2.00 EA	CATCH BASIN-MEDIUM NO. 1	1,200.00	2,400.00	650.00	1,300.00	1,000.00	2,000.00	1,000.00	2,000.00	1,700.00	3,400.00	1,100.00	2,200.00	
149	105.00 LF	CATCH BASIN CONNECTION-15 INCH REINFORCED CONCRETE PIPE, CLASS III	30.00	3,150.00	30.00	3,150.00	40.00	4,200.00	50.00	5,250.00	75.00	7,875.00	90.00	9,450.00	
150	5.00 EA	ADJUSTMENT OF CATCH BASIN	400.00	2,000.00	150.00	750.00	400.00	2,000.00	100.00	400.00	100.00	400.00	100.00	400.00	
151	95.00 LF	POSTILE IRON PIPE-6 INCH DIAMETER, WATER MAIN	25.00	2,375.00	60.00	5,700.00	60.00	5,700.00	60.00	5,700.00	62.00	5,890.00	65.00	6,175.00	

ITEM NO	QUANTITY	UNIT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	PAGE
152	5,377.00	DUCTILE IRON PIPE-8 INCH DIAMETER, WATER MAIN	32.00	172,064.00	32.00	172,064.00	32.00	172,064.00	32.00	172,064.00	32.00	172,064.00	32.00	172,064.00	171
153	237.00	DUCTILE IRON PIPE-12 INCH DIAMETER, WATER MAIN	85.00	20,145.00	85.00	20,145.00	85.00	20,145.00	85.00	20,145.00	85.00	20,145.00	85.00	20,145.00	171
154	181.000	DUCTILE IRON PIPE-20 INCH DIAMETER, WATER MAIN	100.00	18,100.00	100.00	18,100.00	100.00	18,100.00	100.00	18,100.00	100.00	18,100.00	100.00	18,100.00	171
155	30.120	DUCTILE IRON PIPE-24 INCH DIAMETER, WATER MAIN	60.00	1,807.20	60.00	1,807.20	60.00	1,807.20	60.00	1,807.20	60.00	1,807.20	60.00	1,807.20	171
156	6.00	PIPE WADERS-REMOVE & REINSTALL	3,700.00	22,200.00	3,700.00	22,200.00	3,700.00	22,200.00	3,700.00	22,200.00	3,700.00	22,200.00	3,700.00	22,200.00	19,500.00
157	1.00	CONNECTION ON RECONNECTION ON WATER SERVICES	24,000.00	24,000.00	24,000.00	24,000.00	24,000.00	24,000.00	24,000.00	24,000.00	24,000.00	24,000.00	24,000.00	24,000.00	21,500.00
158	94.00	VALVE HANDLE	24,700.00	2,321,800.00	24,700.00	2,321,800.00	24,700.00	2,321,800.00	24,700.00	2,321,800.00	24,700.00	2,321,800.00	24,700.00	2,321,800.00	23,220.00
159	94.00	HANDLE FRAME AND COVER-CAST IRON, WATER	170.00	16,000.00	170.00	16,000.00	170.00	16,000.00	170.00	16,000.00	170.00	16,000.00	170.00	16,000.00	5,670.00
160	94.00	UTILITY ENCLOSURE	37.00	3,478.00	37.00	3,478.00	37.00	3,478.00	37.00	3,478.00	37.00	3,478.00	37.00	3,478.00	170,924.00
161	94.00	UTILITY ENCLOSURE	37.00	3,478.00	37.00	3,478.00	37.00	3,478.00	37.00	3,478.00	37.00	3,478.00	37.00	3,478.00	117,440.00
162	100.00	APPROPRIATE FOR SCHEDULE FOUNDATION	14.00	1,400.00	14.00	1,400.00	14.00	1,400.00	14.00	1,400.00	14.00	1,400.00	14.00	1,400.00	2,500.00
163	2,000.00	METALLIC ELECTRICAL CONDUIT-ALLOY RIGID STEEL, 1/2 INCH DIAMETER	2.00	4,000.00	2.00	4,000.00	2.00	4,000.00	2.00	4,000.00	2.00	4,000.00	2.00	4,000.00	7,000.00
164	10,000.00	METALLIC ELECTRICAL CONDUIT-ALLOY RIGID STEEL, 1 INCH DIAMETER	3.00	30,000.00	3.00	30,000.00	3.00	30,000.00	3.00	30,000.00	3.00	30,000.00	3.00	30,000.00	7,000.00
165	10,000.00	METALLIC ELECTRICAL CONDUIT-ALLOY RIGID STEEL, 1 1/2 INCH DIAMETER	4.50	45,000.00	4.50	45,000.00	4.50	45,000.00	4.50	45,000.00	4.50	45,000.00	4.50	45,000.00	48,100.00
166	10,000.00	METALLIC ELECTRICAL CONDUIT-ALLOY RIGID STEEL, 2 INCH DIAMETER	5.70	57,000.00	5.70	57,000.00	5.70	57,000.00	5.70	57,000.00	5.70	57,000.00	5.70	57,000.00	15,500.00
167	10,000.00	METALLIC ELECTRICAL CONDUIT-ALLOY RIGID STEEL, 3 INCH DIAMETER	10.00	100,000.00	10.00	100,000.00	10.00	100,000.00	10.00	100,000.00	10.00	100,000.00	10.00	100,000.00	6,000.00
168	10,000.00	METALLIC ELECTRICAL CONDUIT-ALLOY RIGID STEEL, 4 INCH DIAMETER	15.00	150,000.00	15.00	150,000.00	15.00	150,000.00	15.00	150,000.00	15.00	150,000.00	15.00	150,000.00	6,000.00
169	10,000.00	NON-METALLIC ELECTRICAL CONDUIT-POLYVINYL CHLORIDE, 1/2 INCH DIAMETER	1.70	17,000.00	1.70	17,000.00	1.70	17,000.00	1.70	17,000.00	1.70	17,000.00	1.70	17,000.00	600.00
170	10,000.00	NON-METALLIC ELECTRICAL CONDUIT-POLYVINYL CHLORIDE, 1 INCH DIAMETER	3.00	30,000.00	3.00	30,000.00	3.00	30,000.00	3.00	30,000.00	3.00	30,000.00	3.00	30,000.00	600.00
171	10,000.00	NON-METALLIC ELECTRICAL CONDUIT-POLYVINYL CHLORIDE, 1 1/2 INCH DIAMETER	4.00	40,000.00	4.00	40,000.00	4.00	40,000.00	4.00	40,000.00	4.00	40,000.00	4.00	40,000.00	3,000.00
172	10,000.00	NON-METALLIC ELECTRICAL CONDUIT-POLYVINYL CHLORIDE, 2 INCH DIAMETER	7.00	70,000.00	7.00	70,000.00	7.00	70,000.00	7.00	70,000.00	7.00	70,000.00	7.00	70,000.00	26,000.00
173	1.00	ELECTRICAL WORK	11,000.00	11,000.00	11,000.00	11,000.00	11,000.00	11,000.00	11,000.00	11,000.00	11,000.00	11,000.00	11,000.00	11,000.00	3,000.00
174	12.00	ELECTRICAL WORK	72.00	864.00	72.00	864.00	72.00	864.00	72.00	864.00	72.00	864.00	72.00	864.00	144.00
175	17,420.00	CONCRETE	5.20	90,604.00	5.20	90,604.00	5.20	90,604.00	5.20	90,604.00	5.20	90,604.00	5.20	90,604.00	69,500.00
176	141.00	CONCRETE	141.00	20,000.00	141.00	20,000.00	141.00	20,000.00	141.00	20,000.00	141.00	20,000.00	141.00	20,000.00	5,270.00
177	10,000.00	CONCRETE	4.00	40,000.00	4.00	40,000.00	4.00	40,000.00	4.00	40,000.00	4.00	40,000.00	4.00	40,000.00	3,500.00
178	10,000.00	CONCRETE	4.00	40,000.00	4.00	40,000.00	4.00	40,000.00	4.00	40,000.00	4.00	40,000.00	4.00	40,000.00	90,070.00

ITEM NO	APPROXIMATE QUANTITY	UNIT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	PAGE	
176	MECHANICAL WORK	1.00	150,000.00	150,000.00	300,000.00	300,000.00	300,000.00	300,000.00	300,000.00	300,000.00	300,000.00	300,000.00	300,000.00	300,000.00	300,000.00	300,000.00	310,000.00	
177	VIEW FROM PORTLAND CEMENT CONCRETE BASE (UNREINFORCED)	16.10	233,787.00	233,787.00	25.00	302,700.00	25.00	302,700.00	25.00	302,700.00	25.00	302,700.00	25.00	302,700.00	25.00	302,700.00	290,320.00	
180	PORTLAND CEMENT CONCRETE ALLEY ENTRANCE AND ALLEY PAVING	712.00	8,110.00	8,110.00	30.00	21,360.00	30.00	21,360.00	30.00	21,360.00	30.00	21,360.00	30.00	21,360.00	30.00	21,360.00	24,928.00	
181	REINFORCED PORTLAND CEMENT CONCRETE PAVING	490.00	17.20	8,428.00	30.00	10,200.00	30.00	10,200.00	30.00	10,200.00	30.00	10,200.00	30.00	10,200.00	30.00	10,200.00	12,350.00	
182	REINFORCED PORTLAND CEMENT CONCRETE SIMPLE COURSE	10,916.00	1.70	18,557.20	9.00	72,500.00	9.00	72,500.00	9.00	72,500.00	9.00	72,500.00	9.00	72,500.00	9.00	72,500.00	32,661.00	
183	SHORT APPROVAL SURFACE COURSE	14,916.00	2.30	34,306.80	6.00	87,896.00	6.00	87,896.00	6.00	87,896.00	6.00	87,896.00	6.00	87,896.00	6.00	87,896.00	34,290.00	
184	APPROVAL FOR TACK COAT	303.00	0.5	151.50	1.00	303.00	1.00	303.00	1.00	303.00	1.00	303.00	1.00	303.00	1.00	303.00	1,815.00	
185	TEMPORARY PAVING-UTILITY TRENCHES	200.00	4,120.00	4,120.00	10.00	2,000.00	10.00	2,000.00	10.00	2,000.00	10.00	2,000.00	10.00	2,000.00	10.00	2,000.00	1,030.00	
186	FIVE PLY BUILT-UP MEMBRANE-LINE	436.00	15.50	6,758.00	0.00	1,720.00	0.00	1,720.00	0.00	1,720.00	0.00	1,720.00	0.00	1,720.00	0.00	1,720.00	3,655.00	
187	FIVE PLY BUILT-UP MEMBRANE-STATION	6,700.00	15.50	103,850.00	0.00	27,120.00	0.00	27,120.00	0.00	27,120.00	0.00	27,120.00	0.00	27,120.00	0.00	27,120.00	10,910.00	
188	FIVE PLY BUILT-UP MEMBRANE-CHILLED WATER PLANT	432.00	15.50	6,696.00	0.00	1,720.00	0.00	1,720.00	0.00	1,720.00	0.00	1,720.00	0.00	1,720.00	0.00	1,720.00	3,672.00	
189	FIVE PLY BUILT-UP MEMBRANE-TRACTION POWER SUBSTATION	107.00	15.50	1,658.50	0.00	2,820.00	0.00	2,820.00	0.00	2,820.00	0.00	2,820.00	0.00	2,820.00	0.00	2,820.00	5,656.00	
190	CONCRETE PANEL WATERPROOFING -	4,700.00	13.00	61,100.00	11.00	51,700.00	11.00	51,700.00	11.00	51,700.00	11.00	51,700.00	11.00	51,700.00	11.00	51,700.00	54,050.00	
191	LEADS APPLIED WATERPROOFING -	64.00	0.00	0.00	5.00	320.00	5.00	320.00	5.00	320.00	5.00	320.00	5.00	320.00	5.00	320.00	640.00	
192	JOINT SEALING-LINE	4,000.00	0.00	0.00	10,340.00	4.00	10,340.00	4.00	10,340.00	4.00	10,340.00	4.00	10,340.00	4.00	10,340.00	4.00	10,340.00	12,276.00
193	JOINT SEALING-STATION	2,343.00	0.00	0.00	9,300.00	4.00	9,300.00	4.00	9,300.00	4.00	9,300.00	4.00	9,300.00	4.00	9,300.00	4.00	9,300.00	7,035.00
194	JOINT SEALING-CHILLED WATER PLANT	90.00	0.00	0.00	302.00	4.00	302.00	4.00	302.00	4.00	302.00	4.00	302.00	4.00	302.00	4.00	302.00	733.00
195	JOINT SEALING-TRACTION POWER SUBSTATION	114.00	0.00	0.00	456.00	4.00	456.00	4.00	456.00	4.00	456.00	4.00	456.00	4.00	456.00	4.00	456.00	859.00
196	THREE INCH CONCRETE PROTECTION CURSE-LINE	300.00	0.00	0.00	4,000.00	7.00	3,500.00	7.00	3,500.00	7.00	3,500.00	7.00	3,500.00	7.00	3,500.00	7.00	3,500.00	5,000.00
197	THREE INCH CONCRETE PROTECTION CURSE-STATION	6,500.00	0.00	0.00	32,040.00	7.00	45,334.00	7.00	45,334.00	7.00	45,334.00	7.00	45,334.00	7.00	45,334.00	7.00	45,334.00	45,050.00
198	THREE INCH CONCRETE PROTECTION CURSE-CHILLED WATER PLANT	346.00	0.00	0.00	2,784.00	7.00	2,428.00	7.00	2,428.00	7.00	2,428.00	7.00	2,428.00	7.00	2,428.00	7.00	2,428.00	3,447.00
199	THREE INCH CONCRETE PROTECTION CURSE-TRACTION POWER SUBSTATION	700.00	0.00	0.00	5,040.00	7.00	4,904.00	7.00	4,904.00	7.00	4,904.00	7.00	4,904.00	7.00	4,904.00	7.00	4,904.00	7,000.00
200	PROTECTION CURSE-LINE	2,007.00	12.00	24,084.00	3.00	6,021.00	3.00	6,021.00	3.00	6,021.00	3.00	6,021.00	3.00	6,021.00	3.00	6,021.00	4,011.70	
201	PROTECTION CURSE-STATION	792.00	25.00	19,800.00	3.00	2,376.00	3.00	2,376.00	3.00	2,376.00	3.00	2,376.00	3.00	2,376.00	3.00	2,376.00	3,960.00	
202	PROTECTION CURSE-CHILLED WATER PLANT	44.00	17.00	748.00	3.00	147.00	3.00	147.00	3.00	147.00	3.00	147.00	3.00	147.00	3.00	147.00	490.00	
203	PROTECTION CURSE-TRACTION POWER SUBSTATION	64.00	10.00	640.00	3.00	192.00	3.00	192.00	3.00	192.00	3.00	192.00	3.00	192.00	3.00	192.00	600.00	

ITEM NO	APPROXIMATE QUANTITY	UNIT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT
204	5,000.00	CV	13.00	65,000.00	19.00	95,000.00	25.00	125,000.00	17.00	85,000.00	55.00	275,000.00	17.00	85,000.00	20.00	100,000.00	15.00	75,000.00
205	1,000.00	LF	11.50	11,500.00	25.00	25,000.00	22.00	22,000.00	23.00	23,000.00	37.50	37,500.00	20.00	20,000.00	24.00	24,000.00	15.00	15,000.00
206	1,000.00	LF	9.20	9,200.00	15.00	15,000.00	10.00	10,000.00	10.00	10,000.00	49.00	49,000.00	11.00	11,000.00	15.00	15,000.00	15.00	15,000.00
207	1,000.00	LF	11.90	11,900.00	13.00	13,000.00	5.00	5,000.00	19.00	19,000.00	31.00	31,000.00	4.00	4,000.00	11.00	11,000.00	11.00	11,000.00
208	1,000.00	LF	10.30	10,300.00	16.00	16,000.00	3.00	3,000.00	14.00	14,000.00	2.00	2,000.00	12.00	12,000.00	11.00	11,000.00	11.00	11,000.00
209	1,000.00	LF	15.00	15,000.00	10.00	10,000.00	15.00	15,000.00	10.00	10,000.00	10.00	10,000.00	15.00	15,000.00	17.00	17,000.00	15.00	15,000.00
210	1,000.00	LF	40.00	40,000.00	20.00	20,000.00	7.00	7,000.00	40.00	40,000.00	10.00	10,000.00	40.00	40,000.00	50.00	50,000.00	50.00	50,000.00
211	1,000.00	LF	80.00	80,000.00	40.00	40,000.00	20.00	20,000.00	100.00	100,000.00	7.00	7,000.00	100.00	100,000.00	200.00	200,000.00	50.00	50,000.00
212	1,000.00	LF	130.00	130,000.00	200.00	200,000.00	230.00	230,000.00	70.00	70,000.00	110.00	110,000.00	42.00	42,000.00	50.00	50,000.00	50.00	50,000.00
213	1,000.00	LF	100.00	100,000.00	200.00	200,000.00	270.00	270,000.00	70.00	70,000.00	310.00	310,000.00	62.00	62,000.00	50.00	50,000.00	50.00	50,000.00
214	1,000.00	LF	1.00	1,000.00	50	50,000.00	50	50,000.00	2.00	2,000.00	600.00	600,000.00	1.00	1,000.00	2.00	2,000.00	2.00	2,000.00
215	1,000.00	LF	50.00	50,000.00	50.00	50,000.00	50.00	50,000.00	50.00	50,000.00	50.00	50,000.00	50.00	50,000.00	50.00	50,000.00	50.00	50,000.00
216	1,000.00	LF	200.00	200,000.00	200.00	200,000.00	240.00	240,000.00	175.00	175,000.00	7.00	7,000.00	200.00	200,000.00	600.00	600,000.00	24.00	24,000.00
217	1,000.00	LF	150.00	150,000.00	330.00	330,000.00	330.00	330,000.00	175.00	175,000.00	107.00	107,000.00	300.00	300,000.00	400.00	400,000.00	400.00	400,000.00
218	1,000.00	LF	150.00	150,000.00	325.00	325,000.00	325.00	325,000.00	175.00	175,000.00	95.00	95,000.00	50.00	50,000.00	600.00	600,000.00	325.00	325,000.00
219	1,000.00	LF	150.00	150,000.00	430.00	430,000.00	430.00	430,000.00	175.00	175,000.00	35.00	35,000.00	300.00	300,000.00	600.00	600,000.00	600.00	600,000.00
220	1,000.00	LF	20.00	20,000.00	20.00	20,000.00	20.00	20,000.00	15.00	15,000.00	91.00	91,000.00	20.00	20,000.00	21.00	21,000.00	160.00	160,000.00
221	1,000.00	LF	20.00	20,000.00	20.00	20,000.00	20.00	20,000.00	15.00	15,000.00	475.00	475,000.00	20.00	20,000.00	21.00	21,000.00	21.00	21,000.00
222	1,000.00	LF	300.00	300,000.00	300.00	300,000.00	300.00	300,000.00	400.00	400,000.00	600.00	600,000.00	250.00	250,000.00	800.00	800,000.00	800.00	800,000.00
223	1,000.00	LF	35.00	35,000.00	10.00	10,000.00	10.00	10,000.00	65.00	65,000.00	50.00	50,000.00	10.00	10,000.00	50.00	50,000.00	10.00	10,000.00
224	1,000.00	LF	40.00	40,000.00	22.00	22,000.00	22.00	22,000.00	60.00	60,000.00	7,200.00	7,200.00	20.00	20,000.00	50.00	50,000.00	4,000.00	4,000.00
225	1,000.00	LF	45.00	45,000.00	30.00	30,000.00	30.00	30,000.00	100.00	100,000.00	8,000.00	8,000.00	25.00	25,000.00	60.00	60,000.00	1,000.00	1,000.00
226	1,000.00	LF	50.00	50,000.00	40.00	40,000.00	40.00	40,000.00	100.00	100,000.00	17,000.00	17,000.00	48.00	48,000.00	65.00	65,000.00	11,000.00	11,000.00
227	1,000.00	LF	60.00	60,000.00	60.00	60,000.00	60.00	60,000.00	100.00	100,000.00	2,000.00	2,000.00	100.00	100,000.00	65.00	65,000.00	1,000.00	1,000.00
228	1,000.00	LF	60.00	60,000.00	60.00	60,000.00	60.00	60,000.00	100.00	100,000.00	2,000.00	2,000.00	100.00	100,000.00	65.00	65,000.00	1,000.00	1,000.00
229	1,000.00	LF	60.00	60,000.00	60.00	60,000.00	60.00	60,000.00	100.00	100,000.00	2,000.00	2,000.00	100.00	100,000.00	65.00	65,000.00	1,000.00	1,000.00
230	1,000.00	LF	60.00	60,000.00	60.00	60,000.00	60.00	60,000.00	100.00	100,000.00	2,000.00	2,000.00	100.00	100,000.00	65.00	65,000.00	1,000.00	1,000.00
231	1,000.00	LF	60.00	60,000.00	60.00	60,000.00	60.00	60,000.00	100.00	100,000.00	2,000.00	2,000.00	100.00	100,000.00	65.00	65,000.00	1,000.00	1,000.00
232	1,000.00	LF	60.00	60,000.00	60.00	60,000.00	60.00	60,000.00	100.00	100,000.00	2,000.00	2,000.00	100.00	100,000.00	65.00	65,000.00	1,000.00	1,000.00
233	1,000.00	LF	60.00	60,000.00	60.00	60,000.00	60.00	60,000.00	100.00	100,000.00	2,000.00	2,000.00	100.00	100,000.00	65.00	65,000.00	1,000.00	1,000.00
234	1,000.00	LF	60.00	60,000.00	60.00	60,000.00	60.00	60,000.00	100.00	100,000.00	2,000.00	2,000.00	100.00	100,000.00	65.00	65,000.00	1,000.00	1,000.00
235	1,000.00	LF	60.00	60,000.00	60.00	60,000.00	60.00	60,000.00	100.00	100,000.00	2,000.00	2,000.00	100.00	100,000.00	65.00	65,000.00	1,000.00	1,000.00
236	1,000.00	LF	60.00	60,000.00	60.00	60,000.00	60.00	60,000.00	100.00	100,000.00	2,000.00	2,000.00	100.00	100,000.00	65.00	65,000.00	1,000.00	1,000.00
237	1,000.00	LF	60.00	60,000.00	60.00	60,000.00	60.00	60,000.00	100.00	100,000.00	2,000.00	2,000.00	100.00	100,000.00	65.00	65,000.00	1,000.00	1,000.00
238	1,000.00	LF	60.00	60,000.00	60.00	60,000.00	60.00	60,000.00	100.00	100,000.00	2,000.00	2,000.00	100.00	100,000.00	65.00	65,000.00	1,000.00	1,000.00
239	1,000.00	LF	60.00	60,000.00	60.00	60,000.00	60.00	60,000.00	100.00	100,000.00	2,000.00	2,000.00	100.00	100,000.00	65.00	65,000.00	1,000.00	1,000.00
240	1,000.00	LF	60.00	60,000.00	60.00	60,000.00	60.00	60,000.00	100.00	100,000.00	2,000.00	2,000.00	100.00	100,000.00	65.00	65,000.00	1,000.00	1,000.00

ITEM NO	APPROXIMATE QUANTITY	UNIT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	UNIT PRICE	UNIT AMOUNT	PAGE
230	ELECTRIC BUCT 10-WAY CONC ENCASED 235.00 LF		41.00	9,635.00	70.00	15,050.00	63.00	13,545.00	135.00	29,025.00	70.00	15,050.00	92.00	19,790.00	10
231	ELECTRIC BUCT 12-WAY CONC ENCASED 1,190.00 LF		46.00	54,740.00	80.00	95,200.00	64.00	76,160.00	140.00	136,400.00	80.00	95,200.00	100.00	119,000.00	
232	ELECTRIC BUCT 16-WAY CONC ENCASED 58.00 LF		52.00	2,600.00	95.00	4,990.00	104.00	5,200.00	160.00	8,000.00	160.00	8,000.00	100.00	5,000.00	
233	ELECTRIC BUCT 20-WAY CONC ENCASED 175.00 LF		57.00	9,975.00	100.00	17,500.00	93.00	16,275.00	165.00	28,875.00	160.00	28,000.00	110.00	19,250.00	
234	ELECTRIC BUCT 24-WAY CONC ENCASED 90.00 LF		64.00	5,760.00	105.00	8,700.00	117.00	10,530.00	200.00	17,000.00	180.00	16,200.00	120.00	7,200.00	
235	ELECTRIC BUCT 28-WAY CONC ENCASED 10.00 LF		69.00	690.00	110.00	1,100.00	119.00	1,180.00	240.00	2,400.00	190.00	1,900.00	130.00	1,300.00	
236	ELECTRIC MM 5'0" X 8'0" 6.00 EA		18,000.00	108,000.00	5,000.00	29,000.00	2,500.00	10,000.00	5,000.00	29,000.00	7,000.00	28,000.00	7,200.00	28,000.00	
237	ELECTRIC MM 3'0" X 3'0" 8.00 EA		800.00	6,400.00	1,000.00	8,000.00	1,000.00	8,000.00	1,500.00	12,000.00	900.00	7,200.00	900.00	7,200.00	
238	ELECTRIC MM 5'0" X 17'0" 3.00 EA		6,000.00	18,000.00	10,000.00	30,000.00	5,000.00	15,000.00	10,000.00	30,000.00	10,000.00	30,000.00	18,000.00	54,000.00	
239	ELECTRIC MM 6'0" X 6'0" BUL ACT FCC 2.00 EA		3,500.00	7,000.00	3,500.00	7,000.00	2,000.00	4,000.00	1,500.00	3,000.00	4,500.00	9,000.00	8,000.00	8,000.00	
240	ELECTRIC MM 9'0" X 10'0" BUL ACT FCC 2.00 EA		5,000.00	10,000.00	5,000.00	10,000.00	4,500.00	9,000.00	4,000.00	8,000.00	7,000.00	14,000.00	7,000.00	14,000.00	
241	ELECTRIC MM 9'0" X 12'0" BUL ACT FCC 1.00 EA		3,500.00	3,500.00	6,000.00	6,000.00	4,000.00	4,000.00	4,500.00	4,500.00	4,500.00	4,500.00	7,200.00	7,200.00	
242	ELECTRIC MM 6'0" X 9'0" BUL ACT FCC 1.00 EA		5,500.00	5,500.00	9,000.00	9,000.00	4,000.00	4,000.00	5,000.00	5,000.00	9,000.00	9,000.00	14,000.00	14,000.00	
243	ELECTRIC MM 6'0" X 10'0" BUL ACT FCC 5.00 EA		6,000.00	30,000.00	7,000.00	35,000.00	4,000.00	20,000.00	5,000.00	25,000.00	9,000.00	45,000.00	10,000.00	50,000.00	
244	VIBRATION ISOLATION MATERIAL 6,500.00 SF		40.00	260,000.00	4.00	26,000.00	50	325,000.00	4.00	26,000.00	5.00	32,500.00	29.00	191,500.00	
	SUB TOTAL ITEMS 1 THROUGH 244			31,078,363.56		31,078,363.56		35,688,201.50		37,328,873.00		37,979,200.84		45,878,900.00	
301	REMOVE STRUCTURE TRACKS 200.00 LF		40.00	8,000.00	50.00	10,000.00	50.00	10,000.00	50.00	10,000.00	100.00	20,000.00	50.00	10,000.00	
401	REMOVE TEMPORARY BARRICADE AT STATION 54+64 1.00 LS		6,000.00	6,000.00	25,000.00	25,000.00	3,500.00	3,500.00	20,000.00	20,000.00	10,000.00	10,000.00	6,000.00	6,000.00	
	TOTAL ITEMS 1 THROUGH 401			31,795,058.57		31,078,363.56		35,688,201.50		37,328,873.00		37,979,200.84		45,878,900.00	

CONTRACT DESCRIPTION FOR THE CONSTRUCTION OF THE 5.0 MILE TUNNEL UNDER THE SAN FRANCISCO BAY AREA
 CONTRACT NUMBER 62504
 SECTION CONTRACT DESCRIPTION

ITEM NO	DESCRIPTION	UNIT	PRICE	QUANTITY	AMOUNT	UNIT PRICE	QUANTITY	AMOUNT	UNIT PRICE	QUANTITY	AMOUNT
1	CONCRETE	CU YD	150.00	100.00	15,000.00	150.00	100.00	15,000.00	150.00	100.00	15,000.00
2	STEEL	TON	200.00	50.00	10,000.00	200.00	50.00	10,000.00	200.00	50.00	10,000.00
3	LABOR	HOUR	10.00	100,000.00	1,000,000.00	10.00	100,000.00	1,000,000.00	10.00	100,000.00	1,000,000.00
4	CONCRETE	CU YD	150.00	100.00	15,000.00	150.00	100.00	15,000.00	150.00	100.00	15,000.00
5	STEEL	TON	200.00	50.00	10,000.00	200.00	50.00	10,000.00	200.00	50.00	10,000.00
6	LABOR	HOUR	10.00	100,000.00	1,000,000.00	10.00	100,000.00	1,000,000.00	10.00	100,000.00	1,000,000.00
7	CONCRETE	CU YD	150.00	100.00	15,000.00	150.00	100.00	15,000.00	150.00	100.00	15,000.00
8	STEEL	TON	200.00	50.00	10,000.00	200.00	50.00	10,000.00	200.00	50.00	10,000.00
9	LABOR	HOUR	10.00	100,000.00	1,000,000.00	10.00	100,000.00	1,000,000.00	10.00	100,000.00	1,000,000.00
10	CONCRETE	CU YD	150.00	100.00	15,000.00	150.00	100.00	15,000.00	150.00	100.00	15,000.00
11	STEEL	TON	200.00	50.00	10,000.00	200.00	50.00	10,000.00	200.00	50.00	10,000.00
12	LABOR	HOUR	10.00	100,000.00	1,000,000.00	10.00	100,000.00	1,000,000.00	10.00	100,000.00	1,000,000.00
13	CONCRETE	CU YD	150.00	100.00	15,000.00	150.00	100.00	15,000.00	150.00	100.00	15,000.00
14	STEEL	TON	200.00	50.00	10,000.00	200.00	50.00	10,000.00	200.00	50.00	10,000.00
15	LABOR	HOUR	10.00	100,000.00	1,000,000.00	10.00	100,000.00	1,000,000.00	10.00	100,000.00	1,000,000.00
16	CONCRETE	CU YD	150.00	100.00	15,000.00	150.00	100.00	15,000.00	150.00	100.00	15,000.00
17	STEEL	TON	200.00	50.00	10,000.00	200.00	50.00	10,000.00	200.00	50.00	10,000.00
18	LABOR	HOUR	10.00	100,000.00	1,000,000.00	10.00	100,000.00	1,000,000.00	10.00	100,000.00	1,000,000.00
19	CONCRETE	CU YD	150.00	100.00	15,000.00	150.00	100.00	15,000.00	150.00	100.00	15,000.00
20	STEEL	TON	200.00	50.00	10,000.00	200.00	50.00	10,000.00	200.00	50.00	10,000.00
21	LABOR	HOUR	10.00	100,000.00	1,000,000.00	10.00	100,000.00	1,000,000.00	10.00	100,000.00	1,000,000.00
22	CONCRETE	CU YD	150.00	100.00	15,000.00	150.00	100.00	15,000.00	150.00	100.00	15,000.00
23	STEEL	TON	200.00	50.00	10,000.00	200.00	50.00	10,000.00	200.00	50.00	10,000.00
24	LABOR	HOUR	10.00	100,000.00	1,000,000.00	10.00	100,000.00	1,000,000.00	10.00	100,000.00	1,000,000.00
25	CONCRETE	CU YD	150.00	100.00	15,000.00	150.00	100.00	15,000.00	150.00	100.00	15,000.00
26	STEEL	TON	200.00	50.00	10,000.00	200.00	50.00	10,000.00	200.00	50.00	10,000.00
27	LABOR	HOUR	10.00	100,000.00	1,000,000.00	10.00	100,000.00	1,000,000.00	10.00	100,000.00	1,000,000.00

QTY	DESCRIPTION	UNIT PRICE	AMOUNT	UNIT PRICE	AMOUNT	UNIT PRICE	AMOUNT	UNIT PRICE	AMOUNT	UNIT PRICE	AMOUNT	UNIT PRICE	AMOUNT
10	CONCRETE	10.00	100.00	10.00	100.00	10.00	100.00	10.00	100.00	10.00	100.00	10.00	100.00
20	STEEL	20.00	200.00	20.00	200.00	20.00	200.00	20.00	200.00	20.00	200.00	20.00	200.00
30	WOOD	30.00	300.00	30.00	300.00	30.00	300.00	30.00	300.00	30.00	300.00	30.00	300.00
40	PAINT	40.00	400.00	40.00	400.00	40.00	400.00	40.00	400.00	40.00	400.00	40.00	400.00
50	ROOFING	50.00	500.00	50.00	500.00	50.00	500.00	50.00	500.00	50.00	500.00	50.00	500.00
60	INSULATION	60.00	600.00	60.00	600.00	60.00	600.00	60.00	600.00	60.00	600.00	60.00	600.00
70	MECHANICAL	70.00	700.00	70.00	700.00	70.00	700.00	70.00	700.00	70.00	700.00	70.00	700.00
80	ELECTRICAL	80.00	800.00	80.00	800.00	80.00	800.00	80.00	800.00	80.00	800.00	80.00	800.00
90	PLUMBING	90.00	900.00	90.00	900.00	90.00	900.00	90.00	900.00	90.00	900.00	90.00	900.00
100	LABOR	100.00	1000.00	100.00	1000.00	100.00	1000.00	100.00	1000.00	100.00	1000.00	100.00	1000.00

HISTORICAL COST REPORTS

<u>Direct Cost</u>		<u>Percent</u>
Labor	\$ 4,300,977	
Repair Labor	<u>199,279</u>	
	4,500,256	30.4
Equipment	515,112	3.5
Job Materials	2,296,144	15.5
Perm. Materials	816,207	5.5
Subcontract	5,357,741	36.2
Other	<u>1,323,400</u>	8.9
Total Direct Cost	\$14,808,860	100%
Job Overhead	<u>1,765,820</u>	11.9
Total Contractor's Cost	\$16,574,680	
Contractor's Markup	2,065,595	12.5
Adjustment	<u>332,580</u>	2.0
TOTAL ENG. EST.	\$18,972,855	

PARAMETER PRICESTunnels

Category	Low Bid		High Bid		Eng. Est.	
	%	\$/LF	%	\$/LF	%	\$/LF
Mobilization	--	--	--	--	--	--
Traffic Control & Maint.	--	--	--	--	--	--
Instrumentation	2.1	95.59	1.8	84.96	1.9	90.38
Underpinning	8.8	395.43	6.0	289.10	10.1	487.48
Tunnel Excav., Lining, Conc., Resteeel, Cross Passage & Connections	86.2	3876.20	90.0	4343.97	84.4	4061.25
Mechanical Work	0.6	27.16	0.5	24.20	0.3	16.03
Electrical Work	2.0	88.10	1.5	71.02	3.0	143.22
Misc. Steel & Iron	0.3	14.59	0.2	12.00	0.3	17.13
Street Restoration	--	--	--	--	--	--
TOTAL		\$4496.20		\$4827.90		\$4815.50
	100%		100%		100%	

Notes: Tunnel liners are District-furnished material.
Costs for utilities work and street restoration are included in
cost for line and station.

PARAMETER PRICESSubway Line

Category	Low Bid		High Bid		Eng. Est.	
	%	\$/LF	%	\$/LF	%	\$/LF
Mobilization	--	--	--	--	--	--
Traffic Control & Maint.	2.9	199.77	2.2	144.54	1.9	132.04
Instrumentation	1.4	95.65	1.3	85.00	1.3	90.42
Underpinning	1.0	70.37	0.8	52.55	0.9	67.13
Cut-&-Cover Excav. & Sup't	42.2	2884.63	35.3	2272.18	35.6	2545.23
Decking	2.9	194.91	4.8	306.39	4.5	317.18
Backfill	2.7	184.17	2.0	128.01	2.4	171.30
Concrete	20.8	1420.92	28.8	1852.22	24.8	1764.63
Bar Reinf. Steel	7.0	475.09	6.8	437.68	6.7	475.74
Misc. Steel & Iron	2.4	164.91	2.1	135.74	2.7	193.56
Mechanical Work	0.1	6.80	0.1	4.86	--	3.42
Electrical Work	3.9	266.53	3.5	222.82	5.8	410.92
Utilities: Support, Maintain, Restore	10.3	707.22	10.4	670.42	11.1	788.57
Street Restoration	2.4	166.20	1.9	123.42	2.3	161.80
TOTAL		\$6,837.18		\$6,435.83		\$7,121.94
		100%		100%		100%

PARAMETER PRICESUnderground Station

Category	Low bid		High Bid		Eng. Est.	
	%	\$/LF	%	\$/LF	%	\$/LF
Mobilization	--	--	--	--	--	--
Traffic Control & Maint.	1.3	199.70	0.9	144.51	0.9	131.99
Instrumentation	0.6	95.69	0.5	85.06	0.6	90.46
Underpinning	2.7	419.14	2.9	475.85	4.2	615.69
Cut-&-Cover Excav. & Sup't	38.1	5943.23	41.2	6694.62	30.6	4455.27
Decking	3.6	567.70	4.8	774.84	5.5	810.12
Backfill	1.6	250.30	1.9	309.31	2.1	298.14
Concrete	24.1	3753.13	22.0	3577.18	23.2	3371.47
Bar Reinforcing Steel	6.0	934.88	5.3	852.30	6.4	932.76
Structural Steel	11.4	1770.60	11.1	1800.51	14.0	2041.13
Misc. Steel & Iron	1.1	174.73	0.9	143.82	1.4	205.14
Mechanical Work	1.3	203.46	1.1	182.77	1.5	218.85
Electrical Work	1.9	305.30	1.8	283.72	2.3	338.88
Utilities: Support, Maintain, Restore	4.5	706.87	4.1	670.13	5	788.23
Street Relocation	1.1	166.12	0.8	123.38	1.9	161.75
Other	0.7	108.77	0.7	112.95	0.8	110.05
TOTAL		\$15,599.62		\$16,230.95		\$14,570.21
		100%		100%		100%

CONTRACT COST

Total Bid Price	\$19,429,000
Change Orders	\$ 528,690
Other Changes	\$
Actual Cost	\$19,957,690 (as of June, 1972)

COMMENTS:

There are 75 contract changes (as of October, 1969). Some of them deal with time extension.

Changes are related to: Closure walls, sewer rearrangements, underpinning modifications, demolition, relocations, modifications to soldier piles, failure to receive tunnel rings, details to sidewalks, emergency bypass. The range of a change order is from \$112 to \$70,414.

SOIL CONDITION: The upper 25-30 LF consists of sandy soils (sand, clayey sand and gravelly sand). Utilities are located in these materials.

The lower part consists of clayey soils, gravelly soils (silty clay, sandy clay, gravelly clay; clayey gravel, sandy gravel, gravel).

One large lense of organic silty clay is present, too.

UNDERGROUND WATER: Underground water table is 20 to 25 below the surface.

BIDS RECEIVED: December 6, 1966

CONSTRUCTION SCHEDULE: 795 calendar days

NOTICE TO PROCEED: February 1, 1967

CONTRACT ACCEPTED: October 20, 1969

EXTENSION OF TIME: 184 calendar days

LIQUIDATED DAMAGES:

LOW BID: \$ 19,428,625

ENGINEER'S ESTIMATE: \$ 18,972,855

30% ESTIMATE:

85% ESTIMATE:

RE-ESTIMATE: \$ 18,523,000 (July, 1966)

COMPOSITE REPORT: \$ 9,446,000

HISTORICAL COST REPORT

CONTRACT: 1K0016

ENGINEER: Parsons Brinckerhoff, Quade & Douglas and
Bechtel

TITLE OF CONTRACT: Subway structures, 12th Street Oakland Station
and Broadway tunnels, Oakland downtown line.

DESCRIPTION OF WORK: The work includes the construction of the 12th St.
Oakland Station--a three level station.
L = 838 LF
Subway line structure: L = 216 LF.
Triple bored tunneled subway: L = 1085 LF.

Both the station and line section are constructed
by cut-and-cover method. Construction is princi-
pally of reinforced concrete with structural steel
framing for the subway station.
Tunnels are driven by using a shield method and
protected by District-furnished segmented steel
tunnel rings.

The work also includes earthwork, underpinning of
existing buildings and structures, utility
relocation, temporary decking, protection of
existing facilities, electrical and mechanical
work and street restoration.

GENERAL TYPE OF AREA: Project is located in Oakland downtown area. The
construction extends from a point approximately
midway between 10th and 11th Streets and continues
to Broadway to the north of 17th Street.

WORK AREA: Entire right-of-way of Broadway and off street
area between 9th and 10th Streets and Broadway
and Franklin Streets.

TRAFFIC CONTROL &
MAINTENANCE: During the construction time all bus and other
traffic was maintained on minimum three 10' lanes
open at all times between the hours 6:00 am and
10:00 pm. At other times, Broadway may be closed
to traffic but not more than one block.
Traffic control, detour signs, sidewalks and access
and cross streets were provided and maintained at
all times.

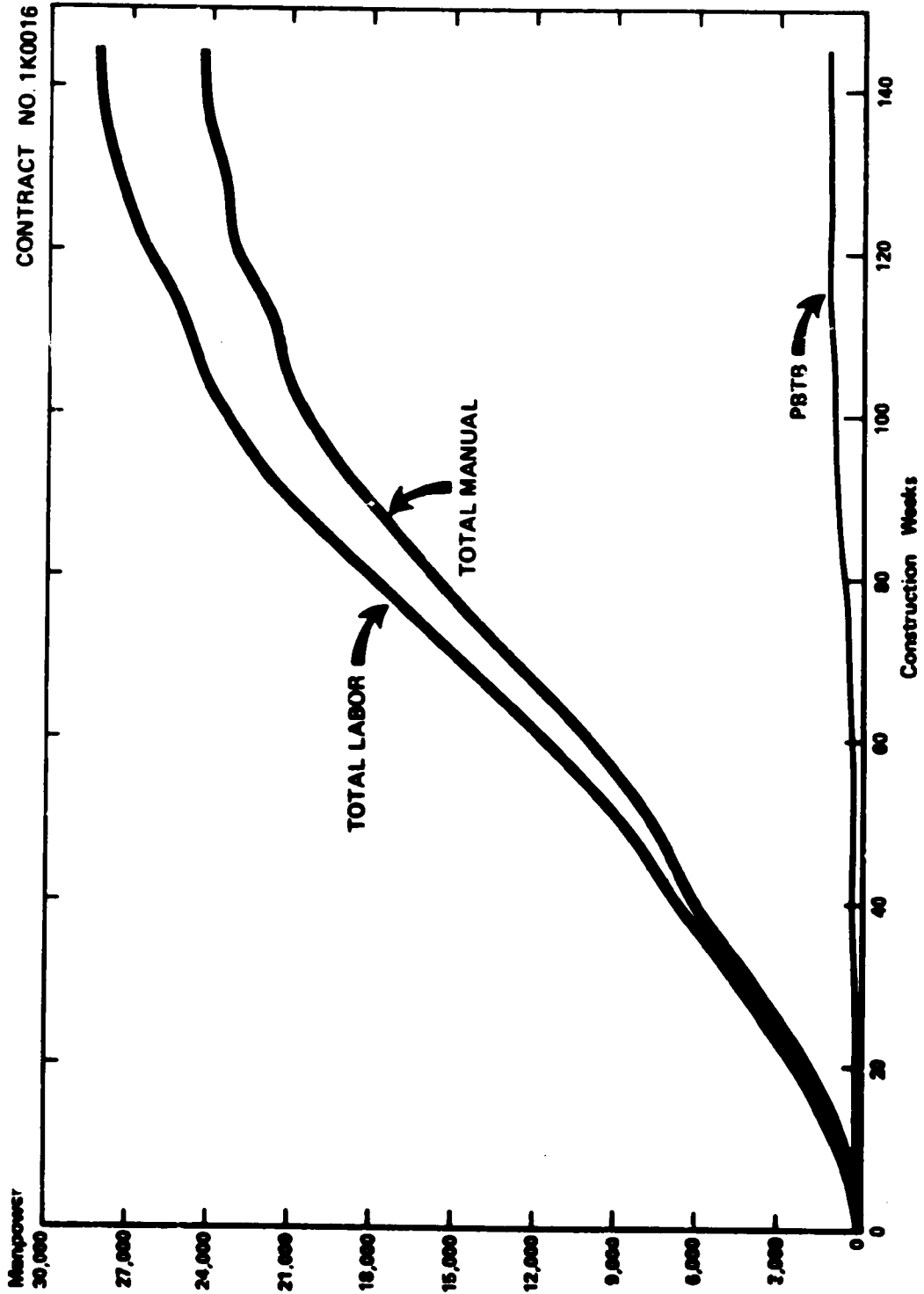
DESIGNATION OF SUBCONTRACTORS

In compliance with the provisions of Sections 4100-4113, inclusive, of the Government Code of the State of California, which provisions are hereby incorporated herein, and any amendments thereof, each Bidder shall set forth below: (a) The name and the location of the place of business of each subcontractor whom the Bidder proposes to have perform work or labor or render service to him in or about the construction of the work in an amount in excess of one-half of one per cent of the Bidder's total bid; and (b) the portion of the work which will be done by each such subcontractor.

LIST BELOW THE NAME AND LOCATION OF THE PLACE OF BUSINESS OF EACH SUBCONTRACTOR AND THE PORTION OF THE WORK TO BE DONE BY EACH SUBCONTRACTOR:

Name of Subcontractor	Location of Place of Business	Portion of the Work
(1) Judson Steel Company	Berkeley, California	Reinforcing Steel
(2) Del Monte Electrical Corp.	Hayward, California	Electrical
(3) Monterey Mechanical Co.	Oakland, California	Mechanical
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
(11)		
(12)		
(13)		
(14)		
(15)		
(16)		
(17)		
(18)		
(19)		

MANPOWER GRAPH



HISTORICAL COST EFFORT

CONTRACT: 1S00??

ENGINEER: Bechtel Corporation.

PRIME CONTRACTOR: Morrison Knudsen, Brown & Root and Perini Co.
(Joint Venture)

TITLE OF CONTRACT: Subway structures, 8th Street to 15th Street,
San Francisco Market Street Line.

DESCRIPTION OF WORK: This contract is part of the District's San Francisco
Downtown Subway System, extending from Station 39744.467
on the SR line, at a point just south of 15th St. at
Mission St., and continuing along Mission and 6th Sts.
on tangent and then on a curve to Market St. and along
Market St. on tangent to the end of the contract at
Station 448464.167 just east of 8th St. The work
includes construction of subway line tunnels of approxi-
mately 5,100 LF of SR line and 5,130 LF of SL line;
placing of tunnel invert and walkway concrete; con-
struction of a vent and pump shaft; mechanical and
electrical work; and installation of District-furnished
fans and pumps.

GENERAL TYPE OF SOIL
& WATER CONDITIONS: Brown clayey to brown fine sand and red brown to grey
to black sandy clay, ranging from medium to very
dense. Water was encountered at depths between 10'
to 20'.

BIDS RECEIVED: September 23, 1966

NOTICE TO PROCEED: January 9, 1967

SCHEDULED COMPLETION: March 28, 1969

SUBSTANTIAL COMPLETION: April 10, 1970

CONTRACT ACCEPTED: April 27, 1970

EXTENSION OF TIME Yes

DAILY CONSTRUCTION SERVICE

TUNNEL CONSTRUCTION

9-23-66(BARTD-SUBWAY STRUCS., MARKET ST. LINE, CONTR. NO. 150022)

SAN FRANCISCO, CALIF. (UNIT & TOTAL BIDS RECEIVED, TAKEN UNDER ADVISEMENT)
 MORRISON-KNUDSEN CO., INC., BROWN & ROOT, INC. & PERINI CORP. (JV), 8610 ATLANTIC AVENUE,
 SOUTH GATE, \$17,763,825 LOW TO S.F. BAY AREA RAPID TRANSIT DIST., 814 MISSION STREET,
 S.F., FOR CONST. SUBWAY STRUCS., 8TH ST. TO 15TH ST. ON THE DIST'S S.F. MARKET STREET LINE
 IN S.F. CONTRACT NO. 150022.
 (1) MORRISON-KNUDSEN CO., INC., BROWN & ROOT INC., & PERINI CORP. (JV) - LOW - \$17,763,825
 (2) WINSTON BROS, FRED J. EARLY JR. CO., INC., & S&M CONST. CO., KEMPER CONST. CO., L.A. \$19,703,247
 (3) McLEAN-GROVE & SHEPHERD, N.Y. \$20,755,420
 ENGINEER'S ESTIMATE \$20,341,517

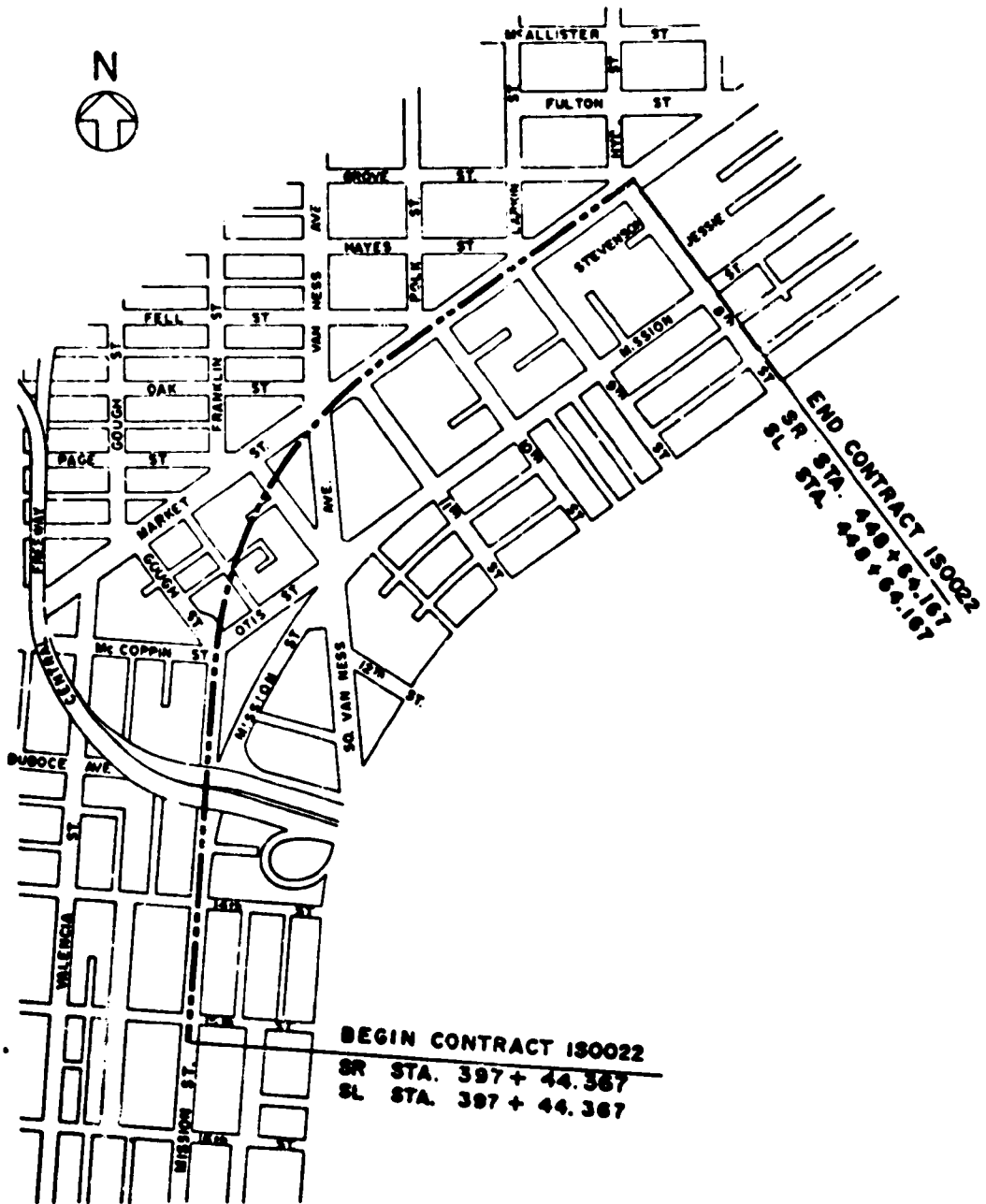
-CONTINUED IN NEXT COLUMN-

	(1)	(2)	(3)
L.S., MOBILIZ. & PREP. WORK	\$1,000,000	\$1,000,000	\$1,000,000
500 EA. SETTLEMENT MEAS. POINTS	200.00	38.00	10.00
L.S., PROTECTIVE WORK	\$5,000	\$3,500	\$10,000
L.S., SUPPT. WORK EQUIP	10,000	\$7,000	\$10,000
OPTION A			
2,330 CY. EX. EXTERIOR	85.00	130.00	200.00
3,820 CY. STRUC. ER.	6.00	10.00	150.00
2,220 CY. CL. 3000 CONC.	90.00	132.00	250.00
440 CY. "4000" CONC.	76.00	100.00	100.00
262,000 LB. FAB. JT. MBRS.	.40	.61	.50
61,300 LB. STL. STRUTS	.60	1.00	.50
343,000 LB. REINF. STL.	.25	.50	.25
170 CY. CONC. PUMP & VENT SHAFT WALLS	250.00	135.00	200.00
180 CY. CONC. SAME SUPPTD. SLABS & BEAMS	250.00	75.00	200.00
73,500 LB. STEEL	.25	.33	.25
SUBTOTAL	\$784,785	\$1,973,775	
OPTION A			
2,800 LFT. OBSVN. WELLS	10.00	6.70	10.00
30 EA. MAINTAIN SAME	\$3,000	490.00	100.00
30 EA. REMOVE SAME	200.00	92.00	30.00
3 CY. "3000" CONC.	300.00	170.00	300.00
10,228 LFT. "2500" CONC.	60.00	58.50	125.00
10,228 LFT. SUBWAY TNL.	\$1365	\$1,577	\$1,480
L.S., CROSS PASSAGE, STA. SR403+76	\$45,000	\$45,000	\$70,000
LS, SAME, SR410+04	\$45,000	\$45,000	\$70,000
L.S., CROSS PASSAGE, STA. SR416+32	\$45,000	\$45,000	\$70,000
L.S., SAME, SR428+55	\$45,000	\$50,000	\$90,000
L.S., SAME, SR435+58	\$45,000	\$60,000	\$90,000
L.S., SAME, SR442+07	\$45,000	\$60,000	\$90,000
2 EA. TERMINAT. TUNNELS, 8TH STREET	\$120,000	\$7,350	\$75,000
2 EA. SAME, 15TH ST.	\$60,000	\$8,430	\$75,000
8 EA. TUNNEL SEALS	\$4,000	\$4,530	\$10,000
47,200 LB. MISC. STEEL & IRON	1.50	1.04	1.25
1 EA. MANHOLE FRAMES & COVERS	300.00	430.00	500.00
L.S., FIRE PROTECT. SYSTEMS	\$50,000	\$46,900	\$25,000
L.S., DRAINAGE SYSTEMS	\$20,000	\$17,000	\$35,000
L.S., VENTILATION SYSTEMS	\$22,000	\$9,900	\$15,000
61,650 LFT. 4" EMBED. NON-MTL. CONDUIT	1.00	.80	.70
40 EA. WALKWAY PULL-BOXES	\$1,000	900.00	500.00
L.S., ELECTRICAL WORK	\$150,000	\$140,890	\$170,000
7 EA. METAL DOORS, TYPE A	700.00	660.00	250.00
14 EA. METAL DOORS, TYPE B	900.00	765.00	250.00
L.S., 1ST AID FACIL.	\$80,000	\$40,000	\$75,000

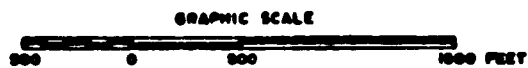
CHANGE ORDERS
BART PROJECT 1S0022

<u>No.</u>	<u>Description</u>	<u>Amount</u> \$
1	Delete Bid Item #40, Medical & First Aid Facilities	(80,000)
2	Furnishing, installation and reading	33,710
3	Furnish and install boxes for train control	10,200
4	Miscellaneous	217,255
5	Miscellaneous architectural and electrical work	16,830
6	Construct 4 cross passages & provide misc. equip.	(89,894)
7	Furnish and install metal doors and frames	(2,633)
8	Providing sealing around cross passage framing	4,433
9	Relocate temporary electrical work	806
10	Provide bonding bars in steel lined tunnel	5,750
11	Install guy pole and support sign	162
12	Install 2 additional soldier beams	6,965
13	Remove underground storage tank	710
14	Sample test and removal of solvent	4,683.73
15	Drive a portion of tunnels in free air	(7,178)
16	Repair street surface	631.54
17	Furnish and install rubber gasket	298
18	Modify electrical installation	4,448
19	Repair and redrill improperly drilled tunnel ring segments	121
20	Relocate pump discharge pipe and modify gratings	262
21	Split dry standpipe inlet box covers	949
	TOTAL	<u>\$607,572.27</u>

Note: Figures in brackets are credits (deductions).

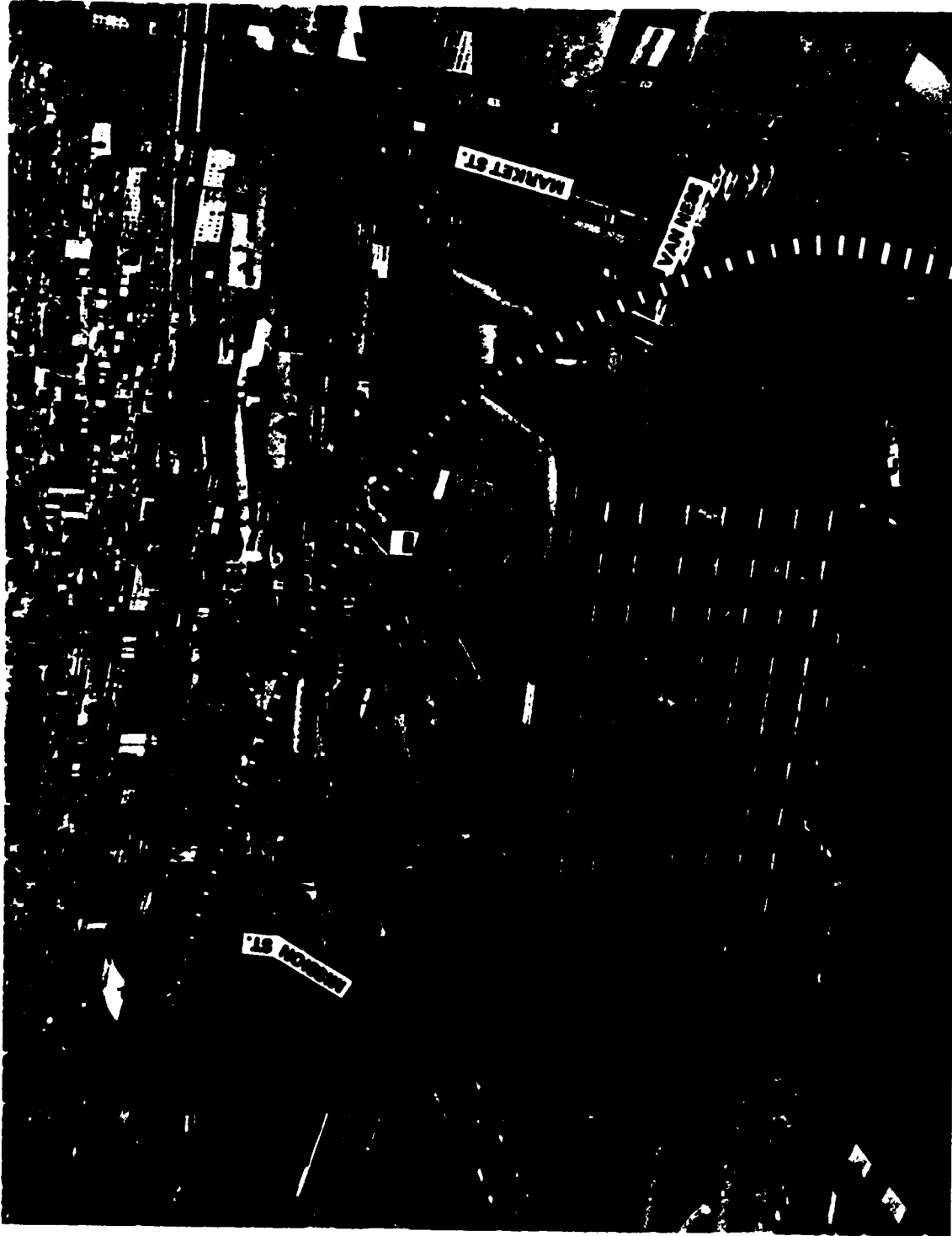


GENERAL CONSTRUCTION SITE PLAN





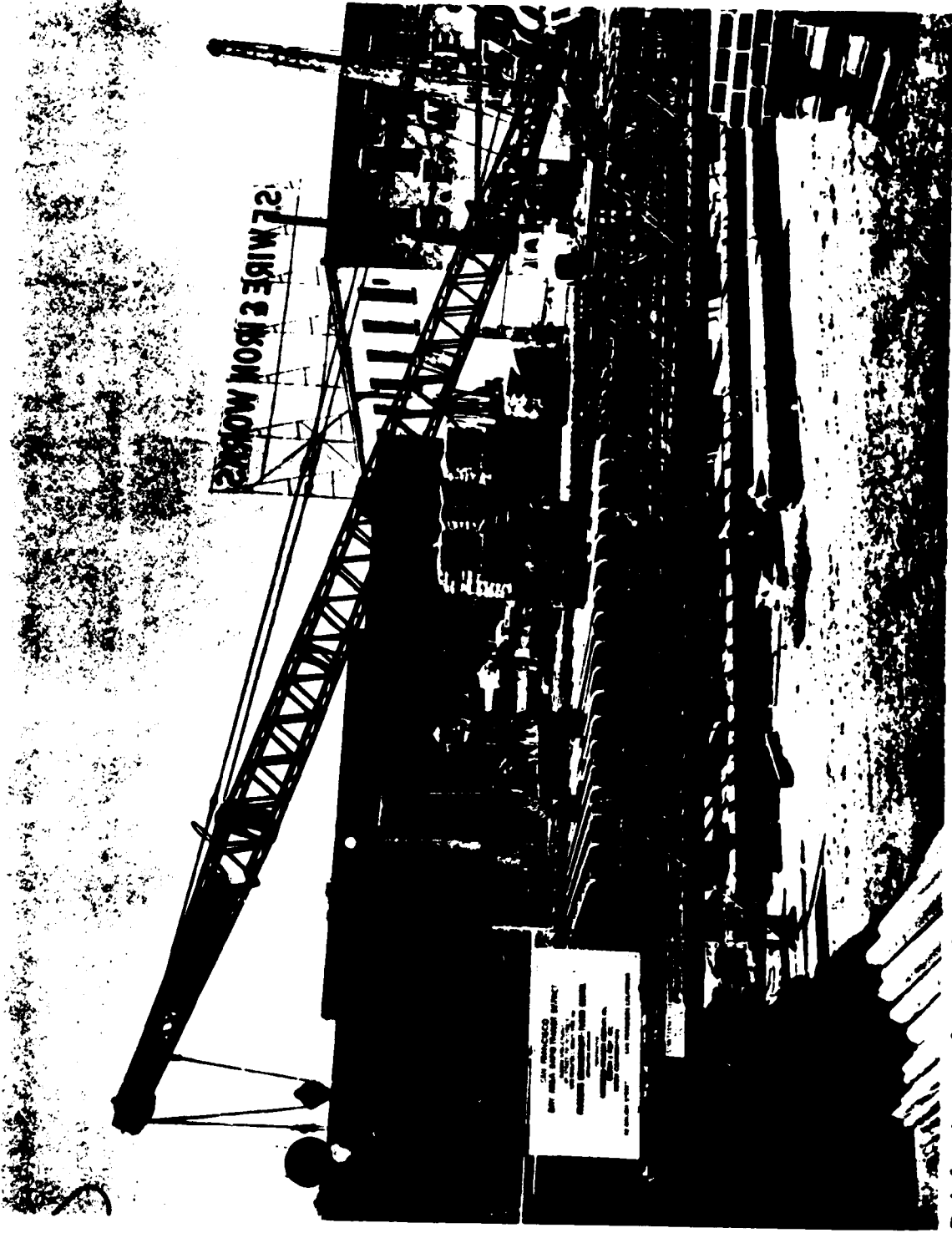
View looking into tunnel portals from 15th Street access shaft, showing airlock installation on left. Access to the manlock is above the materials lock. Contract 1S0022, Subway Structures, 8th to 15th Street.



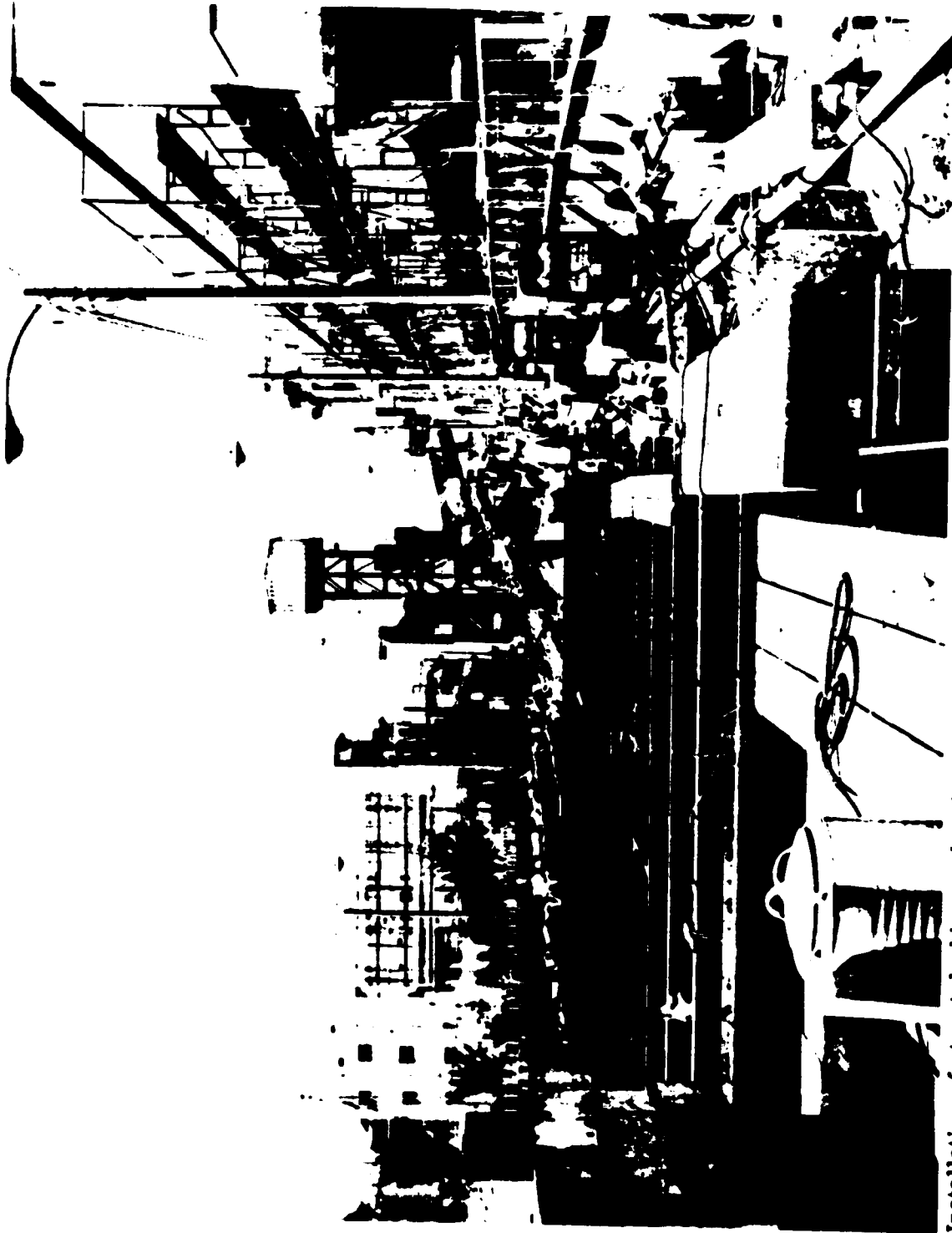
Dashed line indicates route of rapid transit subway as it leaves Market Street and progresses toward Mission Street. Crane boom at center of picture is on the vent shaft construction site. Contract 1S0022, Subway Structures, 8th to 15th Street.



**Site of the Vent Structure being constructed by the slurry-wall method.
Contract 1S0022, Subway Structures, 8th to 15th Streets.**



Reinforcing steel cages for construction of slurry-wall vent structure. Contract 150022, Subway Structures, 8th to 15th Streets.



Installation of street decking at the site of the contractor's access shaft, located at 15th and Mission Streets. Contract 1S0022, Subway Structures, 8th to 15th Streets.



Fabricated-steel tunnel-liner segments are temporarily stored in the center of Mission Street near the contractor's tunnel access shaft. Contract IS0022, Subway Structures, 8th and Market to 15th and Mission Streets.



Tunnel muck is hoisted to the surface and stored in a 100-ton bin at the contractor's access shaft at 15th and Mission. Contract 1S0022, Subway Structures, 8th and Market to 15th and Mission.

HISTORICAL COST REPORT

<u>CONTRACT:</u>	IS0031
<u>ENGINEER:</u>	Bechtel Corporation
<u>TITLE OF CONTRACT:</u>	San Francisco-Outer Market Street Line; Van Ness Avenue Station to Duboce Portal.
<u>DESCRIPTION OF WORK:</u>	This contract is a part of the District's system to house the San Francisco Municipal Railway located within the City and County of San Francisco, and lying in Market St. between approximately Duboce Ave. and Van Ness Avenue. The contract consists of 3,616 LF of cut-and-cover subway structures located between the west wall of Van Ness Ave. Station and just west of Duboce Ave. turnout, and a ramp connection approximately 900' in length in Duboce Ave. for transition of streetcars from surface to underground operations.
<u>BIDS OPENED:</u>	May 12, 1970
<u>NOTICE TO PROCEED:</u>	June, 1970
<u>SCHEDULED TIME:</u>	1,040 Calendar days
<u>EXTENSION:</u>	54 Calendar days
<u>LOW BID:</u>	\$10,967,444
<u>NO. OF BIDDERS:</u>	10
<u>NAME OF CONTRACTOR:</u>	Fruin-Colnon Corporation, Dravo Corporation.
<u>ENGINEER'S ESTIMATE:</u>	\$12,148,105
<u>DISTRICT TARGET:</u>	\$ 8,545,000
<u>COMPOSIT REPORT:</u>	\$ 9,917,000 (1962)
<u>PROJECT ESTIMATE:</u>	\$ 9,408,000
<u>85% ESTIMATE:</u>	\$12,853,000
<u>PRE-FINAL ESTIMATE:</u>	\$12,760,000
<u>TOTAL CHANGE ORDERS:</u>	\$ 920,840
<u>ACTUAL COST:</u>	\$11,888,284 (As of June 30, 1972)

DAILY CONSTRUCTION SERVICE

TUNNEL CONSTRUCTION

Page 1

5-12-70(BARTO-SUBWAY STRUC., VAN NESS STA. TO DUBOCE PORTAL, NO. 150031)

SAN FRANCISCO, CALIF. (UNIT AND TOTAL BIDS RECEIVED, TAKEN UNDER ADVISEMENT)
 Fruin-Colnon Corp., Dravo Corp., (JV), Box 3704, Hayward \$10,967,444 low to S.F. BARTO,
 Recept. Rm. 5th Flr., 814 Mission St., S.F., for const. subway struc. betw. Van Ness Sta. & Duboce
 Portal on the Dist's Outer Market St. line. Contr. #150031.

(1) Fruin-Colnon Corp., Dravo Corp. (JV) Hayward - LOW \$10,967,444	(5) Perini Corp., S.F. \$12,139,322
(2) J.F. Shea Co., Inc. P&Z Co., Inc. (JV) Oakland \$11,373,505	(6) Peter Kiewit Sons Co., Omaha \$12,289,277
(3) Massman Const. Co., S. Leandro \$11,551,105	(7) Morrison-Knudsen Co., Inc. Boise 12,676,450
(4) Gordon H. Ball, Inc., Homer J. Olsen, Homer J. Olsen, Inc. (JV) Danville \$11,911,875	(8) Fred J. Early, Jr. Co., Inc. Winston Bros. Co., Donald M. Drake Co., S.F. 13,275,750
-continued in next column-	(9) Granite Const. Co., Watsonville \$13,322,140
	(10) MacLean Grove & Co. Inc. New Yrk \$19,371,710
	Engineer's Estimate \$12,148,105

L.S. mobiliz & prep. work	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
L.S. traf. maint. & cntrl	180000	200000	200000	500000	124600	76,505	145000	160000	150000	250000
L.S. bulkhead E. end	30,000	30,000	30,000	30,000	30,000	30,000	30,000	30,000	30,000	30,000
L.S. same, W. end	20,000	10,000	20,000	30,000	36,000	12,000	24,000	10,000	50,000	30,000
L.S. Muni. Railway) track facil. }	1,080,264	80,000	80,000	600000	500000	880000	700000	780000	750000	750000
L.S. same, perm.	230000	200000	493370	250000	320000	706307	350000	150000	250000	250000
2,000 SY decking	98 00	150 00	100 00	75 00	264 00	125 00	200 00	200 00	200 00	150 00
152,100 cy. excav.	20 00	19 38	20 00	20 00	22 00	21 50	24 00	28 00	34 00	38 00
51,100 cy. bkfl.	6 00	5 50	8 00	4 00	9 25	6 80	5 00	10 00	6 00	15 00
6 ea. incl. casings	600.00	\$1,500	600.00	\$2,000	750 00	\$1,200	\$1,800	\$2,000	650 00	\$1,500
60 ea. bracing instr.	375 00	500 00	375.00	270 00	500 00	310 00	650 00	600 00	400.00	\$1,500
1,500 1ft. observ. wells installation										
type I	4 25	5 00	5 00	5.00	5 00	25 00	8 00	9 00	5 00	7 00
650 1ft. same, ty. II	4 70	6 00	10 00	6 00	6 00	30 00	9 00	10 00	6 00	8 00
1,000 well mo. maint. observ. wells	8 50	10 00	15 00	1 00	63 00	25 00	30 00	10 00	15 00	10 00
44 ea. rmv. wells	130 00	20 00	100 00	1 00	60 00	50 00	130 00	100 00	50 00	10 00
178 cy. crb. gtrs. isl	60 00	70 00	60 00	75 00	75 00	140 00	75 00	80 00	70 00	70 00
260 cy. sdwk. drvwy	60 00	80 00	60 00	80 00	75 00	140 00	75 00	80 00	70 00	60 00
1,570 cy. aggr. base	17 00	17 00	17 00	20 00	21 00	21 00	20 00	15 00	20 00	20 00
23,460 SY Cl. 3000 conc. base 8" thick	10 00	9 00	10 00	10 00	8 00	13 00	12 00	10 00	10 00	10 00
21 T liq. asph.	200 00	150 00	200 00	100 00	240 00	300 00	250 00	200 00	200 00	200 00
21 T asph. emuls	200 00	100 00	200 00	150 00	240 00	300 00	250 00	200 00	200 00	200 00
3,020 T aggr.	15 00	16 00	16 00	16 00	20 00	40 00	20 00	15 00	16 00	17 00
180 T pav. asph.	15 00	16 00	16 00	16 00	20 00	40 00	20 00	15 00	16 00	17 00
5,250,000 lb. bar reinforcing steel	13	13	13	13	17	14	14	14	13	15
13,850 cy. Class 4000 conc., subway, struc., grade slab	54.00	55 00	50 00	50 00	40 00	65 00	50 00	80 00	45 00	100.00
9,000 cy. same, exterior walls	54 00	55 00	80.00	50 00	76 00	95 00	65 00	80 00	60.00	150.00
1,250 cy. same, interior walls	54 00	55.00	80 00	50 00	134 00	130 00	110 00	80 00	60 00	150 00
12,650 cy. same, supstd. slabs & beams	54.00	55 00	80.00	50 00	56 00	65 00	40 00	80.00	50 00	150.00
27,000 1ft. waterstops	1 70	.50	1 00	1 00	2 20	2 00	2 00	5 00	3.00	2.00
43,100 lb. misc. steel & iron & struc. steel	1.00	1.50	1.00	1.00	1 12	1 80	90	1 50	1 20	1 00
760 1ft. parapet rail, Duboce Portal	7.00	8 50	10.00	15 00	15 00	16 00	12 00	20 00	20 00	50.00
5 ea. stl. door	485.00	500 00	750.00	450 00	460 00	600 00	500 00	800.00	500.00	500.00
10 ea. same, ty. D	625.00	850 00	750 00	600 00	846 00	\$1,000	500.00	\$1,000	700.00	\$1,000

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DAILY CONSTRUCTION SERVICE

TUNNEL CONSTRUCTION

5-12-70 SAN FRANCISCO, CALIF. (BARTO-SUBWAY STRUCS., VAN NESS STA. TO DUBOCE PORTAL #150031)

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
L.S.painting	\$1,100	\$5,000	\$10000	\$5,000	\$1,300	\$1,000	\$6,000	\$5,000	\$10000	\$20000
L.S.drngc.fire prot	\$80.00	\$40000	\$73450	\$50000	\$96500	\$150000	\$40000	\$50000	\$30000	\$40000
L.S.elec.work	\$113,000	\$75000	\$72500	\$30000	\$99200	\$90000	\$125000	\$80000	\$100000	\$100000
L.S.PT&Tfacils.	\$8,000	\$40000	\$28000	\$30000	\$34400	\$120000	\$30000	\$40000	\$35000	\$40000
L.S.undrgrd.facil.	\$60,000	\$100000	\$60000	\$100000	\$73800	\$250000	\$60000	\$40000	\$75000	\$70000
L.S.traf.sig.	\$77,000	\$120000	\$117000	\$120000	\$144000	\$140000	\$120000	\$120000	\$75000	\$120000
L.S.street lgt.	\$138,000	\$40000	\$25000	\$30000	\$28300	\$30000	\$30000	\$50000	\$40000	\$50000
L.S.restor.work	\$11,000	\$15000	\$10000	\$20000	\$12900	\$110000	\$25000	\$20000	\$25000	\$50000
L.S.Muni.Railwy.pwr	\$106,000	\$110000	\$60000	\$70000	\$92000	\$80000	\$400000	\$65000	\$100000	\$500000
L.S.restor.work	\$44,000	\$50000	\$40000	\$50000	\$50000	\$60000	\$150000	\$35000	\$75000	\$200000
L.S.AWSS facils	\$300,000	\$600000	\$345000	\$1000000	\$424000	\$300000	\$400000	\$300000	\$400000	\$445000
180 cy.prot.enc.,ducts	220.00	80.00	220.00	200.00	270.00	360.00	250.00	250.00	250.00	220.00
860 tr.ft.WU conduit	8.00	16.00	8.00	25.00	10.00	24.00	25.00	10.00	10.00	10.00
2 ea.WU manhole	\$3,200	\$1,500	\$3,200	\$3,000	\$4,000	180.00	\$2,500	\$3,000	\$3,500	\$3,200
2,400 lft.16"bell&spigot										
push-on	65.00	50.00	65.00	50.00	80.00	43.00	125.00	70.00	80.00	100.00
150 lft.12"same	55.00	50.00	55.00	50.00	68.00	50.00	100.00	60.00	70.00	90.00
500 lft.8" same	50.00	30.00	50.00	50.00	60.00	34.00	100.00	40.00	60.00	85.00
500 lft.4"O.I.pipe	40.00	28.00	40.00	50.00	50.00	60.00	60.00	30.00	50.00	80.00
400 lft.1"svc.pipe	25.00	20.00	25.00	25.00	30.00	30.00	12.00	25.00	30.00	70.00
30 ea.screw tap	15.00	80.00	15.00	75.00	18.00	24.00	150.00	100.00	20.00	50.00
40,000 lb.fittings	.20	.30	.20	1.00	.25	.30	.60	.50	.25	.50
L.S.swr.facils.	\$60,000	\$90000	\$75000	\$193406	\$73800	\$75000	\$80000	\$100000	\$100000	\$90000
937 lft.42" RCP	110.00	80.00	110.00	150.00	135.00	90.00	180.00	120.00	130.00	220.00
177 lft.same w/enc.	120.00	90.00	120.00	250.00	148.00	138.00	200.00	130.00	140.00	240.00
256 lft.24"VCP	100.00	130.00	100.00	200.00	123.00	96.00	125.00	110.00	120.00	200.00
257 lft.21"VCP	95.00	120.00	95.00	200.00	117.00	72.00	100.00	100.00	110.00	190.00
2,750 lft.same,encsm	85.00	180.00	85.00	200.00	105.00	66.00	100.00	90.00	100.00	170.00
154 lft same,conc.base	120.00	160.00	120.00	200.00	148.00	48.00	60.00	100.00	150.00	240.00
1,364 lft.12"VCP	90.00	150.00	90.00	175.00	110.00	58.00	90.00	100.00	110.00	180.00
450 lft.10"VCP	65.00	130.00	65.00	150.00	80.00	78.00	60.00	60.00	75.00	130.00
260 lft.10"RCP culv.	60.00	120.00	60.00	100.00	74.00	60.00	50.00	55.00	70.00	120.00
37 ea.4'swr.MH	\$1,000	\$2,200	\$1,000	\$1,500	\$1,230	\$1,300	\$1,200	\$1,200	\$1,200	\$2,000
4 ea.box MH	\$2,000	\$1,500	\$2,000	\$2,000	\$2,460	\$2,000	\$3,000	\$2,500	\$2,500	\$4,000
5 ea.modif.bux MH	\$2,200	\$1,800	\$2,200	\$2,500	\$2,700	\$2,200	\$3,500	\$3,000	\$3,000	\$4,400
1 ea.junct.MH struc	\$3,000	\$2,500	\$3,000	\$2,000	\$3,700	\$2,400	\$3,500	\$4,000	\$4,000	\$6,000
3 ea.angle swr.MH	\$2,500	\$1,500	\$2,500	\$2,500	\$3,100	\$2,100	\$3,000	\$2,500	\$3,500	\$5,000
1 ea.modif.same	\$3,000	\$2,000	\$3,000	\$3,000	\$3,700	\$2,200	\$3,500	\$3,700	\$4,000	\$6,000
49 ea.swr.MH frame and cover	180.00	250.00	180.00	200.00	220.00	160.00	180.00	270.00	210.00	360.00
19 ea.swr.connect.	400.00	300.00	400.00	730.00	500.00	480.00	600.00	300.00	500.00	600.00
11 ea.wtr.inlet	700.00	450.00	700.00	\$1,000	860.00	360.00	350.00	500.00	800.00	900.00
7 ea.same,w/grate	700.00	475.00	700.00	\$1,000	860.00	420.00	500.00	650.00	850.00	900.00
445 lft.4"gas pipe	45.00	40.00	45.00	50.00	55.00	35.00	35.00	60.00	55.00	90.00
125 lft.4"same perm.	75.00	30.00	75.00	75.00	92.00	36.00	30.00	70.00	90.00	150.00
185 lft.6" same	80.00	60.00	80.00	100.00	100.00	38.00	35.00	80.00	95.00	160.00
60 lft. 8" same	80.00	80.00	80.00	100.00	100.00	42.00	50.00	85.00	100.00	160.00
3,180 lft.12"same	55.00	60.00	55.00	100.00	68.00	48.00	78.00	60.00	65.00	110.00
2,590 lft.16"same	60.00	65.00	60.00	100.00	74.00	60.00	97.00	65.00	75.00	120.00
510 lft.24"same	70.00	70.00	70.00	125.00	86.00	66.00	90.00	75.00	85.00	140.00
30 lft.6"same,MP at Duboce and Market	100.00	60.00	100.00	100.00	123.00	96.00	40.00	80.00	120.00	200.00
150 lft.stl.pipe PG&E	40.00	50.00	40.00	50.00	50.00	36.00	60.00	40.00	50.00	80.00
10,000 points per mo.,settlement measurement pts.	5.00	1.00	9.00	1.25	1.40	1.00	2.00	5.00	2.00	2.00
1 ea. sidewalk exit door	\$3,200	\$5,000	\$7,000	\$5,000	\$1,550	\$3,000	\$3,500	\$10000	\$1,000	\$5,000

SAN FRANCISCO BAY AREA RAPID TRANSIT DISTRICT

CONTRACT CHANGE

CONTRACT NO. 150031
 CONTRACT CHANGE NO. 6

CONTRACT TITLE: Subway Structures
 Van Ness Station to Duboce Portal
 S. F. Outer Market Street Line

TO: Fruin-Colnon Corporation
 and Dravo Corporation
 P. O. Box 688

RE: Contractor's Letters C-13 dated 8/31/70
 C-15 dated 9/23/70, C-36 dated 10/14/70
 and PB-1-B Letter Serial 18 dated
 10/22/70

The following changes shall be made to the contract:

In accordance with your revised Value Engineering Proposal of September 23, 1970, you are hereby authorized to construct tunnels in lieu of a portion of the originally specified subway structure.

Work under this contract change will be accomplished as follows:

- 1) Tunnels shall be constructed as specified by the tunnel specifications and drawings which were issued under Change Notice D-4, Revision 1.
- 2) Contractor shall construct the cross passage originally located at Station TR401+00 as relocated within contract limits and detailed by Change Notice D-3, all at no additional cost to the District.
- 3) Contractor shall support open-cut slopes on Duboce Street, including the use of rock bolts, as required by the Engineer.
- 4) Excavation, placing of concrete lining and necessary backfill grouting will be completed in each tunnel prior to starting excavation of adjoining tunnels.
- 5) Shoo-fly track layouts, support systems, traffic patterns and detours shall be revised to complement this contract change in accordance with the applicable provisions of the contract documents.
- 6) Contractor will provide new track material for all restored MUNI track, and all original materials removed from Market Street and Duboce Street following shoo-flying the MUNI to the sides of the cut-and-cover excavation will become the Contractor's property except for special items, such as switches, mates and frogs which will be delivered to MUNI storage yards.

(CONTINUED ON PAGE 2)

You may proceed with this contract change. By reason of this contract change, the contract time of completion will be unchanged.

All other terms and conditions of the contract remain unchanged and in full force and effect.

If you disagree with the above terms and conditions, you must act in accordance with the Protest Procedures of the contract documents.

Submitted:	/s/ E W Peterson <small>PROPERTY MANAGER OF CONSTRUCTION</small>	Date	5-10-71
Approved:	/s/ L A Kimball <small>GENERAL MANAGER</small>	Date	JUN 2 1971

The undersigned Contractor agrees to the above terms and conditions.

FRUIN-COLNON CORPORATION AND DRAVO CORPORATION <small>CONTRACTORS</small>	Date	6/4/71
/s/ S H Bartholomew	Date	Proj. Manager

CONTRACT CHANGE

CONTRACT NO. 1S0031 CONTRACT TITLE: Subway Structures
CONTRACT CHANGE NO. 6 Van Ness Station to Duboce Portal
S. F. Outer Market Street Line

TO: Fruin-Colnon Corporation
and Dravo Corporation

- 7) It is understood and agreed that, in the event the Contractor encounters changed conditions within the meaning of Article GP4.5, the District's liability thereunder shall in no event exceed that to which it was exposed prior to the execution of this contract change.

Compensation for this contract change and determination of the total savings to be shared equally between the District and the Contractor shall be as follows:

Delete the following bid items from the contract book:

Bid Item #2: Traffic Maintenance and Control.	\$ (180,000.00)
Bid Item #4: West End Transverse Bulkhead - 1S0032 Interface.	(20,000.00)
Bid Item #5: MUNI Railway Track Facilities Temporary.	\$(1,080,264.00)
Bid Item #7: Street Decking Installation and Removal.	(196,000.00)
Bid Item #8: Cut-and-Cover Excavation.	(3,042,000.00)
Bid Item #9: Cut-and-Cover Backfill.	(306,600.00)
Bid Item #25: Class 4000 Concrete, Subway Structure Grade Slab	(747,900.00)
Bid Item #26: Class 4000 Concrete, Subway Structure Exterior Walls	(486,000.00)
Bid Item #27: Class 4000 Concrete, Subway Structure Interior Walls.	(67,500.00)
Bid Item #28: Class 4000 Concrete, Subway Structure Supported Slabs and Beams.	(683,100.00)
Bid Item #38: Supporting and Maintaining PT&T Telephone Facilities.	(28,000.00)
Bid Item #39: Supporting and Maintaining Underground Utility Facilities.	(60,000.00)
Bid Item #43: MUNI Power Facilities Temporary Work.	(106,000.00)

As a result of this contract change and for arriving at the agreed upon cost reduction base, the estimated quantities for the following bid items are reduced by the following agreed to amounts:

CONTRACT CHANGE

CONTRACT NO. 1S0031 CONTRACT TITLE: Subway Structures
CONTRACT CHANGE NO. 6 Van Ness Station to Duboce Portal
S. F. Outer Market Street Line

TO: Fruin-Colnon Corporation
and Dravo Corporation

Pay Item #95: Supporting and maintaining PT&T telephone facilities. Lump Sum Addition of Twenty-Three Thousand Dollars and No Cents	\$ 23,000.00
Pay Item #96: Supporting and maintaining underground facilities. Lump Sum Addition of Fifty-Five Thousand Dollars and No Cents.	55,000.00
Pay Item #97: MUNI power facilities, temporary work. Lump Sum Addition of Ninety-Five Thousand Dollars and No Cents.	95,000.00
Pay Item #98: MUNI trackwork support steel. Lump Sum Addition of One Hundred Ten Thousand Seven Hundred Sixty-Three Dollars and No Cents.	110,763.00
Pay Item #99: Revised cut-and-cover excavation. 128,200 cubic yards at \$19.00 per cubic yard. Additional Cost - Two Million Four Hundred Thirty-Five Thousand Eight Hundred Dollars and No Cents.	2,435,800.00
Pay Item #100: Revised cut-and-cover backfill. 38,000 cubic yards at \$5.80 per cubic yard. Additional Cost - Two Hundred Twenty Thousand Four Hundred Dollars and No Cents	220,400.00
Pay Item #101: Grouting abandoned sewers over tunnel. Lump Sum Addition of Five Thousand Dollars and No Cents.	5,000.00
Pay Item #102: Free duct banks at manhole face. 3 ducts at \$300.00 per duct. Additional cost - Nine Hundred Dollars and No Cents.	900.00
Pay Item #103: Class 4000 concrete cut-and-cover subway structure. 33,337 cubic yards at \$52.00 per cubic yard. Additional Cost - One Million Seven Hundred Thirty-Three Thousand Five Hundred Twenty-Four Dollars and No Cents.	1,733,524.00

It is agreed that the Contractor will be reimbursed for the satisfactory completion of the following items of work on the basis of the stipulated fixed quantities:

CONTRACT CHANGE

CONTRACT NO. 1S0031
CONTRACT CHANGE NO. 6

CONTRACT TITLE: Subway Structures
Van Ness Station to Duboce Portal
S. F. Outer Market Street Line

TO: Fruin-Colnon Corporation
and Dravo Corporation

Pay Item #117: Furnishing and installing additional tunnel lagging, blocking, foot blocks and collar braces over and above the first 62,800 FBM. 40,460 FBM at \$0.55 per FBM. Additional Cost Not to Exceed Twenty-Two Thousand Two Hundred Fifty-Three Dollars and No Cents.	\$22,253.00
Pay Item #118: Furnishing and placing additional tunnel gunite over and above the first 235 sacks. 840 sacks at \$16.00 per sack. Additional Cost Not to Exceed Thirteen Thousand Four Hundred Forty Dollars and No Cents.	13,440.00
Pay Item #119: Furnishing and installing additional No. 11 reinforcing steel bar spiling over and above the first 340 linear feet. 1,360 linear feet at \$4.15 per linear foot. Additional Cost Not to Exceed Five Thousand Six Hundred Forty-Four Dollars and No Cents.	5,644.00

It is agreed that the sum of One Hundred Seventy-One Thousand Eighty-Three Dollars and No Cents (\$171,087.00) is a fixed base from which the total savings are to be shared evenly between the Contractor and the District. Total savings to be shared will be determined as follows:

Deduct payments made under the following pay items:

Pay Item #114 - Estimated	\$20,000.00
Pay Item #115 -	\$21,200.000
Pay Item #116 - Lump Sum	\$13,677.00

Deduct payments made under Pay Items 117, 118 and 119 up to a maximum limit as follows:

Pay Item #117 -	\$22,253.00
Pay Item #118 -	\$13,440.00
Pay Item #119 -	\$ 5,644.00

Maximum Total	\$41,337.00
---------------	-------------

Deduct Engineer's redesign charges resulting from this contract change:

Estimated \$ 7,400.00

CONTRACT CHANGE

CONTRACT NO. 1S0031 CONTRACT TITLE: Subway Structures
CONTRACT CHANGE NO. 6 Van Ness Station to Duboce Portal
S. F. Outer Market Street Line

TO: Fruin-Colnon Corporation
and Dravo Corporation

Deduct the cost of any other necessary and authorized items of work resulting from this contract change which would not have been required by the original design. Cost of such work shall be established and agreed to by the Contractor and the Engineer.

The net resulting savings shall be shared equally by the District and the Contractor.

Pay Item #120: Agreed Total Base – One Hundred Seventy-
One Thousand Eighty-Three Dollars and
No Cents. \$171,083.00

Less Amount Paid Under Pay Item #114	-- Estimated	(20,000.00)
Less Amount Paid Under Pay Item #115	--	(21,200.00)
Less Amount Paid Under Pay Item #116	-- Lump Sum	(13,677.00)
Less Amount Paid Under Pay Item #117	-- Maximum	(22,253.00)
Less Amount Paid Under Pay Item #118	-- Maximum	(13,440.00)
Less Amount Paid Under Pay Item #119	-- Maximum	(5,644.00)
Estimated Total Engineer's Redesign Cost	--	

Estimated Minimum Savings to be Shared Equally \$ 67,469.00

Contractor's Share of Value Engineering Proposal.
Estimated Minimum of Thrity-Three Thousand Seven
Hundred Thirty-Five Dollars and No Cents. \$33,735.00

Appendix B

RAPID TRANSIT SYSTEM COMPUTATION PROGRAM

A digital computer program entitled TUNNEL was written for the purpose of calculating the costs for constructing the underground segments of rapid transit systems. This program was derived from a study of four generalized tunneling models: (1) cut-and-cover line, (2) cut-and-cover station, (3) compressed-air tunnels, and (4) free-air tunnels. A flow diagram of the program and a program listing and printout are shown in Figures B-1 and B-2.

The data deck consists of two parts: the program data, and the input data, as described in the model. The program data are an integral part of the model and are intended to remain constant. The input data specify the particular case(s) for which the calculations are to be performed. A list of all input variables and their corresponding designations in the model are found in Table B-1.

The program data, which comprise the front of the data deck, are arranged as listed in Table B-2. Sample formats and their corresponding format statements for all program data as well as input data are found in Figure B-3.

To run the program, the user is required to provide the following information:

- (1) Number of cases to be run

- (2) Type of case
 - (C) 1 for cut-and-cover line
 - 2 for cut-and-cover station
 - 3 for compressed-air tunnel
 - 4 for free-air tunnel
- (3) Number of project work events
 - (H) 7 for tunnel projects
 - 8 for cut-and-cover projects
- (4) The values of labor productivity and labor and material escalation factors
- (5) Physical and institutional control values:
 - '1' low
 - '2' medium
 - '3' high
- (6) Project measures
 - Length, plan area, excavation volume, and backfill volume for cut-and-cover projects
 - Length for tunnel projects
- (7) Project work event titles for both types of projects, and relevant project measures and unit titles for cut-and cover projects.

These data are placed after the program data in the following order:

- Card 1: Number of cases to be run
- 2: Case number and number of project work events (in that order)
- 3: Productivity, materials escalation factor, and labor escalation factor (in that order)
- 4: Twelve values for levels of $\alpha 1$
- 5: Twelve values for levels of $\alpha 2$
- 6: Twelve values for levels of $\alpha 3$
- 7: Seven values for level of physical controls
- 8(a): If cut-and-cover project: length, plan area, excavation volume, and backfill volume (in that order)

- 8(b): If tunnel project: length of tunnel
- 9-15(16): Titles for project work events (eight cards for cut-and-cover project; seven cards for tunnel projects)
- (17-24): Titles for relevant project measures and units if cut-and-cover projects (eight cards)

Cards 2 through 8 are repeated for the number of cases specified. Cards 9-15(24) need only be inserted after the first case of a run and after the data for a project which is different from that preceding it. The project work event titles and relevant project measure and unit titles must be supplied in the same sequence as listed in the model in order to correspond to the correct unit costs and total element costs when printed out. To avoid repetitive punching and reading in of titles, it is best to run all of one project and then change to the other, if desired.

A list of names used within the program is given in Table B-3 to aid in program revision should it be necessary.


```

.151      TEC(1)*UC(1,IC)+PM(1)
0050      TEC(2)*UC(2,IC)+PM(2)
0051      TEC(3)*UC(3,IC)+PM(3)
0052      TEC(4)*UC(4,IC)+PM(4)
0053      TEC(5)*UC(5,IC)+PM(5)
0054      TEC(6)*UC(6,IC)+PM(6)-PM(4)
0055      TEC(7)*UC(7,IC)+PM(7)
0056      TEC(8)*UC(8,IC)+PM(8)-PM(4)
0057      DO 100 I=1,8
0058      IF (IC=1) 117,117,110
0059      117 DO 100 J=1,7
0060      K=K+J
0061      103 SUM=SUM+UCL(I,J,K)
0062      GO TO 110
0063      110 DO 110 J=1,7
0064      K=K+J
0065      110 SUM=SUM+UCL(I,J,K)
0066      115 IF (I=3) 133,133,130
0067      133 K=K+J
0068      IF (IC=1) 119,119,105
0069      119 ACE(I)=TEC(I)*(1.+SUM)*(1.+GCL(I,N))
0070      IF (N=2) 210,211,210
0071      210 S=C1+5PCT*(TEC(I)*(1.+UCL(I,I,N))+(UCL(I,N)))
0072      211 GO TO 172
0073      105 ACE(I)=TEC(I)*(1.+SUM)*(1.+GCS(I,N))
0074      IF (N=2) 212,213,212
0075      212 S=C1+5PCT*(TEC(I)*(1.+GCS(I,I,N))+(GCS(I,N)))
0076      213 GO TO 172
0077      134 ACE(I)=TEC(I)*(1.+SUM)
0078      172 SUM=0
0079      102 CONTINUE
0080      DO 100 I=1,8
0081      104 :INST=ACE(I)
0082      C10=ST*(1.+PM(IC))
0083      PCT=(5PCT/CTO)*N.5*BTIME(IC)
0084      CST=(IC*(PCT+2(IC)))
0085      DO 250 I=1,8
0086      250 J=SUM+J(J,I)
0087      IF (IC=1) 137,137,130
0088      137 DO 100 J=1,12
0089      J=J+I
0090      IF (J=12) 251,252,252
0091      252 IF (I=0) 253,253,251
0092      253 IF (I=2) 251,251,254
0093      254 S=0
0094      DO 250 J=1,12
0095      S=S+ACE*(U.0+GCL(I,J))
0096      251 S=0
0097      J=J+I
0098      S=S+ACE*(U.0+GCL(I,J))
0099      105 S=SUM+BTIME*(UCL(I,J))
0100      GO TO 130
0101      134 DO 100 I=1,12
0102      J=J+I
0103      250 S=SUM+BTIME*(UCL(I,J))
0104      252 IF (I=0) 253,253,251
0105      253 IF (I=2) 251,251,254
0106      254 S=0
0107      DO 250 J=1,12
0108      S=S+ACE*(U.0+GCL(I,J))
0109      251 S=0
0110      J=J+I
0111      S=S+ACE*(U.0+GCL(I,J))
0112      105 S=SUM+BTIME*(UCL(I,J))
0113      GO TO 130
0114      134 DO 100 I=1,12
0115      J=J+I
0116      250 S=SUM+BTIME*(UCL(I,J))
0117      252 IF (I=0) 253,253,251
0118      253 IF (I=2) 251,251,254
0119      254 S=0
0120      DO 250 J=1,12
0121      S=S+ACE*(U.0+GCL(I,J))
0122      251 S=0
0123      J=J+I
0124      S=S+ACE*(U.0+GCL(I,J))
0125      105 S=SUM+BTIME*(UCL(I,J))
0126      GO TO 130
0127      134 DO 100 I=1,12
0128      J=J+I
0129      250 S=SUM+BTIME*(UCL(I,J))
0130      252 IF (I=0) 253,253,251
0131      253 IF (I=2) 251,251,254
0132      254 S=0
0133      DO 250 J=1,12
0134      S=S+ACE*(U.0+GCL(I,J))
0135      251 S=0
0136      J=J+I
0137      S=S+ACE*(U.0+GCL(I,J))
0138      105 S=SUM+BTIME*(UCL(I,J))
0139      GO TO 130
0140      134 DO 100 I=1,12
0141      J=J+I
0142      250 S=SUM+BTIME*(UCL(I,J))
0143      252 IF (I=0) 253,253,251
0144      253 IF (I=2) 251,251,254
0145      254 S=0
0146      DO 250 J=1,12
0147      S=S+ACE*(U.0+GCL(I,J))
0148      251 S=0
0149      J=J+I
0150      S=S+ACE*(U.0+GCL(I,J))
0151      105 S=SUM+BTIME*(UCL(I,J))
0152      GO TO 130
0153      134 DO 100 I=1,12
0154      J=J+I
0155      250 S=SUM+BTIME*(UCL(I,J))
0156      252 IF (I=0) 253,253,251
0157      253 IF (I=2) 251,251,254
0158      254 S=0
0159      DO 250 J=1,12
0160      S=S+ACE*(U.0+GCL(I,J))
0161      251 S=0
0162      J=J+I
0163      S=S+ACE*(U.0+GCL(I,J))
0164      105 S=SUM+BTIME*(UCL(I,J))
0165      GO TO 130
0166      134 DO 100 I=1,12
0167      J=J+I
0168      250 S=SUM+BTIME*(UCL(I,J))
0169      252 IF (I=0) 253,253,251
0170      253 IF (I=2) 251,251,254
0171      254 S=0
0172      DO 250 J=1,12
0173      S=S+ACE*(U.0+GCL(I,J))
0174      251 S=0
0175      J=J+I
0176      S=S+ACE*(U.0+GCL(I,J))
0177      105 S=SUM+BTIME*(UCL(I,J))
0178      GO TO 130
0179      134 DO 100 I=1,12
0180      J=J+I
0181      250 S=SUM+BTIME*(UCL(I,J))
0182      252 IF (I=0) 253,253,251
0183      253 IF (I=2) 251,251,254
0184      254 S=0
0185      DO 250 J=1,12
0186      S=S+ACE*(U.0+GCL(I,J))
0187      251 S=0
0188      J=J+I
0189      S=S+ACE*(U.0+GCL(I,J))
0190      105 S=SUM+BTIME*(UCL(I,J))
0191      GO TO 130
0192      134 DO 100 I=1,12
0193      J=J+I
0194      250 S=SUM+BTIME*(UCL(I,J))
0195      252 IF (I=0) 253,253,251
0196      253 IF (I=2) 251,251,254
0197      254 S=0
0198      DO 250 J=1,12
0199      S=S+ACE*(U.0+GCL(I,J))
0200      251 S=0
0201      J=J+I
0202      S=S+ACE*(U.0+GCL(I,J))
0203      105 S=SUM+BTIME*(UCL(I,J))
0204      GO TO 130
0205      134 DO 100 I=1,12
0206      J=J+I
0207      250 S=SUM+BTIME*(UCL(I,J))
0208      252 IF (I=0) 253,253,251
0209      253 IF (I=2) 251,251,254
0210      254 S=0
0211      DO 250 J=1,12
0212      S=S+ACE*(U.0+GCL(I,J))
0213      251 S=0
0214      J=J+I
0215      S=S+ACE*(U.0+GCL(I,J))
0216      105 S=SUM+BTIME*(UCL(I,J))
0217      GO TO 130
0218      134 DO 100 I=1,12
0219      J=J+I
0220      250 S=SUM+BTIME*(UCL(I,J))
0221      252 IF (I=0) 253,253,251
0222      253 IF (I=2) 251,251,254
0223      254 S=0
0224      DO 250 J=1,12
0225      S=S+ACE*(U.0+GCL(I,J))
0226      251 S=0
0227      J=J+I
0228      S=S+ACE*(U.0+GCL(I,J))
0229      105 S=SUM+BTIME*(UCL(I,J))
0230      GO TO 130
0231      134 DO 100 I=1,12
0232      J=J+I
0233      250 S=SUM+BTIME*(UCL(I,J))
0234      252 IF (I=0) 253,253,251
0235      253 IF (I=2) 251,251,254
0236      254 S=0
0237      DO 250 J=1,12
0238      S=S+ACE*(U.0+GCL(I,J))
0239      251 S=0
0240      J=J+I
0241      S=S+ACE*(U.0+GCL(I,J))
0242      105 S=SUM+BTIME*(UCL(I,J))
0243      GO TO 130
0244      134 DO 100 I=1,12
0245      J=J+I
0246      250 S=SUM+BTIME*(UCL(I,J))
0247      252 IF (I=0) 253,253,251
0248      253 IF (I=2) 251,251,254
0249      254 S=0
0250      DO 250 J=1,12
0251      S=S+ACE*(U.0+GCL(I,J))
0252      251 S=0
0253      J=J+I
0254      S=S+ACE*(U.0+GCL(I,J))
0255      105 S=SUM+BTIME*(UCL(I,J))
0256      GO TO 130
0257      134 DO 100 I=1,12
0258      J=J+I
0259      250 S=SUM+BTIME*(UCL(I,J))
0260      252 IF (I=0) 253,253,251
0261      253 IF (I=2) 251,251,254
0262      254 S=0
0263      DO 250 J=1,12
0264      S=S+ACE*(U.0+GCL(I,J))
0265      251 S=0
0266      J=J+I
0267      S=S+ACE*(U.0+GCL(I,J))
0268      105 S=SUM+BTIME*(UCL(I,J))
0269      GO TO 130
0270      134 DO 100 I=1,12
0271      J=J+I
0272      250 S=SUM+BTIME*(UCL(I,J))
0273      252 IF (I=0) 253,253,251
0274      253 IF (I=2) 251,251,254
0275      254 S=0
0276      DO 250 J=1,12
0277      S=S+ACE*(U.0+GCL(I,J))
0278      251 S=0
0279      J=J+I
0280      S=S+ACE*(U.0+GCL(I,J))
0281      105 S=SUM+BTIME*(UCL(I,J))
0282      GO TO 130
0283      134 DO 100 I=1,12
0284      J=J+I
0285      250 S=SUM+BTIME*(UCL(I,J))
0286      252 IF (I=0) 253,253,251
0287      253 IF (I=2) 251,251,254
0288      254 S=0
0289      DO 250 J=1,12
0290      S=S+ACE*(U.0+GCL(I,J))
0291      251 S=0
0292      J=J+I
0293      S=S+ACE*(U.0+GCL(I,J))
0294      105 S=SUM+BTIME*(UCL(I,J))
0295      GO TO 130
0296      134 DO 100 I=1,12
0297      J=J+I
0298      250 S=SUM+BTIME*(UCL(I,J))
0299      252 IF (I=0) 253,253,251
0300      253 IF (I=2) 251,251,254
0301      254 S=0
0302      DO 250 J=1,12
0303      S=S+ACE*(U.0+GCL(I,J))
0304      251 S=0
0305      J=J+I
0306      S=S+ACE*(U.0+GCL(I,J))
0307      105 S=SUM+BTIME*(UCL(I,J))
0308      GO TO 130
0309      134 DO 100 I=1,12
0310      J=J+I
0311      250 S=SUM+BTIME*(UCL(I,J))
0312      252 IF (I=0) 253,253,251
0313      253 IF (I=2) 251,251,254
0314      254 S=0
0315      DO 250 J=1,12
0316      S=S+ACE*(U.0+GCL(I,J))
0317      251 S=0
0318      J=J+I
0319      S=S+ACE*(U.0+GCL(I,J))
0320      105 S=SUM+BTIME*(UCL(I,J))
0321      GO TO 130
0322      134 DO 100 I=1,12
0323      J=J+I
0324      250 S=SUM+BTIME*(UCL(I,J))
0325      252 IF (I=0) 253,253,251
0326      253 IF (I=2) 251,251,254
0327      254 S=0
0328      DO 250 J=1,12
0329      S=S+ACE*(U.0+GCL(I,J))
0330      251 S=0
0331      J=J+I
0332      S=S+ACE*(U.0+GCL(I,J))
0333      105 S=SUM+BTIME*(UCL(I,J))
0334      GO TO 130
0335      134 DO 100 I=1,12
0336      J=J+I
0337      250 S=SUM+BTIME*(UCL(I,J))
0338      252 IF (I=0) 253,253,251
0339      253 IF (I=2) 251,251,254
0340      254 S=0
0341      DO 250 J=1,12
0342      S=S+ACE*(U.0+GCL(I,J))
0343      251 S=0
0344      J=J+I
0345      S=S+ACE*(U.0+GCL(I,J))
0346      105 S=SUM+BTIME*(UCL(I,J))
0347      GO TO 130
0348      134 DO 100 I=1,12
0349      J=J+I
0350      250 S=SUM+BTIME*(UCL(I,J))
0351      252 IF (I=0) 253,253,251
0352      253 IF (I=2) 251,251,254
0353      254 S=0
0354      DO 250 J=1,12
0355      S=S+ACE*(U.0+GCL(I,J))
0356      251 S=0
0357      J=J+I
0358      S=S+ACE*(U.0+GCL(I,J))
0359      105 S=SUM+BTIME*(UCL(I,J))
0360      GO TO 130
0361      134 DO 100 I=1,12
0362      J=J+I
0363      250 S=SUM+BTIME*(UCL(I,J))
0364      252 IF (I=0) 253,253,251
0365      253 IF (I=2) 251,251,254
0366      254 S=0
0367      DO 250 J=1,12
0368      S=S+ACE*(U.0+GCL(I,J))
0369      251 S=0
0370      J=J+I
0371      S=S+ACE*(U.0+GCL(I,J))
0372      105 S=SUM+BTIME*(UCL(I,J))
0373      GO TO 130
0374      134 DO 100 I=1,12
0375      J=J+I
0376      250 S=SUM+BTIME*(UCL(I,J))
0377      252 IF (I=0) 253,253,251
0378      253 IF (I=2) 251,251,254
0379      254 S=0
0380      DO 250 J=1,12
0381      S=S+ACE*(U.0+GCL(I,J))
0382      251 S=0
0383      J=J+I
0384      S=S+ACE*(U.0+GCL(I,J))
0385      105 S=SUM+BTIME*(UCL(I,J))
0386      GO TO 130
0387      134 DO 100 I=1,12
0388      J=J+I
0389      250 S=SUM+BTIME*(UCL(I,J))
0390      252 IF (I=0) 253,253,251
0391      253 IF (I=2) 251,251,254
0392      254 S=0
0393      DO 250 J=1,12
0394      S=S+ACE*(U.0+GCL(I,J))
0395      251 S=0
0396      J=J+I
0397      S=S+ACE*(U.0+GCL(I,J))
0398      105 S=SUM+BTIME*(UCL(I,J))
0399      GO TO 130
0400      134 DO 100 I=1,12
0401      J=J+I
0402      250 S=SUM+BTIME*(UCL(I,J))
0403      252 IF (I=0) 253,253,251
0404      253 IF (I=2) 251,251,254
0405      254 S=0
0406      DO 250 J=1,12
0407      S=S+ACE*(U.0+GCL(I,J))
0408      251 S=0
0409      J=J+I
0410      S=S+ACE*(U.0+GCL(I,J))
0411      105 S=SUM+BTIME*(UCL(I,J))
0412      GO TO 130
0413      134 DO 100 I=1,12
0414      J=J+I
0415      250 S=SUM+BTIME*(UCL(I,J))
0416      252 IF (I=0) 253,253,251
0417      253 IF (I=2) 251,251,254
0418      254 S=0
0419      DO 250 J=1,12
0420      S=S+ACE*(U.0+GCL(I,J))
0421      251 S=0
0422      J=J+I
0423      S=S+ACE*(U.0+GCL(I,J))
0424      105 S=SUM+BTIME*(UCL(I,J))
0425      GO TO 130
0426      134 DO 100 I=1,12
0427      J=J+I
0428      250 S=SUM+BTIME*(UCL(I,J))
0429      252 IF (I=0) 253,253,251
0430      253 IF (I=2) 251,251,254
0431      254 S=0
0432      DO 250 J=1,12
0433      S=S+ACE*(U.0+GCL(I,J))
0434      251 S=0
0435      J=J+I
0436      S=S+ACE*(U.0+GCL(I,J))
0437      105 S=SUM+BTIME*(UCL(I,J))
0438      GO TO 130
0439      134 DO 100 I=1,12
0440      J=J+I
0441      250 S=SUM+BTIME*(UCL(I,J))
0442      252 IF (I=0) 253,253,251
0443      253 IF (I=2) 251,251,254
0444      254 S=0
0445      DO 250 J=1,12
0446      S=S+ACE*(U.0+GCL(I,J))
0447      251 S=0
0448      J=J+I
0449      S=S+ACE*(U.0+GCL(I,J))
0450      105 S=SUM+BTIME*(UCL(I,J))
0451      GO TO 130
0452      134 DO 100 I=1,12
0453      J=J+I
0454      250 S=SUM+BTIME*(UCL(I,J))
0455      252 IF (I=0) 253,253,251
0456      253 IF (I=2) 251,251,254
0457      254 S=0
0458      DO 250 J=1,12
0459      S=S+ACE*(U.0+GCL(I,J))
0460      251 S=0
0461      J=J+I
0462      S=S+ACE*(U.0+GCL(I,J))
0463      105 S=SUM+BTIME*(UCL(I,J))
0464      GO TO 130
0465      134 DO 100 I=1,12
0466      J=J+I
0467      250 S=SUM+BTIME*(UCL(I,J))
0468      252 IF (I=0) 253,253,251
0469      253 IF (I=2) 251,251,254
0470      254 S=0
0471      DO 250 J=1,12
0472      S=S+ACE*(U.0+GCL(I,J))
0473      251 S=0
0474      J=J+I
0475      S=S+ACE*(U.0+GCL(I,J))
0476      105 S=SUM+BTIME*(UCL(I,J))
0477      GO TO 130
0478      134 DO 100 I=1,12
0479      J=J+I
0480      250 S=SUM+BTIME*(UCL(I,J))
0481      252 IF (I=0) 253,253,251
0482      253 IF (I=2) 251,251,254
0483      254 S=0
0484      DO 250 J=1,12
0485      S=S+ACE*(U.0+GCL(I,J))
0486      251 S=0
0487      J=J+I
0488      S=S+ACE*(U.0+GCL(I,J))
0489      105 S=SUM+BTIME*(UCL(I,J))
0490      GO TO 130
0491      134 DO 100 I=1,12
0492      J=J+I
0493      250 S=SUM+BTIME*(UCL(I,J))
0494      252 IF (I=0) 253,253,251
0495      253 IF (I=2) 251,251,254
0496      254 S=0
0497      DO 250 J=1,12
0498      S=S+ACE*(U.0+GCL(I,J))
0499      251 S=0
0500      J=J+I
0501      S=S+ACE*(U.0+GCL(I,J))
0502      105 S=SUM+BTIME*(UCL(I,J))
0503      GO TO 130
0504      134 DO 100 I=1,12
0505      J=J+I
0506      250 S=SUM+BTIME*(UCL(I,J))
0507      252 IF (I=0) 253,253,251
0508      253 IF (I=2) 251,251,254
0509      254 S=0
0510      DO 250 J=1,12
0511      S=S+ACE*(U.0+GCL(I,J))
0512      251 S=0
0513      J=J+I
0514      S=S+ACE*(U.0+GCL(I,J))
0515      105 S=SUM+BTIME*(UCL(I,J))
0516      GO TO 130
0517      134 DO 100 I=1,12
0518      J=J+I
0519      250 S=SUM+BTIME*(UCL(I,J))
0520      252 IF (I=0) 253,253,251
0521      253 IF (I=2) 251,251,254
0522      254 S=0
0523      DO 250 J=1,12
0524      S=S+ACE*(U.0+GCL(I,J))
0525      251 S=0
0526      J=J+I
0527      S=S+ACE*(U.0+GCL(I,J))
0528      105 S=SUM+BTIME*(UCL(I,J))
0529      GO TO 130
0530      134 DO 100 I=1,12
0531      J=J+I
0532      250 S=SUM+BTIME*(UCL(I,J))
0533      252 IF (I=0) 253,253,251
0534      253 IF (I=2) 251,251,254
0535      254 S=0
0536      DO 250 J=1,12
0537      S=S+ACE*(U.0+GCL(I,J))
0538      251 S=0
0539      J=J+I
0540      S=S+ACE*(U.0+GCL(I,J))
0541      105 S=SUM+BTIME*(UCL(I,J))
0542      GO TO 130
0543      134 DO 100 I=1,12
0544      J=J+I
0545      250 S=SUM+BTIME*(UCL(I,J))
0546      252 IF (I=0) 253,253,251
0547      253 IF (I=2) 251,251,254
0548      254 S=0
0549      DO 250 J=1,12
0550      S=S+ACE*(U.0+GCL(I,J))
0551      251 S=0
0552      J=J+I
0553      S=S+ACE*(U.0+GCL(I,J))
0554      105 S=SUM+BTIME*(UCL(I,J))
0555      GO TO 130
0556      134 DO 100 I=1,12
0557      J=J+I
0558      250 S=SUM+BTIME*(UCL(I,J))
0559      252 IF (I=0) 253,253,251
0560      253 IF (I=2) 251,251,254
0561      254 S=0
0562      DO 250 J=1,12
0563      S=S+ACE*(U.0+GCL(I,J))
0564      251 S=0
0565      J=J+I
0566      S=S+ACE*(U.0+GCL(I,J))
0567      105 S=SUM+BTIME*(UCL(I,J))
0568      GO TO 130
0569      134 DO 100 I=1,12
0570      J=J+I
0571      250 S=SUM+BTIME*(UCL(I,J))
0572      252 IF (I=0) 253,253,251
0573      253 IF (I=2) 251,251,254
0574      254 S=0
0575      DO 250 J=1,12
0576      S=S+ACE*(U.0+GCL(I,J))
0577      251 S=0
0578      J=J+I
0579      S=S+ACE*(U.0+GCL(I,J))
0580      105 S=SUM+BTIME*(UCL(I,J))
0581      GO TO 130
0582      134 DO 100 I=1,12
0583      J=J+I
0584      250 S=SUM+BTIME*(UCL(I,J))
0585      252 IF (I=0) 253,253,251
0586      253 IF (I=2) 251,251,254
0587      254 S=0
0588      DO 250 J=1,12
0589      S=S+ACE*(U.0+GCL(I,J))
0590      251 S=0
0591      J=J+I
0592      S=S+ACE*(U.0+GCL(I,J))
0593      105 S=SUM+BTIME*(UCL(I,J))
0594      GO TO 130
0595      134 DO 100 I=1,12
0596      J=J+I
0597      250 S=SUM+BTIME*(UCL(I,J))
0598      252 IF (I=0) 253,253,251
0599      253 IF (I=2) 251,251,254
0600      254 S=0
0601      DO 250 J=1,12
0602      S=S+ACE*(U.0+GCL(I,J))
0603      251 S=0
0604      J=J+I
0605      S=S+ACE*(U.0+GCL(I,J))
0606      105 S=SUM+BTIME*(UCL(I,J))
0607      GO TO 130
0608      134 DO 100 I=1,12
0609      J=J+I
0610      250 S=SUM+BTIME*(UCL(I,J))
0611      252 IF (I=0) 253,253,251
0612      253 IF (I=2) 251,251,254
0613      254 S=0
0614      DO 250 J=1,12
0615      S=S+ACE*(U.0+GCL(I,J))
0616      251 S=0
0617      J=J+I
0618      S=S+ACE*(U.0+GCL(I,J))
0619      105 S=SUM+BTIME*(UCL(I,J))
0620      GO TO 130
0621      134 DO 100 I=1,12
0622      J=J+I
0623      250 S=SUM+BTIME*(UCL(I,J))
0624      252 IF (I=0) 253,253,251
0625      253 IF (I=2) 251,251,254
0626      254 S=0
0627      DO 250 J=1,12
0628      S=S+ACE*(U.0+GCL(I,J))
0629      251 S=0
0630      J=J+I
0631      S=S+ACE*(U.0+GCL(I,J))
0632      105 S=SUM+BTIME*(UCL(I,J))
0633      GO TO 130
0634      134 DO 100 I=1,12
0635      J=J+I
0636      250 S=SUM+BTIME*(UCL(I,J))
0637      252 IF (I=0) 253,253,251
0638      253 IF (I=2) 251,251,254
0639      254 S=0
0640      DO 250 J=1,12
0641      S=S+ACE*(U.0+GCL(I,J))
0642      251 S=0
0643      J=J+I
0644      S=S+ACE*(U.0+GCL(I,J))
0645      105 S=SUM+BTIME*(UCL(I,J))
0646      GO TO 130
0647      134 DO 100 I=1,12
0648      J=J+I
0649      250 S=SUM+BTIME*(UCL(I,J))
0650      252 IF (I=0) 253,253,251
0651      253 IF (I=2) 251,251,254
0652      254 S=0
0653      DO 250 J=1,12
0654      S=S+ACE*(U.0+GCL(I,J))
0655      251 S=0
0656      J=J+I
0657      S=S+ACE*(U.0+GCL(I,J))
0658      105 S=SUM+BTIME*(UCL(I,J))
0659      GO TO 130
0660      134 DO 100 I=1,12
0661      J=J+I
0662      250 S=SUM+BTIME*(UCL(I,J))
0663      252 IF (I=0) 253,253,251
0664      253 IF (I=2) 251,251,254
0665      254 S=0
0666      DO 250 J=1,12
0667      S=S+ACE*(U.0+GCL(I,J))
0668      251 S=0
0669      J=J+I
0670      S=S+ACE*(U.0+GCL(I,J))
0671      105 S=SUM+BTIME*(UCL(I,J))
0672      GO TO 130
0673      134 DO 100 I=1,12
0674      J=J+I
0675      250 S=SUM+BTIME*(UCL(I,J))
0676      252 IF (I=0) 253,253,251
0677      253 IF (I=2) 251,251,254
0678      254 S=0
0679      DO 250 J=1,12
0680      S=S+ACE*(U.0+GCL(I,J))
0681      251 S=0
0682      J=J+I
0683      S=S+ACE*(U.0+GCL(I,J))
0684      105 S=SUM+BTIME*(UCL(I,J))
0685      GO TO 130
0686      134 DO 100 I=1,12
0687      J=J+I
0688      250 S=SUM+BTIME*(UCL(I,J))
0689      252 IF (I=0) 253,253,251
0690      253 IF (I=2) 251,251,254
0691      254 S=0
0692      DO 250 J=1,12
0693      S=S+ACE*(U.0+GCL(I,J))
0694      251 S=0
0695      J=J+I
0696      S=S+ACE*(U.0+GCL(I,J))
0697      105 S=SUM+BTIME*(UCL(I,J))
0698      GO TO 130
0699      134 DO 100 I=1,12
0700      J=J+I
0701      250 S=SUM+BTIME*(UCL(I,J))
0702      252 IF (I=0) 253,253,251
0703      253 IF (I=2) 251,251,254
0704      254 S=0
0705      DO 250 J=1,12
0706      S=S+ACE*(U.0+GCL(I,J))
0707      251 S=0
0708      J=J+I
0709      S=S+ACE*(U.0+GCL(I,J))
0710      105 S=SUM+BTIME*(UCL(I,J))
071
```

```

0107      263  I=(1-2) 261,261,264
0108      264  SAG=SAG*(W,0)+ACS(I,JA)
0109      GO TO 265
0110      271  SAG=SAG+ACS(1,JA)
0111      265  J=JT(I)
0111      SAT=SAT+ATCS(1,JA)
0111      JC=JTM(I)
0114      136  SATH=SATH+ATHC(I,JC)
0115      134  SATH=SATH-(LL*(I,JP)-1.)
0116      CT=CT*(1.+SATH)-SAT+BAU*(IC)
0117      TPC=CT*(TEL(IC)+PL)+(LM(IC)+PM)+EU(IC)
0118      TTP=TP*(IC)+PT+BAU
0119      GO TO 196
0121      181  READ(2,16)T=
0121      TEC(1)=UC(1,IC)
0122      TEC(2)=UC(2,IC)
0123      DO 187 I=3,7
0124      187  TEC(I)=UC(I,IC)+T=
0125      DO 187 I=1,7
0126      DO 189 J=1,7
0127      K=K*(J)
0128      169  SUM=SUM+BT(1,J,K)
0129      IF (I=3) 11,111,116
0130      111  N=K*(J)
0131      ACE(3)=TEC(J)*(1.+SUM)*(1.+G*(J,K))
0132      GO TO 192
0133      114  ACE(I)=TEL(I)*(1.+SUM)
0134      192  SUM=0.,4
0135      112  CONTINUE
0136      DO 113 I=1,7
0137      113  ST=ST+ACE(I)
0138      CT=CT*(1.+CM(IC))
0139      DO 276 I=3,6
0140      274  JSUM=JSUM+JU(I)
0141      IF (IC=3) 132,132,134
0142      132  DO 136 I=1,12
0143      J=JP(I)
0144      I=(JSUM=6) 271,272,272
0145      272  IF (I=6) 273,273,271
0146      273  I=(1-2) 271,271,274
0147      274  SAG=SAG*(W,0)+ATC(I,JA)
0148      GO TO 274
0149      271  SAG=SAG+ATC(1,JA)
0150      274  J=JT(I)
0151      SAT=SAT+ATC(1,JA)
0152      JC=JTM(I)
0153      158  SATH=SATH+ATHC(1,JC)
0154      GO TO 159
0155      134  DO 114 I=1,12
0156      J=JP(I)
0157      IF (JSUM=6) 281,282,282
0158      282  I=(1-6) 283,283,281
0159      283  I=(1-2) 281,281,284
0160      284  SAG=SAG*(W,0)+ATC(I,JA)
0161      GO TO 284
0162      281  SAG=SAG+ATC(1,JA)

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Figure B-2 (Cont'd). Program Listing and Printout

```

0163      205 J=JUT(I)
0164      SAT=SAT+ATFT(I,JA)
0165      JLEJTM(I)
0166      114 SAT=SAT+ALM+T(I,JC)
0167      159 SAT=SAT+(ELL((I,JP)-1.))
0168      CTRCTL=(1.+SAT)+SAT+SAJ+2(I,
0169      TPC=CT+(ELL(IC)+FL)+(ELM(IC)+FM)+T0(IC)
0170      TTRCTIME(IC)+SAL
0171      126 IF (JC=2) 125,126,126
0172      125 =NITE (5.52)
0173      GO TO 127
0174      127 =NITE (5.79)
0175      GO TO 127
0176      126 IF (IC=3) 120,120,127
0177      127 =NITE (5.7A)
0178      GO TO 127
0179      126 =NITE (5.51)
0180      122 IF (IPL=IP) 123,124,123
0181      123 WCAL (2,14) ((P+E(I,J),J=1,6),I=1,IP)
0182      IF (I=7) 150,124,157
0183      154 WELD (2,15) ((NPM(I,J),J=1,4),CE(I),I=1,4)
0184      124 =NITE (5.53)
0185      IF (I=7) 150,101,100
0186      100 PM(6)=SUM(I3)=M(4)
0187      PM(7)=PM(1)
0188      PM(8)=PM(3)-PM(4)
0189      PM(9)=PM(4)
0190      PM(4)=PM(3)
0191      M(3)=PM(1)
0192      =NITE (5.54) ((P+E(I,J),J=1,6), (NPM(I,J),J=1,4), M(1), CE(I),
      IC), CE(I), IEL(I), I=1,4)
      =NITE (5.57)
      =NITE (5.58)
0193      IF (IC=1) 147,148,141
0194      148 GO 126 J=1,7
0195      NPM(J)
0196      124 =NITE (5.55) ((TLF(I,J),J=1,7), (BCL(I,J),I=1,6),A
0197      =NITE (5.77)
0198      DO 142 I=1,3
0199      NPM(I)
0200      142 =NITE (5.75) ((P+E(I,J),J=1,6), GCL(I,A),A
0201      =NITE (5.76) PM(16)
0202      =NITE (5.62)
0203      =NITE (5.63) ((P+E(I,J),J=1,6), ACE(I), I=1,4)
0204      =NITE (5.65) C57
0205      =NITE (5.11)
0206      =NITE (5.54)
0207      DO 141 I=1,12
0208      J=J+1
0209      J=J+1
0210      J=J+1
0211      J=J+1
0212      166 =NITE (5.65) ((C=6(I,J),J=1,9), ACCL(I,JA), JA, ATCL(I,JA), JE, AT=CL(I,
      JCI),JC
0213      GO TO 167
0214      141 GO 143 J=1,7
0215      NPM(J)
0216

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Figure B-2 (Cont'd). Program Listing and Printout

```

0217      143 WRITE (5,60) (bTLE(J,J0),J0=1,7),(mCb(I,J,4),I=1,8),4
0218      WRITE (5,77)
0219      DO 144 I=1,3
0220      N=MP(I)
0221      144 WRITE(5,75) (P=I(I,J),J=1,6),GLS(I,4),4
0222      WRITE (5,76) UM(1C)
0223      WRITE (5,82)
0224      WRITE (5,83) ((P=I(I,J),J=1,6),ACC(I),I=1,8)
0225      WRITE(5,80) C=I
0226      WRITE (5,11)
0227      WRITE (5,84)
0228      DO 181 I=1,12
0229      J=J0(I)
0230      J=J1(I)
0231      J=C=JTM(I)
0232      181 WRITE(5,85) (ICMG(I,J),J=1,9),AUCS(I,JA),JA,ATCb(I,JB),JB,ATMCS(I,
      J),JC
0233      GO TO 182
0234      181 WRITE (5,55) ((P=I(I,J),J=1,6),TFC(I),I=1,2)
0235      WRITE(5,56) ((P=I(I,J),J=1,6),TM,UC(I,1C),TEC(I),I=3,7)
0236      WRITE (5,57)
0237      WRITE (5,59)
0238      DO 147 J=1,7
0239      N=MP(J)
0240      147 WRITE (5,61) (bTLE(J,J0),J0=1,7),(bT(I,J,K),I=1,7),4
0241      N=MP(J)
0242      WRITE (5,77)
0243      WRITE (5,75) (P=I(J,J),J=1,6),bT(3,4),4
0244      WRITE (5,74) UM(1C)
0245      WRITE (5,82)
0246      WRITE (5,83) ((P=I(I,J),J=1,6),ACC(I),I=1,7)
0247      WRITE (5,88) C=I
0248      WRITE (5,11)
0249      WRITE (5,84)
0250      IF (IC=3) 149,149,149
0251      145 DO 182 I=1,12
0252      J=J0(I)
0253      J=J1(I)
0254      J=C=JTM(I)
0255      182 WRITE(5,85) (ICMG(I,J),J=1,9),AUTC(I,JA),JA,ATFC(I,JB),JB,ATMFC(I,
      J),JC
0256      GO TO 182
0257      146 DO 183 I=1,12
0258      J=J0(I)
0259      J=J1(I)
0260      J=C=JTM(I)
0261      183 WRITE(5,85) (ICMG(I,J),J=1,9),AUTC(I,JA),JA,ATFT(I,JB),JB,ATMFT(I,
      J),JC
0262      182 WRITE (5,69) P
0263      WRITE (5,67) EL(1C)
0264      WRITE (5,68) L(1C)
0265      WRITE (5,69) C=I
0266      WRITE (5,70) FL
0267      WRITE (5,71) P=I
0268      WRITE (5,72) E*(1C)
0269      WRITE (5,73) E*(1C)

```

Figure B-2 (Cont'd). Program Listing and Printout

```

0270      WRITE (5,74) IPC
0271      WRITE (6,A1) T1
0272      IMPLICIT
0273      400 CONTINUE
0274      1 FORMAT (15)
0275      2 FORMAT (215)
0276      3 FORMAT (4F0.2)
0277      4 FORMAT (4F10.0)
0278      5 FORMAT (1F10.3)
0279      6 FORMAT (12F5.2)
0280      7 FORMAT (A5.2)
0281      8 FORMAT (7F5.2)
0282      9 FORMAT (3F10.4,F10.0,F10.4)
0283      10 FORMAT (5F5.4,7F7.0)
0284      11 FORMAT (1M1)
0285      12 FORMAT (12F5.4)
0286      13 FORMAT (12I2)
0287      14 FORMAT (A5)
0288      15 FORMAT (5A5)
0289      16 FORMAT (F10.4)
0290      17 FORMAT (7A5)
0291      18 FORMAT (9A5)
0292      19 FORMAT (4F5.4)
0293      20 FORMAT (2F10.2,5F5.2)
0294      21 FORMAT (7I2)
0295      22 FORMAT (//,2A,'TOTAL ADJUSTED EVENT COSTS',10X,F13.2,10X,'(INCLUDES
      1 MISCELLANEOUS FRACTIONS)')
0296      23 FORMAT (40X,'COMPRESSED AIR TUNNEL')
0297      24 FORMAT (50X,'CUT AND COVER LINE')
0298      25 FORMAT (2X,'PROJECT WORK EVENT',17X,'RELEVANT PROJECT MEASURE',10X,
      1 'UNIT COST',9X,'TOTAL EVENT COST')
0299      26 FORMAT (2X,6A5,5X,6A5,F0.0,2X,A5,5X,F0.2,'/',6A5,F10.2)
0300      27 FORMAT (2X,6A5,5X,'LUMP SUM',40X,F10.2)
0301      28 FORMAT (2X,6A5,5X,'LENGTH OF TUNNEL',F10.0,2X,'FT',10X,F0.2,'/FT',6
      1 X,F10.2)
0302      29 FORMAT (//,42X,'PROJECT WORK EVENT NUMBER')
0303      30 FORMAT (45X,'1','2','3','4','5','6','7','8','9','10','11','12','13','14','15','16','17','18',
      1 '19','20','21')
0304      31 FORMAT (47X,'1','2','3','4','5','6','7','8','9','10','11','12','13','14','15','16','17','18',
      1 '19','20')
0305      32 FORMAT (2X,7A5,7X,0(F0.3,4X),11)
0306      33 FORMAT (2X,7A5,7X,7(F0.3,5X),11)
0307      34 FORMAT (//,2X,'EVENT',35X,'ADJUSTED EVENT COST')
0308      35 FORMAT (2X,6A5,10X,F13.2)
0309      36 FORMAT (//,2X,'INSTITUTIONAL CONTROLS',23X,'NO. DELAY',9X,'J',10X,'
      1 LUMP SUM',5X,'J',5X,'FRACTION TOTAL COST',5X,'J')
0310      37 FORMAT (2X,6A5,3X,F4.2,7X,11,10X,F0.4,9X,11,14X,F0.4,12X,11)
0311      38 FORMAT (//,2X,'LABOR PRODUCTIVITY',10X,F0.2)
0312      39 FORMAT (2X,'FRACTION OF TOTAL COST ATTRIBUTED TO LABOR',10X,F0.2)
0313      40 FORMAT (2X,'OVERHEAD COST PER MONTH',16X,F0.0)
0314      41 FORMAT (2X,'TOTAL COST (EXCLUDING ESCALATION)',10X,F10.2)
0315      42 FORMAT (2X,'LABOR ESCALATION FACTOR',10X,F0.1)
0316      43 FORMAT (2X,'MATERIALS ESCALATION FACTOR',10X,F0.1)
0317      44 FORMAT (2X,'FRACTIONAL COST ATTRIBUTED TO MATERIALS',10X,F0.2)
0318      45 FORMAT (2X,'FRACTIONAL COST ATTRIBUTED TO OVERHEAD',10X,F0.2)
0319      46 FORMAT (2X,'TOTAL PROJECT COST',F10.2)

```

Figure B-2 (Cont'd). Program Listing and Printout

```

0321      75 FORMAT(2X,045.0X,F10.3,13X,11)
0321      76 FORMAT(///,2X,'MISCELLANEOUS FRACTIONS',10X,F6.3//)
0322      77 FORMAT(///,2X,'PROJECT HOUR EVENT',2X,'GAMMA VALUE',10X,'N'//)
0323      78 FORMAT (5X,'FREE AIR TUNNEL'//)
0324      79 FORMAT (40X,'CUT AND COVER STATION'//)
0325      82 FORMAT(///,2X,'TOTAL ADJUSTED EVENT COSTS',10X,F13.2,10X,'(INCLUDES
      1 MISCELLANEOUS FRACTIONS AND ADJUSTMENT FOR DELAY)')
0326      81 FORMAT(///,2X,'TOTAL TIME',5X,F16.1,5X,'MONTHS')
0327      CALL EXIT
0328      END

```

8600

ROUTINES CALLED:
EXIT

OPTIONS 0/00/0010/60

BLOCK LENGTH
PAGE 838/ (240000)0

COMPILER *** CORE**
PHASE USED FREE
DECLARATIVES 01103 04637
EXECUTABLES 01903 09537
ASSEMBLY 03131 11540

Figure B-2 (Cont'd). Program Listing and Printout

TABLE B-1: LIST OF INPUT VARIABLE NAMES

<u>Variable Name</u>	<u>Model Name</u>	<u>Comments</u>
UC(I,J)	unit cost functions	J=case number (1-4) I=number of project work events
BTIME (I)	base time	I=case number (1-4)
BCL(I,J,K)	β_{ijk} -cut-and-cover-line	I=project work event J=1-7 K=1-3
BCS(I,J,K)	β_{ijk} -cut-and-cover station	
BT(I,J,K)	β_{ijk} -tunnel	
GCL(I,J)	γ_{in} cut-and-cover line	I=1-3 N=1-3
GCS(I,J)	γ_{in} -cut-and-cover station	
GT(3,J)	γ_{3n} -tunnel	N=1-3
AOCL(I,J)	α_1	I=1-12 J=1-3
ATCL(I,J)	α_2 cut-and-cover line	
ATHCL(I,J)	α_3	
AOCS(I,J)	α_1	
ATCS(I,J)	α_2 cut-and-cover station	
ATHCS	α_3	
AOTC(I,J)	α_1	
ATTC(I,J)	α_2 compressed air tunnel	
ATHTC(I,J)	α_3	
AOFT(I,J)	α_1	
ATFT(I,J)	α_2 free air tunnel	
ATHFT(I,J)	α_3	
EO(I)	ϵ_o	I=case number (1-4)
EL(I)	ϵ_l	

TABLE B-1 (Continued)

<u>Variable Name</u>	<u>Model Name</u>	<u>Comments</u>
EM(I)	ϵ_m	} I=case number (1-4)
Z(I)	z	
DM(i)	δ_M	
IRUN	number of cases to be run	
TORG(I,J)	titles for institutional controls	I=1-12 J=1-7
BTLE(I,J)	physical control titles	I=1-7
IC	case number	1-cut-and-cover line 2-cut-and-cover station 3-compressed air tunnel 4-free air tunnel
IP	number of project work events	7-tunnel 8-cut-and-cover
P	labor productivity	
FM	materials escalation factor	
FL	labor escalation factor	
JO(I)	level of institutional control for a1	I=1-12
JT(I)	level of institutional control for a2	I=1-12
JTH(I)	level of institutional control for a3	I=1-12
KP(I)	level of physical control	I=1-7

TABLE B-1 (Continued)

<u>Variable Name</u>	<u>Model Name</u>	<u>Comments</u>
PM(I)	project measures for cut- and-cover projects	I=1: length 2: plan area 3: volume excavated 4: backfill volume
TM	length of tunnel for tunnel projects	J=1-4
PWE(I,J)	project work event titles	J=1-6 I=1-7(8)
RPM(I,J)	relevant project measure titles for cut-and-cover projects	I=1-8 J=1-4
CE(I)	titles for units of measure for cut-and-cover projects	I=1-8

TABLE B-2 ARRANGEMENT OF PROGRAM DATA

<u>Card No.</u>	<u>Description</u>	<u>Comment</u>
1	unit costs for cut-and-cover line	8 values in order listed*
2	unit costs for cut-and-cover station	
3	unit costs for compressed air tunnel	7 values in order listed
4	unit costs for free air tunnel	
5	base times for all projects	order: cut-and-cover line, station; compressed and free air tunnels
6-12	β_{ij1} (low)	8 values (corresponding to project work events as listed) per card; 7 cards, each representing a physical control in order listed
13-19	β_{ij2} (med.)	
20-26	β_{ij3} (high)	
27-33	β_{ij1} (low)	Same arrangement as 8 values for cut-and-cover line
33-39	β_{ij2} (med.)	
40-46	β_{ij3} (high)	
47-53	β_{ij1} (low)	7 values (corresponding to project work events as listed) per card; 7 cards each representing a physical control in order listed
54-60	β_{ij2} (med.)	
61-67	β_{ij3} (high)	

<u>Card No.</u>	<u>Description</u>	<u>Comment</u>
68	γ_{i1} (low)	3 values for project work events 1-3 (see list)
69	γ_{i2} (med.)	
70	γ_{i3} (high)	
71	γ_{i1} (low)	3 values for project work events 1-3 (see list)
72	γ_{i2} (med.)	
73	γ_{i3} (high)	
74	γ_{3n} for tunnel projects	"low", "med.", and "high" value for "Underpinning" on tunnel projects
75	γ^1_{i1} (low)	12 values per card for institutional controls in order listed
76	γ^1_{i2} (med.)	
77	γ^1_{i3} (high)	
78	γ^2_{i1} (low)	
79	γ^2_{i2} (med.)	
80	γ^2_{i3} (high)	
81	γ^3_{i1} (low)	
82	γ^3_{i2} (med.)	
83	γ^3_{i3} (high)	

Comment

<u>Card No.</u>	<u>Description</u>
84	γ^1_{11} (low)
85	γ^1_{12} (med.)
86	γ^1_{13} (high)
87	γ^2_{11} (low)
88	γ^2_{12} (med.)
89	γ^2_{13} (high)
90	γ^3_{11} (low)
91	γ^3_{12} (med.)
92	γ^3_{13} (high)
93	γ^1_{11} (low)
94	γ^1_{12} (med.)
95	γ^1_{13} (high)
96	γ^2_{11} (low)
97	γ^2_{12} (med.)
98	γ^2_{13} (high)
99	γ^3_{11} (low)
100	γ^3_{12} (med.)
101	γ^3_{13} (high)

12 values per card for
institutional controls
in order listed

12 values per card for
institutional controls in
in order listed

<u>Card No.</u>	<u>Description</u>	<u>Comments</u>	
102	γ^1_{11}	12 values per card for institutional controls in order listed	
103	γ^1_{12}		
104	γ^1_{13}		
105	for free air		
106	tunnel		
107	γ^2_{11}		
108	γ^2_{12}		
109	γ^2_{13}		
110	γ^3_{11}		
111	γ^3_{12}		
112	γ^3_{13}		
113	$\epsilon_o, \epsilon_e, \epsilon_m, s, \delta M$		for cut-and-cover line
114			for cut-and-cover station for compressed air tunnel for free air tunnel

* For list of project work elements, and physical and institutional controls, see sample print outs following program listing in Figure B-2.

Table P-2

PROGRAM DATA - COMPRESSED AIR TUNNEL

PROJECT name event		Compressed Air Tunnel						
DESCRIPTION		1.	2.	3.	4.	5.	6.	TOTAL EVENT COST
1. Mobilization	700000.00							700000.00
2. Ready Construction	200000.00							200000.00
3. Construction	200000.00							200000.00
4. Utility Construction	200000.00							200000.00
5. Construction of Tunnel	1000000.00							1000000.00
6. Construction of Tunnel	1000000.00							1000000.00
7. Construction of Tunnel	1000000.00							1000000.00
PROJECT name event		1.	2.	3.	4.	5.	6.	
1. Mobilization	700000.00							700000.00
2. Ready Construction	200000.00							200000.00
3. Construction	200000.00							200000.00
4. Utility Construction	200000.00							200000.00
5. Construction of Tunnel	1000000.00							1000000.00
6. Construction of Tunnel	1000000.00							1000000.00
7. Construction of Tunnel	1000000.00							1000000.00
TOTAL ADJUSTED COST		1507000.00						(INCLUDES MISCELLANEOUS FUNCTIONS)

Table B-2 (Cont'd)

PROGRAM DATA - CUT-AND-COVER STATION

PROJECT AND EVENT	RELEVANT PROJECT MEASURE		UNIT COST	TOTAL EVENT COST
	1.	2.		
CUT AND COVER STATION				
1. UTILITIES	LENGTH OF PROJECT	836.00	1003.00/FT	838,108.00
2. DEM. AND TRAFFIC CONTROL	NO. OF TRAFFIC CONTROL	5322.00	23.20/3C YD	123,470.40
3. UNDERPASS	NO. OF UNDERPASS	11321.00	476.00/3C YD	5,388,876.00
4. BACKFILL	VOLUME BACKFILL	23760.00	28.51/CU YD	677,353.60
5. CURB	NET VOLUME	8631.00	6.12/CU YD	52,843.32
6. RESTORATION	LENGTH OF PROJECT	836.00	99.00/3C YD	82,713.60
7. SIDE DRAINAGE	NET VOLUME	8631.00	100.00/3C YD	863,100.00
8. SIDE DRAINAGE	NET VOLUME	8631.00	1.99/3C YD	17,273.81
PROJECT - DEM. EVENT NUMBER				
UTILITIES COMPLETION	1.	836.00	1.00	836.00
TRAFFIC CONTROL	2.	5322.00	1.00	5,322.00
EXISTING STRUCTURE	3.	11321.00	1.00	11,321.00
GROUND CONDITIONS	4.	23760.00	1.00	23,760.00
ARCHITECTURAL AND INTERIORS	5.	8631.00	1.00	8,631.00
MEASUREMENT	6.	8631.00	1.00	8,631.00
FILE DRAWING	7.	8631.00	1.00	8,631.00
PROJECT - DEM. VALUE				
UTILITIES	1.	836.00	1	836.00
DEM. AND TRAFFIC CONTROL	2.	5322.00	1	5322.00
UNDERPASS	3.	11321.00	1	11321.00
Miscellaneous Expenses				
Event	ADJUSTED EVENT COST			
1. UTILITIES			836.00	836.00
2. DEM. AND TRAFFIC CONTROL			5322.00	5322.00
3. UNDERPASS			11321.00	11321.00
4. BACKFILL			677,353.60	677,353.60
5. CURB			52,843.32	52,843.32
6. RESTORATION			82,713.60	82,713.60
7. SIDE DRAINAGE			863,100.00	863,100.00
8. SIDE DRAINAGE			17,273.81	17,273.81
TOTAL ADJUSTED EVENT COST			1,908,067.33	1,908,067.33

(INCLUDES MISCELLANEOUS EXPENSES AND ADJUSTMENT FOR DELAY)

TABLE B-3: LIST OF PROGRAM NAMES

<u>Name</u>	<u>Representation</u>
TEC(I)	total event costs
SUMB	$\sum_{j=1}^7 \beta_{ijk}$
SPCT	$\sum_{i=1}^3 \text{TEC}(I) (1 + \beta_{ijk}) (\gamma_{i,k})$
ACE(I)	adjusted event costs
ST	$\sum_{I=1}^{\text{IP}} \text{ACE}(I)$
CTO	C_{TO}
PCT	$(\text{SPCT}/C_{\text{TO}}) (0.5) (B_T)$
CST	$C_{\text{TO}} + (\text{PCT}) (z)$
JSUM	$\sum_{i=3}^6 \alpha_i$
SAO	$\alpha_1 T$
SAT	$\alpha_2 T$
SATH	$\alpha_3 T$
CT	C_{T1}
TPC	C_T
TT	$B_T + \text{PCT} + \alpha_1 T$ (for cut-and-cover projects) $B_T + \alpha_1 T$ (for tunnel projects)

Frequency rate = Number of lost time injuries per million man-hours
 Severity rate = Number of days lost per million man-hours
 C = Job Completed

Contract No.	Reporting Organizations	Hours Worked		Lost Time Injuries This Month	Lost Time Injuries To Date	Days Lost This Month	Days Lost To Date	Frequency Rate To Date	Severity Rate To Date	Estimated Days Lost To Date	Section Number
		This Month	To Date								
170012	Bravo Corp.	None	None	1	11	1	305	41.27	1144	37,085	F-1b
124101	Geo. Hyman Constr. Co.	24,308	266,532	0	0	C	0	0	0	0	0CCB
124081	Metro Tract Constr.	0	2,772	0	0	0	0	0	0	0	1a-1
124209	Mead Constr. Co.	3,055	7,333	0	0	0	0	0	0	0	B-5d 2
124206	Gen. Railway Signal Co.	2,398	8,676	0	0	0	0	0	0	0	B-5c3
180062	Carson & Erwin	7,596	19,055	0	1	0	1	52.4	52.4	100	B-6b
183011	Geo. Hyman Constr. Co.	878	878	0	0	0	0	0	0	0	1B-1
122011	Gen. Railway Signal Co.	1,430	3,319	1	1	1	1	301.3	301.3	3	ATC
	Completed Contracts		25,252								
Total Project Experience Previous Month		372,922	2,398,131	17	53	222	1187	6.7	503.3	\$49,308	
This Month								45.4	593.6		
TOTAL PROJECT EXPERIENCE			2,732,066	1409	79.2	1409	1409	515.7	552,305		
											Month - Year
											February 1973
											Signature <i>E. C. [unclear]</i>

WASHINGTON METROPOLITAN AREA TRANSIT AUTHORITY
REPORT OF ACCIDENT OR DAMAGE
TO EQUIPMENT OR PROPERTY

CONTRACTOR _____ DATE OF REPORT _____
SUB CONTRACTOR _____ CONTRACT NO. _____
SECTION DESIGNER _____
REPORT NO. _____

LOCATION OF ACCIDENT _____

EQUIPMENT INVOLVED (DESC. & SERIAL NOS.)(OWNER) _____

DAMAGE RESULTING FROM ACCIDENT _____

WERE THERE PERSONAL INJURIES YES NO IF "YES" PREPARE FORM C-24

ESTIMATED VALUE OF DAMAGES \$ _____

WITNESSES TO ACCIDENT

WAS (WERE) STATEMENT (S) OBTAINED FROM
WITNESS (ES) YES NO

ARE STATEMENTS ATTACHED YES NO

REMARKS _____

TIME OF ACCIDENT _____ AM _____ PM DATE _____

WEATHER CONDITIONS _____ TEMP _____ °F

ROADWAY OR SURFACE _____ WET DRY ICY * OTHER

* IF "OTHER" EXPLAIN _____

IF MORE SPACE IS REQUIRED, USE a separate SHEET FOR ADDITIONAL INFORMATION
AND SKETCHES

- 1. white - Bechtel Safety SIGNED _____
- 2. yellow - R.E. _____
- 3. pink - Contractor TITLE _____

FORM NO. C-23

WASHINGTON METROPOLITAN AREA TRANSIT AUTHORITY

SUPERVISORS REPORT OF ACCIDENT

1. Contract Number		2. Date of accident - Time - AM or PM	
3. Project Section		4. Location of accident	
5. Reporting organization		6. Contractor or Subcontractor involved	
7. Injury <input type="checkbox"/> Lost time <input type="checkbox"/> Critical <input type="checkbox"/> Fatal		8. Damage: <input type="checkbox"/> Fire <input type="checkbox"/> Property <input type="checkbox"/> Equipment	
9. Injured person and address		10. Occupation of injured Employer Address	
		<input type="checkbox"/> Male	Age
		<input type="checkbox"/> Female	
11. Nature of injury		12. Date stopped work	13. Date returned
14. First aid by		15. Ambulance	
16. Hospital		17. Attending Physician	
18. Witnesses or persons responding, including addresses			
19. Fire Department		20. Police Department	
21. Equipment and/or materials involved			
22. Primary cause of accident			
23. Secondary cause			
24. Contributing factors			
25. Supervisor's corrective action		Supervisor's signature	
26. Project Supt.'s corrective action		Project Supt.'s signature	
27. Date this report	*Attach a list of damaged property and/or equipment excluding motor vehicles. Indicate owner's names and addresses.		

28. WMATA Safety regulations involved Part _____ Chapter _____ Par. _____

29. Photographs attached

30. Sketch showing location of nearby structures, materials, equipment, etc., with approximate scale of distances.

31. Narrative description of events previous, during and immediately after the accident

32. If injury was sustained by visitor to job site, were visitor's pass requirements complied with (Sec. 10. Management Manual)? Yes No

WASHINGTON METROPOLITAN AREA TRANSIT AUTHORITY
REPORT OF PERSONAL INJURY

CONTRACTOR Shera-S&M-Ball DATE OF REPORT 22 July 1971
SUB CONTRACTOR 247 CONT. NO. 100001
SECTION DESIGNER P3000
REPORT NO. 1

NAME OF INJURED Michael Davidson SEX M F
ADDRESS 638 N. Belmore Ave., Baltimore, Md. 21205
AGE 26 MARRIED SINGLE
NO. DEPENDANTS 3 AGES 26, 9, 4 OCCUPATION Laborer
LOCATION WHERE INJURY OCCURRED Rock Creek Bridge

TIME OF INJURY 5:30 AM PM ACCIDENT DATE 14 July 1971
WEATHER clear TEMP. 90 °F

TYPE OF INJURY Strained back.
HOW SUSTAINED Jumped off of truck backwards to get away from moving beam.

WAS INJURED PERSON TAKEN TO DOCTOR No HOSPITAL OTHER No

* IF OTHER EXPLAIN HERE _____

NAME & ADDRESS OF DOCTOR Dr. Silverstein, 412 East Madison Street,
Baltimore, Maryland

NAME & ADDRESS OF HOSPITAL Union Memorial Hospital
33rd and Calvert Street
Baltimore, Maryland

WILL LOSE TIME _____ YES NO EST. LOST TIME 3 wks. 4/4/3/
WAS SAFETY RULE ALLEGEDLY VIOLATED YES NO

IF YES WHAT RULE ALLEGEDLY VIOLATED Standard procedure is you don't pick up any
ACTION TAKEN TO PREVENT REPETITION any long loads from a truck without getting
off of truck first. Was told never to jump fro
tr. ...

WITNESSES TO ACCIDENT
J. P. Olivera
5119 Greenwich Avenue
Baltimore, Md. 21229

WAS STATEMENT TAKEN FROM INJURED YES NO
WAS STATEMENT(S) TAKEN FROM WITNESS(ES) YES NO

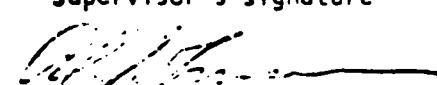
NOTE: USE BACK OF THIS SHEET FOR ADDITIONAL EXPLANATION OR SKETCHES.

REMARKS I was not notified until 16 July 1971. This man came
to work on Friday 16 July, worked 11 hours and said he would be back
to work Saturday 17 July. Did not report for work on 17 July or 19 July
Came to our office to fill out accident report on 19 July.

DATE NO. 2-12 W. Johnson

WASHINGTON METROPOLITAN AREA TRANSIT AUTHORITY

SUPERVISORS REPORT OF ACCIDENT

1. Date of accident - Time - AM or PM 14 July 1971 - 5:30 p.m.	
2. Project Section C4	4. Location of accident Rock Creek Park
5. Reporting organization Shea S&M Ball	6. Contractor or Subcontractor involved P&Z-MERGENTINE AJV
7. Injury <input checked="" type="checkbox"/> Lost time <input type="checkbox"/> Critical <input type="checkbox"/> Fatal	8. Damage* <input type="checkbox"/> Fire <input type="checkbox"/> Property <input type="checkbox"/> Equipment
9. Injured person and address Michael Davidson 638 N. Belnord Avenue Baltimore, Maryland 21205	10. Occupation of injured Laborer Employer P&Z-Mergentime AJV Address 10 E St., N.E., Wash., D.C. 20002 <input checked="" type="checkbox"/> Male Age 36 <input type="checkbox"/> Female
11. Nature of injury Said he hurt his back.	12. Date stopped work 16 July 1971
13. Date returned --	14. First aid by no
15. Ambulance no	16. Hospital Union Memorial 33rd & Calvert St. Baltimore, Maryland
17. Attending Physician Dr. Silverstein 412 E. Madison St., Baltimore, Md.	18. Witnesses or persons responding, including addresses J. P. Olivera Phone: 728-1129 5119 Greenwich Avenue Baltimore, Maryland 21229
19. Fire Department no	20. Police Department no
21. Equipment and/or materials involved Semi truck.	
22. Primary cause of accident Jumped from truck rather than climbing down.	
23. Secondary causes	
24. Contributing factors Picking I beam from truck while still standing on truck.	
25. Supervisor's corrective action	Supervisor's signature 
26. Project Supt.'s corrective action	Project Supt.'s signature
27. Date this report	*Attach a list of damaged property and/or equipment including

29. WATA Safety regulations involved Part _____ Chapter _____ Par. _____

30. Photographs attached

Sketch showing location of nearby structures, materials, equipment, etc., with approximate scale and directions.

31. Narrative description of events previous, during and immediately after the accident.

I, Al Johnson, was not notified of the accident until 16 July 1971. This man reported for work on the 16th, worked 11 hours and said he felt good and that he would be in on Saturday 17 July. He has not reported for work as yet.

THE USE OF THIS FORM IS REQUIRED UNDER THE PROVISIONS OF THE WORKMEN'S COMPENSATION ACT.

COMMONWEALTH OF VIRGINIA
DEPARTMENT OF WORKMEN'S COMPENSATION
INDUSTRIAL COMMISSION OF VIRGINIA
RICHMOND

CS & J

Case of _____ EMPLOYER'S FIRST REPORT OF ACCIDENT
File No. _____ (Every question must be answered)

Employer	1. Name of Employer <u>Shen-Well</u> 2. Office address: No. and St. <u>1750 Lynn St.</u> City or Town <u>Arlington</u> State <u>Virginia</u> 3. Insured by: Name of Company <u>Argonaut</u> 4. Give nature of business (or article manufactured) <u>Construction</u>
Time and Place	5. (a) Location of plant or place where accident occurred <u>Arlington</u> (City or County) Department <u>Underground</u> State if employer's premises <u>Yes</u> (b) If injured in a mine, did accident occur on surface, underground, shaft, drift or mill <u>Underground</u> 6. (a) Date of Injury <u>Aug 21 1972</u> Day of week <u>Mon</u> Hour of day _____ A. M. _____ P. M. (b) Was injured paid in full for day he was injured? <u>Yes</u> 7. Date incapacity began <u>8/21/72</u> 19 _____ A. M. <u>7:00</u> P. M. 8. Was injured paid in full for day incapacity began? <u>No</u> 9. When did you or foreman first know of accident? <u>Aug 21, 1972</u> 10. Name of foreman <u>Wayne Eldrige</u>
Injured Person	11. Name of Injured <u>BYRD, FIELDING</u> <u>229-48-6594</u> (First Name) (Middle Initial) (Last Name) 12. Address: No. and St. <u>Loretta</u> City or Town _____ State <u>Virgin</u> 13. Check (✓) Married <input checked="" type="checkbox"/> Single <input checked="" type="checkbox"/> Widowed _____ Widower _____ Divorced _____; Male <input checked="" type="checkbox"/> Female _____; White _____ Colored _____ 14. Nationality <u>United States Citizen</u> Speak English <u>Yes</u> 15. Age <u>30</u> Did you have on file employment certificate or permit? <u>Yes</u> 16. (a) Occupation when injured <u>Laborer</u> (b) Was this his or her regular occupation? <u>Yes</u> (If not state in what department or branch of work regularly employed) 17. (a) How long employed by you? _____ (b) Piece or time worker <u>time</u> (c) Wages per hour \$ <u>5.77</u> 18. (a) No. hours worked per day <u>8</u> (b) Wages per day \$ <u>46.16</u> (c) No. days worked per week <u>5</u> (d) Average weekly earnings \$ <u>230.00</u> (e) If board, lodging, fuel or other advantages were furnished in addition to wages, give estimated value per day, week or month <u>N/A</u>
Cause of Injury	19. Machine, tool or thing causing injury <u>N/A</u> 20. Kind of power, (hand, foot, electrical, steam, etc.) _____ 21. Part of machine on which accident occurred <u>N/A</u> 22. (a) Was safety appliance or regulation provided? <u>Yes</u> Was it in use at time? <u>Yes</u> 23. Was accident caused by injured's failure to use or observe safety appliance or regulation? <u>No</u> 24. Describe fully how accident occurred, and state what employee was doing when injured. <u>While lifting heavy objects in shaft employee strained lower back.</u> 25. Name and address of witness <u>Wayne Eldrige</u>
Nature of Injury	26. Nature and location of injury (describe fully exact location of amputation or fractures, right or left) <u>Strain of lower back</u> 27. Probable length of disability _____ 28. Has injured returned to work? <u>Yes</u> If so, date and hour <u>8/21/72 7:00 AM</u> At what wage \$ <u>5.77</u> 29. At what occupation? <u>SA</u> 30. (a) Name and address of physician <u>P.A. Harris</u> (b) Name and address of hospital _____
Fatal	31. Was injured dead? <u>No</u> If so, give date of death _____

Date of this report 8/25/72 Firm name Shen-Well
Form No. 2-70-1-7-1-73 Signed by J. L. [Signature] Official Title Safety Superintendent

A Copy shall be mailed to the Department of Labor and Industry, 301 W. Preston St., Baltimore, Md. 21201

Complete and send immediately to --
WORKMEN'S COMPENSATION COMMISSION
 108 E. LEXINGTON STREET, BALTIMORE, MD. 21202
 STANDARD FORM FOR
 EMPLOYER'S FIRST REPORT OF INJURY
 OR OCCUPATIONAL DISEASE

DO NOT WRITE IN THIS SPACE

WCC CLAIM # _____

DOCTOR'S REPORT Yes No

SOUNDEX # _____

PLEASE PRINT OR TYPE

EVERY QUESTION MUST BE ANSWERED AND FORM SIGNED

1. EMPLOYER - Name - (Give name under which concern does business) _____

2. Mailing Address - (No. and Street) _____ (City or Town) _____ (State) _____ (Zip) _____ Phone _____

3. Nature of Business - (Manufacturing shoes, retailing men's clothes, trucking, etc.) _____ 3a. Insured By - (Name of company) _____

4. TIME AND PLACE - Location of plant or place where accident or disease occurred _____ Department - _____
 State if employer's premises - Yes No

5. Date of injury _____ 19__ Day of week _____ Hour of day _____ A.M. P.M. 6. Was injured paid for one half or more for day of injury? Yes No

7. Date disability began _____ 19__ A.M. P.M. When did you or foreman first know of injury? _____ Name of foreman _____

8. INJURED PERSON - Name of injured - (First - Middle - Last Name) _____ Social Security No. _____

9. Address - (No. and Street) _____ (City or Town) _____ (State) _____ (Zip) _____

10. Check ()
 Married Single Widowed Divorced Male Female 11. Nationality _____ Speak English
 Yes No

12. Age _____ Did you have an file employment certificate or permit? Yes No (a) Occupation when injured _____

13. Was this his or her regular occupation? Yes No (If not, state in what department or branch of work regularly employed) _____

14. How long employed by you? _____ (a) Pieceworker Timeworker 15. No. of hours worked per day _____ per week _____ No. of days worked per week _____

16. Wages: \$ _____ per hour, or \$ _____ per day, or \$ _____ per week. (If paid on other than a time basis, such as piece work or Commission - Average weekly earnings \$ _____)

17. If board, lodging, tips, fuel or other advantages were furnished in addition to wages, give estimated value per day, week or month _____

18. CAUSE AND NATURE OF INJURY OR OCCUPATIONAL DISEASE _____ 19. Probable length of disability _____

20. Machine, tool or thing causing injury _____ 21. Kind of power (hand, foot, electrical, steam, etc.) _____

22. Part of machine on which accident occurred _____ 23. (a) Was safety appliance or regulation provided _____
 (b) Was it in use at time _____

24. Was accident caused by injured's failure to use or observe safety appliance or regulation _____

25. Was injured returned to work? Yes No If so, date and hour _____ a. At what wage \$ _____ b. At what occupation? _____

26. Name and address of physician _____

27. Name and address of hospital _____

28. FATAL CASES - Has injured died Yes No _____ If yes give date of death _____

Date of this report _____ From Name _____

Signed by _____ (Official Title) _____

SUMMARY

TYPE OF ACCIDENT

DESCRIPTION	FOR PAST MONTH		FOR THE JOB TO DATE		REMARKS
	NO OF ACCIDENTS	DAYS LOST	NO OF ACCIDENTS	DAYS LOST	
FALLING - FROM ELEVATIONS	1	9	10	20	
FALLING ON LEVEL	5		16	1	
OBJECTS FALLING	6	9	35	62	
HANDLING MATERIAL	11	75	73	172	
STEPPING ON OBJECT			7		
STRIKING AGAINST OBJECTS	3		26	8	
EXPLOSIVES					
ELECTRICITY			4		
HAND TOOLS	12		32		
MACHINERY	5	92	16	102	
VEHICLES					
FLYING PARTICLES	6		61		
DUST FUMES OR GASES					
ALLERGY	13	5	53	38	
WELDING OR BURNING	2		21		
POISON IVY					
MISCELLANEOUS	5	10	52	10	
<u>Decompression Illness</u>			9		
NATURE OF INJURY					
HAND INJURIES	14	80	104	166	
EYE INJURIES	20		87		
FOOT - PUNCTURES			5		
FOOT - BRUISES OR CRUSHES	4	84	18	140	
BACK INJURIES	2		16	29	
HEPNTIA					
OTHER SPRAINS OR STRAINS	2	9	11	10	
FRACTURES - OTHER THAN HAND AND FOOT	2	22	6	33	
BURNS			27	5	
INFECTIONS			4		
HEAD INJURIES	1		6		
MISCELLANEOUS BRUISES AND LACERATIONS	3		29	2	
CONTUSIONS	3		18	1	
MISCELLANEOUS	18	5	75	33	
FATALITIES					
<u>Decompression Illness</u>			9		
CRAFT					
LABORERS	4	5	33	11	
CARPENTERS			1		
IRONWORKERS			4		
TRUCK DRIVERS	1		1		
CEMENT WORKERS			6		
OPERATING ENGINEERS	6		56		
PAINTERS					
ELECTRICIANS	2	5	15	16	
PIPEFITTERS					
PILE DRIVERS			5	57	
FIELD SUPERVISORY	5	17	41	27	
ENGINEERING	4		13		
OFFICE					
<u>MISSING</u>	47	173	240	308	

OF CALIFORNIA
708 MARKET STREET
SAN FRANCISCO, CALIFORNIA

Reproduced from
best available copy.

BIENIUNITY	
MEDICAL	
ALLOCATED	
TOTAL	
CATASTROPHE	OCC. DIS.
EXTORT	SUBPS.
BY:	VERIFIED

EMPLOYER'S REPORT OF INDUSTRIAL INJURY
STATE OF CALIFORNIA - DEPARTMENT OF INDUSTRIAL RELATIONS
DIVISION OF LABOR STATISTICS AND RESEARCH

This report must be answered fully to avoid further correspondence. FAILURE TO FILE IS A MISDEMEANOR SUBJECT TO MAXIMUM FINE OF \$100. (Section 4611.5, Labor Code) This report on employee must be reported within five days after the injury. If the injury results in death, a report must be made by telephone or telegraph direct to the Division of Labor Statistics and Research, San Francisco, not later than 24 hours after death.

EMPLOYER	1. Name (Give name under which business is conducted) Parsons Brinckerhoff - Tudor - Bechtel	POLICY NUMBER	DO NOT WRITE IN THIS COLUMN	
	2. Office Address (No. and Street) 814 Mission St. S.F.			
	3. Nature of business Consulting Engineers		Case No.	
EMPLOYEE	4. Name BAIKSTON, Donald	Social Security No.	Employer's No.	
	5. Address (No. and Street) 2450 Oaklands Dr. Oakland	City or Town	Industry	
	6. Age 32	7. Sex: Male <input checked="" type="checkbox"/> Female <input type="checkbox"/> 8. Check (X) Married <input checked="" type="checkbox"/> Single <input type="checkbox"/>		Age
	9. Number of hours worked per day 8 ; per week 40	Number of days worked per week 5		Sex and Mar. Stat.
	10. Wage \$ _____ per hour, or \$ _____ per day, or \$ _____ per week (If each line of irregular rate, such as piece work or on commission basis, enter actual average weekly earnings for convenient period not to exceed one year.)			Weekly Wage
	11. If board lodging, or other advantages furnished in addition to wages, give estimated value \$ _____ or \$ _____ per week			County
	ACCIDENT			Accident Date
	12. Place of accident (No. and Street) 23rd & Mission S.F.	City or Town S.F.	County S.F.	Occupation
	13. On employer's premises? <input checked="" type="checkbox"/> No <input type="checkbox"/> 14. Department _____			Accident Type
	15. Date of accident Jan. 1969	16. Hour of day 4:30 A.M.	17. Did injury result in disability beyond one of this day? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Agency
	18. If injured in a mine, check (X) accident location: Surface <input type="checkbox"/> M.U. <input type="checkbox"/> Underground <input checked="" type="checkbox"/>			Agency Name
CAUSE OF ACCIDENT			Month, Date	
19. Occupation (job title) Inspector	20. How long employed by you in this occupation? Check (X) Less than 6 months; 6 months to 2 years <input checked="" type="checkbox"/> ; over 2 years <input type="checkbox"/>	21. What was employee doing when accident occurred? Checking a torque on a bolt	Rate Paid	
22. How did the accident happen? (Describe fully, stating objects, persons, etc.; give all factors contributing to accident. Use other side of report for additional space.) Checking a torque on a bolt and the wrench slipped and my right hand hit another bolt with the back of my hand. Pain has been progressively so much, decided to have it examined.			Percent Paid	
23. What machine, tool, substance, or object was most closely connected with the accident? (State the specific machine, tool, apparatus, etc., brand, etc., brand.) torque wrench			Amount of Injury	
24. If mechanical operation or vehicle, what part of it? (State if gear, pulley, motor, etc.)	25. Were safety guards or other safeguards provided? <input checked="" type="checkbox"/> No <input type="checkbox"/> 26. Were injured using them? <input checked="" type="checkbox"/> No <input type="checkbox"/>		Level of Injury	
27. What do you recommend for preventing this type of accident? Do not say, "By being more careful." Specify what should or should not be done.			Insurance Carrier	
NATURE OF INJURY AND PART OF BODY AFFECTED			Employer's No.	
28. (Describe in detail the nature of the injury and the part of the body affected. For example, sprain of right elbow; dislocation of right knee; fracture of ribs; head poisoning; contusion of left hand, etc.) Right hand and wrist fracture of proximal base of 2nd metacarpal			Amount of Injury	
29. Name and address of physician Jerry H. Jescula, M.D. (referred him to Dr. Gordon)			Level of Injury	
30. Name and address of hospital 56 Julian Ave., Transir Compressed Air Vent. Center			Insurance Carrier	
31. Has employee returned to work? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No 32. If yes, give date SAME 33. At what wage? SAME			Level of Injury	
34. Did injury result in death? <input checked="" type="checkbox"/> No <input type="checkbox"/> 35. If yes, give date _____			Insurance Carrier	
36. In case of death, give name and address of nearest relative _____			Level of Injury	
37. Is injured (a) Employee by Sub-Contractor? <input checked="" type="checkbox"/> (b) An officer, partner or relative (by blood or marriage) of the Employer? <input type="checkbox"/>			Insurance Carrier	
38. Was injury caused by anyone else? <input checked="" type="checkbox"/> No <input type="checkbox"/> Name _____ Address _____			Level of Injury	
39. On reverse side list names and addresses of witnesses _____			Insurance Carrier	
40. Date & hour was report made Jan 14 1969 41. When will injured person be back? Same day			Level of Injury	
42. Signed by _____ Official position CR			Insurance Carrier	

SEND REPORT TO DIVISION OF LABOR STATISTICS AND RESEARCH

Filing of this report is not an admission of liability. No report of injury required to be filed by an employer or injured party by this statute shall be admissible as evidence in any proceeding before the Industrial Accident Commission or any court.

REV. 12 (12-59) - 11-68

MAIL THIS COPY TO: C-15 NATIONAL SAFETY COUNCIL OF AMERICA
1515 MARKET STREET
SAN FRANCISCO, CALIFORNIA 94102

TRANSIT COMPRESSED AIR MEDICAL CENTER
56 JULIAN AVENUE - SAN FRANCISCO, CALIFORNIA

NOTICE TO EMPLOYER
FIRST REPORT OF INJURY

178
REGISTER NO.

Employer P.B.T.B.

Name of Injured Berksten, Donald Age 32 Sex M.

Home Address Elyria Field & Oakland Phone 431-1111 W D

Badge No. Craft Inspector S.S. No.

Foreman Peter Loh Was He Notified of This Injury yes

By Whom self

Date of Accident @ Jan 1969 The Time @ 4:30 A

The Place in Relation To The Project 23rd & Mission Sts 1200ft

Time Employee Started His Shift 4:30 - 2 A-89

Employee's Statement of Cause of Injury Checking a ^{longer} tooth on a ball

and the wrench slipped and my left hand hit another ball on the back of my head. Pain has been progressing so much I have it

Describe Nature of Injuries, and Body Parts Affected examined. Scales and we
We had some pain since time of accident and that pain has been

What Care Was Given To The Injured progressively worse with some loss of
function - two hands and

Refuse Job
Microfilm of for son
1/16/69 M.M.
THE FIRST
TREATMENT

A. First Aid: examined, scales and we
examined, scales and we
By Whom: Time and Date: 6-16-69

B. Medical Treatment: examined, scales and we
examined, scales and we
By Whom: Time and Date: 11-30

Is Further Treatment Needed: Back To Work:

Home Hospital Other:

Estimated Disability, if any: see other report

Occupation (job title) Inspector

Age: Sex:

Name and Address of Physician:

Report Sent to Insurance Co.: Date:

STATE OF CALIFORNIA
731 MARKET STREET
SAN FRANCISCO, CALIFORNIA

INDUSTRY	
MEDICAL	
ALLOCATION	
TOTAL	
CATASTROPHIC	CALIFORNIA
EXTENT	SUBRO.
BY:	TR/10/11

EMPLOYER'S REPORT OF INDUSTRIAL INJURY
STATE OF CALIFORNIA - DEPARTMENT OF INDUSTRIAL RELATIONS
DIVISION OF LABOR STATISTICS AND RESEARCH

This report must be answered fully to avoid further correspondence. FAILURE TO FILE IS A MISDEMEANOR SUBJECT TO MAXIMUM FINE OF \$100. (Labor Code, Section 4700.1) An employee must be reported within five days after the injury. In the event of death, a report must be made by telephone or through the Division of Labor Statistics and Research, San Francisco, no later than 24 hours after death.

SEND REPORT TO DIVISION OF LABOR STATISTICS AND RESEARCH

EMPLOYER		POLICY NUMBER	DO NOT WRITE IN THIS COLUMN
1. Name (Give name under which conduct done business) Parsons Brinckerhoff-Tudor-Bechtel			Case No.
2. Office Address (No. and Street) 414 Mission St. (City or Town) S.F.			Employer's Ind.
3. Nature of business (Manufacturing, wholesaling, retailing, etc.) Engineering Consultants			Industry
INJURED EMPLOYEE			Age
4. Name GILBERT, James H. Social Security No. [redacted]			Year of Birth
5. Address (No. and Street) [redacted] (City or Town) Berkeley, Calif.			Weekly Wage
6. Age 49 Sex: Male <input checked="" type="checkbox"/> Female <input type="checkbox"/> Marital Status: Single			County
7. Number of hours worked per day 8; per week 40; Number of days worked per week 5			Accident Date
8. Wages: \$ per hour, or \$ per day, or \$ per week (If earnings of irregular rate, such as piece work or on commission basis, enter actual average weekly earnings for convenient period not to exceed one year.)			Occupation
9. If board, lodging, or other advantages furnished in addition to wages, give estimated value \$ per week			Accident Type
ACCIDENT			Agency
10. Place of accident (No. and Street) 24th & Mission (City or Town) S.F. (County) S.F.			Agency Part
11. On employer's premises (Yes or No) No 12. Department			Mech. Defect
13. Date of accident 2-17-59 14. Hour of day 12:30 P.M. Did injury result in disability beyond day of accident? (Yes or No) No 15. Was injured paid in full for this day? (Yes or No) Yes 16. If injured in a mine, check (X) accident location: Surface <input type="checkbox"/> Underground <input checked="" type="checkbox"/> Shaft			Unsafe Act
CAUSE OF ACCIDENT			Personal Defect
17. Occupation (job title) Inspector field 18. How long employed by you at this occupation? Check (X) Less than 6 months; 6 months to 2 years; 2 to 5 years; over 2 years 19. What was employee doing when accident occurred? (Describe briefly such as: loading truck, operating drill press, shoveling dirt, walking down stairs, etc.) Working on boards above railroad ties			Measure of Injury
20. How did the accident happen? (Describe fully, stating whether the injured person fell, was struck, etc.; give all factors contributing to accident. Use other side of report for additional space.) I stepped on a board and my left leg and the board gave way. I then caught my left leg between two railroad ties (about a one foot drop)			Location
21. What machine, tool, substance, or object was most closely connected with the accident? (Give a specific machine, tool, appliance, etc.; list if not involved) Board			Extent of Injury
22. If mechanical apparatus or vehicle, what part of it? (State if gears, pulley, motor, etc.)			Insurance Carrier
23. Were mechanical guards or other safeguards provided? (Yes or No) No 24. Was injured using them? (Yes or No) No			Report Log
25. What do you recommend for preventing this type of accident? (State if applicable, such as: guard rails, etc.)			Grade of
NATURE OF INJURY AND PART OF BODY AFFECTED			
26. (Describe in detail the nature of the injury and the part of the body affected. For example, sprain of right index finger at second joint, fracture of ribs, head poisoning, dermatitis of left hand, etc.) Indetermined etiology			
27. Name and address of physician James Lee, M.D.			
28. Name and address of hospital T.H. Mad. Center, 56 Mission			
29. Has employee returned to work? (Yes or No) Yes 30. If yes, give date 2-18-59 31. At what rate? \$ per week			
32. Did injury result in death? (Yes or No) No 33. If yes, give date			
34. In case of death, give name and address of nearest relative			
35. Is injured—(a) Employed by Sub-Contractor? (No) (b) An officer, partner or relative (by blood or marriage) of the Employer? (No) Give details			
36. Was injury caused by anyone else? (No) How			
37. Name Address			
38. On reverse side list names and addresses of witnesses			
39. Date Employee Reported Injury 2-18-59 40. When first injured (date) 2-17-59			
Signed By [Signature] Official Position [Signature] Date 2-3-59			

Filing of this report is not an admission of liability. In the event of a dispute, this report shall be admissible as evidence in any adversary proceeding before the Industrial Accident Commission, Labor Code, Section 4414.

80047 (10) - 91-50

MAIL THIS COPY TO:

INDUSTRIAL ACCIDENT CORPORATION OF CALIFORNIA
731 MARKET STREET
SAN FRANCISCO, CALIFORNIA
C-17

TRANSIT COMPRESSED AIR MEDICAL CENTER
56 JULIAN AVENUE · SAN FRANCISCO, CALIFORNIA

NOTICE TO EMPLOYER
FIRST REPORT OF INJURY

256
REGISTER NO.

MHK-110031
P.B.T.B

Employer _____

Name of Injured Gilbert, James H. Age 40 Sex ♂

Home Address Bailey Road, Pittsburg, Ca. Phone _____ S M W D _____

Badge No. Craft Field Inspector S.S. No. _____

Foreman Peter TOAL Was He Notified of This Injury NO

By Whom Self.

Date of Accident 17 Feb 69 (Approx) Time GRAVEYARD Shift P 2400

The Place in Relation To The Project M-R TUNNEL 24th + Mission St.

Time Employee Started His Shift P 2400 17 Feb 69 Approx

Employee's Statement of Cause of Injury "I SLIPPED ON A BOARD IN MY LEG AND THE BOARD GAVE WAY. I THEN CAUGHT MY LEG BETWEEN TWO RAILROAD TIES (ABOUT A CEFoot deep)"

Describe Nature of Injuries, and Body Parts Affected Implant of men. crystals in joint both lat. thighs, not present on awakening, but progressive thru the day. x 2 with condition.

What Care Was Given To The Injured Dr. A. First Aid: sup: myalgon, undetermined etiol.

THE FIRST TREATMENT

By Whom: J. J. [Signature] Hour and Date: 26 Feb 69

B. Medical Treatment: _____

By Whom: _____ Hour and Date: _____

Is Further Treatment Needed: _____ Back To Work: _____

Home Hospital Other: _____

Estimated Disability, if any: _____

OFFICE USE ONLY

Foreman's Report _____
Report Lag _____
Lost Time _____
Coded By _____

Occupation (Job Title) _____
Wages: _____ Per Hour _____
Name and Address of Physician: _____
Badge No.: _____ Code: _____
Report Sent to Insurance Co.: _____ Date _____

NATIONAL SURETY CORPORATION
OF CALIFORNIA

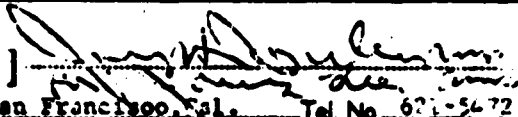
DOCTOR'S FIRST REPORT
OF WORK INJURY

CLAIM NO.

CLAIM DEPARTMENT

Immediately after first examination mail one copy directly to the Division of Labor Statistics and Research, P. O. Box 965, San Francisco 94103. Failure to file a report with the Division is a misdemeanor. (Labor Code Sections 6407-6413) Answer all questions fully.

1. EMPLOYER <u>PARSONS BRINCKERHOFF TUDOR RECHTEL</u> Policy No.	Do not write in this space
2. Address ^(No. St. & City) <u>603 So. Van Ness Avenue, San Francisco, Calif.</u>	
3. Business ^(Manufacturing shops, building construction or retailing men's clothes, etc.)	
4. EMPLOYEE ^(First name, middle initial, last name) <u>GILBERT, James H.</u> Social Sec. No. <u>.....</u>	
5. Address ^(No. St. & City) <u>..... Pittsburg, Calif.</u> Tel. No. <u>.....</u>	
6. Occupation <u>Field Inspector</u> Age <u>49</u> Sex <u>M</u> Wt. <u>.....</u> Ht. <u>.....</u>	
7. Date injured @ <u>2-17-69</u> Hour <u>P.</u> M. Date last worked <u>2-26-69</u>	
8. Injured at ^(No. St. & City) <u>24th & Mission Sts. MR Tunnel</u> County <u>San Francisco</u>	
9. Date of your first examination <u>2-26-69</u> Hour <u>6:15P.</u> M Who engaged your services? <u>Employee</u>	
10. Name other doctors who treated employee for this injury <u>None</u>	
11. ACCIDENT OR EXPOSURE: Did employee notify employer of this injury? <u>NO</u> Employee's statement of cause of injury or illness: <u>"I stepped on a board with my Left leg and the board gave way. I then caught my L leg between two railroad ties (about a one foot drop)."</u>	
12. NATURE AND EXTENT OF INJURY OR DISEASE <small>(Include all objective findings, subjective complaints, and diagnosis. If occupational disease state date of onset, occupational history, and exposures.)</small> <u>Myalgia, undetermined etiology.</u>	
13. X-rays: By whom taken? <small>(State if none)</small> <u>None</u> Findings:	
14. Treatment: <u>Examination.</u>	
15. Kind of case ^(Office, home, or hospital) <u>Office</u> If hospitalized, date Estimated stay	
Name and address of hospital:	
16. Further treatment ^(Estimated frequency and duration) <u>None</u>	
17. Estimated period of disability for: Regular work <u>return to work</u> Modified work	
18. Describe any permanent disability or disfigurement expected <small>(State if none)</small> <u>None anticipated.</u>	
19. If death ensued, give date	
20. REMARKS <small>(Note any pre-existing injuries or diseases, need for special examination or laboratory tests, other pertinent information.)</small>	

Name JAMES H. M.D. Degree M.D. [PERSONAL SIGNATURE OF DOCTOR] 
Date of report 2-27-69 Address ^(No. St. & City) 56 Julian Ave., San Francisco, Cal. Tel. No. 621-5472

Use reverse side if more space required

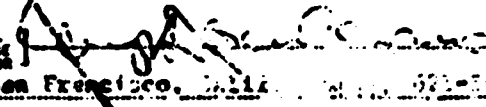
NATIONAL SURETY CORPORATION
OF CALIFORNIA

DOCTOR'S FIRST REPORT
OF WORK INJURY
CLAIM DEPARTMENT

CLAIM No.

Immediately after first examination mail one copy directly to the Division of Labor Statistics and Research, P. O. Box 920, San Francisco 94103. For use of employers with the Division is a fee. Employer (Labor Code Sections 6407-6413) Answer all questions fully.

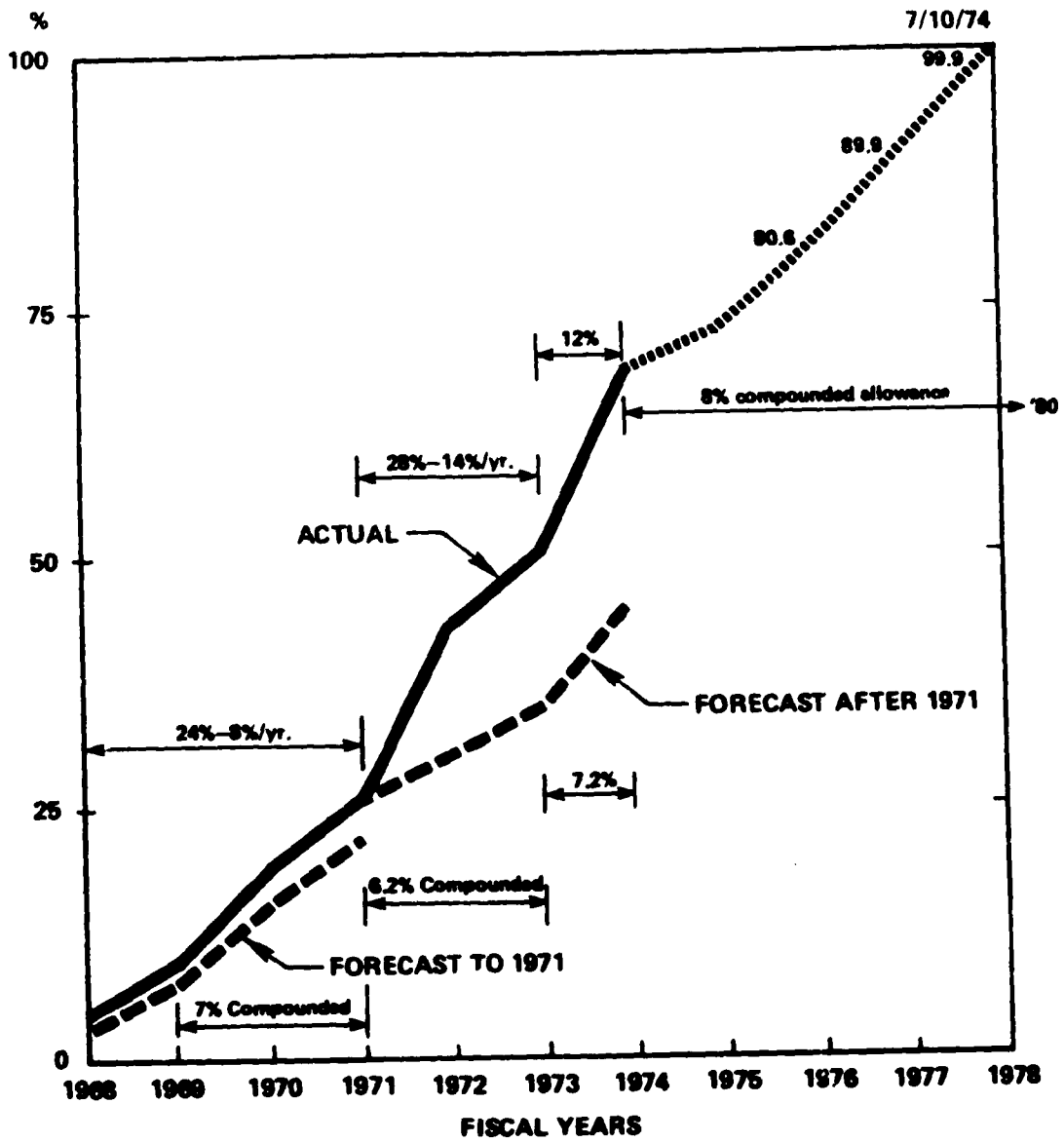
1. EMPLOYER	PARSONS BRINCKERHOFF TUDOR ECHEL	Policy No.	
2. Address	663 So. Van Ness Avenue, San Francisco, California		
3. Purpose	(Indicate type of building, etc.)		
4. EMPLOYEE (first name, middle initial, last name)	BANKSTON, Donald	Social Sec. No.
5. Address Fields Dr., Oakland, California		
6. Occupation	Inspector	Age	32
7. Date injured	Approx. Jan. 1969	Hour	@4:30A.M
8. Injured at	23rd and Mission Streets 1M0011-0		
9. Date of your first examination	6-16-69	Hour	10:30A.M
10. Name other doctors who treated employee for this injury	None		
11. ACCIDENT OR EXPOSURE: Did employee notify employer of this injury?	YES Employee's statement of cause of injury or illness: "Checking a torque on a bolt and the wrench slipped and my right hand hit another bolt with the back of my hand. Pain has been progressing so much, decided to have it examined."		
12. NATURE AND EXTENT OF INJURY OR DISEASE (include all objective findings, subjective complaints, and diagnosis. If occupational trauma state date of onset, occupational history, and exposures.)	States that he has had some pain since time of accident and that pain has become progressively worse with some loss of gripping power. Has tender area at proximal end of 2nd. metacarpal, some weakness of right grip.		
13. X-rays: By whom taken? (State if none)	Transit Compressed Air Medical Center		
Findings:	Right hand and wrist, 8 views: reveals small, apparently old, chip fracture of proximal base of 2nd. metacarpal, right hand.		
14. Treatment:	Examination and referred to Marvin L. Gordon, M.D.		
15. Kind of case (Office, home, or hospital)	Office	If hospitalized, date	Estimated stay
16. Further treatment (Estimated frequency and duration)	See report of Dr. Marvin L. Gordon		
17. Estimated period of disability for:	Regular work	"	Modified work
18. Describe any permanent disability or disfigurement expected (State if none)	See report of Dr. Gordon		
19. If death ensued, give date			
20. REMARKS (Note any pre-existing injuries or diseases, need for special examination or laboratory tests, other pertinent information)			

Name JERRY H. JACQUES, M.D., D.D.S. (Type or Print) M.D. [PERSONAL SIGNATURE OF DOC. OR] 
Date of report 6-16-69 Address 36 Julian Ave., San Francisco, Calif. Tel. No. 622-3477

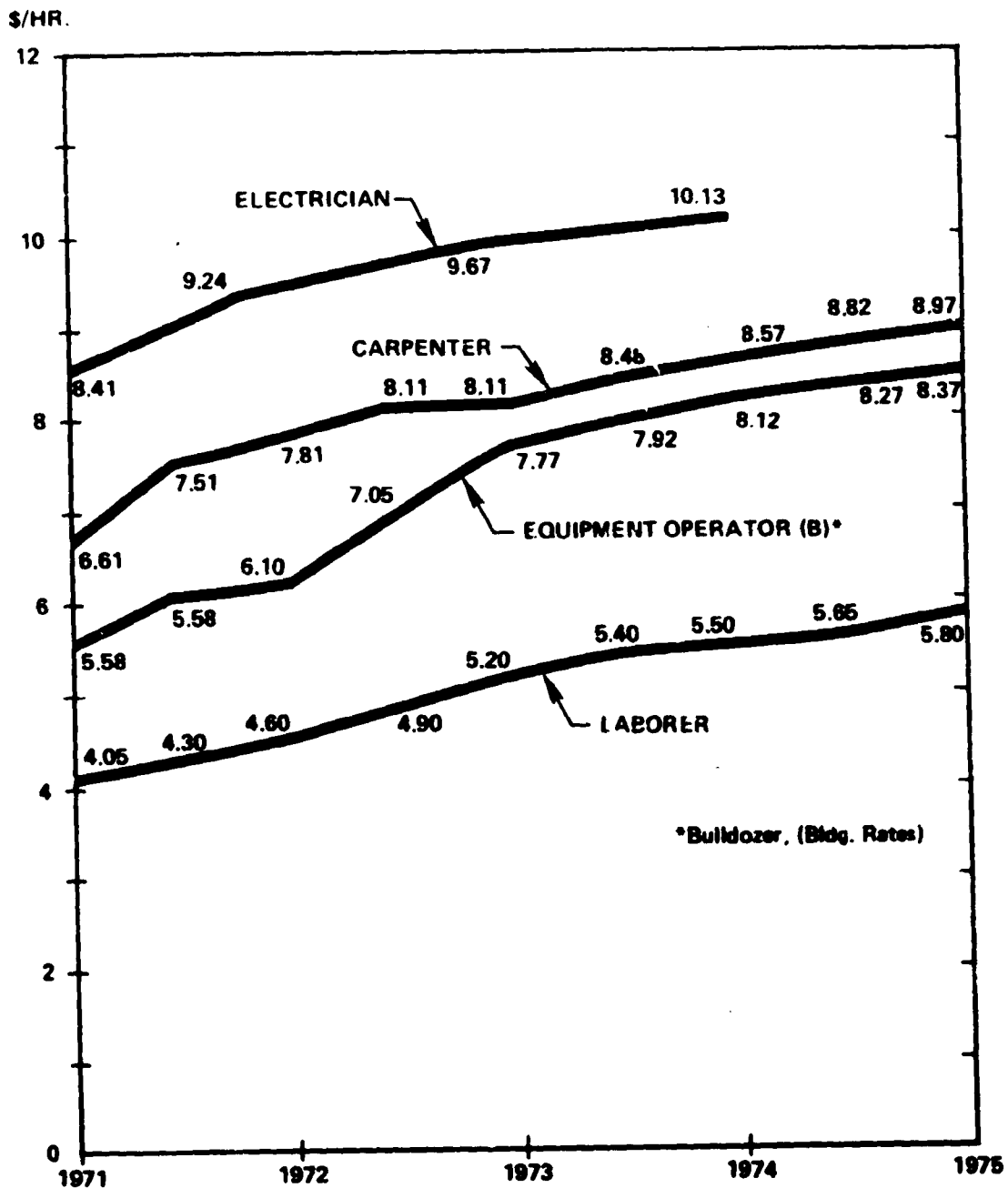
Appendix D

ESTIMATED ESCALATION VALUES

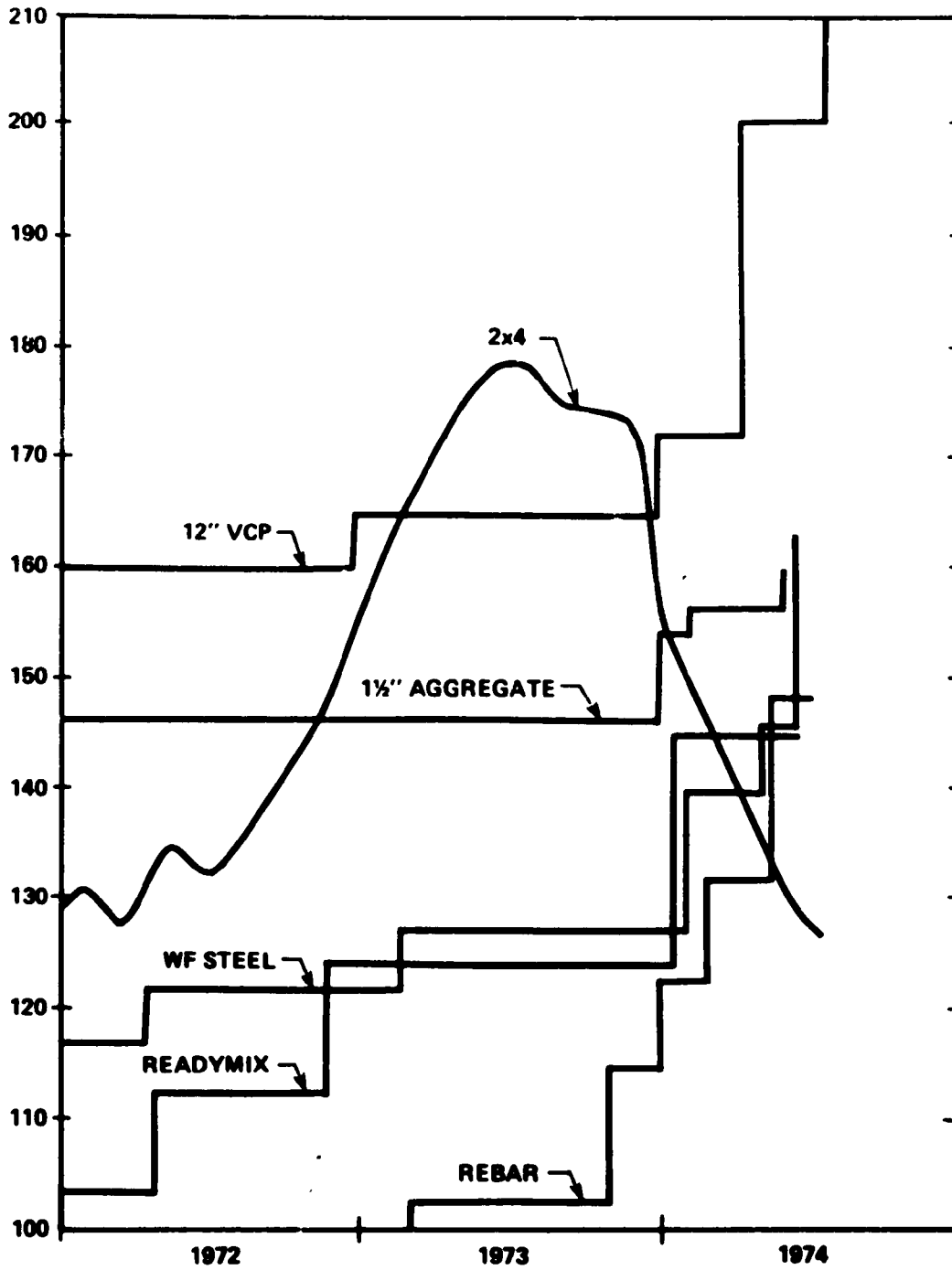
INFLATION COST
SOUTHEAST USA
CONSTRUCTION



**SOUTHEAST USA
WAGE RATES
INCLUDING FRINGES**



SOUTHEAST USA
MATERIAL PRICES



**CONSTRUCTION MATERIAL & EQUIPMENT
LEAD TIME**

Item	Lead Time (wks)*
Structural Steel _____	26
Steel Reinforcing _____	5-12
Masonry (Brick) _____	6-12
Cement _____	2-6
Wood Pile (treated) _____	13-28
Steel Pipe (galv.) _____	20
Steel Pipe (black) _____	12
Plumbing Fixtures _____	12-14
Chillers _____	16
Air Handlers _____	10-12
Primary Switchgear _____	40
Secondary Switchgear _____	26-40
Transformer (liquid) _____	48-72
Wire-HV (5-15KV) _____	18
Conduit _____	2-3

*as of July, 1974

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Appendix E

ENGLISH BENTONITE SHIELD

Newly proved Bentonite shield - takes tunnels into new ground, cuts costs by a third

Engineers from as far afield as Canada, America and the Metro systems of Europe have this week been looking for the first time at a British development which will undoubtedly take soft ground tunnelling into a new era.

They have been watching the newly-developed bentonite shield in action - the first in the world to work effectively in a full range of granular material. In such conditions as the water-bearing gravel of the short proving-run being driven in south London, the machine is showing that it can cut the cost of rival tunnelling methods by as much as a third.

Britain has long held a high reputation for its soft ground tunnelling expertise and the bentonite shield development brings together three groups who are as experienced as any - the London Transport Executive, consulting engineers Mott, Hay and Anderson, and the Edmund Nuttall/R. L. Priestley contractor/manufacturer combination.

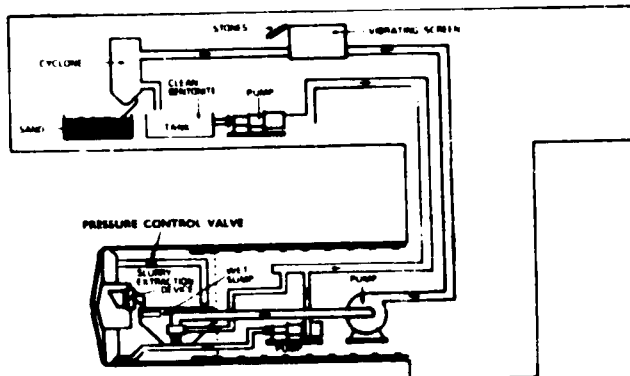
Two years ago the bentonite shield was just a piece of paper - a patent held by MHA partner John Bartlett. But the London Transport Executive, with the projected Fleet Line of the underground tube system due to push south of the river, knew that such extensions would need radically different methods of construction from those possible in north London's clay.

The bentonite shield uses the principles of a full-face rotary digger but excavates in a sealed chamber of bentonite slurry. The bentonite circuit runs to the surface and the constantly circulating slurry serves both to consolidate the face, keeping out water, and at the same time to remove spoil from the chamber.

The L.T.E. obtained funds from the National Research Development Corporation - the government agency which promotes bright industrial ideas - for the major portion of the shield development costs, currently estimated at around £350,000.

At the end of 1970, tender invitations went out to four contractors, asking for the modification of one of the two types of machine which had worked on the Victoria Line - the KM drum digger and the McAlpine centre shaft machine. But in the intervening period Nuttall

New Civil Engineer, 21 September 1972



Bentonite shield. The slurry/spoil mix discharges at atmospheric pressure into a sump just behind the shield and is then pumped to the surface where it is cleaned and recirculated.

and its manufacturing subsidiary R. L. Priestley had produced their own mole - a machine which established a fine reputation for reliability and performance, particularly in making record advances in the clay of the 30 km Ely/Ouse water tunnels.

So it was not surprising that Priestley managing director Roy Burgess was keen to adapt the machine he knew best - 'I wanted it to be the bentonite part that was experimental, not the basic machine,' he says.

Nuttall was awarded the contract in January last year. First 40 weeks of the contract period were set aside for design and manufacture of the shield, to be followed by the crucial test - a proving run which called for the driving of a 180 m length of 4.1 m diameter running tunnel just north of New Cross station. This could be incorporated in the main Fleet Line scheduled to come later.

The Nuttall team, under director Alastair Biggart, has now driven the critical first 35 m of the proving run and is confident that with most of the major teething troubles ironed out the remainder of the drive will be far easier.

Some impressive statistics are coming out of the trial. On the basis of progress so far, L.T.E. chief civil engineer Mr H. G. Follevant estimates that the new method can cut £750,000 per mile off the £21 million per mile which typical

running tunnel would cost if driven in compressed air.

Alastair Biggart is confident that it will ultimately be possible to advance this type of tunnel by more than six rings per shift - less than two hours to excavate, build and grout a 900 m ring. Rates of three rings per shift have already been achieved with resident engineer Keith Larkin and agent Richard Lewis at the face - with 12 workers but hardly experienced men.

The tunnel is being driven in water bearing gravel containing cobbles up to 250 mm across, grading to fine sand. It has 8 m of cover above the crown and the water table hovers around tunnel level.

The bentonite slurry - about a 5 per cent mix - is maintained under pressure in the shield chamber and permeates the face ahead of the digger.

Measurements indicate that the slurry is penetrating about 900 mm into the face, sealing it against incoming water and consolidating what could otherwise fall as a heap of loose gravel.

Capacity of the whole bentonite circuit is just under 10,000 gallons and so far this has disappeared into the ground at a rate of around 800 gallons per ring advance. This consumption should drop when a more regular rate of advance is achieved.

In designing the equipment four main



Sump tank, rams and erector arms can be seen in this rear view of the shield

factors had to be considered: maintaining pressure in the digging chamber while at the same time removing excavated material, minimising slurry seepage along the outside of the shield and into the tunnel behind, building lining while holding the machine in position against the face, and ensuring accurate steering.

Of these problems it was the first which proved most difficult. Spoil discharges to atmosphere into a wet sump immediately behind the sealing bulkhead of the shield. This is done so that the really large cobbles over 100 mm in size can be sifted out while the remaining material is pumped to the surface for separation by vibrating screen (for particles over 10 mm) and four cyclones.

But the arrangement means that some method of fine control of digging chamber pressure has to be provided. This is done by a pressure valve on an outlet which bypasses the main spoil/slurry extraction device, so compensating for momentary variations in chamber pressure caused by the lugger outlet. Indications are that fluctuations in pressure can be kept within 15 kN per sq m (2 psi) of the value desired. In full opera-

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Duroton Reservoir at Church Wilne, Nottingham. — 614 m.g. impounding reservoir, max. depth 31 feet contained within 2,430 yards continuous concrete wall. The adjoining treatment works, also by Farr, designed for eventual capacity of 40 m.g.d. Engineer Manager — Nottingham Water Department. E. W. Adams, BSc., FICE, FIWE. Consulting Engineers — G. W. Hill & Sons of Wilmshurst.

New Civil Engineer, 21 September 1972

tion about 700 gallons of slurry is circulated through the chamber each minute.

Two methods of extracting spoil from the chamber have been tried. The first was a helical wheel which 'screwed' the material out at a fast and continuous rate but which suffered in the extremely abrasive material it was handling. It lasted for only a few rings before its seals failed.

This was replaced by what is in effect a hydraulically operated 'airlock' which has two sliding plates which operate alternately to allow spoil to enter and leave the lock. Improvements on both the helical and sliding plate devices are being investigated.

Leakage of slurry behind the shield is prevented by a length of thick bentonite grout injected through ports around the skin of the shield, forming a barrier between two circumferential nylon brush seals. This has not been totally successful and a more sophisticated mechanical seal is being designed.

Maintaining position of the shield while building a ring is achieved by retracting some of the rams, assembling part of the new ring, transferring the thrust, and completing the ring.

Accurate tunnel steering proved to be a matter of handling technique rather than any new design feature.

Roy Burgess must be happy that he decided to work on the machine he knows. Nuttall site engineer Alan Finch puts downtime caused by failures of the actual digger at zero, although he admits to not a few clousings in bentonite.

Engineers working on the experiment have not had much time so far to consider how far the results can be extrapolated. The equipment here provides for working pressure at the face up to 200 kN per sq m (30 psig) although normal pressure used has been only half this. It is generally felt that there are no significant obstacles to substantially higher pressures.

The machine has already shown that it can cope with some fairly formidable non-cohesive ground. It is also expected that it will be able to handle silt, although it is not possible to predict how it would handle proportions of clay.

The remaining 140 m of proving run should be completed early next year. Some engineers associated with the project hope that the run can be extended to perhaps a kilometre. That should prove without doubt that the British bentonite shield - a package estimated by NRDC to cost about £150,000 - is indeed ready for full scale commercial adoption.

ADRIAN COTTRILL

New Civil Engineer, 21 September 1979



John Bartlett holds Miss. Hay and Anderson's patent on the bentonite tunnelling method, taken out in March 1965. He is a partner and director of the firm, which he joined in 1957. Here he assesses the potential for the consulting engineer of the machine which has evolved from his original idea.

Water bearing sands and gravels have long been regarded as the tunneller's nightmare, especially when it has been important to prevent settlement of over-lying property. Tunnelling through such strata has been avoided whenever possible but when essential, it has required such techniques as compressed air, clay pocketing, chemical injection and freezing, all of them slow, expensive, labour intensive and sometimes of uncertain efficacy.

Cost of the new bentonite shield method and speed of construction will naturally vary in differing circumstances but even the prototype is now regularly advancing at a cutting rate of over half a metre per hour and it is gratifying to agree with the contractor that cost of construction in these difficult conditions is being very substantially reduced.

One of the most encouraging results of the experimental drive at New Cross is that there has been no perceptible settlement of the ground above and around the tunnel, despite most careful monitoring, and at no time during the drive has there been any loss of ground or apparent risk of loss of support at the face. It will, therefore, be suitable for driving tunnels through non-cohesive ground, close to the surface if desirable, underneath roads and buildings.

When the first underground railways were built in London over a century ago, the cut-and-cover methods used caused considerable disruption. For later development of the system, at the turn of the century, the obvious solution was to drive London's tubes down into the underlying blue clay. But the ideal place for an underground railway is just sufficiently below surface level to avoid services in the streets and noise in buildings, but at the same time

avoiding expensive and time-consuming escalators.

Many cities have faced the same dilemma without having London clay and much of the construction has been by cut-and-cover methods. These continue to cause disruption despite the development of ingenious methods of street closing and such devices as the construction of walls by slurry trench methods.

It was an inspection of the Milan method of wall construction using bentonite together with some knowledge of the use of drilling muds in oil drilling which led to the idea of developing a mechanical tunnelling machine which used a thixotropic slurry kept at a suitable pressure not only to support the face but also to carry back the spoil from the face.

In various cities the bentonite shield method opens up the prospect of new rapid transit lines close to the surface using cut-and-cover construction at the stations where disturbance is in any case inevitable, and driving the running tunnels with the shield. It should also have a useful application for large sewer and water tunnels and just as the walls of slurry trenches have proved to be well supported by the slurry down to depths well exceeding 10 m so it should be possible to apply the system to large diameter tunnels for roads.

Use of a liquid to support the face means that its pressure increases with depth exactly to match the increase of ground water pressure. This is inherently preferable to compressed air where the obvious choice is between excessive pressure at the crown of the tunnel or insufficient pressure to hold back water and spoil at the invert.

An incidental advantage of the method has proved to be the provision of a perfectly watertight tunnel. The bentonite permeating the ground around the tunnel as the machine advances is giving a perfect seal and it may well be possible to eliminate caulking.

The method has limitations. Like all shielded machines it drives a single tunnel of fixed diameter and leaves the construction of openings and enlargements to be tackled subsequently.

How will it perform in varying ground conditions and in mixed faces? It can be converted into a fairly efficient orthodox digger shield with spoil disposal by conveyor. It has demonstrated its ability to deal with particles from silt up to 250 mm stones. Previous experience indicates that it would cut slowly but successfully through hard unreinforced concrete.

Its success in mixed faces will depend upon the technology of the various possible slurries. Thus in a gravel/chalk face, the chemical action of the chalk must not be allowed to destroy the thixotropic property of the slurry. In a sand/clay face the ability to remove the clay from the slurry will depend upon the nature of the clay. Much work remains to be done in such fields but the signs are very encouraging.

Appendix F

REPORT OF INVENTIONS

A diligent review of the work performed under this contract has revealed no new innovation, discovery, improvement or invention.